# Presence of the narrow-spectrum OXA-1 β-lactamase enzyme is associated with elevated piperacillin/tazobactam MIC values among ESBL-producing *Escherichia coli* clinical isolates (CANWARD, 2007–18)

A. Walkty<sup>1,2</sup>\*, J. A. Karlowsky<sup>1,2</sup>, P. R. S. Lagacé-Wiens<sup>1,2</sup>, A. R. Golden<sup>3</sup>, M. R. Baxter<sup>1</sup>, A. J. Denisuik<sup>1</sup>, M. McCracken<sup>3</sup>, M. R. Mulvey<sup>3</sup>, H. J. Adam<sup>1,2</sup> and G. G. Zhanel<sup>1</sup>

<sup>1</sup>Department of Medical Microbiology and Infectious Diseases, Max Rady College of Medicine, University of Manitoba, Winnipeg, Canada; <sup>2</sup>Shared Health, Winnipeg, Canada; <sup>3</sup>Public Health Agency of Canada – National Microbiology Laboratory, Winnipeg, Canada

\*Corresponding author. E-mail: AWalkty@sharedhealthmb.ca

Piperacillin/tazobactam has been proposed as an alternative to carbapenems for the management of infections caused by ESBLproducing *Escherichia coli*.<sup>1</sup> A recent randomized, controlled trial (MERINO study) that compared piperacillin/tazobactam to meropenem for the treatment of bacteraemia due to ceftriaxone nonsusceptible *E. coli* and *Klebsiella pneumoniae* found an increase in 30 day mortality in the piperacillin/tazobactam arm.<sup>2</sup> However, data from this trial and others suggest that the clinical outcome among patients receiving piperacillin/tazobactam appears to depend, at least in part, on the MIC for this antimicrobial.<sup>3</sup> The purpose of this study was to explore the association between the MIC of piperacillin/tazobactam and the presence of OXA-1 among ESBLproducing *E. coli* using a collection of isolates obtained from an ongoing national surveillance study in Canada (CANWARD).

*E. coli* clinical isolates were obtained from patients admitted to or evaluated at sentinel hospitals across Canada (January 2007 to December 2018) as part of an ongoing national surveillance study (CANWARD).<sup>4</sup> On an annual basis, each centre was asked to submit clinical isolates (consecutive, one per patient/infection site) from blood, respiratory, urine and wound infections. Isolate identification was performed by the submitting site and confirmed at the reference site as required. Isolates were shipped on Amies semi-solid transport media to the coordinating laboratory (Health Sciences Centre, Winnipeg, Canada), subcultured onto appropriate media and stocked in skim milk at -80°C until MIC testing was carried out.

Following two subcultures from frozen stock, the *in vitro* activity of piperacillin/tazobactam was determined by broth microdilution in accordance with the CLSI standards.<sup>5</sup> In-house-prepared 96-well broth microdilution panels were used for antimicrobial susceptibility testing. Putative ESBL-producing *E. coli* were identified as isolates with a ceftriaxone and/or ceftazidime MIC of  $\geq$ 1 mg/L. ESBL production was verified using the CLSI phenotypic confirmatory disc test.<sup>6</sup>

All phenotypically confirmed ESBL-producing isolates were sequenced using the Illumina MiSeq platform. Quality control was performed using the FastQC tool (http://www.bioinformatics. babraham.ac.uk/projects/fastqc/) and contigs were assembled using SPAdes software.<sup>7</sup>  $\beta$ -lactamase genes were identified using ResFinder 4.0 at an identity threshold of 90%.<sup>8</sup> MLST alleles and STs were identified by scanning assembled contigs against available PubMLST databases (https://github.com/tseemann/mlst).

In total, 671 ESBL-producing E. coli were identified as part of the CANWARD study, of which 62.4% (419/671) were ST131. The majority of isolates (92.0%; 617/671) harboured a CTX-M ESBL enzyme. CTX-M-15 (62.3%; 418/671), CTX-M-27 (13.9%; 93/671) and CTX-M-14 (13.4%: 90/671) were the most common variants identified. The narrow spectrum OXA-1 β-lactamase enzyme was present in 42.6% (286/671) of isolates. OXA-1 was detected in 66.3% (277/418) of isolates with a CTX-M-15 ESBL enzyme versus only 3.6% (9/253) of isolates with other ESBL enzyme types. The piperacillin/tazobactam MIC<sub>50</sub> and MIC<sub>90</sub> values were 8 mg/L and 32 mg/L for isolates that possessed the OXA-1 enzyme (modal MIC of 8 mg/L) versus 2 mg/L and 8 mg/L for those that did not (modal MIC of mg/L). The percentage of ESBL-producing E. coli that were inhibited by a piperacillin/tazobactam MIC of <8 mg/L (EUCAST susceptibility breakpoint)<sup>9</sup> was 68.5% for isolates that were OXA-1 positive and 93.8% for isolates that were OXA-1 negative. Presence (n=195) or absence (n = 476) of the narrow-spectrum TEM-1 enzyme did not appear to influence the association of OXA-1 with elevated MICs for piperacillin/tazobactam (Table 1).

Henderson *et al.*<sup>3</sup> recently investigated whether there was any association between the MIC of piperacillin/tazobactam and the presence of specific  $\beta$ -lactamase genes among isolates obtained from patients who participated in the MERINO trial. Of 143 ST131 *E. coli*, the modal piperacillin/tazobactam MIC was 8 mg/L for isolates harbouring CTX-M-15 and OXA-1 versus 2 mg/L for isolates

© The Author(s) 2022. Published by Oxford University Press on behalf of British Society for Antimicrobial Chemotherapy. This is an Open Access article distributed under the terms of the Creative Commons Attribution License (http://creativecommons.org/licenses/by/ 4.0/), which permits unrestricted reuse, distribution, and reproduction in any medium, provided the original work is properly cited.

|   | Piperacillin/tazobactam MIC (mg/L) |            |           |           |           |          |           |           |          |           |       |
|---|------------------------------------|------------|-----------|-----------|-----------|----------|-----------|-----------|----------|-----------|-------|
| Organism (subset)                                     | ≤1                                 | 2          | 4         | 8         | 16        | 32       | 64        | 128       | 256      | ≥512      | Total |
| ESBL-producing E. coli (all)                          |                                    |            |           |           |           |          |           |           |          |           | 671   |
| OXA-1 absent ( $n = 385$ )                            | 107 (27.8)                         | 148 (66.2) | 88 (89.1) | 18 (93.8) | 10 (96.4) | 4 (97.4) | 2 (97.9)  | 0 (97.9)  | 5 (99.2) | 3 (100.0) | 385   |
| OXA-1 present ( $n = 286$ )                           | 10 (3.5)                           | 27 (12.9)  | 66 (36.0) | 93 (68.5) | 55 (87.8) | 7 (90.2) | 15 (95.5) | 7 (97.9)  | 2 (98.6) | 4 (100.0) | 286   |
| ESBL-producing E. coli (CTX-M-15-positive subset)     |                                    |            |           |           |           |          |           |           |          |           | 418   |
| OXA-1 absent ( $n = 141$ )                            | 31 (22.0)                          | 57 (62.4)  | 38 (89.4) | 7 (94.3)  | 3 (96.5)  | 0 (96.5) | 1 (97.2)  | 0 (97.2)  | 2 (98.6) | 2 (100.0) | 141   |
| OXA-1 present ( $n = 277$ )                           | 9 (3.2)                            | 27 (13.0)  | 65 (36.5) | 87 (67.9) | 55 (87.8) | 7 (90.3) | 15 (95.7) | 6 (97.8)  | 2 (98.6) | 4 (100.0) | 277   |
| ESBL-producing E. coli (CTX-M-15-negative subset)     |                                    |            |           |           |           |          |           |           |          |           | 253   |
| OXA-1 absent ( $n = 244$ )                            | 76 (31.1)                          | 91 (68.4)  | 50 (88.9) | 11 (93.4) | 7 (96.3)  | 4 (98.0) | 1 (98.4)  | 0 (98.4)  | 3 (99.6) | 1 (100.0) | 244   |
| OXA-1 present ( $n=9$ )                               | 1 (11.1)                           | 0 (11.1)   | 1 (22.2)  | 6 (88.9)  | 0 (88.9)  | 0 (88.9) | 0 (88.9)  | 1 (100.0) |          |           | 9     |
| ESBL-producing <i>E. coli</i> (TEM-1-positive subset) |                                    |            |           |           |           |          |           |           |          |           | 194   |
| OXA-1 absent (n=138)                                  | 31 (22.3)                          | 55 (61.9)  | 39 (89.9) | 6 (94.2)  | 3 (97.1)  | 2 (98.6) | 0 (98.6)  | 0 (98.6)  | 1 (99.3) | 1 (100.0) | 138   |
| OXA-1 present ( $n = 56$ )                            | 4 (7.1)                            | 9 (23.3)   | 7 (35.7)  | 14 (60.7) | 15 (87.5) | 1 (89.3) | 3 (95.6)  | 1 (96.4)  | 1 (98.2) | 1 (100.0) | 56    |
| ESBL-producing <i>E. coli</i> (TEM-1-negative subset) |                                    |            |           |           |           |          |           |           |          |           | 477   |
| OXA-1 absent ( $n = 247$ )                            | 76 (30.9)                          | 93 (68.7)  | 49 (88.6) | 12 (93.5) | 7 (96.0)  | 2 (96.8) | 2 (97.6)  | 0 (97.6)  | 4 (99.2) | 2 (100.0) | 247   |
| OXA-1 present ( $n=230$ )                             | 6 (2.6)                            | 18 (10.4)  | 59 (36.1) | 79 (70.4) | 40 (87.8) | 6 (90.4) | 12 (95.7) | 6 (98.3)  | 1 (98.7) | 3 (100.0) | 230   |

Table 1. Piperacillin/tazobactam MIC distribution for ESBL-producing E. coli clinical isolates, stratified by the presence or absence of OXA-1

Data are shown as number of isolates (cumulative percentage of isolates).

harbouring only CTX-M-15.<sup>3</sup> Livermore *et al.*<sup>10</sup> assessed whether the presence of OXA-1 was associated with a reduction in piperacillin/tazobactam susceptibility among 293 ESBL-producing *E. coli* recovered from a bloodstream source of infection. Similar to our dataset, CTX-M-15 was the most common ESBL enzyme identified (present in 78.2% of isolates). The modal MIC for piperacillin/tazobactam was 8 or 16 mg/L (depending on the subgroup evaluated) for ESBL producers in the presence of OXA-1 versus 2 mg/L for ESBL producers in the absence of OXA-1.<sup>10</sup> The results from these studies are largely in keeping with the data presented in this report. The association of OXA-1 with CTX-M-15 described here and elsewhere is particularly concerning given the widespread distribution of CTX-M ESBL enzymes worldwide.<sup>1,3,10</sup>

In summary, among a large collection of ESBL-producing *E. coli* clinical isolates obtained from patients at Canadian hospitals, the MIC<sub>50</sub>, MIC<sub>90</sub> and modal MIC values of piperacillin/ tazobactam were higher for the subset that harboured a narrow-spectrum OXA-1  $\beta$ -lactamase enzyme relative to the subset that did not. The most important limitation of our study is that OXA-1 was infrequently detected in ESBL-producing *E. coli* that did not contain CTX-M-15. As many clinical microbiology laboratories use automated instruments for determination of piperacillin/tazobactam susceptibility, absence of OXA-1 by molecular testing may prove useful in further defining a subset of piperacillin/tazobactam susceptible ESBL-producing *E. coli* for which therapeutic use of this antimicrobial is most appropriate.

# Acknowledgements

We would like to thank the participating centres, investigators and laboratory site staff from the CANWARD sites for their continued support and cooperation.

## Funding

The CANWARD study was supported in part by the University of Manitoba, Shared Health Manitoba, PHAC-NML, Avir, Iterum, Merck, Paladin Labs, Sunovion and Verity.

# **Transparency declarations**

The authors have no conflicts of interest to disclose related to this work.

### References

**1** Castanheira M, Simner PJ, Bradford PA. Extended-spectrum  $\beta$ -lactamases: an update on their characteristics, epidemiology and detection. *JAC Antimicrob Resist* 2021; **3**: dlab092.

**2** Harris PNA, Tambyah PA, Lye DC *et al*. Effect of piperacillin-tazobactam vs meropenem on 30-day mortality for patients with *E. coli* or *Klebsiella pneumoniae* bloodstream infection and ceftriaxone resistance: a randomized clinical trial. *JAMA* 2018; **320**: 984–94.

**3** Henderson A, Paterson DL, Chatfield MD *et al.* Association between minimum inhibitory concentration,  $\beta$ -lactamase genes and mortality for patients treated with piperacillin/tazobactam or meropenem from the MERINO study. *Clin Infect Dis* 2021; **73**: e3842–50.

**4** Zhanel GG, Adam HJ, Baxter MR *et al.* Antimicrobial susceptibility of 42936 pathogens from Canadian hospitals: 10 years of results (2007–16) from the CANWARD surveillance study. J Antimicrob Chemother 2019; **74** Suppl 4: iv5–21.

**5** CLSI. Methods for Dilution Antimicrobial Susceptibility Tests for Bacteria That Grow Aerobically—Eleventh Edition: M07. 2018.

**6** CLSI. Performance Standards for Antimicrobial Susceptibility Testing— Thirty-First Edition: M100. 2021.

**7** Bankevich A, Nurk S, Antipov D *et al.* SPAdes: a new genome assembly algorithm and its applications to single-cell sequencing. *J Comput Biol* 2012; **19**: 455–77.

**8** Bortolaia V, Kaas RS, Ruppe E *et al.* ResFinder 4.0 for predictions of phenotypes from genotypes. *J Antimicrob Chemother* 2020; **75**: 3491–500.

**9** EUCAST. Breakpoint Tables for Interpretation of MICs and Zone Diameters, Version 11.0. 2021. https://www.eucast.org/fileadmin/src/

media/PDFs/EUCAST\_files/Breakpoint\_tables/v\_11.0\_Breakpoint\_Tables. pdf.

**10** Livermore DM, Day M, Cleary P *et al.* OXA-1  $\beta$ -lactamase and non-susceptibility to penicillin/ $\beta$ -lactamase inhibitor combinations among ESBL-producing *Escherichia coli. J Antimicrob Chemother* 2019; **74**: 326–33.