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2	A natural mutation between SARS-CoV-2 and SARS-CoV determines
3	neutralization by a cross-reactive antibody
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24 ABSTRACT

Epitopes that are conserved among SARS-like coronaviruses are attractive targets for 25 26 design of cross-reactive vaccines and therapeutics. CR3022 is a SARS-CoV neutralizing 27 antibody to a highly conserved epitope on the receptor binding domain (RBD) on the spike 28 protein that can cross-react with SARS-CoV-2, but with lower affinity. Using x-ray 29 crystallography, mutagenesis, and binding experiments, we illustrate that of four amino 30 acid differences in the CR3022 epitope between SARS-CoV-2 and SARS-CoV, a single 31 mutation P384A fully determines the affinity difference. CR3022 does not neutralize 32 SARS-CoV-2, but the increased affinity to SARS-CoV-2 P384A mutant now enables 33 neutralization with a similar potency to SARS-CoV. We further investigated CR3022 34 interaction with the SARS-CoV spike protein by negative-stain EM and cryo-EM. Three 35 CR3022 Fabs bind per trimer with the RBD observed in different up-conformations due to 36 considerable flexibility of the RBD. In one of these conformations, guaternary interactions 37 are made by CR3022 to the N-terminal domain (NTD) of an adjacent subunit. Overall, this 38 study provides insights into antigenic variation and potential for cross-neutralizing epitopes 39 on SARS-like viruses.

40 **INTRODUCTION**

The ongoing COVID-19 pandemic, which is caused by the new coronavirus SARS-CoV-41 42 2, continues to escalate. Investigating the immunogenicity and antigenicity of SARS-CoV-43 2 is of great importance for vaccine and therapeutic development. The major antigen of 44 coronavirus is the spike (S) glycoprotein, which is expressed as a homotrimer on the virus 45 surface. Since the S protein is essential for virus entry through engaging the host receptor 46 and mediating virus-host membrane fusion, many antibodies to the S protein are 47 neutralizing [1-12]. The S proteins of SARS-CoV-2 and SARS-CoV, which caused a global 48 outbreak in 2003, have an amino-acid sequence identity of around 77% [13] that leads to 49 differences in antigenicity in serology studies [14, 15]. Although a few monoclonal 50 antibodies have been discovered that can cross-neutralize SARS-CoV and SARS-CoV-2 51 [6, 7, 16, 17], they seem to be relatively rare in COVID-19 patients [1, 3, 4, 14]. Thus, the 52 molecular determinants that define the antigenic differences and similarities between 53 SARS-CoV-2 and SARS-CoV need further exploration.

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55 CR3022 was previously isolated from a SARS survivor and neutralizes SARS-CoV [18]. 56 CR3022 was recently found to also be a cross-reactive antibody that can bind to both 57 SARS-CoV-2 and SARS-CoV [19]. Our recent crystal structure demonstrated that CR3022 58 targets a highly conserved cryptic epitope on the receptor binding domain (RBD) of the S 59 protein [20]. The CR3022 epitope is exposed only when the RBD is in the "up" but not the 60 "down" conformation on the S protein [20]. A few SARS-CoV-2 antibodies from COVID-19 61 patients have also recently been shown to target the CR3022 epitope [12, 17, 21], 62 suggesting that it is an important site of vulnerability for the antibody response in SARS-63 CoV-2 infection. Out of 28 residues in the CR3022 epitope, 24 are conserved between 64 SARS-CoV-2 and SARS-CoV, explaining the cross-reactive binding of CR3022. However, 65 CR3022 has a higher affinity to SARS-CoV than to SARS-CoV-2 (>100-fold difference),

and can neutralize SARS-CoV, but not SARS-CoV-2, in a live virus neutralization assay
[20]. Therefore, CR3022 provides a good case study to probe antigenic variation between
SARS-CoV-2 and SARS-CoV.

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70 We therefore aimed to dissect the molecular basis underlying the difference in binding 71 affinity and neutralization potency of CR3022 to SARS-CoV-2 and SARS-CoV. The crystal 72 structure of SARS-CoV RBD in complex with CR3022 was determined to compare with 73 the corresponding SARS-CoV-2 RBD structure [20]. In combination of mutagenesis and 74 binding experiments, we demonstrate that a single amino-acid difference at residue 384 75 (SARS-CoV-2 numbering) between the RBDs of SARS-CoV-2 and SARS-CoV can fully 76 explain the affinity difference with CR3022. Moreover, CR3022 is now able to neutralize 77 SARS-CoV-2 P384A with a similar potency to SARS-CoV. We further investigated the 78 molecular recognition of CR3022 to the SARS-CoV-2 spike protein by electron microscopy 79 and found that rotational flexibility of the RBD resulted in antibody binding to different 80 variants of up-conformations of the RBD relative to the spike trimer. Our findings validate 81 the CR3022 epitope as an important site of vulnerability for a cross-neutralizing antibody 82 response. Throughout this study, residues on RBD are numbered according to SARS-83 CoV-2 numbering unless otherwise stated.

84

85 **RESULTS**

86 **P384A increases binding affinity of SARS-CoV-2 RBD to CR3022**

The epitope of CR3022 in SARS-CoV-2 and SARS-CoV differs by four residues. We aimed to determine whether amino-acid variants in these four non-conserved residues influence the binding affinity of CR3022 to RBD. Four SARS-CoV-2 RBD mutants, namely A372T, P384A, T430M, and H519N (SARS-CoV-2 numbering), were recombinantly expressed and examined (Figure 1A). These mutants converted the amino-acid sequence 92 of the CR3022 epitope in the SARS-CoV-2 RBD to that of SARS-CoV at each of the four 93 non-conserved residues. While binding of CR3022 mutants A372T ($K_D = 66$ nM), T430M 94 ($K_D = 64$ nM), and H519N ($K_D = 60$ nM) was comparable to wild type (WT) SARS-CoV-2 95 RBD ($K_D = 68$ nM), binding of CR3022 to the P384A mutant ($K_D = 1.4$ nM) was greatly 96 increased (Figure 1B), akin now to that with the SARS-CoV RBD ($K_D = 1.0$ nM) [20]. Thus, 97 the difference in binding affinity of CR3022 to SARS-CoV-2 RBD versus SARS-CoV RBD 98 can be attributed due to a single amino-acid difference at residue 384.

99

100 CR3022 neutralizes SARS-CoV-2 P384A but not WT

101 While CR3022 can neutralize SARS-CoV [18, 20], multiple groups have shown that it does 102 not neutralize SARS-CoV-2 [3, 5, 20, 22]. One possibility is that the affinity of CR3022 to 103 SARS-CoV-2 RBD is not sufficient to confer neutralizing activity. To test this hypothesis, 104 we compared neutralization of SARS-CoV-2 WT and the P384A mutant by CR3022. 105 Consistent with previous studies [3, 5, 20, 22], CR3022 failed to neutralize SARS-CoV-2 106 WT (Figure 2). However, CR3022 is now able to neutralize the SARS-CoV-2 P384A 107 mutant at an IC₅₀ of 3.2 μ g/ml, which is comparable to its neutralizing activity to SARS-108 CoV (IC₅₀ of 5.2 µg/ml). This finding validates the CR3022 epitope as a neutralizing 109 epitope in both SARS-CoV-2 and SARS-CoV, provided that the antibody affinity can 110 surpass a threshold for detection of neutralization.

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Previous studies have indicated IgG bivalent binding can play an important role in mediating neutralization of SARS-CoV-2, since the neutralization potency for many antibodies is much greater when expressed as IgG rather than Fab [21, 23]. Subsequently, we also tested the neutralizing activity of CR3022 Fab. Interestingly, the CR3022 Fab neutralized SARS-CoV-2 P384A mutant with an IC₅₀ of 4.4 μ g/ml, which is similar to that of CR3022 IgG (3.2 μ g/ml) (Figure 2). This result indicates that CR3022, unlike many other

SARS-CoV-2 antibodies [21, 23], does not act bivalently with the S proteins on the virus
surface and, hence, neutralization is more sensitive to Fab binding affinity.

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121 Sequence conservation of residue 384

122 We then examined the sequence conservation of residue 384 in other SARS-related 123 coronaviruses (SARSr-CoV) strains. Most SARSr-CoV strains have Pro at residue 384. 124 as in SARS-CoV-2. Only those strains that are phylogenetically very close to SARS-CoV, 125 such as bat SARSr-CoV WIV1 and bat SARSr-CoV WIV16, have Ala at residue 384 126 (Figure 3A). Phylogenetic analysis implies that P384A emerged during the evolution of 127 SARSr-CoV in bats (Figure 3A), which is the natural reservoir of SARSr-CoV [24]. 128 However, it is unclear whether the emergence of P384A is due to neutral drift or positive 129 selection in bats or other species. In addition, given that residue 384 is proximal to the S2 130 domain when the RBD is in the "down" conformation (Figure 3B), whether P384A can 131 modulate the conformational dynamics of the "up and down" configurations of the RBD in 132 the S trimer and influence the viral replication fitness will require additional studies.

133

134 Crystal structure reveals the impact of P384A in CR3022 binding

135 We further determined the x-ray structure of SARS-CoV RBD in complex with CR3022 to 136 2.7 Å resolution (Figure 4A, Supplementary Table 1, and Supplementary Figure 1). The 137 overall structure of CR3022 in complex with SARS-CoV RBD is similar to that with SARS-138 CoV-2 RBD [20] (C α RMSD of 0.5 Å for 343 residues in the RBD and Fab variable domain. 139 cf. fig. S2A and B of [20]) (Supplementary Figure 2). Nonetheless, the CR3022 elbow 140 angles, which are distant from the antibody-antigen interface, differ in the two structures, 141 as we mutated the elbow region (as described in [25]) of CR3022 to promote crystallization 142 with SARS-CoV RBD. The conserved binding mode of CR3022 to SARS-CoV-2 RBD and 143 SARS-CoV RBD indicates that the difference in binding affinity of CR3022 between SARS-

144 CoV-2 RBD and SARS-CoV RBD is only due to a very subtle structural difference.

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146 To investigate how P384 and A384 lead to differential binding of CR3022, we compared 147 the RBD structures from SARS-CoV and SAR-CoV-2 when bound with CR3022. The 148 RBDs have a Cα RMSD of only 0.6 Å (0.7 Å for CR3022 epitope residues). At residue 149 384, the backbone of SARS-CoV-2 is further from CR3022, as compared to that of SARS-150 CoV (Figure 4B). This difference in backbone positioning (~ 1.3 Å shift) affects the 151 interaction of the RBD with CR3022 V_H S96, which is encoded by IGHD3-10 gene segment 152 on CDR H3 [18, 20]. While CR3022 V_H S96 forms a hydrogen bond (H-bond) with the 153 T385 side chain in both SARS-CoV-2 RBD and SARS-CoV RBD, it can form a second H-154 bond with the backbone amide of T385 in SARS-CoV RBD (Figure 4C), but not SARS-155 CoV-2 RBD (Figure 4D). In addition, CR3022 V_H S96 adopts different side-chain rotamers 156 when binding to SARS-CoV-2 and to SARS-CoV. Consequently, V_H S96 can make an 157 intramolecular H-bond with V_{H} T31 when CR3022 binds to SARS-CoV RBD (Figure 4C), 158 but not to SARS-CoV-2 (Figure 4D). In summary, V_H S96 forms three H-bonds when 159 CR3022 binds to SARS-CoV RBD, as compared to only one when CR3022 binds to 160 SARS-CoV-2 RBD. This observation indicates why binding of CR3022 to the SARS-CoV 161 RBD is energetically more favorable than to the SARS-CoV-2 RBD.

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163 **CR3022-bound SARS-CoV S protein exhibits a rare three-up conformation**

To understand the binding of CR3022 to the RBD in the context of the homotrimeric S protein, we previously proposed a structural model where CR3022 could only access its epitope on the S protein when at least two RBD are in the "up" conformation and the RBD is rotated relative to its unliganded structure [20]. To further evaluate and expand on this model, negative-stain electron microscopy (nsEM) was performed on CR3022 in complex

with a stabilized version of the SARS-CoV homotrimeric S protein (Figure 5A, see Materials and Methods). The 3D nsEM reconstruction revealed that one SARS-CoV S protein could simultaneously bind to three CR3022 Fabs, with all three RBDs in the "up" conformation (Figure 5B). Consistent with the structural model that we previously proposed [20], the CR3022-bound RBD was indeed rotated compared to that in the unliganded S protein [26-28], such that, in this conformation, steric hinderance between CR3022 and the N-terminal domain (NTD) is minimized.

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177 While our results here demonstrate that CR3022 Fab could form a stable complex with 178 SARS-CoV S protein in a prefusion conformation, a recent study reported that prefusion 179 SARS-CoV-2 S protein fell apart upon binding to CR3022 Fab as indicated by cryo-EM 180 [29]. It should be noted that the three-up conformation is much more rarely observed than 181 the other RBD conformations (all-down, one-up, and two-up) in SARS-CoV by cryo-EM 182 [26-28], or SARS-CoV-2 by cryo-EM [30-32] and cryo-electron tomography [33, 34], and 183 could relate to differences in the stability of S trimers in SARS-CoV versus SARS CoV-2 184 when CR3022 is bound. Further studies will be required to investigate whether such a 185 difference between SARS-CoV-2 and SARS-CoV is related to stability differences in the 186 recombinant spike proteins, or to different dynamics of the RBD on the virus or infected 187 cells.

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189 **RBD** flexibility and quaternary interactions in CR3022-bound SARS-CoV S protein

To address some of these issues, we performed cryo-EM analysis to interrogate the binding of CR3022 to SARS-CoV S protein at higher resolution (Supplementary Figure 3 and Supplementary Table 2). Focused 3D classification yielded 4 different structural classes with classes 2 and 4 being nearly identical at the given resolution (Figure 5C and Supplementary Figure 4). Class 3 is the most similar to the model from nsEM, although

195 the total particle number for classes 2 and 4 together exceed that for class 3 196 (Supplementary Figure 4). In contrast, class 1 is the least represented. In classes 2 and 197 4, CR3022 also appears to make guaternary contacts with the NTD, as suggested by well-198 defined density in the CR3022-NTD interface (Figure 5C). The moderate resolution (6 to 199 7 Å) of the reconstructions precludes atomic-level descriptions, but the framework region 200 of the CR3022 light chain in classes 2 and 4 is in close proximity to a loop region in NTD 201 corresponding to residues 106-110. In addition, the constant region of CR3022 appears 202 to contact residue D23 of NTD. Another important observation is that the Fab in class 2 203 and 4 would clash with the adjacent RBD if it were in the "down" conformation. So, for the 204 Fab to exist in this guaternary conformation, the adjacent RBD has to be in the "up" 205 conformation. To evaluate the different dispositions of the RBD in these structures, we 206 compared the cryo-EM structure of an apo form of the SARS-CoV S protein where one 207 RBD is the "up" conformation (PDB 6ACD) [35]. The RBD in classes 1 to 4 are rotated by 208 84.1°, 54.3°, -54.7°, and 53.7°, respectively, relative to the apo one-up conformation (see 209 Materials and Methods). Furthermore, the CR3022-bound RBD in class 2 and 4 is more 210 open than in the apo form (Supplementary Figure 5), demonstrating the rotational flexibility 211 of the RBD. In fact, RBD conformational flexibility has also been noted in an ACE2-bound 212 SARS-CoV S protein. Three different dispositions (1 to 3) of the RBD were observed in 213 ACE2-bound SARS-CoV S protein with RBD tilts relative to horizontal top surface of the 214 S trimer of 51.2°, 73.3° and 111.6° compared to 68.9° for the apo one-up structure [35]. 215 Our classes 2 and 4 appear to be somewhat intermediate between dispositions 2 and 3 216 (Supplementary Figure 6), whereas the other classes differ from the RBD dispositions in 217 the ACE2-bound SARS-CoV S structures. However, despite the flexibility of CR3022-218 bound RBD, bivalent binding of CR3022 to S protein does not seem to occur on the virus 219 surface since an IgG avidity effect was not observed in the neutralization assay (see 220 above, Figure 2). Overall, these structural analyses indicate that RBD rotational flexibility and acquisition of quaternary interactions can play an important role in CR3022 interaction

222 with the S protein. CR3022 adds to the growing list of neutralization antibodies that can

223 utilize quaternary interactions for binding to the S protein [12, 36].

224

225 **DISCUSSION**

While it is now known that SARS-CoV and SARS-CoV-2 differ in antigenicity despite relatively high sequence conservation [1, 3, 4, 14], there is a paucity of understanding of the underlying molecular determinants of these antigenic changes and the structural consequences of these differences. Through structural analysis of the CR3022-RBD complex and mutagenesis experiments, we show that a single amino-acid substitution at residue 384 contributes to an important antigenic difference in a highly conserved (neutralizing) epitope between SARS-CoV-2 and SARS-CoV.

233

234 While CR3022 cannot neutralize SARS-CoV-2 WT in almost all studies [3, 5, 20, 22], it 235 can neutralize the SARS-CoV-2 P384A mutant. The K_D of CR3022 Fab to SARS-CoV-2 236 WT RBD is 68 nM, whereas to SARS-CoV-2 P384A RBD is 1 nM (Figure 1B-C), indicating 237 that the affinity threshold for neutralization of SARS-CoV-2 to this epitope is in the low nM 238 range. However, despite having a low nM affinity to SARS-CoV-2 P384A RBD, CR3022 239 only weakly neutralizes SARS-CoV-2 P384A with an IC₅₀ of 3.2 μ g/ml and SARS-CoV with 240 an IC₅₀ of 5.2 μ g/ml. In contrast, antibodies with similar or less Fab binding affinity to other 241 RBD epitopes, such as the receptor binding site, can neutralize SARS-CoV-2 much more 242 efficiently. For example, previously characterized SARS-CoV-2 antibodies CC12.1 and 243 CC12.3, which have a K_D to SARS-CoV-2 RBD of 17 nM and 14 nM respectively, 244 neutralize SARS-CoV-2 at an IC₅₀ of ~20 ng/ml [3, 37]. Of note, the K_D and IC₅₀ of CC12.1 245 and CC12.3 were measured in the same manner as this study. The lack of correlation 246 between affinity and neutralizing activity is therefore not due to the difference in the assays

247 between studies. In fact, a previous study also demonstrated a lack of correlation between 248 RBD binding and neutralization for monoclonal antibodies [3]. Together, these 249 observations suggest that the affinity threshold for SARS-CoV-2 neutralization by RBD-250 targeting antibodies may be epitope dependent. The difference in affinity threshold for 251 different epitopes is also likely to be related not only in the ability to block ACE2-binding 252 [3, 38], but also in antibody avidity where bivalent binding can cross-link different RBD 253 domains on the same or different spikes and, hence, substantially enhance binding and 254 neutralization [23].

255

256 Given the scale of the outbreak, SARS-CoV-2 may persist and circulate in humans for 257 years to come [39]. A number of SARS-CoV-2 vaccine candidates are currently under 258 clinical trials (https://clinicaltrials.gov/ct2/who table) [40], which offer a potential solution 259 to alleviate the global health and socio-economic devastation bought by SARS-CoV-2. 260 However, whether SARS-CoV-2 can escape vaccine-induced immunity through antigenic 261 drift remains to be determined, although escape mutations to many monoclonal antibodies 262 have been tested in vitro [2]. Identification of the key residues that are responsible for 263 differences in antigenicity among SARS-CoV-2, SARS-CoV, and possibly other SARS-264 related viruses, should provide a starting point to understand the potential for antigenic 265 drift in SARS-like coronaviruses. The ongoing efforts in SARS-CoV-2 antibody discovery 266 and structural characterization will therefore advance our molecular understanding of 267 antigenic variation in SARS-like CoVs, and consequences for vaccine and therapeutic 268 design, especially to cross-neutralizing epitopes.

269

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283

284 AUTHOR CONTRIBUTIONS

285 N.C.W., M.Y., D.H., S.B., A.B.W and I.A.W. conceived and designed the study. N.C.W., 286 M.Y., C.C.D.L. and S.B. expressed and purified the proteins. M.Y. performed biolayer 287 interferometry binding assays. N.C.W. and M.Y. performed the crystallization experiment 288 and X.Z. collected the X-ray data. M.Y. determined and refined the X-ray structures. S.B. 289 and H.L.T. performed the negative-stain electron microscopy. D.H., L.P., L.Y. and D.N. 290 performed the pseudovirus neutralization assay. N.C.W., M.Y., D.H., S.B., A.B.W. and 291 I.A.W. analyzed the data. N.C.W., M.Y. and I.A.W. wrote the paper and all authors 292 reviewed and edited the paper.

293

294 **DECLARATION OF INTERESTS**

295 The authors declare no competing interests.

296 MATERIALS AND METHODS

297 Expression and purification of SARS-CoV RBD

298 RBD (residues: 306-527) of the SARS-CoV spike (S) protein (GenBank: ABF65836.1) was 299 fused with an N-terminal gp67 signal peptide and a C-terminal His₆ tag, and cloned into a 300 customized pFastBac vector [41]. Recombinant bacmid DNA was generated using the 301 Bac-to-Bac system (Thermo Fisher Scientific). Baculovirus was generated by transfecting 302 purified bacmid DNA into Sf9 cells using FuGENE HD (Promega), and subsequently used 303 to infect suspension cultures of High Five cells (Thermo Fisher Scientific) at an MOI of 5 304 to 10. Infected High Five cells were incubated at 28 °C with shaking at 110 r.p.m. for 72 h 305 for protein expression. The supernatant was then concentrated using a 10 kDa MW cutoff 306 Centramate cassette (Pall Corporation). SARS-CoV RBD protein was purified by Ni-NTA, 307 followed by size exclusion chromatography, and buffer exchanged into 20 mM Tris-HCI 308 pH 7.4 and 150 mM NaCl.

309

310 Expression and purification of SARS-CoV spike

311 The SARS-CoV spike construct (Tor2 strain) for recombinant spike protein expression 312 contains the mammalian-codon-optimized gene encoding residues 1-1190 of the spike 313 followed by a C-terminal T4 fibritin trimerization domain, a HRV3C cleavage site, 8x-His 314 tag and a Twin-strep tags subcloned into the eukaryotic-expression vector paH. Residues 315 at 968 and 969 were replaced by prolines for generating stable spike proteins as described 316 previously [28]. The spike plasmid was transfected into FreeStyle 293F cells and cultures 317 were harvested at 6-day post-transfection. Proteins were purified from the supernatants 318 on His-Complete columns using a 250 mM imidazole elution buffer. The elution was buffer 319 exchanged to Tris-NaCl buffer (25 mM Tris, 500 mM NaCl, pH 7.4) before further 320 purification using Superose 6 increase 10/300 column (GE Healthcare). Protein fractions 321 corresponding to the trimeric spike proteins were collected and concentrated.

323 Expression and purification of CR3022 Fab

324 The CR3022 Fab heavy (GenBank: DQ168569.1) and light (GenBank: DQ168570.1) 325 chains were cloned into phCMV3. The plasmids were transiently co-transfected into 326 Expi293F cells at a ratio of 2:1 (HC:LC) using ExpiFectamine[™] 293 Reagent (Thermo 327 Fisher Scientific) according to the manufacturer's instructions. The supernatant was 328 collected at 7 days post-transfection. The Fab was purified with a CaptureSelect™ CH1-329 XL Pre-packed Column (Thermo Fisher Scientific) followed by size exclusion 330 chromatography. For crystallization, a VSRRLP variant of the elbow region was used to 331 reduce the conformational flexibility between the constant and variable domains [25].

332

333 Crystallization and structural determination

334 Purified CR3022 Fab with a VSRRLP modification in the elbow region and SARS-CoV 335 RBD were mixed at a molar ratio of 1:1 and incubated overnight at 4°C. The complex (7.5 336 mg/ml) was screened for crystallization using the 384 conditions of the JCSG Core Suite 337 (Qiagen) on our custom-designed robotic CrystalMation system (Rigaku) at Scripps 338 Research by the vapor diffusion method in sitting drops containing 0.1 µl of protein and 339 0.1 µl of reservoir solution. Optimized crystals were then grown in 2 M sodium chloride 340 and 10% PEG 6000 at 4°C. Crystals were grown for 7 days and then flash cooled in liquid 341 nitrogen. Diffraction data were collected at cryogenic temperature (100 K) at Stanford 342 Synchrotron Radiation Lightsource (SSRL) beamline 12-2 with a wavelength of 1.033 Å. 343 and processed with HKL2000 [42]. Structures were solved by molecular replacement 344 using PHASER [43] with PDB 6W41 for CR3022 Fab [20] and PDB 2AJF for SARS-CoV 345 RBD [44]. Iterative model building and refinement were carried out in COOT [45] and 346 PHENIX [46], respectively. Ramachandran statistics were calculated using MolProbity 347 [47].

349 Negative-stain electron microscopy

350 Six molar excess of CR3022 Fab (unmodified) was added to SARS-CoV spike protein 1 351 hour prior to direct deposition onto carbon-coated 400-mesh copper grids. The grids were 352 stained with 2 % (w/v) uranyl-formate for 90 seconds immediately following sample 353 application. Grids were imaged on Tecnai T12 Spirit at 120 keV with a 4k x 4k Eagle CCD. 354 Micrographs were collected using Leginon [48] and images were transferred to Appion 355 [49] for particle picking using a difference-of-Gaussians picker (DoG-picker) [50] and 356 generation of particle stacks. Particle stacks were further transferred to Relion [51] for 2D 357 classification followed by 3D classification to select good classes. Select 3D classes were 358 auto-refined on Relion and used for making figures using UCSF Chimera [52].

359

360 Cryo-EM sample preparation

SARS-CoV spike protein was incubated with six molar excess of CR3022 Fab for 2 h. 3.5
µL of the complex (0.9 mg/ml) was mixed with 0.5 µL of 0.04 mM lauryl maltose neopentyl
glycol (LMNG) solution immediately before sample deposition onto a 1.2/1.3 300-Gold grid
(EMS). The grids were plasma cleaned for 7 seconds using a Gatan Solarus 950 Plasma
system prior to sample deposition. Following sample application, grids were blotted for 3
seconds before being vitrified in liquid ethane using a Vitrobot Mark IV (Thermo Fisher).

367

368 Cryo-EM data collection and processing

369 Data collection was performed using a Talos Arctica TEM at 200 kV with a Gatan K2 370 Summit detector at a magnification of 36,000x, resulting in a 1.15 Å pixel size. Total 371 exposure was split into 250 ms frames with a total cumulative dose of ~50 e⁻/Å². 372 Micrographs were collected through Leginon software at a nominal defocus range of -0.4 373 µm to -1.6 µm and MotionCor2 was used for alignment and dose weighting of the frames 374 [48, 53]. Micrographs were transferred to CryoSPARC 2.9 for further processing [54]. CTF 375 estimations were performed using GCTF and micrographs were selected using the Curate 376 Exposures tool in CryoSPARC based on their CTF resolution estimates (cutoff 5 Å) for 377 downstream particle picking, extraction and iterative rounds of 2D classification and 378 selection [55]. Particles selected from 2D classes were transferred to Relion 3.1 for direct 379 C3 refinement, symmetry expansion of particles and iterative rounds of 3D focused 380 classification using spherical masks around the RBD and Fab [51]. Final subsets of clean 381 particles from 4 different classes were each refined with C1 symmetry. Figures were 382 generated using UCSF Chimera and UCSF Chimera X [52].

383

384 Calculation of rotation angles

Comparisons of subunit rotation angles among different structures were performed with a
software 'Superpose' in the CCP4 package [56, 57]. For each classified conformation, the
Cα atoms of the RBD domain are superimposed to the equivalent atoms of the RBD in
"up"-conformation in a previously reported spike trimer cryoEM structure (PDB 6ACD) [35].
The rotation matrices generated by superposing each pair of structures with 'Superpose'
were adopted to calculate the subunit rotation angle following the equation shown as
below:

392
$$\theta = \cos^{-1} \frac{X_{11} + Y_{22} + Z_{33} - 1}{2}$$

393 where θ is the subunit rotation angle, X_{11} , Y_{22} , and Z_{33} represent the X_{11} , Y_{22} , and Z_{33} 394 values in the rotation matrix calculated for the superpose.

395

Biolayer interferometry binding assay

Binding assays were performed by biolayer interferometry (BLI) using an Octet Red
instrument (FortéBio) as described previously [58]. Briefly, His₆-tagged SARS-CoV RBD

399 proteins at 20 to 100 μ g/ml in 1x kinetics buffer (1x PBS, pH 7.4, 0.01% BSA and 0.002% 400 Tween 20) were loaded onto Anti-Penta-HIS (HIS1K) biosensors and incubated with the 401 indicated concentrations of CR3022 Fab. The assay consisted of five steps: 1) baseline: 402 60 s with 1x kinetics buffer; 2) loading: 300 s with His₆-tagged S or RBD proteins; 3) 403 baseline: 60 s with 1x kinetics buffer; 4) association: 120 s with samples (Fab or IgG); and 404 5) dissociation: 120 s with 1x kinetics buffer. For estimating the exact K_D, a 1:1 binding 405 model was used.

406

407 **Pseudovirus neutralization assay**

408 Pseudovirus preparation and assay were performed as previously described [3]. Briefly, 409 MLV-gag/pol and MLV-CMV plasmids was co-transfected into HEK293T cells along with 410 full-length or P384A SARS-CoV-2 spike plasmids using Lipofectamine 2000 to produce 411 pseudoviruses competent for single-round infection. The supernatant containing MLV-412 pseudotyped viral particles was collected at 48 hours post transfection, aliquoted and 413 frozen at -80°C until used. For each well in a 96-well half-area plate, 25 µl of virus was 414 immediately mixed with 25 µl of serially diluted IqG or Fab, and incubated for 1 hour at 37°C. For each well, 10,000 HeLa-hACE2 cells in 50 µl of media supplemented with 20 415 416 µg/ml dextran were added to the antibody-virus mixture. The 96-well half-area plate was 417 then incubated at 37°C. At 42 to 48 hours post-infection, HeLa-hACE2 cells were lysed 418 using 1x luciferase lysis buffer (25 mM Gly-Gly pH 7.8, 15 mM MgSO₄, 4 mM EGTA, and 419 1% Triton X-100). Luciferase intensity was then measured using Bright-Glo Luciferase 420 Assay System (Promega) according to the manufacturer's instructions. Percentage of 421 neutralization was calculated using the following equation:

422 % neutralization = $100 \times (1 - \frac{RULs \ of \ sample - Average \ RULs \ of \ Background}{Average \ of \ RULs \ of \ Virus \ only \ contrl - Average \ RULs \ of \ Background})$

423

424 **DATA AVAILABILITY**

- 425 The X-ray coordinates and structure factors have been deposited to the RCSB Protein
- 426 Data Bank under accession code: 7JN5. The EM maps will be deposited in the Electron
- 427 Microscopy Data Bank (EMDB).
- 428

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664 Figure 1. A single P384A substitution increases CR3022 affinity to the SARS-CoV-2 665 RBD. (A) Epitope residues on SARS-CoV RBD are colored in cyan and green. The 666 CR3022 CDR loops that contact the RBD are shown and labeled. Cyan: epitope residues 667 that are conserved between SARS-CoV-2 and SARS-CoV. Green: epitope residues that 668 are not conserved between SARS-CoV-2 and SARS-CoV. Orange: CR3022 heavy chain. 669 Yellow: CR3022 light chain. (B-C) Binding of CR3022 Fab to (B) wild-type (WT) SARS-670 CoV-2 RBD and (C) different mutants was measured by biolayer interferometry with RBD 671 loaded on the biosensor and Fab in solution. Y-axis represents the response. Dissociation 672 constants (K_D) for the Fab were obtained using a 1:1 binding model, respectively, which 673 is represented by the red curves. Representative results of two replicates for each 674 experiment are shown. Of note, mammalian cell-expressed RBD was used in the binding 675 experiments in this study, whereas insect cell-expressed RBD was used in our previous 676 study [20]. This difference may explain the slight discrepancy in the K_D of CR3022 Fab to 677 SARS-CoV-2 RBD WT between this study and our previous study [20].

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- 678
- 679 Figure 2. Pseudovirus neutralization assay. The neutralizing activity of CR3022 lgG or
- 680 Fab to SARS-CoV, SARS-CoV-2, and SARS-CoV-2 P384A mutant was measured in a
- 681 pseudovirus neutralization assay.

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692 Figure 4. Crystal structure of CR3022 in complex with SARS-CoV RBD. (A) Crystal 693 structure of CR3022 Fab in complex with SARS-CoV RBD. CR3022 heavy chain is colored 694 in orange, CR3022 light chain in yellow, and SARS-CoV-2 RBD in light grey. (B) Structures 695 of CR3022 in complex with SARS-CoV-2 RBD and with SARS-CoV RBD were aligned 696 using the CR3022 heavy chain variable domain and the region around residue 384 is 697 shown. Orange: CR3022 heavy chain. White: SARS-CoV RBD. Dark gray: SARS-CoV-2 698 RBD. The Cαs of S96 on CR3022 heavy chain, A384 on SARS-CoV RBD, and P384 on 699 SARS-CoV-2 RBD are shown in sphere representation. (C-D) Interaction between 700 CR3022 and residue 384 on (C) SARS-CoV RBD, and (D) SARS-CoV-2 RBD. Hydrogen 701 bonds are represented by dashed lines.



703 Figure 5. Negative-stain EM and cryo-EM analysis of SARS spike bound to CR3022 704 Fab. (A) Representative 2D nsEM class averages of the trimeric SARS-CoV spike 705 glycoprotein complexed with three CR3022 Fabs. (B) Side and top view of the 3D 706 reconstruction showing CR3022 Fabs bound to all 3 RBDs on the SARS-CoV spike. The 707 SARS-CoV RBD-CR3022 complex from the crystal structure is fitted into the nsEM density 708 with the RBD shown in pink and CR3022 Fab in blue. (C) Side views of the B-factor-709 sharpened cryo-EM maps (transparent gray surface representation) representing three 710 different classes of SARS spike with CR3022 Fab with different RBD-Fab orientations. 711 While four different classes were identified, only three classes are shown here because

712 classes 2 and 4 are very similar (Supplementary Figure 4). The RBD-Fab complex model 713 is fit into the densities with the RBDs shown in pink and CR3022 Fabs represented in blue. 714 The atomic model of the apo SARS-CoV spike (PDB 6ACD) [35] is also fit into density 715 with one RBD removed for clarity. The protomers are colored in purple, magenta and deep 716 magenta. (D) Top view of the class 2 cryo-EM map depicting potential guaternary contacts 717 between the RBD-bound Fab and the spike NTD in this conformation. In this RBD-Fab 718 conformation, the Fab would clash with the "down" RBD of the adjacent protomer 719 (magenta) and, therefore, the adjacent RBD can only exist in an "up" conformation. (E) A 720 close-up view of the Fab-spike interface showing the superimposition of CR3022 Fab and 721 adjacent RBD. The residues that can contribute to quaternary interactions between 722 CR3022 light chain and the NTD in two of the four classes (2 and 4) are shown.

Data collection	
Beamline	SSRL 12-2
Wavelength (Å)	0.97946
Space group	C 1 2 1
Unit cell parameters (Å and °)	a=265.7, b=59.9, c=51.7, β=99.8
Resolution (Å)	50.0–2.70 (2.76–2.70) ^a
Unique reflections	21,547 (2,021) ^a
Redundancy	6.7 (5.5) ^a
Completeness (%)	100.0 (100.0) ^a
<1/0i>	14.7 (1.0) ^a
R _{sym} ^b (%)	9.2 (86.1) ^a
R _{pim} ^b (%)	5.4 (54.8) ^a
CC _{1/2} ^c (%)	99.4 (74.4) ^a
Refinement statistics	
Resolution (Å)	45.0–2.70
Reflections (work)	21,501
Reflections (test)	1,011
R _{cryst} ^d / R _{free} ^e (%)	22.2 / 27.6
No. of atoms	4,872
Macromolecules	4,795
Glycans	42
Solvent	30
Average B-value (Å ²)	80
Macromolecules	80
RBD	104
Fab	70
Glycans	30
Solvent	60
Wilson <i>B</i> -value (Å ²)	64
RMSD from ideal geometry	
Bond length (Å)	0.005
Bond angle (°)	1.17
Ramachandran statistics (%)	
Favored	95.6
Outliers	0.16
PDB code	7JN5

Supplementary Table 1. X-ray data collection and refinement statistics.

^a Numbers in parentheses refer to the highest resolution shell.

^b $R_{sym} = \sum_{hkl} \sum_{i} |I_{hkl,i} - \langle I_{hkl} \rangle | / \sum_{hkl} \sum_{i} |I_{hkl,i} and R_{pim} = \sum_{hkl} (1/(n-1))^{1/2} \sum_{i} |I_{hkl,i} - \langle I_{hkl} \rangle | / \sum_{hkl} \sum_{i} |I_{hkl,i}|$, where $I_{hkl,i}$ is the scaled intensity of the ith measurement of reflection h, k, I, $\langle I_{hkl} \rangle$ is the average intensity for that reflection, and *n* is the redundancy.

 $^{\rm c}$ CC_{1/2} = Pearson correlation coefficient between two random half datasets.

 d $R_{cryst} = \Sigma_{hkl} | F_o - F_c | / \Sigma_{hkl} | F_o | x 100$, where F_o and F_c are the observed and calculated structure factors, respectively.

 $^{e}R_{free}$ was calculated as for R_{cryst} , but on a test set comprising 5% of the data excluded from refinement.

524 Supplementary Table 2. Cryo-EM data collection and refinement statistics.

Мар	SARS- CR3022Fab Class 1	SARS- CR3022Fab Class 2	SARS- CR3022Fab Class 3	SARS- CR3022Fab Class 4		
EMDB	Pending	Pending	Pending	Pending		
Data collection						
Microscope	FEI Talos Arctica	FEI Talos Arctica	FEI Talos Arctica	FEI Talos Arctica		
Voltage (kV)	200	200	200	200		
Detector	Gatan K2 Summit	Gatan K2 Summit	Gatan K2 Summit	Gatan K2 Summit		
Recording mode	Counting	Counting	Counting	Counting		
Nominal magnification	36,000	36,000	36,000	36,000		
Movie micrograph pixelsize (Å)	1.15	1.15	1.15	1.15		
Dose rate (e ⁻ /[(camera pixel)*s])	5.6	5.6	5.6	5.6		
Number of frames per movie micrograph	47	47	47	47		
Frame exposure time (ms)	250	250	250	250		
Movie micrograph exposure time (s)	11.7	11.7	11.7	11.7		
Total dose (e ⁻ /Å ²)	50	50	50	50		
Defocus range (µm)	-0.4 to -1.6	-0.4 to -1.6	-0.4 to -1.6	-0.4 to -1.6		
EM data processing						
Number of movie micrographs	2952	2952	2952	2952		
Number of molecular projection images in map	17,472	28,821	34,803	31,645		
Symmetry	C1	C1	C1	C1		
Map resolution (FSC 0.143; Å)	6.83	6.24	6.42	6.15		
Map sharpening B-factor (Å ²)	-164.6	-147.4	-120.5	-138.1		

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726

Supplementary Figure 1. X-ray electron density maps for epitope and paratope
regions of SARS CoV RBD with Fab CR3022. (A) Final 2Fo-Fc electron density maps
for the side chains in the epitope region of SARS-CoV-2 contoured at 1 σ. (B) Final 2FoFc electron density maps for the paratope region of CR3022 contoured at 1 σ. The heavy
chain is colored in orange, and light chain in yellow. Epitope and paratope residues are
labeled.

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Supplementary Figure 2. Structural alignment of CR3022-bound SARS-CoV RBD
and CR3022-bound SARS-CoV-2 RBD. Structure of CR3022 in complex with SARS-CoV
RBD (this study) is aligned to that with SARS-CoV-2 RBD (PDB 6W41). Structural
alignment was performed using CR3022 heavy chain variable domain. Red: CR3022 in
complex with SARS-CoV RBD. Blue: CR3022 in complex with SARS-CoV-2 RBD.



2D class averages

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- 740 Supplementary Figure 3. Representative cryo-electron micrograph and 2D class
- averages of the SARS-CoV spike in complex with CR3022 Fab. The top panel shows
- 742 a representative cryo-electron micrograph of the SARS-CoV spike complexed with
- 743 CR3022 Fab, whereas the bottom panels show the 2D class averages.

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averages of complex of the SARS-CoV spike and CR3022 were found during dataprocessing.

744



- 749 Supplementary Figure 5. Comparison of conformations of CR3022-bound and
- **unbound RBDs.** The conformation of CR3022-bound RBD in class 2 and 4 is compared
- to the conformation of RBD on an unliganded SARS-CoV S protein (PDB 6ACD) [35].

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- 752
- 753 Supplementary Figure 6. Comparison of conformations of CR3022-bound and
- ACE2-bound RBDs. The conformation of CR3022-bound RBD in class 2 and 4 is
- compared to that of depositions 2 and 3 of ACE2-bound RBD (PDB 6ACJ and 6ACK,
- respectively) [35].