High Plasma Level of Fibroblast Growth Factor 21 Is an Independent Predictor of Type 2 Diabetes

A 5.4-year population-based prospective study in Chinese subjects

Cheng Chen, Phd^{1,2} Bernard M.Y. Cheung, Phd^{1,2} Annette W.K. Tso, md^{1,2} Yudong Wang, Mphil¹ Lawrence S.C. Law, bssc¹ Kwok Leung Ong, phd³ Nelson M.S. Wat, md¹ Aimin Xu, phd^{1,2,4} Karen S.L. Lam, md^{1,2}

OBJECTIVE—To investigate whether circulating levels of fibroblast growth factor 21 (FGF21), which previously has been shown to be elevated in obesity, could predict the development of type 2 diabetes in a 5.4-year, population-based, prospective study.

RESEARCH DESIGN AND METHODS—Baseline plasma FGF21 levels were measured using an enzyme-linked immunosorbent assay in 1,900 subjects from the Hong Kong Cardio-vascular Risk Factor Prevalence Study (CRISPS). The prospective association of FGF21 with diabetes development over 5.4 years was analyzed using multiple logistic regression.

RESULTS—At baseline, plasma levels of FGF21 increased progressively with worsening dysglycemia from normal glucose tolerance, through prediabetes, to diabetes (global trend, P < 0.001). Of 1,292 subjects without diabetes at baseline, a high baseline FGF21 level was a strong independent predictor for diabetes development (odds ratio 1.792; P < 0.01), together with waist circumference and fasting plasma glucose levels.

CONCLUSIONS—Plasma FGF21 levels were significantly increased in subjects with prediabetes and diabetes and predicted the development of diabetes in humans.

Diabetes Care 34:2113-2115, 2011

Recent evidence from animal studies suggests that fibroblast growth factor 21 (FGF21), a member of the FGF19 subfamily, acts in an endocrine fashion (1) to regulate glucose and lipid metabolism and overall energy balance (2,3). Despite the multiple beneficial effects of FGF21 on glucose and lipid homeostasis and insulin sensitivity in animal models, circulating FGF21 levels are elevated in obese humans and animals with diet-induced and genetic obesity (4), suggesting the presence of FGF21

resistance in obesity (5). Circulating levels of FGF21 also are elevated in patients with obesity-related disorders, including the metabolic syndrome (4), type 2 diabetes (6), and nonalcoholic fatty liver disease (7), in cross-sectional studies. We hypothesized, therefore, that elevated FGF21 levels could predict the development of type 2 diabetes and investigated this possibility prospectively in a long-term follow-up study involving a populationbased cohort comprising 1,900 Chinese subjects.

From the ¹Department of Medicine, Li Ka Shing (LKS) Faculty of Medicine, University of Hong Kong, Hong Kong; the ²Research Center of Heart, Brain, Hormone, and Healthy Aging, LKS Faculty of Medicine, University of Hong Kong, Hong Kong; the ³Lipid Research Group, The Heart Research Institute, Sydney, New South Wales, Australia; and the ⁴Department of Pharmacology, LKS Faculty of Medicine, University of Hong Kong, Hong Kong.

Corresponding authors: Karen S.L. Lam, ksllam@hku.hk, and Aimin Xu, amxu@hku.hk.

DOI: 10.2337/dc11-0294

RESEARCH DESIGN AND

METHODS—All subjects were from the Hong Kong Cardiovascular Risk Factor Prevalence Study (CRISPS) (8,9) (Supplementary Data). The study protocol was approved by the ethics committee of the University of Hong Kong. Subjects returned after a median interval of 5.4 years for reassessment but were under the care of their primary care physicians between visits. Plasma FGF21 was measured using an in-house ELISA (10) (Antibody and Immunoassay Services, University of Hong Kong, Hong Kong). All statistical analyses were performed using SPSS version 16.0 (SPSS, Chicago, IL). Two-sided P values < 0.05 were considered significant (Supplementary Data).

RESULTS—At baseline, there were 1,900 subjects with complete demographic and biochemical data. Of these, 1,044 had normal glucose tolerance (NGT), 558 had impaired glucose tolerance (IGT) or impaired fasting glucose (IFG), and 298 subjects had diabetes (11) (Supplementary Table A1). FGF21 levels increased progressively with worsening dysglycemia from NGT, to prediabetes (IGT/IFG), to diabetes (global trend, P < 0.001) (Supplementary Fig. A1). Plasma FGF21 concentrations were higher in the groups with IGT/IFG and diabetes (IGT/IFG vs. NGT, median 197.1 vs. 133.2 pg/mL, *P* < 0.001; diabetes vs. IGT/IFG, median 234.0 vs. 197.1 pg/mL, P = 0.012).

Plasma levels of FGF21 were similar between men and women (median 172.2 vs. 161.3 pg/mL, P = 0.106). Baseline FGF21 levels correlated positively with age, BMI, waist circumference, diastolic and systolic blood pressure (SBP), fasting plasma glucose (FPG), 2-h glucose, fasting insulin, homeostasis model assessment of insulin resistance, total cholesterol, LDL cholesterol, triglycerides, and high-sensitivity C-reactive protein (hsCRP) but negatively with HDL cholesterol (all P < 0.001) (Supplementary Table A2). Partial correlation between FGF21 and these parameters remained unchanged after adjustment for

Received 13 February 2011 and accepted 24 May 2011.

This article contains Supplementary Data online at http://care.diabetesjournals.org/lookup/suppl/doi:10. 2337/dc11-0294/-/DC1.

A.X. and K.S.L.L. contributed equally to the supervision of this study.

^{© 2011} by the American Diabetes Association. Readers may use this article as long as the work is properly cited, the use is educational and not for profit, and the work is not altered. See http://creativecommons.org/ licenses/by-nc-nd/3.0/ for details.

FGF21 predicts type 2 diabetes in humans

age, sex, and waist circumference/BMI (Supplementary Table A2). In a multiple linear regression analysis, triglycerides ($\beta = 0.244$), age ($\beta = 0.160$), hsCRP ($\beta = 0.102$) (all P < 0.001), SBP ($\beta = 0.083$, P = 0.003), 2-h glucose ($\beta = 0.061$, P = 0.008), and waist circumference ($\beta = 0.051$, P = 0.042) were independently related to plasma FGF21 levels. The model also included FPG (P = 0.525), fasting insulin (P = 0.664), and HDL cholesterol (P = 0.679).

Among 1,602 subjects without diabetes in CRISPS2 (baseline), 1,292 subjects completed the reassessment (CRISPS3) after a median follow-up of 5.4 years (follow-up rate 80.6%); 73 subjects had developed diabetes (36 men and 37 women), with 3 subjects diagnosed and treated with medications before CRISPS3. Their baseline characteristics are shown in Supplementary Table A3. The baseline FGF21 concentration was significantly higher in subjects who had progressed to diabetes at 5.4 years compared with subjects who did not develop diabetes (median 259.5 vs. 144.0 pg/mL, P < 0.001).

Independent predictors for diabetes development were identified using a multiple logistic regression model that included age, sex, waist circumference, FPG, fasting insulin, SBP, triglycerides, HDL cholesterol, smoking status, hsCRP, and FGF21 (Table 1). Baseline FGF21 was a significant independent predictor of diabetes (odds ratio 1.792 [95% CI 1.215-2.642]; P < 0.01), together with waist circumference and FPG (both P < 0.01) (model 1A). When triglycerides, HDL cholesterol, and SBP were replaced with categorical variables, the results were similar (model 1B). If baseline FPG was replaced by baseline 2-h glucose or the presence of IGT/IFG in the model, FGF21 remained a significant independent predictor of diabetes (both P < 0.01) (models 2 and 3). In all models, similar findings were obtained if BMI replaced waist circumference.

CONCLUSIONS—Previous studies have demonstrated that circulating FGF21 levels are elevated in obesity and its related metabolic disorders, including insulin resistance, type 2 diabetes, and nonalcoholic fatty liver disease (4,6,7,12). However, these studies cannot address the cause-and-effect relationship between FGF21 and such disorders because of their cross-sectional design and relatively small sample sizes. In this study, we demonstrated, for the first time, that plasma 2 :

Table 1—Baseline param	eters predictive of the deve	clopment of type 2 diabetes	over 5.4 years, examined	using multiple logistic reg	ression	
Baseline variables	Model 1A	Model 1B	Model 2A	Model 2B	Model 3A	Model 3B
Age	1.023 (0.996–1.051)	1.027 (1.001–1.054)*	1.032 (1.004–1.060)*	1.035 (1.009–1.061)†	1.028 (1.001–1.056)*	1.032 (1.006–1.058)*
Male	0.722 (0.397–1.310)	0.687 (0.384–1.232)	0.990 (0.542–1.808)	0.882 (0.490–1.588)	0.797 (0.439–1.448)	0.730 (0.407–1.309)
Waist circumference	1.047 (1.013–1.083)†	1.048 (1.014–1.084)†	1.038 (1.003–1.074)*	1.040 (1.004–1.076)*	1.042 (1.008–1.078)*	1.044 (1.009–1.080)*
Ln(fasting insulin)	1.087 (0.612-1.931)	1.059 (0.611–1.835)	1.170 (0.675–2.028)	1.046 (0.614–1.780)	1.150 (0.663-1.996)	1.061 (0.623-1.806)
Fasting glucose	3.023 (1.785–5.118)‡	2.998 (1.779–5.052)‡				Ι
2-h glucose			1.739 (1.466–2.063)‡	1.718 (1.451–2.034)‡		Ι
IFG/IGT	Ι	I	I	Ι	5.184 (2.832–9.940)‡	5.018 (2.759–9.127)‡
Hypertension		0.892 (0.496–1.604)		0.774 (0.429–1.395)		0.871 (0.488–1.554)
SBP	1.002 (0.986–1.018)		0.997 (0.981–1.014)		0.999 (0.983-1.016)	Ι
Hypertension treatment	1.034 (0.525–2.036)		1.232 (0.622–2.442)		1.259 (0.638–2.484)	Ι
Dyslipidemia	Ι	1.073 (0.579–1.990)	I	1.184 (0.635–2.211)	I	1.111 (0.600-2.057)
Ln(triglycerides)	0.859 (0.456–1.619)		0.635 (0.333-1.210)		0.685 (0.360-1.305)	I
LDL cholesterol	0.955 (0.681-1.339)	I	1.027 (0.740–1.426)	I	1.053 (0.757–1.466)	Ι
Lipid treatment	0.451 (0.120-1.702)	I	0.511 (0.135-1.935)	I	0.645 (0.170-2.451)	I
Current/past smoker	0.860 (0.384–1.916)	0.893 (0.398–2.002)	0.829 (0.362–1.900)	0.891 (0.393–2.023)	0.872 (0.387-1.963)	0.905 (0.403-2.031)
Ln(hsCRP)	1.180 (0.901–1.544)	1.171 (0.896–1.530)	1.109 (0.840–1.464)	1.111 (0.842–1.466)	1.156 (0.881–1.518)	1.165 (0.890-1.526)
Ln(FGF21)	1.792 (1.215–2.642)†	1.730 (1.194–2.505)†	1.739 (1.172–2.580)†	1.635 (1.115-2.398)*	1.836 (1.243–2.710)†	1.758 (1.206–2.562)†
Data are odds ratio (OR) (95% 2.382), $P = 0.044$, if excluding excluding those on hypertensic hypertension treatment. Ln, n	CI). Model 1A: $OR_{FGF21} = 1.792$ those on hypertension treatment on treatment. Model 3A: OR_{FGF2} at urral logarithm. * $P < 0.05$. 74	$\begin{array}{l} (1,215-2.642), P=0.003 \mbox{ for SB} \\ \mbox{ it. Model ZA: OR}_{\rm FGF21} = 1.741 \mbox{ (1)} \\ 2_{21} = 1.841 \mbox{ (1)} 247-2.718), P=0. \\ p<0.01. \ \mbox{ p} < 0.001. \end{array}$	SP plus 10 mmHg if on hyperten 1.173–2.583), P = 0.006 for SBP 002 for SBP _{adj} replacing SBP an	sion treatment (SBP _{adj}) replacin _{adj} replacing SBP and hypertens d hypertension treatment; OR _{FG}	g SBP and hypertension treatmet ion treatment; $OR_{FGF21} = 1.557$ $_{5F21} = 1.663 (1.082-2.558), P =$	nt; OR _{FGF21} = 1.552 (1.012– (1.002–2.420), <i>P</i> = 0.049, if 0.020, if excluding those on

FGF21 levels increased with the increasing degree of dysglycemia in 1,900 CRISPS2 subjects. In addition, this study was the first report showing an independent association of raised circulating FGF21 levels with the development of type 2 diabetes in a 5.4-year prospective study.

In this study cohort, we demonstrated an independent association between serum FGF21 levels and triglycerides, LDL cholesterol, and SBP, using multiple linear regression analysis, whereas fasting glucose and insulin were not present in the final model. Our findings agree with previous reports on a positive correlation between serum FGF21 and triglyceride levels (4,13) and suggest a function of FGF21 in lipid metabolism. Because FGF21 is known to exert beneficial effects on lipid profiles in animals (5,14,15), the independent association of serum FGF21 with triglycerides and LDL cholesterol in humans may represent a compensatory response to protect the body from the adverse effects of hyperlipidemia.

In our multiple logistic regression analyses, we found that FGF21 was a strong predictor of diabetes in this prospective cohort, second only to FPG, 2-h glucose, or prediabetes (IGT/IFG), the classical risk factors predictive of diabetes development. These findings further support the notion that the elevation in circulating FGF21 occurs at a very early stage during the deterioration of glucose homeostasis, well before the occurrence of overt diabetes. This phenomenon mirrors closely that of hyperinsulinemia, suggesting that FGF21 resistance, like insulin resistance, may be associated with the pathogenesis of diabetes in humans.

Our study is limited by the relatively small number of subjects with incident diabetes and an attrition rate of almost 20%, largely attributed to emigration. Additional prospective studies in other populations are needed to support our hypothesis that FGF21 resistance precedes the development of diabetes in humans. Acknowledgments—This study was supported by the Research Grants Council Collaborative Research Fund (HKU3/CRF/09) (to K.S.L.L.), the matching fund for the National "973" Project, and the seeding fund for basic research from the University of Hong Kong (to A.X.).

No potential conflicts of interest relevant to this article were reported.

C.C. researched data, ran statistical analyses, and wrote the manuscript. B.M.Y.C. (principal investigator of CRISPS2) and A.W.K.T. were investigators of CRISPS, contributed to discussion, and reviewed and edited the manuscript. Y.W. researched data. L.S.C.L. and K.L.O. advised on statistical analyses and contributed to discussion. N.M.S.W. was an investigator of CRISPS, contributed to discussion, and reviewed and edited the manuscript. A.X. initiated the FGF21 project (funded by HKU3/CRF/09) and provided overall supervision, including the writing of the manuscript. K.S.L.L. (principal investigator of CRISPS3) was an investigator of CRISPS, contributed to discussion, reviewed and edited the manuscript, initiated the FGF21 project (funded by HKU3/CRF/09), and provided overall supervision, including the writing of the manuscript.

References

- Itoh N, Ornitz DM. Fibroblast growth factors: from molecular evolution to roles in development, metabolism and disease. J Biochem 2011;149:121–130
- 2. Kharitonenkov A, Shiyanova TL, Koester A, et al. FGF-21 as a novel metabolic regulator. J Clin Invest 2005;115:1627–1635
- Arner P, Pettersson A, Mitchell PJ, Dunbar JD, Kharitonenkov A, Rydén M. FGF21 attenuates lipolysis in human adipocytes: a possible link to improved insulin sensitivity. FEBS Lett 2008;582:1725– 1730
- 4. Zhang X, Yeung DC, Karpisek M, et al. Serum FGF21 levels are increased in obesity and are independently associated with the metabolic syndrome in humans. Diabetes 2008;57:1246–1253
- Fisher FM, Chui PC, Antonellis PJ, et al. Obesity is a fibroblast growth factor 21 (FGF21)-resistant state. Diabetes 2010; 59:2781–2789
- 6. Chavez AO, Molina-Carrion M, Abdul-Ghani MA, Folli F, DeFronzo RA, Tripathy

D. Circulating fibroblast growth factor-21 is elevated in impaired glucose tolerance and type 2 diabetes and correlates with muscle and hepatic insulin resistance. Diabetes Care 2009;32:1542–1546

- 7. Li H, Fang Q, Gao F, et al. Fibroblast growth factor 21 levels are increased in nonalcoholic fatty liver disease patients and are correlated with hepatic triglyceride. J Hepatol 2010;53:934–940
- 8. Janus ED, Watt NM, Lam KS, et al.; Hong Kong Cardiovascular Risk Factor Steering Committee. The prevalence of diabetes, association with cardiovascular risk factors and implications of diagnostic criteria (ADA 1997 and WHO 1998) in a 1996 community-based population study in Hong Kong Chinese. Diabet Med 2000; 17:741–745
- 9. Cheung BM, Wat NM, Man YB, et al. Development of diabetes in Chinese with the metabolic syndrome: a 6-year prospective study. Diabetes Care 2007;30: 1430–1436
- Yu H, Xia F, Lam KS, et al. Circadian rhythm of circulating fibroblast growth factor 21 is related to diurnal changes in fatty acids in humans. Clin Chem 2011; 57:691–700
- Genuth S, Alberti KG, Bennett P, et al.; Expert Committee on the Diagnosis and Classification of Diabetes Mellitus. Followup report on the diagnosis of diabetes mellitus. Diabetes Care 2003;26:3160– 3167
- 12. Mraz M, Bartlova M, Lacinova Z, et al. Serum concentrations and tissue expression of a novel endocrine regulator fibroblast growth factor-21 in patients with type 2 diabetes and obesity. Clin Endocrinol (Oxf) 2009;71:369–375
- 13. Lin Z, Wu Z, Yin X, et al. Serum levels of FGF-21 are increased in coronary heart disease patients and are independently associated with adverse lipid profile. PLoS ONE 2010;5:e15534
- 14. Mai K, Andres J, Biedasek K, et al. Free fatty acids link metabolism and regulation of the insulin-sensitizing fibroblast growth factor-21. Diabetes 2009;58:1532–1538
- 15. Li X, Ge H, Weiszmann J, et al. Inhibition of lipolysis may contribute to the acute regulation of plasma FFA and glucose by FGF21 in ob/ob mice. FEBS Lett 2009; 583:3230–3234