

# Early active immunization with A $\beta$ <sub>3-10</sub>-KLH vaccine reduces tau phosphorylation in the hippocampus and protects cognition of mice

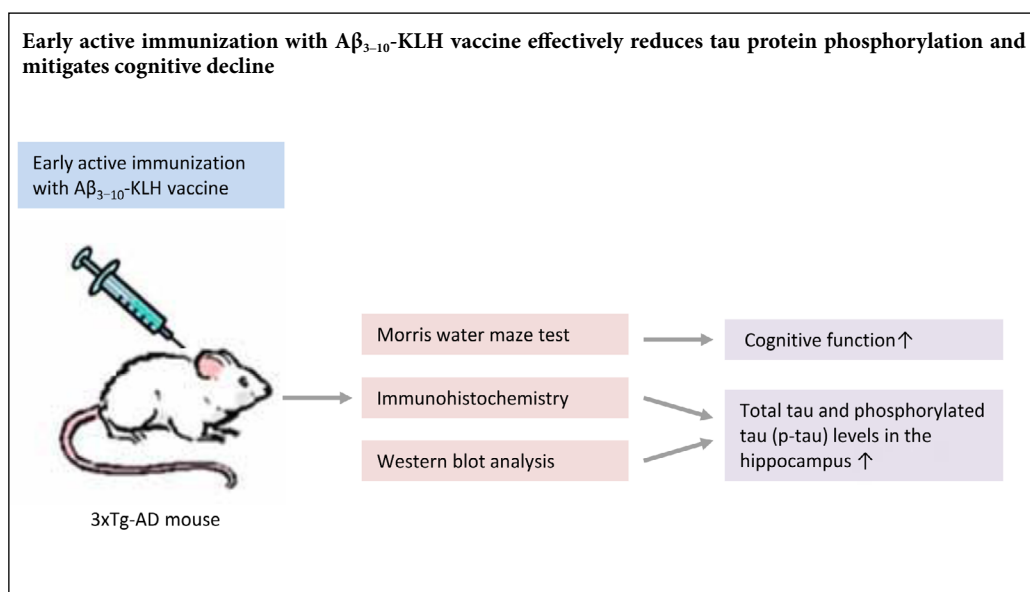
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**Funding:** This study was supported by the National Natural Science Foundation of China, No. 81371227 (to YPC).

## Graphical Abstract



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doi: 10.4103/1673-5374.266061

Received: April 17, 2019  
Accepted: June 27, 2019

## Abstract

Active and passive anti-A $\beta$  immunotherapies have successfully been used for the prevention and treatment of Alzheimer's disease animal models. However, clinical use of these immunotherapies is not effective, because the vaccination is administered too late. At 1 month of age, 100  $\mu$ L of A $\beta$ <sub>3-10</sub>-KLH peptide (vaccine, 2  $\mu$ g/ $\mu$ L) was subcutaneously injected into the neck of an amyloid precursor protein/presenilin-1/tau transgenic (3xTg-AD) mouse model. A $\beta$ <sub>3-10</sub>-KLH peptide was re-injected at 1.5, 2.5, 3.5, 4.5, 5.5, and 6.5 months of age. Serum levels of A $\beta$  antibody were detected by enzyme-linked immunosorbent assay, while spatial learning and memory ability were evaluated by Morris water maze. Immunohistochemistry was used to detect total tau with HT7 and phosphorylated tau with AT8 (phosphorylation sites Ser202 and Thr205) and AT180 (phosphorylation site Thr231) antibodies in the hippocampus. In addition, western blot analysis was used to quantify AT8 and AT180 expression in the hippocampus. The results showed that after vaccine injection, mice produced high levels of A $\beta$  antibody, cognitive function was significantly improved, and total tau and phosphorylated tau levels were significantly reduced. These findings suggest that early active immunization with A $\beta$ <sub>3-10</sub>-KLH vaccine can greatly reduce tau phosphorylation, thereby mitigating the cognitive decline of 3xTg-AD mice. This study was approved by the Animal Ethics Committee of China Medical University, China (approval No. 103-316) on April 2, 2016.

**Key Words:** 3xTg-AD; A $\beta$ <sub>3-10</sub>-KLH vaccine; Alzheimer's disease; amyloid precursor protein; amyloid-beta; cognitive decline; tau phosphorylation; transgenic mouse

**Chinese Library Classification No.** R456; R392; R741

## Introduction

The amyloid-beta (A $\beta$ ) cascade hypothesis is the most influential theory used to explain the pathogenesis of Alzheimer's disease (AD) (Barage and Sonawane, 2015). Genetic, pathological, and biochemical evidence suggest that A $\beta$  deposition acts as an important factor that triggers a series of events (Selkoe and Hardy, 2016; Zhang et al., 2019), which lead to the formation of neurofibrillary tangles (NFTs), neuronal dysfunction, and, finally, dementia (Kozlov et al., 2017; Wijesinghe et al., 2017; Lin et al., 2018). AD has been associated with altered activity of glycogen synthase kinase 3 isozymes, which are shown to contribute to both neurofibrillary tangle and amyloid plaque formation (Hernández et al., 2010). Hyperphosphorylated tau self-assembles to form paired helical filaments and NFTs. A $\beta$  plaques and NFTs disrupt synaptic signaling between neurons and affect normal neuronal function, ultimately leading to cognitive decline (Spires-Jones and Hyman, 2014; Su et al., 2017).

Currently, only symptomatic therapies for AD are available in the clinic. With the progression of AD, the efficacy of symptomatic therapies tends to decrease, and AD progression cannot be fundamentally prevented. Immunotherapies have become one of the most promising methods to reverse or slow AD progression. This treatment strategy uses synthetic peptides or monoclonal antibodies to reduce A $\beta$  load in the brain, thereby slowing disease progression (Ding et al., 2016; Martinez and Peplow, 2019). Active immunotherapy works together with the human immune system to neutralize the toxicity induced by A $\beta$  oligomers (Barrera-Ocampo and Lopera, 2016). Active anti-A $\beta$  immunotherapies effectively reduce A $\beta$  load and, thus, exhibit encouraging application prospects.

Since the first report of active immunization targeting A $\beta$ , which terminated the pathological progression of AD in transgenic mice (Schenk et al., 1999), many promising animal experiment results have been tested in pre-clinical trials. However, the first trial involving multiple injections of AN1792 vaccine (aggregated A $\beta_{42}$ ) with QS-21 as an adjuvant in AD patients was terminated because of the occurrence of meningoencephalitis in 6% of the study population (Orgogozo et al., 2003). This complication likely resulted from a specific Th1 cell-mediated immune response to QS-21, a potent activator of Th1 cells, and the use of an A $\beta_{42}$  peptide carrying full-length epitopes activated by T cells. As such, the development of immunotherapies is limited by the risk for developing autoimmune diseases, remarkable side effects, and uncertain therapeutic efficacy.

Although the clinical trial for AN1792 vaccine failed, long-term follow-up of subjects has demonstrated that active immunization with AN1792 vaccine reduced A $\beta$  load (Nicoll et al., 2003) and attenuated neurological deficits (Bayer et al., 2005; Holmes et al., 2008; Vellas et al., 2009). These findings support the potential benefits of immunotherapies, providing A $\beta$ -specific T cell responses are avoided. Second-generation vaccines target the B cell epitope of A $\beta$ . However, the antibodies produced by these vaccines bind to A $\beta$  monomers, oligomers, fibrils, and

even amyloid precursor protein (APP) (Petrushina et al., 2007). Moreover, active immunization with these vaccines was shown to lead to cerebral edema and microbleeds in the brains of AD patients (Lambracht-Washington and Rosenberg, 2013). Therefore, second-generation vaccines do not exhibit obvious therapeutic efficacy. Although active immunotherapy has some proven benefits for AD patients (Lambracht-Washington and Rosenberg, 2013), the main challenges are safety and adverse reactions. Despite a number of shortcomings, immunization with a vaccine remains the best way to mitigate cognitive decline after AD.

The B cell epitope is located in the A $\beta_{1-15}$  region, however, the A $\beta_{3-10}$  fragment preserves the immunogenicity of the fragment to elicit a sufficient immune response (Gilman et al., 2005) while avoiding Th1 immune inflammation. Therefore, the A $\beta_{3-10}$  fragment was used as the major component of the vaccine peptide. Sha et al. (2012) reported that A $\beta_{3-10}$  can induce high levels of anti-A $\beta$  antibody while maintaining a low level of Th1 immune response.

In this study, we combined A $\beta_{3-10}$  peptide with key-hole limpet hemocyanin (KLH) to induce high levels of T cell-mediated Th2 immune response and anti-A $\beta$  antibody. KLH is a well-known carrier protein with high immunogenicity (Swerdlow et al., 1996). Frenkel et al. (2001) immunized AD mouse models with A $\beta_{37-42}$  coupled to KLH and obtained high levels of anti-A $\beta$  antibody. In this study, we used potent Freund's adjuvant as an A $\beta_{3-10}$ -KLH adjuvant to induce a strong Th2 immune response in animals (Walsh et al., 1999). There is evidence that A $\beta_{3-10}$ -KLH can induce a strong Th2-polarized anti-A $\beta$  antibody response and inhibit A $\beta$  deposition in APP/presenilin-1 (PS1) mice (Ding et al., 2016).

APP/PS1/tau transgenic mouse models (3 $\times$ Tg-AD) harboring mutant forms of APP, PS1M146V, and tau P301L can mimic AD pathology in humans. Indeed, levels of various indicators in 3 $\times$ Tg-AD mice are closer to those of humans compared with double-transgenic AD mouse models used in a previous study (Oddo et al., 2006a). In 3 $\times$ Tg-AD mice, deficits in cognitive function occur as early as 4 months, which parallels the time of formation of extracellular A $\beta$  deposits in the hippocampus (Clinton et al., 2007; Mastrangelo and Bowers, 2008). After 8–12 months, large amounts of both A $\beta$  and phosphorylated tau (p-tau) deposits are present in the cerebral cortex, leading to cognitive decline (Billings et al., 2005; Giménez-Llort et al., 2007, 2010; García-Mesa et al., 2011). 3 $\times$ Tg-AD mice are one of the few AD mouse models involving both A $\beta$  and p-tau pathology, which allows multiple treatments to regulate protein formation throughout the life cycle. Thus, 3 $\times$ Tg-AD mice represent an ideal model for an overall assessment of AD. The role of A $\beta$  in tau pathology has also been shown in 3 $\times$ Tg-AD mice, and damage to cerebral neurons gradually leads to cognitive dysfunction (Van der Jeugd et al., 2018). However, the molecular mechanism of A $\beta$  in hyperphosphorylation of tau protein remains poorly understood. In this study, we actively immunized 3 $\times$ Tg-AD mice with A $\beta_{3-10}$ -KLH vaccine at 1 month of age, a time at which there were no A $\beta$  plaques (Winton et al.,

2011), to determine the efficacy of active immunization with A $\beta_{3-10}$ -KLH vaccine and investigate whether early active immunization with A $\beta_{3-10}$ -KLH vaccine can slow the progression of AD.

The objectives of this study were to investigate the pathological mechanism of AD by quantitative comparison of anti-A $\beta$  antibody *in vivo* in 3 $\times$ Tg-AD mice, and assess whether the increase in anti-A $\beta$  oligomer antibody concentration by early active immunization with A $\beta_{3-10}$ -KLH vaccine affects the learning and memory abilities of 3 $\times$ Tg-AD mice.

## Materials and Methods

### A $\beta_{3-10}$ -KLH vaccine synthesis

A cysteine (Cys) was added to the C-terminus of the A $\beta_{3-10}$  amino acid sequence H-Glu-Phe-Arg-His-Asp-Ser-Gly-Tyr-COOH to couple KLH. From the C-terminus to the N-terminus, amino acids were sequentially linked to form a polypeptide chain (EFRHDSGYC) using a solid-phase peptide synthesis technique. The synthesized polypeptide was purified to > 90% purity by high performance liquid chromatography. Its molecular weight was determined to be 1113.17 by mass spectrometry. KLH was coupled to Cys to form A $\beta_{3-10}$ -KLH vaccine. The above procedure was performed by GenScript Co., Ltd. (Nanjing, Jiangsu Province, China).

### Animals and immunization methods

Twenty-four male or female 3 $\times$ Tg-AD mice (Oddo et al., 2003) were purchased from Jackson Laboratory (Bar Harbor, ME, USA). Twelve strain-matched C57BL/6/129S nTg mice were purchased from Beijing Vital River Laboratory Animal Technology Co., Ltd. [Beijing, China; License No. SYXK (Jing) 2017-0033] and used as controls. All mice were bred and maintained at the Laboratory Animal Center of China Medical University (China).

Experiments were performed in accordance with National Institutes of Health (NIH; Bethesda, MD, USA) guidelines on use of laboratory animals and approved by the Animal Ethics Committee of China Medical University (approval No. 103-316) on April 2, 2016. Mice used in this study were housed individually with 12-hour light/dark cycles and provided *ad libitum* access to food and water.

Twenty-four 1-month-old 3 $\times$ Tg-AD mice were randomly divided into an A $\beta_{3-10}$ -KLH group and phosphate-buffered saline (PBS) group ( $n = 12$ /group). A total of 1 mg A $\beta_{3-10}$ -KLH peptide was dissolved in PBS until the inoculation concentration reached 2  $\mu$ g/ $\mu$ L. Dissolved peptides were emulsified with Freund's Complete Adjuvant (Sigma, St. Louis, MO, USA) at 1:1 (v/v) for the first immunization and with Freund's Incomplete Adjuvant (Sigma) at 1:1 (v/v) for the following immunizations. In the A $\beta_{3-10}$ -KLH group, mice were actively immunized by subcutaneous injection of 100  $\mu$ L of the above prepared mixtures in the neck at 1, 1.5, 2.5, 3.5, 4.5, 5.5, and 6.5 months of age (Ding et al., 2016). In the PBS group, 100  $\mu$ L of PBS was identically injected at each time point. Twelve 1-month-old C57BL/6/129S wild-type (WT) mice used as controls (WT group) were raised identically to A $\beta_{3-10}$ -KLH and PBS groups, but without other

treatments.

Six mice from each group were used for serum detection of anti-A $\beta$  antibody and evaluation of learning and memory abilities. The remaining six mice in each group were used for immunohistochemistry and western blot assay.

### Detection of serum levels of anti-A $\beta$ antibody

Prior to the first immunization and 10 days after each immunization with A $\beta_{3-10}$ -KLH vaccine, blood samples were collected from the internal iliac vein for detection of anti-A $\beta$  antibody in the serum. The anti-A $\beta$  antibody was separated from endogenous A $\beta$  in serum using a low-pH dissociation method. The serum was diluted at 1:100 with dissociation solution (PBS + 1.5% bovine serum albumin + 0.2 M glycine-HCl, pH 3.5) and incubated at room temperature for 20 minutes. Next, the diluted serum was centrifuged at 14,000  $\times$  g for 20 minutes at room temperature in a centrifuge tube (Millipore, Billerica, MA, USA; 50,000 Dalton molecular weight cut-off). The sample reservoir was inverted in another tube. Serum samples were centrifuged at 1000  $\times$  g for 2 minutes. Next, the isolated endogenous anti-A $\beta$  antibody solution was collected and its pH value was adjusted with 1 M Tris buffer solution (pH 9.0) to 7.0. Serum level of anti-A $\beta$  antibody was detected by enzyme-linked immunosorbent assay (ELISA). An A $\beta_{3-10}$ -coated 96-well ELISA plate (Corning Inc., Corning, NY, USA) was incubated with pre-dissociated serum, post-dissociated serum, and serial dilutions of a standard BAM-10 antibody (Signet, Dedham, MA, USA). After the addition of secondary antibody goat anti-mouse IgG conjugated with horseradish peroxidase (1:5000), serum samples were incubated at room temperature for 1 hour and developed with 1-step TMB. Optical densities at 450 nm were measured using a microplate reader (BioTek Instruments, Winooski, VT, USA). Serum level of anti-A $\beta$  antibody was quantified using a calibration curve generated by serial dilution of BAM-10.

### Morris water maze test

Morris water maze testing was performed in mice at 7 months of age to evaluate their spatial learning and memory abilities. The Morris water maze (Huaibei Zhenghua Biologic Apparatus Facilities Co., Ltd., Huaibei, Anhui Province, China) used in this study consisted of a circular stainless steel pool (diameter of about 100 cm) filled with water to a depth of 30 cm. The water was made opaque with dry milk. The pool was divided into the same four quadrants namely northwest, northeast, southwest, and southeast, which were labeled north, south, east, and west, respectively. The camera above the pool was connected to a computer via a Noldus video tracking system (Ethovision; Noldus Information Technology, Beijing, China) to record the swimming trajectory of mice during the experiment.

### Place navigation test

The hidden platform was submerged 2 cm below the water surface for 5 days of place navigation testing. Mice were placed facing the wall of the pool in each quadrant. The time

required for the mouse to find the hidden platform, i.e. latency, was recorded. If a mouse did not locate the platform within 60 seconds, it would be manually guided to the platform, and the latency would be recorded as 60 seconds. According to the latency and swimming trajectory to find the platform, the mouse's ability and strategy to search for the hidden platform were analyzed. The latency period to find the underwater platform and swimming distance of each rat were recorded. A shorter delay time and trajectory length indicated stronger learning ability. The swimming trajectory of rats was recorded with a camera and analyzed using behavioral record software, EthoVision XT 8 (Noldus Information Technology).

### **Spatial exploration test**

On day 6 of the experiment, the hidden platform was removed. Mice were placed facing the wall of the pool in the quadrant not adjacent to the one where the platform had been located during the test described above. The time required for a mouse to first arrive at the original platform location, number of crossings of the original platform location, time spent in the quadrant where the platform was located, and swimming trajectory were recorded to assess the spatial memory ability of each mouse.

### **Tissue specimens**

After water maze tests, mice were deeply anesthetized by intraperitoneal injection of 10% chloral hydrate (Sigma). Thoracic surgery was performed and the left ventricle was rapidly perfused with normal saline. Next, brains were harvested and halved using a median-sagittal cut. Left hemispheres were embedded and fixed for immunohistochemistry, while right hemispheres were preserved in a liquid nitrogen tank for subsequent western blot assays.

### **Immunohistochemistry**

Mouse brains were paraffin-embedded and sliced. Six hippocampal sections from each 3 $\times$ Tg-AD mouse were selected for immunohistochemical staining of total tau with HT7 antibody, and p-tau with AT8 (phosphorylation sites Ser202 and Thr205) and AT180 (phosphorylation site Thr231) antibodies.

Dried sections were dewaxed and dehydrated using a series of alcohol gradients, treated with 3% hydrogen peroxide for 15 minutes at room temperature to inactivate endogenous peroxidase, and washed three times with 0.01 M PBS for 5 minutes each. Subsequently, sections were treated with Lab's antigen retrieval reagent for 20 minutes and washed three times with 0.01 M PBS for 5 minutes each. Sections were then incubated with goat serum blocking solution at room temperature for 30 minutes, followed by mouse anti-HT7 (1:1000; Invitrogen, Carlsbad, CA, USA), mouse anti-phospho-tau phospho-dependent AT8 (1:1000; Ser202/Thr205; Invitrogen), and AT180 (1:1000; Thr231; Invitrogen) monoclonal antibodies (Invitrogen) at 4°C overnight. The next day, sections were washed three times with PBS for 5 minutes each, incubated with secondary antibody bio-

tin-labeled goat anti-mouse IgG (1:200; Abcam, Cambridge, MA, USA) at room temperature for 1 hour, and washed three times with PBS for 5 minutes each. Thereafter, sections were incubated with streptomycin-avidin-biotin-peroxidase complex (SABC; Wuhan Boster Biological Technology, Ltd., Wuhan, Hunan Province, China) at room temperature for 30 minutes, washed three times with PBS for 5 minutes each, developed with 3,3'-diaminobenzidine (Wuhan Boster Biological Technology), and counter-stained with hematoxylin. Under an optical microscope, images were taken at 10 $\times$  and 20 $\times$  magnifications. Image-Pro Plus 6.0 image analysis software (Media Cybernetics, Inc., Silver Spring, MD, USA) was used for semi-quantitative analysis of images.

### **Western blot analysis**

Mouse hippocampal tissue was homogenized and centrifuged. Supernatant was collected for protein separation using sodium dodecyl sulfate-polyacrylamide gel electrophoresis (Beyotime Institute of Biotechnology, Beijing, China). Separated protein was then transferred onto a polyvinylidene fluoride (PVDF) membrane, and 10% skim milk was added to cover the membrane for 2 hours while shaking to prevent nonspecific binding of antibodies. After washing with PBS containing 0.1% Tween-20, proteins on the PVDF membrane were separately labeled using primary rabbit anti-mouse AT8 (Ser202/Thr205; 1:500; Invitrogen) and rabbit anti-mouse AT180 (Thr231; 1:1000; Invitrogen) at 4°C overnight. After washing with PBS containing 0.1% Tween-20, proteins were labeled with goat anti-mouse horseradish peroxidase-conjugated antibody (1:5000; Invitrogen) at room temperature for 2 hours. Western blot signals were detected by enhanced chemiluminescence (Millipore). Relative optical density of protein blots was quantified using ImageJ software (NIH). GAPDH was used as a loading control.

### **Statistical analysis**

All data are expressed as the mean  $\pm$  SD, and were statistically analyzed with SPSS 16.0 software (SPSS, Chicago, IL, USA). One-way analysis of variance and least significant difference tests were used for comparisons between groups. A level of  $P < 0.05$  was considered statistically significant.

## **Results**

### **A $\beta_{3-10}$ -KLH vaccine immunizes 3 $\times$ Tg-AD mice to produce high levels of anti-A $\beta$ antibody**

Serum anti-A $\beta$  antibody was not detected by ELISA until the second immunization. Levels were significantly increased after the fourth immunization and reached 46.808  $\pm$  10.121  $\mu$ g/mL by the last immunization ( $P < 0.01$ , vs. PBS group; **Figure 1**).

### **Active immunization with A $\beta_{3-10}$ -KLH vaccine improves behavioral performance of 3 $\times$ Tg-AD mice**

After seven immunizations, the cognitive function of 3 $\times$ Tg-AD mice (7 months of age) was evaluated using the Morris water maze test. As shown in **Figure 2**, in the place navigation test, latency to find the hidden platform in the A $\beta_{3-10}$ -

KLH group was significantly reduced compared with the PBS group ( $P < 0.01$ ). In the spatial exploration test, the time required for mice to arrive at the original platform location was significantly reduced, the time spent in the quadrant where the platform was located was significantly increased, and the number of crossings of the original platform location was significantly increased in the A $\beta_{3-10}$ -KLH group compared with the PBS group ( $P < 0.05$  or  $P < 0.01$ ). Thus, Morris water maze test results suggest that active immunization with A $\beta_{3-10}$ -KLH vaccine can greatly improve the cognitive function of 3 $\times$ Tg-AD mice.

#### **Active immunization with A $\beta_{3-10}$ -KLH vaccine reduces AT8- and AT180-immunoreactive p-tau, and HT7-immunoreactive total tau in 3 $\times$ Tg-AD mice**

Immunohistochemical staining results revealed weak positivity for AT8- and AT180-immunoreactive p-tau and HT7-immunoreactive total tau in the A $\beta_{3-10}$ -KLH group, but strong positivity in the PBS group. However, no AT8- or AT180-immunoreactive p-tau or HT7-immunoreactive total tau were detected in the WT group. Semi-quantitative analysis of immunohistochemical results indicated that AT8, AT180, and HT7 immunoreactivities in the A $\beta_{3-10}$ -KLH group were significantly reduced compared with the PBS group ( $P < 0.05$ ; **Figure 3**).

#### **Active immunization with A $\beta_{3-10}$ -KLH vaccine reduces protein expression of p-tau isoforms (AT8 and AT180) in 3 $\times$ Tg-AD mice**

Western blot assay results indicated that the expression of AT8- and AT180-immunoreactive p-tau in the hippocampus of 7-month-old 3 $\times$ Tg-AD mice was significantly lower in the A $\beta_{3-10}$ -KLH group compared with the PBS group ( $P < 0.05$ ; **Figure 4**).

## **Discussion**

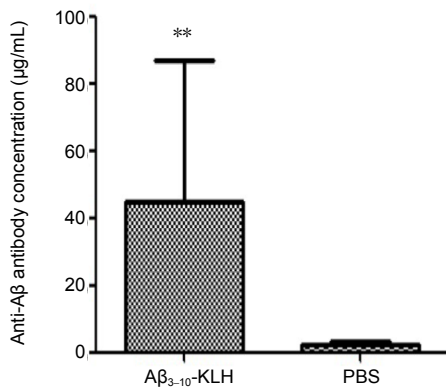
In this study, we used A $\beta_{3-10}$ -KLH vaccine to immunize 3 $\times$ Tg-AD mice at 1 month of age, a time at which A $\beta$  protein deposits do not form plaques. The A $\beta_{3-10}$  fragment, which is a major component of the A $\beta_{3-10}$ -KLH vaccine used in this study, does not contain a T cell epitope that can cause an inflammatory response; instead, it contains a B cell epitope that retains an immune response. Morris water maze test results indicated that seven active immunizations with A $\beta_{3-10}$ -KLH vaccine induced transgenic mice to produce high levels of anti-A $\beta$  antibody, which prevented and eliminated the production of A $\beta$  protein without triggering the amyloid cascade, reduced production of tau protein (mainly p-tau) and, thereby, mitigated cognitive decline of 3 $\times$ Tg-AD mice.

Our previous studies showed that A $\beta_{3-10}$  peptide binding to KLH adjuvant causes few inflammatory responses (Sha et al., 2012; Sengupta et al., 2016). In addition, this combination exhibits stronger ability to remove A $\beta$  protein deposition and inhibit neuroinflammatory reactions, and better alleviates cognitive decline. Moreover, this immune effect persisted 4 months after immunizations. Results from this study suggest that early active immunization with A $\beta_{3-10}$ -

KLH vaccine can elicit production of high levels of anti-A $\beta$  antibody in 3 $\times$ Tg-AD mice, thus enhancing their learning and memory abilities. In addition to avoiding inflammation, A $\beta_{3-10}$ -KLH vaccine reduced the production of p-tau, which is a key factor for improving cognitive function. The major kinases involved in p-tau pathology phosphorylate multiple sites, including AT8 and AT180; therefore, AT8 and AT180 reflect p-tau levels.

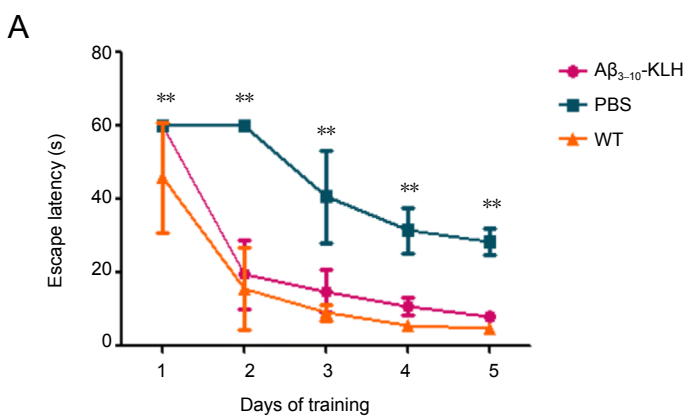
This study is the first to report active immunization with A $\beta_{3-10}$ -KLH vaccine in 3 $\times$ Tg-AD mice (Rasool et al., 2013). Immunohistochemistry of total tau and p-tau was performed in the hippocampus, which is a major brain region responsible for learning and memory abilities. In this study, we evaluated whether an increase in anti-A $\beta$  antibody levels induced by active immunization with A $\beta_{3-10}$ -KLH vaccine could reduce total tau and p-tau in the hippocampus of 3 $\times$ Tg-AD mice. In the early phase, but not late phase, p-tau protein was removed from the brain by injection of an anti-A $\beta$  antibody. Importantly, these cleared A $\beta$  deposits led to the subsequent clearance of early tau pathology, which was greatly dependent on its phosphorylation status. In the late phase, hyperphosphorylated tau protein aggregates appeared to be unaffected by anti-A $\beta$  antibody therapy. Early brain injury in AD is likely reversible, and early treatment of brain injury may reverse the pathological changes of AD (Winton et al., 2011). Therefore, in this study, active immunization with A $\beta_{3-10}$ -KLH vaccine was performed before the pathological deposition of A $\beta$  in animal models. This induced high levels of anti-A $\beta$  antibody, prevented the production of A $\beta$ , effectively reduced the production of p-tau, and blocked A $\beta$  cascade reaction. Notably, injection of the anti-amyloid oligomer antibody A11 resulted in pathological decreases in p-tau and A $\beta$  in 3 $\times$ Tg-AD mice, which supports the association of A $\beta$  oligomers with A $\beta$  and tau pathology (Oddo et al., 2006b; Seino et al., 2010). Previous studies reported that passive immunization reduced the level of A $\beta$  oligomers and directly induced GSK-3 $\beta$  activation and tau protein phosphorylation (Ma et al., 2006; Oddo et al., 2006a). Notably, although A $\beta$  has been shown to play a central role in the pathogenesis of AD, including memory impairment, synaptic loss, and neuronal cell death (Cleary et al., 2005), the mechanism underlying tau protein phosphorylation in AD remains poorly understood.

A $\beta$  exerts neurotoxicity through the presence of tau protein, which synergizes with A $\beta$  to aggravate neuronal cell dysfunction (Denver and McClean, 2018). In the absence of hippocampal neurons expressing tau protein, A $\beta$  deposition does not cause degenerative changes in nerve cells. Results from another study suggest that the reduction of A $\beta$  alone cannot mitigate cognitive decline in AD mice. Indeed, the learning and memory abilities of AD mice were only greatly enhanced when A $\beta$  and tau protein were simultaneously reduced (Oddo et al., 2006a). In this study, we actively immunized 3 $\times$ Tg-AD mice with A $\beta_{3-10}$ -KLH vaccine to produce high levels of anti-A $\beta$  antibody, which significantly reduced p-tau protein and insoluble tau protein while preventing A $\beta$  oligomer production, thereby enhancing the cognitive function of AD mice.



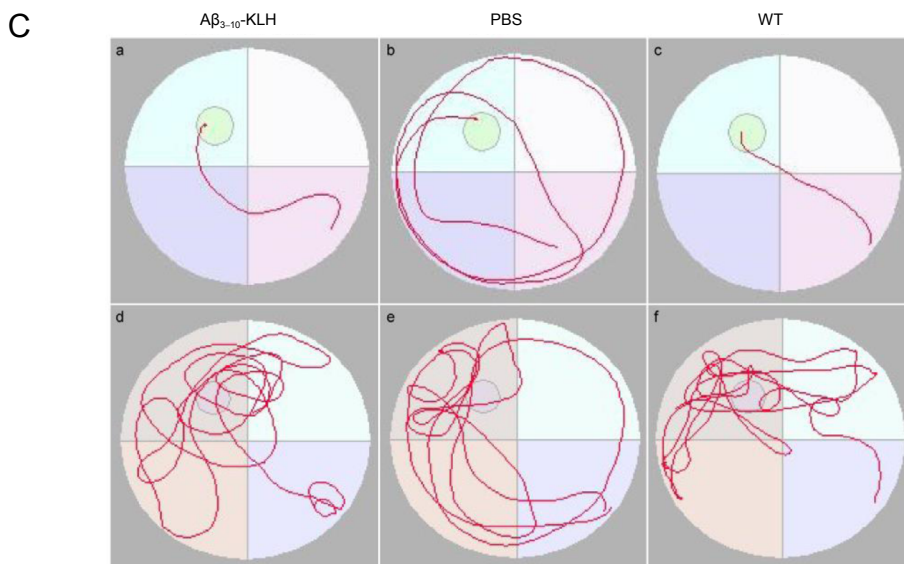
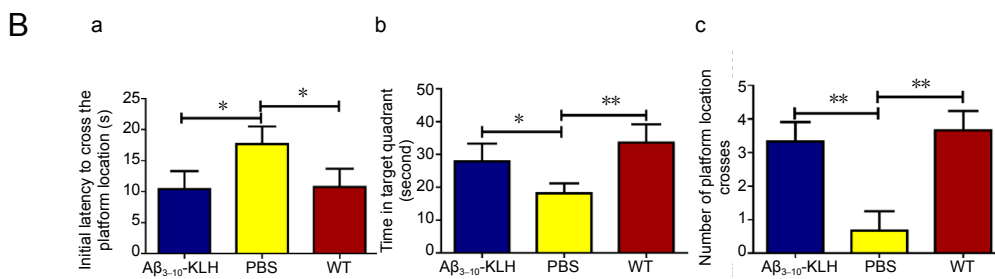
**Figure 1** Serum level of anti-Aβ antibody in 3×Tg-AD mice after the last immunization with Aβ<sub>3-10</sub>-KLH vaccine.

Active immunizations with Aβ<sub>3-10</sub>-KLH vaccine produced a high level of anti-Aβ antibody. Data are expressed as the mean ± SD (*n* = 12). \*\**P* < 0.01, vs. PBS group (one-way analysis of variance followed by least significant difference test). The experiment was performed in triplicate. 3×Tg-AD: Amyloid precursor protein/presenilin-1/tau transgenic mouse models; Aβ: amyloid-beta; KLH: keyhole limpet hemocyanin; PBS: phosphate-buffered saline.

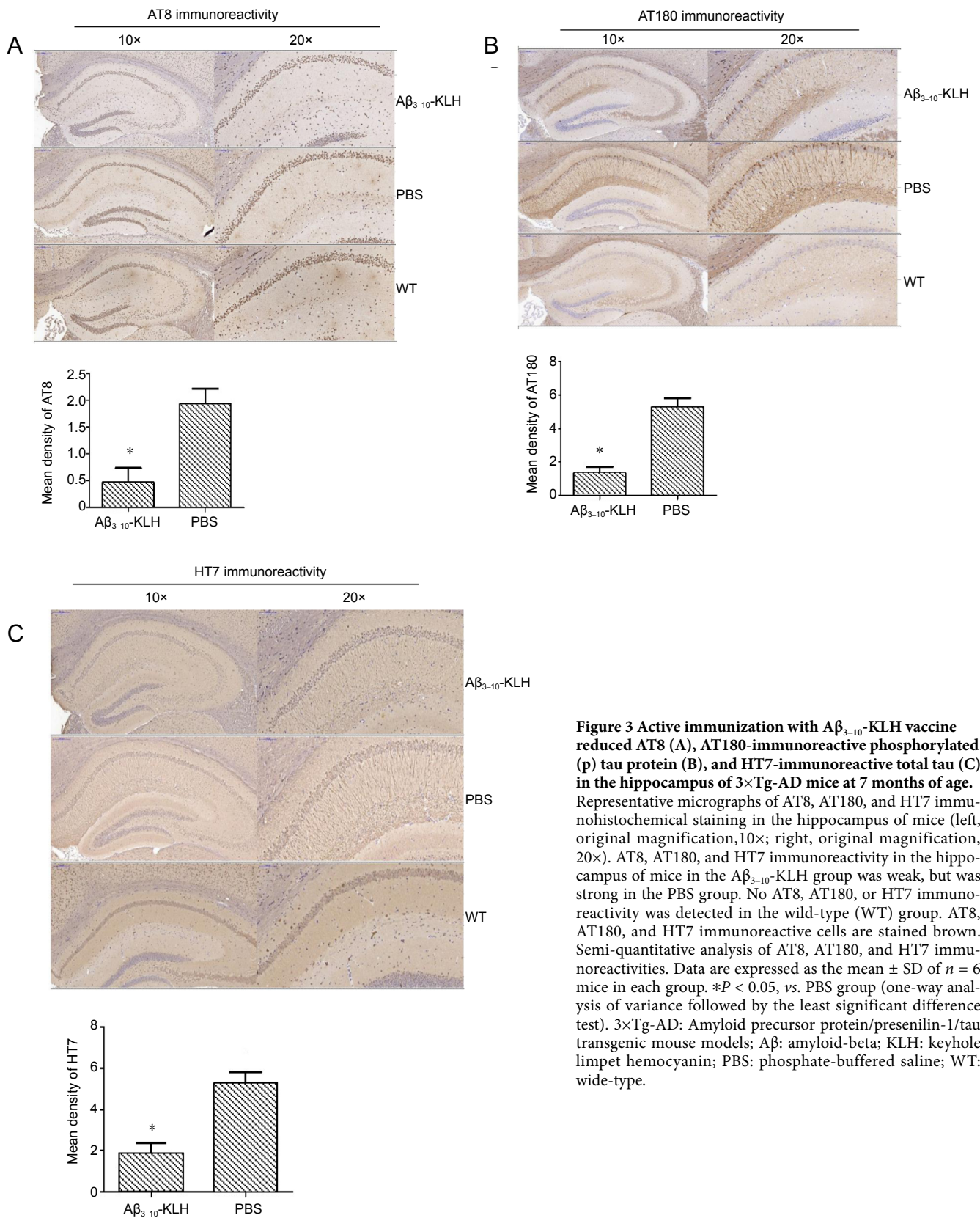


**Figure 2** Active immunization with Aβ<sub>3-10</sub>-KLH vaccine greatly improves the cognitive function of 3×Tg-AD mice as shown by water maze tests.

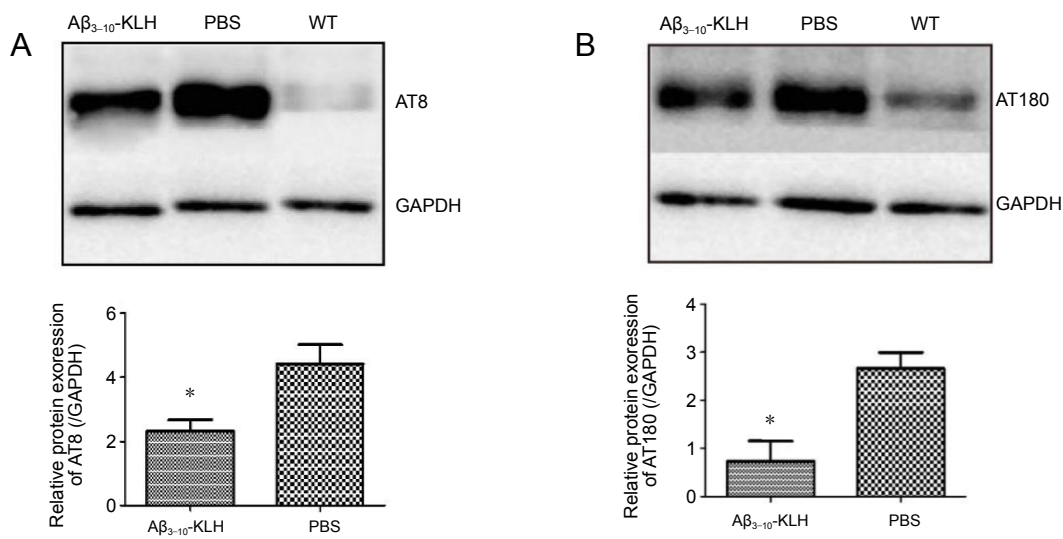
(A) In the place navigation test, the time required for a mouse to find the hidden platform in the Aβ<sub>3-10</sub>-KLH group was significantly shortened compared with the PBS group (\*\**P* < 0.01). (B) Spatial exploration test. (a) Time required for a mouse to first arrive at the original platform location; (b) time spent in the quadrant where the platform was located; (c) number of crossings of the original platform location. Compared with the PBS group, the time required for a mouse to first arrive at the original platform location was significantly shortened, time spent in the quadrant where the platform was located was prolonged, and number of crossings of the original platform location was increased (\**P* < 0.05, \*\**P* < 0.01). (C) Swimming trajectory of mice in each group in behavioral tests. (a–c) Place navigation test, (d–f) spatial exploration test. Data are expressed as the mean ± SD of *n* = 6 mice in each group, and analyzed by one-way analysis of variance followed by least significant difference test. The experiment was performed in triplicate. 3×Tg-AD: Amyloid precursor protein/presenilin-1/tau transgenic mouse models; Aβ: amyloid-beta; KLH: keyhole limpet hemocyanin; PBS: phosphate-buffered saline; WT: wild-type.







**Figure 3** Active immunization with  $A\beta_{3-10}$ -KLH vaccine reduced AT8 (A), AT180-immunoreactive phosphorylated (p) tau protein (B), and HT7-immunoreactive total tau (C) in the hippocampus of 3×Tg-AD mice at 7 months of age. Representative micrographs of AT8, AT180, and HT7 immunohistochemical staining in the hippocampus of mice (left, original magnification, 10×; right, original magnification, 20×). AT8, AT180, and HT7 immunoreactivity in the hippocampus of mice in the  $A\beta_{3-10}$ -KLH group was weak, but was strong in the PBS group. No AT8, AT180, or HT7 immunoreactivity was detected in the wild-type (WT) group. AT8, AT180, and HT7 immunoreactive cells are stained brown. Semi-quantitative analysis of AT8, AT180, and HT7 immunoreactivities. Data are expressed as the mean  $\pm$  SD of  $n = 6$  mice in each group. \* $P < 0.05$ , vs. PBS group (one-way analysis of variance followed by the least significant difference test). 3×Tg-AD: Amyloid precursor protein/presenilin-1/tau transgenic mouse models;  $A\beta$ : amyloid-beta; KLH: keyhole limpet hemocyanin; PBS: phosphate-buffered saline; WT: wide-type.



**Figure 4 Active immunizations with Aβ<sub>3-10</sub>-KLH vaccine reduced protein expression of p-tau (AT8 and AT180).**

(A, B) Immunoblotting results of AT8 (phosphorylation sites Ser202 and Thr205) and AT180 (phosphorylation site Thr231). Protein expression levels of AT8 and AT180 were significantly lower in the Aβ<sub>3-10</sub>-KLH group compared with the PBS group (\**P* < 0.05). Data are expressed as mean ± SD (*n* = 6), and analyzed by one-way analysis of variance followed by the least significant difference test. All experiments were conducted at least in triplicate. 3×Tg-AD: Amyloid precursor protein/presenilin-1/tau transgenic mouse models; Aβ: amyloid-beta; KLH: keyhole limpet hemocyanin; PBS: phosphate-buffered saline; WT: wild-type.

Results from this study showed that active immunization with Aβ<sub>3-10</sub>-KLH vaccine could not only prevent Aβ deposition, but also reduce tau protein phosphorylation without triggering the Aβ cascade reaction. Therefore, active immunization with Aβ<sub>3-10</sub>-KLH vaccine can simultaneously act on Aβ and tau protein, thus producing encouraging therapeutic effects.

Recently, an Aβ monoclonal antibody manufactured by Biogen was shown to reduce brain Aβ levels and mitigate cognitive decline in AD patients (Vaillancourt, 2016). Identification of specific antibodies for Aβ oligomers is important for the development of AD therapy. Our previous studies confirmed that active immunization with Aβ<sub>3-10</sub>-KLH vaccine prevents Aβ deposition via Aβ oligomers, inhibits neuroinflammatory reaction, and obviously alleviates cognitive deficits; moreover, the elicited immune effects persisted 4 months later (Ding et al., 2016). Compared with the PBS group, seven active immunizations with Aβ<sub>3-10</sub>-KLH vaccine resulted in significant decreases in AT8- and AT180-immunoreactive p-tau, and HT7-immunoreactive total tau. Notably, the decrease in total tau is greatly attributable to the decrease in p-tau. Our previous study also revealed that in 3×Tg-AD mice, HT7 staining was decreased in part because Aβ reduction lowers non-phosphorylated HT7-immunoreactive tau protein with normal function. As hyperphosphorylation of p-tau is a key step in the formation of NFTs, Aβ cell-mediated tau protein clearance is considered to be dependent on the phosphorylation state of p-tau.

In this study, we also determined the optimal timing for active immunization with vaccines. We actively immunized 3×Tg-AD mice at 1 month of age by subcutaneous injection of Aβ<sub>3-10</sub>-KLH vaccine. Our results showed that serum level of anti-Aβ antibody was increased, p-tau expression was reduced, the degree of phosphorylation of tau protein was decreased, and cognitive decline of 3×Tg-AD mice was

mitigated. These results suggest that early active immunization with Aβ<sub>3-10</sub>-KLH vaccine prevented and eliminated Aβ protein without triggering the Aβ cascade reaction, reduced tau protein phosphorylation and, thereby, is an encouraging method for effective treatment of AD.

Taken together, early active immunization with Aβ<sub>3-10</sub>-KLH vaccine not only avoids the inflammatory reaction caused by the traditional Aβ vaccine, but also produces high levels of anti-Aβ antibody to block the Aβ deposition-caused pathological amyloid cascade, and effectively reduces tau protein phosphorylation and the formation of neurofibrillary tangles, thereby mitigating cognitive decline. There are certain limitations to this study. As such, it is necessary to perform in-depth studies involving multiple intervention opportunities and targets, and comprehensively analyze the results of various studies to explore a more reasonable and effective active immunization program.

**Author contributions:** Study design, advice and supervision: YPC; study performance, data analysis, and manuscript writing: JCW, KZ, HYZ, GQW. All authors approved the final version of the paper.

**Conflicts of interest:** The authors declare that they have no competing interests.

**Financial support:** This work was supported by the National Natural Science Foundation of China, No. 81371227 (to YPC). The funding body played no role in the study design, in the collection, analysis and interpretation of data, in the writing of the paper, or in the decision to submit the paper for publication.

**Institutional review board statement:** This study was approved by the Animal Ethics Committee of China Medical University, China (approval No. 103-316) on April 2, 2016.

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**Data sharing statement:** Datasets analyzed during the current study are available from the corresponding author on reasonable request.

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**Additional file:** Open peer review reports 1 and 2.

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P-Reviewers: Bernstein HG, Buisson A, Agliardi C; C-Editor: Zhao M; S-Editors: Yu J, Li CH; L-Editors: Van Deusen A, Yu J, Song LP; T-Editor: Jia Y