Nomograms predicting survival and recurrence in colonic cancer in the era of complete mesocolic excision

Y. Kanemitsu¹, D. Shida¹, S. Tsukamoto¹, H. Ueno⁵, M. Ishiguro², S. Ishihara⁴, K. Komori⁶ and K. Sugihara³, Study Group for Nomogram of the Japanese Society for Cancer of the Colon and Rectum

¹Department of Colorectal Surgery, National Cancer Centre Hospital, Departments of ²Translational Oncology and ³Surgical Oncology, Graduate School, Tokyo Medical and Dental University, and ⁴Department of Surgical Oncology, School of Medicine, The University of Tokyo, ⁵Department of Surgery, National Defense Medical College, Saitama, and ⁶Department of Gastroenterological Surgery, Aichi Cancer Centre, Nagoya, Japan *Correspondence to:* Dr Y. Kanemitsu, Department of Colorectal Surgery, National Cancer Centre Hospital, 5-1-1 Tsukiji, Chuo-ku, Tokyo 104-0045, Japan (e-mail: ykanemit@ncc.go.jp)

Background: More extensive lymphadenectomy may improve survival after resection of colonic cancer. Nomograms were created predicting overall survival and recurrence for patients who undergo D2–D3 lymph node dissection, and their validity determined.

Methods: This was a multicentre study of patients with colonic cancer who underwent resection with D2–D3 lymph node dissection in Japan. Inclusion criteria included R0 resection. A training cohort of patients operated on from 2007 to 2008 was analysed to construct prognostic models predicting survival and recurrence. Discrimination and calibration were performed using an external validation cohort from the Japanese colorectal cancer registry (procedures in 2005–2006).

Results: The training cohort consisted of 2746 patients. Predictors of survival were: age (hazard ratio (HR) 1.04), female sex (HR 0.71), depth of tumour invasion (HR 1.15, 1.22, 2.96 and 3.14 for T2, T3, T4a and T4b respectively *versus* T1), lymphatic invasion (HR 1.11, 1.15 and 2.95 for ly1, ly2 and ly3 *versus* ly0), preoperative carcinoembryonic antigen (CEA) level (HR 1.21, 1.59 and 1.99 for $5 \cdot 1-10 \cdot 0$, $10 \cdot 1-20 \cdot 0$ and 20.1 and over *versus* $0-5 \cdot 0$ ng/ml), number of metastatic lymph nodes (HR 1.07), number of lymph nodes examined (HR 0.98) and extent of lymphadenectomy (HR 0.23, 0.13 and 0.11 for D1, D2 and D3 *versus* D0). Predictors of recurrence were: female sex (HR 0.82), macroscopic type (HR $3 \cdot 82$, $4 \cdot 56$, $6 \cdot 66$, $7 \cdot 74$ and $3 \cdot 22$ for types I, II, III, IV and V *versus* type 0), depth of invasion (HR $1 \cdot 25$, $2 \cdot 66$, $5 \cdot 32$ and $6 \cdot 43$ for T2, T3, T4a and T4b *versus* T1), venous invasion (HR $1 \cdot 43$, $3 \cdot 05$ and $4 \cdot 79$ for v1, v2 and v3 *versus* v0), preoperative CEA level (HR $1 \cdot 39$, $1 \cdot 43$, $1 \cdot 56$ and $1 \cdot 85$ for $5 \cdot 1-10 \cdot 0$, $10 \cdot 1-20 \cdot 0$, $20 \cdot 1-40 \cdot 0$ and $40 \cdot 1$ or more *versus* 0-5 ng/ml), number of metastatic lymph nodes (HR $1 \cdot 07$) and number of lymph nodes examined (HR $0 \cdot 98$). The validation cohort comprised 4446 patients. The internal and external validated Harrell's C-index values for the nomogram predicting survival were $0 \cdot 75$ and $0 \cdot 74$ respectively. Corresponding values for recurrence were $0 \cdot 78$ and $0 \cdot 75$.

Conclusion: These nomograms could predict survival and recurrence after curative resection of colonic cancer.

Presented to a meeting of the Japanese Society for Cancer of the Colon and Rectum, Iwate, Japan, January 2017

Paper accepted 27 February 2019

Published online 26 April 2019 in Wiley Online Library (www.bjsopen.com). DOI: 10.1002/bjs5.50167

Introduction

Colonic cancer is common worldwide, and radical resection of the colon combined with regional lymph node dissection is the core of non-metastatic colonic cancer treatment¹. Expert series showing that more extensive lymphadenectomy is associated with excellent survival outcomes and low recurrence rates have stimulated interest in complete mesocolic excision (CME) with central vascular ligation (CVL) or extended lymph node dissection $(D3)^{2-6}$. In Japan, colectomy with D3 lymph node dissection is performed routinely for T3 and T4 colonic cancer with low morbidity and mortality rates⁴⁻⁶. This dissection technique emphasizes anatomical lymph node dissection, and involves dissection of lymph nodes at the root of the tumour-feeding artery and along the longitudinal length of the large intestine to be resected. In contrast, CME emphasizes identification of anatomical planes of surgical resection and CVL. Although these techniques differ in approach, the purpose and extent of lymph node dissection are similar⁷, except that the resected colon is shorter in the Japanese D3 procedure³.

Few nomograms predicting survival or recurrence of colonic cancer exist, and those that have been reported were based on a Western database^{8,9}. These nomograms have been validated for accuracy only by a data-splitting method of the same Western internal database before the technique of CME with CVL and D3 lymph node dissection had emerged and where the extent of lymph node dissection was not specified^{8,9}.

The aim of the present study was to develop nomograms predicting survival and recurrence after curative colonic cancer resection based on D2–D3 lymph node dissection by combining clinicopathological variables using data from multiple institutions.

Methods

This multicentre study was performed as part of a joint study by the Japanese Study Group for Outcome Prediction after Colorectal Cancer Surgery, whose members work at 19 major medical centres (4 cancer centres, 14 university hospitals and 1 teaching hospital) throughout Japan. Patients who underwent resection for stage I-III colonic cancer between 1 January 2007 and 31 December 2008 were eligible. Medical records were retrieved. Inclusion criteria were: primary colonic cancer, treatment with curative intent and R0 resection (no residual macroscopic or microscopic tumour). Exclusion criteria were: other malignancy, preoperative chemotherapy, distant metastases, missing data. These patients together formed the training cohort. To validate the data, an independent data set from the Japanese Society for Cancer of the Colon and Rectum (JSCCR) colorectal cancer registration was used¹⁰. This registry started in 1980 to present an overview of the actual state of surgical and pathological aspects of colorectal cancer treated in the leading hospitals in Japan. Results of patients who were treated at JSCCR-member institutions, which comprise university hospitals, general hospitals and cancer centres, have been registered. This registry includes 6-7 per cent of all surgical cases of colorectal cancer in Japan^{4,11}. Patients in the validation cohort underwent colonic resection between 1 January 2005 and 31 December 2006, and satisfied the aforementioned inclusion criteria. The protocol was approved by the ethics

committee of each hospital (institutional review board code 2013-221).

Data collection

Patient demographics, pathological characteristics, extent of lymphadenectomy, preoperative carcinoembryonic antigen (CEA) level, adjuvant chemotherapy and follow-up data (duration of follow-up, recurrence and survival) were collected. Tumour size was measured as the longest diameter. Macroscopic type was categorized as early colonic cancer with type 0 (superficial type), or colonic cancer with type I (polypoid type), II (ulcerated type with clear margin), III (ulcerated type with infiltration), IV (diffusely infiltrating type) or V (unclassified type) according to the criteria of the JSCCR General Rules for Clinical and Pathological Studies on Cancer of the Colon, Rectum, and Anus¹⁰. The histological subtype was categorized as differentiated (well differentiated and moderately differentiated adenocarcinoma) or undifferentiated (poorly differentiated adenocarcinoma, signet ring cell carcinoma and mucinous adenocarcinoma). Depth of invasion was categorized as T1 (submucosa), T2 (muscularis propria), T3 (subserosa), T4a (serosa) or T4b (adjacent organ invasion). The degree of lymphovascular invasion was also classified according to the Japanese General Rules¹⁰ as follows: no invasion (grade 0), minimal invasion (grade 1), moderate invasion (grade 2) and marked invasion (grade 3). The number of metastatic lymph nodes was categorized according to the node grouping of the eighth AJCC TNM classification (0, 1-2, 3-6, 7-15 or at least 16 nodes)¹². According to the Japanese General Rules¹⁰, nodes were divided into pericolic, intermediate and apical (D3) groups. The Japanese N category is based on both anatomical location and number of involved lymph nodes, classified as N0 (no evidence of lymph node metastasis), N1 (metastasis in 1-3 pericolic or intermediate lymph nodes), N2 (metastasis in 4 or more pericolic or intermediate lymph nodes) and N3 (metastasis in main or lateral lymph nodes). D2 dissection involves removal of pericolic and intermediate nodes, whereas D3 dissection involves removal of the main lymph nodes at the root of the regional artery in addition to D2 dissection. D2 or D3 dissection is recommended for patients with cT2 tumours, and D3 dissection for cT3 and cT4 lesions, or when lymph node metastasis is suspected¹⁰. Adjuvant chemotherapy was categorized as received or not received.

The discriminant value of the nomogram was compared with that of the AJCC TNM classification. In Japan, tumour deposits, which were introduced in the seventh edition, were not adopted in the national cancer staging manual edited by the JSCCR¹⁰. T categorization of tumour nodules in the mesocolic fat away from the leading edge of the tumour was done at the discretion of pathologists.

Follow-up duration was measured from the date of surgery to the last follow-up date, and information regarding survival status at last follow-up was collected. At each hospital, postoperative follow-up, according to the JSCCR guidelines¹³, consisted of serum tumour marker measurements every 3 months for the first 3 years, then every 6 months for 2 years; hepatic imaging (ultrasonography or CT) and chest X-ray every 3–6 months; and colonoscopy every 2–3 years.

Statistical analysis

Construction of nomogram

For nomogram construction, multivariable analysis was conducted using Cox proportional hazards (PH) regression. The PH assumption was verified by tests of correlations with time and examination of residual plots. To allow for non-linear relationships, continuous variables were modelled with restricted cubic splines¹⁴ and were transformed to a form adequate for fitting the PH and linearity assumptions. The CEA level had a skewed distribution and was grouped into categories before modelling. Variables were selected by the forward stepwise selection method in the Cox PH regression model. Based on the predictive model with identified prognostic factors, a nomogram was constructed for predicting 3- and 5-year overall survival (OS) or recurrence-free survival (RFS). The nomogram assigned the probability of survival by adding up the scores identified on the points scale for each variable. The total score projected at the bottom indicated the probability of 3- and 5-year survival.

Validation of nomogram

Nomogram validation consisted of analysis of discrimination and calibration using the validation set. Discrimination was evaluated using a concordance index (C-index). This index provides the probability that, for two randomly selected patients, the patient with the worse outcome predicted by the nomogram indeed has an event before the other. Harrell's C-index, which is appropriate for censored data, was used to evaluate discrimination^{14,15}. In general, a C-index value greater than 0.75 is considered to represent relatively good discrimination. Calibration was performed by comparing the means of predicted survival with those of actual survival based on Kaplan–Meier estimates¹⁶ after grouping the nomogram-predicted survival by decile.

Statistical analyses were performed using S-plus[®] software version 8.0 (TIBCO Software, Palo Alto, California,

	Training cohort (n = 2746)	Validation cohort (<i>n</i> = 4446)
Age (years)*	68(11)	68(11)
Sex ratio (M : F)	1514 : 1232	2410 : 2036
Tumour location		
Caecum	298 (10.9)	
Ascending	604 (22.0)	
Transverse	407 (14-8)	
Descending	204 (7-4)	
Sigmoid	1233 (44-9)	
Tumour size (cm)*	3-5(2-4)	
Macroscopic type		
0	478 (17-4)	550 (12-4)
I	230 (8-4)	457 (10-3)
II 	1933 (70-4)	3062 (68-9)
III N	90 (3-3)	306 (6-9)
lv	3 (0-1)	8 (0-2)
V	12 (0.4)	63 (1-4)
Tumour differentiation	0504 (04 5)	
vveli or moderate	2594 (94-5)	
Poor or mucinous	150 (5-5)	
-T antenna	2 (0.1)	
p I category	500 (10.0)	CE 4 (1 4 7)
p11	526 (19·2) 204 (14.2)	652 (14-7)
p12	1224 (48.2)	003 (14-7)
p13	1324 (46-2)	2271 (51-1)
p14a	381 (13-9)	692 (15·6)
p14b	121 (4-4)	176 (4-0)
Lymphatic invasion	1254 (45.7)	1779 (40.0)
ly0	1234 (43-7)	1845 (41.5)
ly i	323 (11.8)	687 (15.5)
ly2	53 (1.9)	135 (3.0)
Venous invasion	00 (110)	100 (0.0)
v0	1107 (40.3)	1849 (41.6)
v1	1120 (40-8)	1808 (40.7)
v2	408 (14-9)	644 (14-5)
v3	111 (4:0)	145 (3-3)
No. of LNs examined*	20.1(12.7)	18-8(12-9)
No. of metastatic LNs*	1.0(2.0)	1.0(2.1)
Preoperative CEA (ng/ml)	()	()
0-5	1956 (71-2)	2998 (67-4)
5.1-10.0	402 (14-6)	752 (16.9)
10.1-20.0	202 (7.4)	351 (7.9)
20.1-40.0	99 (3-6)	166 (3.7)
≥ 40.1	87 (3-2)	179 (4-0)
TNM stage		
1	801 (29-2)	1093 (24.6)
IIA	825 (30.0)	1429 (32.1)
IIB	158 (5-8)	305 (6.9)
IIC	76 (2-8)	94 (2.1)
IIIA	113 (4-1)	189 (4·3)
IIIB	626 (22-8)	1062 (23.9)
IIIC	147 (5-4)	274 (6-2)
Extent of lymphadenectomy		
D0-1	134 (4-9)	185 (4-2)
D2	933 (34-0)	1528 (34-4)
D3	1679 (61.1)	2733 (61.5)
Adjuvant chemotherapy		
Yes	732 (26.7)	
No	2014 (73-3)	

Values in parentheses are percentages unless indicated otherwise; *values are mean(s.d.). LN, lymph node; CEA, carcinoembryonic antigen.

Table 2 Selected variables according to the Cox proportional hazards regression model for overall survival					
	Univariable analysis		Multivariable analysis		
	Hazard ratio	Р	Hazard ratio	Р	
Age (years)*	1.05 (1.04, 1.06)	< 0.001	1.04 (1.03, 1.06)	< 0.001	
Sex		0.018		0.004	
м	1.00 (reference)		1.00 (reference)		
F	0.76 (0.61, 0.95)		0.71 (0.56, 0.89)		
Tumour location		0.651			
Caecum	1.00 (reference)				
Ascending	1.11 (0.75, 1.68)				
Transverse	1.03 (0.67, 1.60)				
Descending	1.16 (0.70, 1.91)				
Sigmoid	0.92 (0.64, 1.36)				
Tumour size (cm)*	1.01 (1.00, 1.01)	< 0.001	0.99 (0.99, 1.01)	0.923	
Macroscopic type		< 0.001		0.445	
0	1.00 (reference)		1.00 (reference)		
1	1.35 (0.66, 2.69)		1.11 (0.45, 2.66)		
П	3.44 (2.24, 5.59)		1.79 (0.84, 3.89)		
Ш	4.43 (2.27, 8.54)		1.64 (0.64, 4.19)		
IV	21.60 (5.09, 63.18)		3.25 (0.17, 18.80)		
V	5.92 (1.39, 17.28)		1.68 (0.34, 6.25)		
Tumour differentiation		< 0.001		0.617	
Well	1.00 (reference)		1.00 (reference)		
Moderate	1.64 (1.29, 2.09)		1.24 (0.96, 1.61)		
Poor, signet or mucinous	2.19 (1.41, 3.29)		1.23 (0.74, 1.98)		
Extent of lymphadenectomy		0.003		< 0.001	
DO	1.00 (reference)		1.00 (reference)		
D1	0.23 (0.06, 1.46)		0.23 (0.06, 1.46)		
D2	0.14 (0.04, 0.85)		0.13 (0.04, 0.78)		
 D3	0.12 (0.03, 0.73)		0.11 (0.03, 0.98)		
Preoperative CEA (ng/ml)	0.12 (0.00, 0.10)	0.004		0.009	
0-5	1.00 (reference)	0.001	1.00 (reference)	0.000	
5.1-10.0	1.24 (0.90, 1.68)		1.21 (0.88, 1.64)		
10.1-20.0	1.53 (1.04, 2.18)		1.59 (1.08, 2.28)		
>20.1	1.74 (1.22, 2.44)		1.99 (1.24, 3.09)		
pT category	(,)	< 0.001	(, ,	< 0.001	
	1.00 (reference)		1.00 (reference)		
T2	1.78 (1.01 3.16)		1.15 (0.54, 2.52)		
T3	2.28 (1.40, 3.65)		1.22 (0.61, 2.63)		
T4a	5-85 (3-59, 9-87)		2.96 (1.30, 7.02)		
T4b	6.01 (3.37, 11.05)		3.14 (1.52, 6.89)		
Lymphatic invasion		< 0.001	0 14 (1 02, 0 00)	0.003	
	1.00 (reference)		1.00 (reference)	0000	
ly i	1.12 (0.86 1.45)		1.11 (0.78 1.33)		
1/2	1.16 (0.80, 1.65)		1.15 (0.67 1.43)		
1/2	3.47 (1.88, 6.09)		2.95 (1.57 5.28)		
Venous invasion	0.47 (1.00, 0.00)	< 0.001	2.00 (1.07, 0.20)	0.176	
	1.00 (reference)	20001	1.00 (reference)	0110	
v1	1.61 (1.24 2.11)		1.21 (0.90 1.63)		
v2	2.47 (0.75 2.58)		1.24 (0.59, 2.37)		
v3	15.91 (0.00 65 65)		1.63 (0.96, 2.60)		
No. of LNs examined*	0.98 (0.97 0.99)	0.021	0.98 (0.97, 0.99)	0.025	
No. of metastatic No.*	1.07 (1.03 1 11)	< 0.001	1.07 (1.02 1 11)	0.020	
	1.07 (1.03, 1.11)	0.506	1.07 (1.03, 1.11)	0.001	
Vee	1.00 (reference)	0.000			
No	0.92 (0.73 1.18)				
	0.02 (0.10, 1.10)				

Values in parentheses are 95 per cent confidence intervals. *Hazard ratios for factors analysed as a continuous variable are shown per unit increase. CEA, carcinoembryonic antigen; LN, lymph node.

	Univariable ana	lysis	Multivariable a	nalysis
	Hazard ratio	Р	Hazard ratio	Р
Age (years)*	0.99 (0.98, 1.01)	0.708		
Sex		0.025		0.045
Μ	1.00 (reference)		1.00 (reference)	
F	0.86 (0.71, 0.99)		0.82 (0.66, 0.99)	
Tumour location		0.431		
Caecum	1.00 (reference)			
Ascending	0.77 (0.55, 1.09)			
Transverse	0.79 (0.55, 1.16)			
Descending	0.99 (0.65, 1.51)			
Sigmoid	0.79 (0.58, 1.08)			
Tumour size (cm)*	1.01 (1.00, 1.02)	< 0.001	0.98 (0.97, 1.11)	0.175
Macroscopic type		< 0.001		0.046
0	1.00 (reference)		1.00 (reference)	
I	6.81 (2.88, 18.70)		3.82 (1.23, 13.43)	
II	15.46 (7.56, 39.11)		4.56 (1.58, 15.47)	
Ш	33.19 (14.87, 88.25)		6.66 (2.14, 23.82)	
IV	47.08 (6.89, 204.41)		7.74 (0.38, 56.51)	
V	14.66 (2.15, 63.63)		3.22 (0.41, 17.74)	
Fumour differentiation		< 0.001		0.228
Well	1.00 (reference)		1.00 (reference)	
Moderate	1.95 (1.57, 2.43)		1.29 (0.92, 1.63)	
Poor, signet or mucinous	2.27 (1.50, 3.32)		1.01 (0.64, 1.54)	
Extent of lymphadenectomy		< 0.001		0.449
D0	1.00 (reference)		1.00 (reference)	
D1	0.78 (0.46, 1.44)		0.23 (0.18, 1.28)	
D2	0.55 (0.29, 0.88)		0.57 (0.31, 1.14)	
D3	0.23 (0.05, 0.73)		0.65 (0.36, 1.28)	
Preoperative CEA (ng/ml)		< 0.001	× · · ·	0.003
0-5	1.00 (reference)		1.00 (reference)	
5.1–10.0	2.07 (1.60, 2.67)		1.39 (0.99, 1.91)	
10.1-20.0	2.54 (1.82, 3.46)		1.43 (1.10, 1.85)	
20.1-40.0	2.86 (1.84, 4.24)		1.56 (0.99, 2.34)	
>40.1	4.19 (2.82, 6.02)		1.85 (1.22, 2.71)	
oT category		< 0.001		< 0.001
pT1	1.00 (reference)		1.00 (reference)	
pT2	2.36 (1.04, 5.61)		1.25 (0.88, 5.52)	
рТ3	9.89 (5.39, 20.84)		2.66 (1.16, 7.24)	
pT4a	27.19 (14.69. 57.57)		5.32 (2.14. 15.30)	
pT4b	23.95 (12.12, 52.81)		6.43 (2.76, 17.69)	
vmphatic invasion		< 0.001		0.132
lv0	1.00 (reference)		1.00 (reference)	
ly1	1.62 (1.29. 2.05)		1.01 (0.79. 1.30)	
ly2	2.57 (1.93, 3.41)		1.04 (0.75, 1.43)	
lv3	7.01 (4.49, 10.52)		1.88 (0.97, 3.16)	
venous invasion		< 0.001		< 0.001
v0	1.00 (reference)		1.00 (reference)	
v1	2.53 (1.94, 3.33)		1.43 (1.09. 1.91)	
v2	2.85 (1.43, 5.14)		3.05 (2.50, 6.87)	
v3	7.03 (0.00 38.90)		4.79 (0.00 29.92)	
No. of LNs examined*	0.97 (0.96 0.99)	0.045	0.98 (0.97 0.99)	0.006
No. of metastatic I Ns*	1.17 (1.15 1.20)	< 0.001	1.07 (1.03 1.11)	< 0.001
Adjuvant chemotherapy	111 (113, 120)	0.624	1.07 (1.03, 1.11)	0.001
	1.00 (reference)	0.024		
No				
INU	0.97 (0.65, 1.87)			

Values in parentheses are 95 per cent confidence intervals. *Hazard ratios for factors analysed as a continuous variable are shown per unit increase. CEA, carcinoembryonic antigen; LN, lymph node.

Fig. 1 Prognostic nomogram for predicting overall survival of patients with colonic cancer. The nomogram can assign the probability of survival by adding up the scores identified on the points scale for each variable. The total score projected to the bottom scale



USA). OS was calculated as the interval from primary surgery to death from any cause. RFS was defined as the time from surgery to any relapse or death from any cause or to the latest date at which relapse-free status was confirmed. Censoring by the Kaplan–Meier method¹⁶ was performed for patients who did not experience the defined outcome. All *P* values were two-sided. *P* < 0.050 was considered statistically significant.

Results

The training cohort consisted of 2746 patients and the validation cohort included 4446 patients. Clinicopathological characteristics are shown in *Table 1*. Across the two cohorts, 34.4 and 61.3 per cent of patients underwent D2 and D3 lymph node dissection respectively.

Hazard ratios with 95 per cent confidence intervals for selected variables in Cox PH regression analyses are shown in *Tables 2* and *3* respectively. In the multivariable model of OS, hazard ratios were significantly higher for older age, male sex, less extensive lymph node dissection, higher preoperative CEA level, greater depth of invasion, higher grade of lymphatic invasion, increased number of metastatic lymph nodes and decreased number of lymph nodes examined (*Table 2*).

For RFS, hazard ratios in the multivariable model were significantly higher for male sex, advanced macroscopic type, higher preoperative CEA level, greater depth of invasion, higher grade of venous invasion, increased number of metastatic lymph nodes and decreased number of lymph nodes examined (*Table 3*).

Median follow-up was $61 \cdot 1$ (i.q.r. $35 \cdot 5 - 69 \cdot 4$) months for recurrence and $61 \cdot 6$ ($48 \cdot 9 - 70 \cdot 6$) months for survival in the training set, and $64 \cdot 2$ ($31 \cdot 3 - 83 \cdot 8$) and $68 \cdot 5$ ($44 \cdot 2 - 84 \cdot 7$) respectively in the validation set. Five-year OS rates were $88 \cdot 7$ and $85 \cdot 6$ per cent in the training and validation sets respectively, with corresponding RFS rates of $85 \cdot 1$ and $84 \cdot 9$ per cent. To evaluate the OS and RFS of patients with stage I–III colonic cancer, nomograms were constructed based on independent variables for OS (*Fig. 1*) and RFS (*Fig. 2*) in the multivariable Cox regression model. Harrell's C-index values for the OS and RFS nomograms were 0.747 Fig. 2 Prognostic nomogram for predicting recurrence-free survival of patients with colonic cancer. The nomogram can assign the probability of survival by adding up the scores identified on the points scale for each variable. The total score projected to the bottom scale indicates the probability of 3- and 5-year survival. CEA, carcinoembryonic antigen; LN, lymph node



Fig. 3 Calibration of the nomogram in the training cohort. a Five-year overall survival (OS) and b 5-year recurrence-free survival (RFS). Actual survival rates with 95 per cent confidence intervals were calculated by Kaplan–Meier analysis. The dotted line represents the ideal reference line where predicted survival corresponds to actual survival



www.bjsopen.com

Fig. 4 Calibration of the nomogram in the validation cohort. a Five-year overall survival (OS) and b 5-year recurrence-free survival (RFS). Actual survival rates with 95 per cent confidence intervals were calculated by Kaplan–Meier analysis. The dotted line represents the ideal reference line where predicted survival corresponds to actual survival



Fig. 5 Predicted stage-specific recurrence-free survival based on the eighth AJCC classification. Median value (bold line), box (i.q.r.), and range (error bars) excluding outliers (circles) are shown 100 80 Nomogram-predicted 5-year recurrence-free survival (%) 60 40 20 0 IIIC IIA IIB IIC IIIA IIIB Ш TNM stage

(95 per cent c.i. 0.697 to 0.788) and 0.781 (0.732 to 0.821) respectively. The calibration curves for the two nomograms are shown in *Fig. 3*. Actual survival corresponded closely with predicted survival and was always within the 10 per cent margin of error. These curves reveal the concordance in the original cohort between the nomogram forecast and actual observations for 5-year OS and RFS.

Validation

In the validation set, Harrell's C-index values for the OS and RFS nomogram were 0.738 (95 per cent c.i.

0.699 to 0.777) and 0.752 (0.708 to 0.795) respectively. The nomogram also predicted OS and RFS better than chance for the external data set. Calibration plots suggested that the nomogram was well calibrated for all predictions (*Fig. 4*). Discrimination of the nomograms was compared with that of the eighth AJCC TNM classification. Each nomogram was superior to that of the eighth AJCC TNM classification, which had C-index values of 0.631 (0.591 to 0.673) for OS and 0.554 (0.521 to 0.597) for RFS. *Fig. 5* illustrates the 5-year RFS predicted by the nomogram for each stage of the eighth AJCC TNM classification. Variation in predicted survival could be identified in each TNM stage. Predicted survival was more variable for higher stages.

Discussion

The nomograms in this study provide significantly better discrimination than the eighth AJCC TNM classification, and allow an individualized prediction of survival and recurrence that may be used to inform treatment planning and patient care.

Until now, nomograms predicting the prognosis of patients with stage I–III colonic cancer have had major limitations, because they were constructed from data collected before the technique of CME with CVL had emerged and the extent of lymph node dissection was not specified^{8,9}. In contrast to these two studies^{8,9}, extent of lymphadenectomy, preoperative CEA level and lymphatic invasion were included in the present OS nomogram, and macroscopic type, venous invasion, number of metastatic lymph nodes and number of lymph nodes examined in the RFS nomogram. Although previous studies^{17,18} have also

shown that a raised serum CEA level before treatment is associated with poor prognosis in patients with colorectal cancer, the optimum cut-off value of CEA has not been defined. Ideally, the predictor should be a continuous variable to maximize the amount of information that it can convey¹⁹. Although continuous variables can preserve information more than categorical variables, drawing lines to points in the nomogram and summing points can be ambiguous and cumbersome. In this study, preoperative CEA was categorized by using statistical methods to fit the PH and linearity assumptions. The number of lymph nodes examined, which was included in both of the present nomograms, has been shown to correlate with outcomes in other studies^{11,20-22}. The mean numbers of lymph nodes examined in this study were 20.1 and 18.8 in the training and validation sets respectively. These numbers were higher than that in Weiser and colleagues' study⁹, where the number of examined lymph nodes was 12.9. Regarding macroscopic type of cancer, some studies^{23,24} have shown that macroscopic type may reflect tumour behaviour. Types III (ulcerated type with infiltration) and IV (diffusely infiltrating type) are invasive phenotypes that carry a worse prognosis in terms of RFS than other macroscopic types.

The extent of lymphadenectomy was established as one of the important prognostic factors in the OS nomogram. Recently, the extent of lymph node dissection was reported to have a positive impact on survival of patients with curatively resected colorectal cancer without distant metastasis^{2-4,25}. CME with CVL and Japanese D3 dissection proved superior to previously reported techniques³. A multicentre cohort study²⁵ in Denmark revealed that CME with CVL may improve long-term oncological outcomes by 6-14 per cent compared with standard European surgery for each of the AJCC pathological stage I-III colonic cancers²⁵. The Japan Clinical Oncology Group 0404 trial⁶ also had the advantage that it was an RCT that aimed to evaluate whether laparoscopic D3 dissection was non-inferior to open D3 dissection. OS in both groups was similar, and better than the expected 5-year OS rate of 90 per cent.

External validation of the present results is essential. The high C-index values in this study indicate a high level of predictive accuracy. There are, nevertheless, limitations. Patient co-morbidity was not included in these nomograms. It is expected that co-morbidity would affect OS. The time span for the data set was more than 10 years. This raised the question of whether these nomograms can be applied to current patients. In most institutions in Japan, however, indications for surgery, systemic treatment, surgical strategy for D2–D3 lymph node dissection and pathological examination have not changed in the past decade. Novel pathological and molecular markers, such as perineural infiltration, mismatch repair status and *RAS/RAF* mutational status, were not available at the time of this study. Future studies could see if these variables might be included in nomograms to predict survival and recurrence after curative resection of colonic cancer with advanced surgical techniques for lymphadenectomy.

Acknowledgements

This study was conducted on behalf of the Study Group for Outcome Prediction after Colorectal Cancer Surgery of the JSCCR. The study was based on data from 19 member hospitals of the JSCCR: First Department of Surgery, Sapporo Medical University; Division of Digestive and General Surgery, Niigata University; Department of Surgery, National Defense Medical College; Department of Surgery, Tochigi Cancer Centre; Department of Surgery, Kyorin University; Department of Surgery, Tokyo Metropolitan Komagome Hospital; Department of Surgery II, Tokyo Women's Medical University; Department of Surgery, International Medical Centre of Japan; Department of Surgery, Keio University; Department of Surgery, Teikyo University; Department of Surgical Oncology, Tokyo Medical and Dental University; Colorectal Surgery Division, National Cancer Centre Hospital; Department of Surgical Oncology, University of Tokyo; Department of Surgery, Fujita Health University; Department of Gastroenterological Surgery, Aichi Cancer Centre Research Institute; Department of Surgery, Kyoto University; Department of Surgery, Osaka Medical Centre for Cancer and Cardiovascular Diseases; Department of Surgery, Kurume University; and Department of Surgery, Hyogo College of Medicine.

Disclosure: The authors declare no conflict of interest.

References

- Cunningham D, Atkin W, Lenz HJ, Lynch HT, Minsky B, Nordlinger B *et al.* Colorectal cancer. *Lancet* 2010; **375**: 1030–1047.
- 2 Hohenberger W, Weber K, Matzel K, Papadopoulos T, Merkel S. Standardized surgery for colonic cancer: complete mesocolic excision and central ligation – technical notes and outcome. *Colorectal Dis* 2009; 11: 354–364.
- 3 West NP, Kobayashi H, Takahashi K, Perrakis A, Weber K, Hohenberger W et al. Understanding optimal colonic cancer surgery: comparison of Japanese D3 resection and European complete mesocolic excision with central vascular ligation. *7 Clin Oncol* 2012; 30: 1763–1769.
- 4 Kotake K, Mizuguchi T, Moritani K, Wada O, Ozawa H, Oki I *et al.* Impact of D3 lymph node dissection on survival

for patients with T3 and T4 colon cancer. *Int J Colorectal Dis* 2014; **29**: 847–852.

- 5 Kanemitsu Y, Komori K, Kimura K, Kato T. D3 lymph node dissection in right hemicolectomy with a no-touch isolation technique in patients with colon cancer. *Dis Colon Rectum* 2013; **56**: 815–824.
- 6 Kitano S, Inomata M, Mizusawa J, Katayama H, Watanabe M, Yamamoto S *et al*. Survival outcomes following laparoscopic *versus* open D3 dissection for stage II or III colon cancer (JCOG0404): a phase 3, randomised controlled trial. *Lancet Gastroenterol Hepatol* 2017; **2**: 261–268.
- 7 Merkel S, Weber K, Matzel KE, Agaimy A, Göhl J, Hohenberger W. Prognosis of patients with colonic carcinoma before, during and after implementation of complete mesocolic excision. *Br J Surg* 2016; **103**: 1220–1229.
- 8 Weiser MR, Landmann RG, Kattan MW, Gonen M, Shia J, Chou J et al. Individualized prediction of colon cancer recurrence using a nomogram. *J Clin Oncol* 2008; 26: 380–385.
- 9 Weiser MR, Gönen M, Chou JF, Kattan MW, Schrag D. Predicting survival after curative colectomy for cancer: individualizing colon cancer staging. *J Clin Oncol* 2011; 29: 4796–4802.
- 10 Japanese Society for Cancer of the Colon and Rectum. http://www.jsccr.jp/registration/index.html [accessed 1 April 2019].
- 11 Kotake K, Honjo S, Sugihara K, Hashiguchi Y, Kato T, Kodaira S *et al.* Number of lymph nodes retrieved is an important determinant of survival of patients with stage II and stage III colorectal cancer. *Jpn J Clin Oncol* 2012; **42**: 29–35.
- 12 Brierley JD, Gospodarowicz MK, Wittekind C. *TNM Classification of Malignant Tumours* (8th edn). Wiley: New York, 2017.
- 13 Watanabe T, Muro K, Ajioka Y, Hashiguchi Y, Ito Y, Saito Y *et al.*; Japanese Society for Cancer of the Colon and Rectum. Japanese Society for Cancer of the Colon and Rectum (JSCCR) guidelines 2016 for the treatment of colorectal cancer. *Int J Clin Oncol* 2018; 23: 1–34.
- 14 Harrell FE Jr, Lee KL, Mark DB. Multivariable prognostic models: issues in developing models, evaluating assumptions and adequacy, and measuring and reducing errors. *Stat Med* 1996; 15: 361–387.

- 15 Harrell FE Jr. Regression Modeling Strategies with Application to Linear Models, Logistic Regression, and Survival Analysis. Springer: New York, 2001.
- 16 Kaplan EL, Meier P. Nonparametric estimation from incomplete observations. *J Am Stat Assoc* 1958; 53: 457–481.
- 17 Compton CC, Fielding LP, Burgart LJ, Conley B, Cooper HS, Hamilton SR *et al.* Prognostic factors in colorectal cancer. College of American Pathologists Consensus Statement 1999. *Arch Pathol Lab Med* 2000; **124**: 979–994.
- 18 Carriquiry LA, Piñeyro A. Should carcinoembryonic antigen be used in the management of patients with colorectal cancer? *Dis Colon Rectum* 1999; 42: 921–929.
- 19 Simon R, Altman DG. Statistical aspects of prognostic factor studies in oncology. Br J Cancer 1994; 69: 979–985.
- 20 Le Voyer TE, Sigurdson ER, Hanlon AL, Mayer RJ, Macdonald JS, Catalano PJ *et al.* Colon cancer survival is associated with increasing number of lymph nodes analyzed: a secondary survey of intergroup trial INT-0089. *J Clin* Oncol 2003; 21: 2912–2919.
- 21 Joseph NE, Sigurdson ER, Hanlon AL, Wang H, Mayer RJ, MacDonald JS *et al.* Accuracy of determining nodal negativity in colorectal cancer on the basis of the number of nodes retrieved on resection. *Ann Surg Oncol* 2003; **10**: 213–218.
- 22 Chang GJ, Rodriguez-Bigas MA, Skibber JM, Moyer VA. Lymph node evaluation and survival after curative resection of colon cancer: systematic review. *J Natl Cancer Inst* 2007; 99: 433–441.
- 23 Inomata M, Ochiai A, Sugihara K, Moriya Y, Yamaguchi N, Adachi Y *et al.* Macroscopic features at the deepest site of tumor penetration predicting liver metastases of colorectal cancer. *Jpn J Clin Oncol* 1998; 28: 123–128.
- 24 Miyamoto S, Boku N, Fujii T, Ohtsu A, Matsumoto S, Tajiri H et al. Macroscopic typing with wall stricture sign may reflect tumor behaviors of advanced colorectal cancers. *J Gastroenterol* 2001; 36: 158–165.
- 25 Bertelsen CA, Neuenschwander AU, Jansen JE, Wilhelmsen M, Kirkegaard-Klitbo A, Tenma JR et al.; Danish Colorectal Cancer Group. Disease-free survival after complete mesocolic excision compared with conventional colon cancer surgery: a retrospective, population-based study. *Lancet Oncol* 2015; 16: 161–168.