No Association between the Response to the Addition of an Atypical Antipsychotic Drug to an SSRI or SNRI and the BDNF (Val66Met) Polymorphism in Refractory Major Depressive Disorder in Japanese Patients

Reiji Yoshimura¹, Taro Kishi^{2,3}, Hikaru Hori¹, Atsuko Ikenouchi-Sugita¹, Wakako Umene-Nakano¹, Asuka Katsuki¹, Kenji Hayashi¹, Nakao Iwata³, Jun Nakamura¹

¹Department of Psychiatry, University of Occupational and Environmental Health, Kitakyushu, Japan, ²Department of Psychiatry Research, The Zucker Hillside Hospital, New York, USA, ³Department of Psychiatry, Fujita Health University, School of Medicine, Toyoake, Japan

Objective: This study examined the association between the brain-derived neurotrophic factor (BDNF) (Val66Met) polymorphism and the response to the addition of an atypical antipsychotic drug to a selective serotonin reuptake inhibitor (SSRI) or serotonin-norepinephrine reuptake inhibitor (SNRI) in treatment-refractory depression.

Methods: The study enrolled 64 patients meeting the Diagnostic and Statistical Manual of Mental Disorders–IV criteria for major depressive disorder who were treated with at least two courses of a single antidepressant, but who had Hamilton Depression Rating Scale (HAMD–17) scores ≥15 points that were reduced less than 50% over at least a 4–week treatment period. There were 24 males and 40 females (age range 27–68 years; mean±SD, 48±13 years). The patients' clinical improvement was eval–uated using the HAMD–17. Patients with at least a 50% decrease in the HAMD–17 score were defined as responders. Serum BDNF levels were assayed using enzyme–linked immunosorbent assays and the presence of the BDNF (Val66Met) polymorphism was determined using the TaqMan genotyping assay.

Results: No correlation was found between the BDNF (Val66Met) polymorphism and a positive response to adding an atypical antipsychotic drug. No differences were observed in the changes in the serum BDNF levels and HAMD-17 scores between Val66Val and Met-carriers. In addition, in patients who experienced remission, the atypical antipsychotic drug was discontinued after at least 3 months of treatment and the patients were then followed for 1 year; 14 of 27 patients (52%) relapsed within 1 year. **Conclusion:** These results suggest that the BDNF (Val66Met) polymorphism is not associated with the response to the augmenta-tion of a SSRI or SNRI with an atypical antipsychotic drug, and that the combination of an atypical antipsychotic drug and a

SSRI or SNRI should be continued for 3 months or more in refractory depressed patients in the Japanese population.

KEY WORDS: Brain-derived neurotrophic factor; Treatment-resistant depressive disorder; Antipsychotic drug; Polymorphism; Selective Serotonin Reuptake Inhibitor; Serotonin Norepinephrine Reuptake Inhibitor.

INTRODUCTION

Although numerous treatments are available, only about one-third of depressed patients receiving initial antidepressant treatment achieve remission.¹⁾ Further strategies in the treatment of resistant depression include switching to another drug of the same class or to another

Address for correspondence: Reiji Yoshimura, MD Department of Psychiatry, University of Occupational and Environmental Health, 1–1 Iseigaoka, Yahatanishi–ku, Kitakyushu 8078555, Japan Tel: +81–9–3691–7253, Fax: +81–9–3692–4894 E-mail: yoshi621@med.uoeh–u.ac.jp class of antidepressant.²⁾ Lithium augmentation is the best-studied augmentation strategy.³⁾ Several recent meta-analyses demonstrated that atypical antipsychotic augmentation in major depressive disorder is effective and well tolerated.⁴⁾ In a preliminary study, we first demonstrated that the addition of atypical antipsychotic drugs to antidepressants or mood stabilizers increased plasma brain-derived neurotrophic factor (BDNF) levels.⁵⁾ The *BDNF* gene is an important candidate for elucidating the mechanism of action of antidepressants or antipsychotics because BDNF plays a significant role in the functioning of the serotonin system. The human *BDNF* gene maps to chromosome 11p13 and contains a functional 196G/A single nucleotide polymorphism (rs6265) known to cause an

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amino acid substitution from valine to methionine in exon I (Val66Met). The *BDNF* gene encodes a precursor peptide that is cleaved proteolytically to form the mature protein BDNF.⁶⁾ This study investigated the association between the BDNF (Val66Met) polymorphism and a positive response to the addition of an atypical antipsychotic drug to selective serotonin reuptake inhibitor (SSRI) or serotonin-norepinephrine reuptake inhibitor (SNRI) therapy in refractory depression. We also examined the natural course of the patients who achieved remission with the treatment after discontinuing the atypical antipsychoric drug.

METHODS

The study enrolled 64 patients meeting the Diagnostic and Statistical Manual of Mental Disorders-IV-TR criteria for major depressive disorder. Of these, 23 had psychotic features (psychotic depression) and the remaining 31 did not (non-psychotic depression). There were 24 males and 40 females, ranging in age from 27 to 68 (mean±standard deviation [SD], 48±13) years. All patients were healthy physically and free of current alcohol or drug abuse, comorbid anxiety, or personality disorders. Drug resistance was defined as a failure to respond to at least two courses of a single antidepressant medication administered at an adequate dose and duration until the patients were tolerant of the adverse effects. The patients who achieved remission were followed for 1 year after the atypical antipsychotic drug was discontinued after at least 3 months of treatment without changing the dose of the ongoing SSRI of SNRI. The study protocol was approved by the Ethics Committee of the University of Occupational and Environmental Health (Kitakyushu, Japan). All patients consented to participate after having been informed of the study's purpose. All patients were treated with an SSRI (paroxetine [n=20], sertraline [n=22], or fluvoxamine [n=8]) or SNRI (milnacipran [n=6] or duloxetine [n=8]) for at least 4 weeks, but their scores on the Hamilton Rating Scale for Depression (HAMD-17) 7 were ≥ 15 points and were reduced by less than 50% over the 4-week treatment period. The daily doses (mean±SD) of paroxetine, sertraline, fluvoxamine, milnacipran, and duloxetine were 31.1±6.2, 80.36±11.2.4, 119.1±22.9, 110±17, and 43.7±6.3 mg/day, respectively. Atypical antipsychotic drugs were added to the ongoing SSRI or SNRI. The atypical antipsychotic drugs added were risperidone (n=17), aripiprazole (n=20), olanzapine (n=14), quetiapine (n=10), and perospirone (n=3), at daily doses of 1.0 ± 0.4 , 8.8±3.1, 4.6±1.3, 136.3±39.6, and 5.3±1.8 mg/day,

respectively. Benzodiazepines were the only hypnotics permitted, and their dosages were kept constant throughout the study period. The dosages of antidepressants and atypical antipsychotics varied among patients and were not fixed for ethical reasons. However, the doses of the antidepressants were not altered during the co-medication period. The clinical improvement of the patients was evaluated using the HAMD-17 before and every week after administering the atypical antipsychotic drug. Patients whose HAMD-17 scores decreased by $\geq 50\%$ within 4 weeks after adding the atypical antipsychotic drug were defined as responders; those whose HAMD-17 scores decreased to 7 or less were defined as remitters; and the others were defined as non-responders.

Genomic DNA was extracted from peripheral leucocytes using a QIAamp DNA Blood Kit (QIAGEN, Tokyo, Japan) and was stored at -20° C until analyzed. The presence of the BDNF (Val66Met) polymorphism was determined using the TaqMan genotyping assay (Applied Biosystems, Foster City, CA, USA). The serum samples were separated in a centrifuge and stored at -80° C until assayed. The serum BDNF levels were measured using a BDNF Emax Immunoassay Kit (Promega, Madison, WI, USA), according to the manufacturer's instructions. In short, 96-well microplates were coated with anti-BDNF monoclonal antibody and incubated at 4°C for 18 hours. The plates were incubated in blocking buffer for 1 hour at room temperature. The samples diluted 100-fold with assay buffer and BDNF standards were kept at room temperature with horizontal shaking for 2 hours and then washed with the appropriate washing buffer. The plates were incubated with antihuman BDNF polyclonal antibody at room temperature for 2 hours and washed with the washing buffer. Then, they were incubated with anti-IgY antibody conjugated to horseradish peroxidase for 1 hour at room temperature, and incubated again in peroxidase substrate and tetramethylbenzidine solution to induce a color reaction. The reaction was stopped with 1 mol/L hydrochloric acid. The absorbance at 450 nm was measured with an Emax automated microplate reader. Measurements were performed in duplicate. The standard curve was linear from 5 to 5,000 pg/ml, and the detection limit was 10 pg/ml. Cross-reactivity to related neurotrophins (NT-3, NT-4, and NGF) was less than 3%. The intra- and inter-assay coefficients of variation were 5% and 7%, respectively. The recovery rate of exogenously added BDNF in the measured plasma samples exceeded 95%.

Statistical analysis

The χ^2 test was used to compare Val/Val and Met-carriers between responders and non-responders. The level of significance for all analyses was set at p < 0.05.

RESULTS

Of the 64 patients, 27 (42%) responded to the addition of an antipsychotic drug to a SSRI or SNRI in refractory major depressive disorder. The Val66Met allele frequencies were in Hardy-Weinberg equilibrium ($\chi^2 = 0.0693$, p=0.727). The genotypes of the 64 patients were BDNF Val66Val, Val66Met, and Met66Met in 32.8, 53.1, and 14.09% of the subjects, respectively. There was no difference in the BDNF genotype (Val66Met) between responders and non-responders ($\chi^2 = 0.01840$, p = 0.8319) (Table 1). No differences were also found in the changes in the plasma BDNF levels (p=0.138) (Fig. 1) and HAMD-17 scores (p=0.445) (Fig. 2) between patients with Val66Val and Met-carriers. Of the 27 responders, 19 (70%) achieved remission (HAMD-17 \leq 7) within 8 weeks after atypical antipsychotic augmentation of the SSRI or SNRI. The atypical antipsychotic drug was discontinued at least 3 months (mean±SD, 11.8±1.4 weeks) after remission was achieved, and we followed the patients for up to 12

Table	1.	BNDF	(Val66Met)	polymorphism	and	response
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	Val/Val	Met-carriers
Responders	10	17 (Val/Met; 13, Met/Met 4)
Non-responders	11	26 (Val/Met; 22, Met/Met 5)

BDNF, brain-derived neurotrophic factor.



Fig. 1. Serum brain-derived neurotrophic factor (BDNF) levels in each allele.

months. Thirteen of the 19 patients who achieved remission (68%) relapsed (Fig. 3).

DISCUSSION

Previously, we reported that treatment with paroxetine or milnacipran significantly increased serum BDNF levels at 8 weeks, but not at 4 weeks.⁸⁾ Furthermore, we demonstrated that risperidone did not alter the serum BDNF levels in depressed bipolar patients.⁹⁾ We also found that the plasma BDNF levels in patients who responded to augmentation of SSRI or SNRI therapy with an atypical antipsychotic drug were increased 4 weeks after their treatment, while the levels in non-responders were not. The effects of atypical antipsychotic drugs on blood BDNF levels in schizophrenia remain controversial. It has been reported that olanzapine increased,^{10,11)} or did not change, blood BDNF levels.¹²⁾ Previously, we reported that aripi-



Fig. 2. Hamilton Depression Rating Scale (HAMD)-17 scores in each allele.



Fig. 3. The number of patients who relapsed after the discontinuation of atypical antipsychotic drugs.

prazole slightly, but significantly, increased plasma BDNF levels in first-episode patients with untreated schizophrenia.¹³⁾ Considering these findings, we can conclude that although the effects of the atypical antipsychotic drug on the plasma BDNF levels seem to be weak, the combination of an atypical antipsychotic drug with a SSRI or SNRI might have a potent effect compared with each antidepressant alone. The mechanisms by which the combination of an antidepressant and an atypical antipsychotic drug achieves its potent effects on BDNF in depressed patients remain unknown. However, the blocking effects of 5-HT_{2A} in atypical antipsychotic drugs are thought to play a role.¹⁴

In a meta-analysis, Zou et al.¹⁵⁾ demonstrated an association between the BDNF (Val66Met) polymorphism and the treatment response to antidepressants including SSRIs, SNRIs, tricyclic antidepressants, and other classes of antidepressants in patients with major depressive disorder. They found that BDNF (Val66Met) heterozygous patients had a better response rate than Val66Val homozygote patients, especially in Asian populations. However, we found no correlation between the BDNF (Val66Met) polymorphism and the response to SSRIs (paroxetine and sertraline) and the changes in serum BDNF levels.¹⁶⁾ Therefore, it remains controversial whether the BDNF (Val66Met) polymorphism is related to the response to antidepressants. It is possible that the class of antidepressants or the duration of treatment affected the results. To our knowledge, this is the first report to investigate the association between the BDNF (Val66Met) polymorphism and the response to atypical antipsychotic augmentation of a SSRI or SNRI, and it found no association between the two. We also examined the response and the changes in serum BDNF levels after adding an atypical antipsychotic to the SSRI or SNRI between Val66Val and Met-carriers. Another important finding of this study was that about half of the patients who recovered from a depressive state as a result of the augmentation of SSRI or SNRI therapy with an atypical antipsychotic drug relapsed within 1 year after discontinuing the atypical antipsychotic drug. This indicates that when patients have recovered from their depressive state as a result of the addition of an atypical antipsychotic drug to a SSRI or SNRI, the combined regimen should be maintained for at least 3 months.

There are several limitations to this study. First, the sample size was small and heterogeneous. Second, this was a flexibly designed, uncontrolled, open study. The possibility that the effect of the ongoing antidepressant emerged late could not be ruled out. Third, the types and doses of antidepressants and antipsychotics differed among the patients. Therefore, further study using a larger homogeneous sample and a stricter protocol for drug administration is needed to confirm our preliminary findings.

In conclusion, no correlation was found between the response to the addition of an atypical antipsychotic drug to ongoing SSRI or SNRI therapy and the BDNF (Val66Met) polymorphism in refractory depressed patients. Moreover, when the patients enter remission after augmenting an SSRI or SNRI with an atypical antipsychotic, the atypical antipsychotic drug should be continued for at least 3 months in refractory depression in Japanese patients.

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