

Prevalence and Mechanisms of Mucus Accumulation in COVID-19 Lung Disease

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Abstract

Rationale: The incidence and sites of mucus accumulation and molecular regulation of mucin gene expression in coronavirus (COVID-19) lung disease have not been reported.

Objectives: To characterize the incidence of mucus accumulation and the mechanisms mediating mucin hypersecretion in COVID-19 lung disease.

Methods: Airway mucus and mucins were evaluated in COVID-19 autopsy lungs by Alcian blue and periodic acid–Schiff staining, immunohistochemical staining, RNA *in situ* hybridization, and spatial transcriptional profiling. Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2)-infected human bronchial epithelial (HBE) cultures were used to investigate mechanisms of SARS-CoV-2–induced mucin expression and synthesis and test candidate countermeasures.

Measurements and Main Results: MUC5B and variably MUC5AC RNA concentrations were increased throughout all airway regions of COVID-19 autopsy lungs, notably in the subacute/chronic disease phase after SARS-CoV-2 clearance. In the distal lung, MUC5B-dominated mucus plugging was observed

in 90% of subjects with COVID-19 in both morphologically identified bronchioles and microcysts, and MUC5B accumulated in damaged alveolar spaces. SARS-CoV-2–infected HBE cultures exhibited peak titers 3 days after inoculation, whereas induction of MUC5B/MUC5AC peaked 7–14 days after inoculation. SARS-CoV-2 infection of HBE cultures induced expression of epidermal growth factor receptor (EGFR) ligands and inflammatory cytokines (e.g., IL-1 α / β) associated with mucin gene regulation. Inhibiting EGFR/IL-1R pathways or administration of dexamethasone reduced SARS-CoV-2–induced mucin expression.

Conclusions: SARS-CoV-2 infection is associated with a high prevalence of distal airspace mucus accumulation and increased MUC5B expression in COVID-19 autopsy lungs. HBE culture studies identified roles for EGFR and IL-1R signaling in mucin gene regulation after SARS-CoV-2 infection. These data suggest that time-sensitive mucolytic agents, specific pathway inhibitors, or corticosteroid administration may be therapeutic for COVID-19 lung disease.

Keywords: COVID-19; SARS-CoV-2; airway mucin; MUC5B; MUC5AC

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The secreted airway mucins provide broad protective roles against viral infections via mechanical clearance and decoy receptor activities (1–9). However, excessive mucin secretion can produce airway mucus obstruction after viral infection, deranging ventilation and serving as the nidus for secondary bacterial infections (10–12).

Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) generated the coronavirus disease (COVID-19) global pandemic (13). The severity of COVID-19 principally reflects its pulmonary manifestations, including alveolar pneumonias and the acute respiratory distress syndrome (14, 15). Multiple clinical studies have reported increased mucus production in severe COVID-19 lung disease, including 1) increased MUC1 and MUC5AC concentrations in tracheal aspirates (16, 17), 2) repeated endotracheal tube obstruction caused by viscous mucus (18, 19), and 3) persistent mucus production during subacute to chronic clinical phases (20, 21). However, data describing the severity of airway mucus accumulation and/or alveolar mucus accumulation, sites of mucin hypersecretion, and the molecular pathways responsible for upregulation of mucin expression after SARS-CoV-2 infection are lacking.

In this study, we hypothesized that a combination of inflammatory and reparative/dysreparative responses to SARS-CoV-2 infection produces robust mucus accumulation in COVID-19 autopsy lungs. Accordingly, we investigated the sites of mucus accumulation, mucin gene expression patterns, and mucin gene regulatory pathways in a large collection of

COVID-19 autopsy lungs using immunocytochemical, RNA *in situ* hybridization (RNA-ISH), and spatial transcriptomic approaches. SARS-CoV-2 human bronchial epithelial (HBE) infection models quantitated the kinetics, magnitude, and mechanisms of mucin hypersecretion and searched for countermeasures. Some of the results of these studies have been reported previously in the form of an abstract (22).

Methods

COVID-19 Autopsy and Control Lungs

A diagram describing COVID-19 autopsy specimen sources and allocations to specific studies is shown in Figure 1 (details outlined in Table E1 in the online supplement). Descriptions of the methods for each study are available in the online supplement.

Results

COVID-19 Autopsy Tracheobronchial Proximal Airway Epithelia Exhibit Mucin Hyperproduction

Twenty-one COVID-19 autopsy lungs were suitable for proximal airway studies (Figure 2). Intraepithelial Alcian blue and periodic acid–Schiff (AB-PAS) staining was increased in COVID-19 proximal airways as compared with controls (Figures 2A and 2B). RNA-ISH molecular studies, performed in a subset of lungs with available tissues ($n = 7$), demonstrated increased *MUC5B* expression compared with controls *MUC5AC* expression followed a similar pattern but was

not significantly different (Figures 2C and 2D). Of the seven proximal airways studied molecularly, SARS-CoV-2 viral RNA was detected by RNA-ISH in the epithelium of one acute (<20 d from symptom onset to death) and no chronic (>20 d from symptoms to death) COVID-19 autopsy lungs (Figure 2E).

Distal Airway Mucus Accumulation and Mucin Gene Expression in COVID-19 Lungs

We initially investigated COVID-19 airway mucus accumulation within all distal airway structures (i.e., bronchioles and clustered microcyst structures) (see Figures 3A and 3B, Supplementary Methods, and Figures E5 and E6). Bronchioles were defined as single airways with a diameter <2 mm, an absence of cartilage and submucosal glands, and the presence of airway smooth muscle and an adjacent blood vessel (23). Microcysts were defined by criteria developed from idiopathic pulmonary fibrosis (IPF) pathologic criteria, including clustered structures, <1 mm size, in areas adjacent to parenchymal damage/fibrosis (24–27).

We have previously reported that normal lungs exhibit 1) little mucus accumulation, 2) *MUC5AC* expression limited to large airways, and 3) *MUC5B* expression in proximal and preterminal bronchioles but not terminal bronchioles or alveoli (23). Of the 15 COVID-19 lungs suitable for distal lung studies, 14 (93%) exhibited mucus accumulation in distal airway structures, a percentage significantly greater than normal controls (Figure 3C, i). Importantly, in the COVID-19 lungs with mucus accumulation, >50% of the observed

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This article has a related editorial.

This article has an online supplement, which is accessible from this issue's table of contents at www.atsjournals.org.

At a Glance Commentary

Scientific Knowledge on the

Subject: Mucus clearance is a fundamental innate defense mechanism of the lung. Airway mucus exhibits a protective role against viral acquisition, but excessive mucus secretion and accumulation in response to viral infections can produce airway mucus obstruction. Despite reports of excessive mucus in coronavirus disease (COVID-19) clinical settings, comprehensive characterizations of the regulation of mucin secretion and sites of mucus accumulation in COVID-19 lungs have not been reported.

What This Study Adds to the

Field: MUC5B-dominated mucin hypersecretion was observed throughout airway, but not alveolar, epithelia in COVID-19 autopsy lungs. Mucus accumulation was prevalent in the distal lung bronchioles, bronchiolized microcysts, and damaged alveolar regions. Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2)-infected human bronchial epithelial cell cultures exhibited maximal SARS-CoV-2 titers at Day 3 after inoculation, whereas the induction of MUC5B and MUC5AC RNA/protein peaked at Days 7–14 after inoculation. Inhibiting epidermal growth factor receptors, abrogation of IL-1 receptor activation, or dexamethasone administration reduced human bronchial epithelial cell mucin gene induction after SARS-CoV-2 infection. Mucolytic agents, epidermal growth factor receptor/IL-1 receptor antagonists, and/or corticosteroids may be beneficial at targeted intervals for the treatment of the airway mucoobstructive component of COVID-19 lung disease.

airway structures/lung exhibited mucus accumulation (Figure 3C, ii). Airway mucus accumulation was associated with increased epithelial MUC5B but not MUC5AC expression (Figure 3C, iii, iv). Notably, in regions with preserved bronchiolar

structures, extension of MUC5B expression from preterminal into terminal/respiratory bronchioles was noted in COVID-19 but not normal airways (Figure E2). Increased *XBP1S* was also detected in distal airway epithelia, consistent with increased mucin synthesis and/or a role for *XBP1S* as a selective regulator of MUC5B expression in this region (Figure 3C, v) (28).

Sites of Mucin Hypersecretion/Mucus Accumulation in the Distal Lung

Mucus accumulation in bronchioles and microcysts likely reflects overlapping but different pathophysiologies and effects on pulmonary function. Therefore, detailed morphologic analyses were performed to assign the sites of mucus accumulation to pre-/terminal bronchiolar versus microcyst structures (Figure 4 and Supplementary Methods). Such analyses were difficult because of the degree of epithelial damage/repair and the paucity of molecular markers to distinguish the two structures.

The distributions of bronchioles and microcysts defined morphologically by hematoxylin and eosin staining in the study cohorts as a function of disease stage are shown in Figures 4A–4C. Bronchioles only were identified in control lungs. Bronchioles were identified at similar frequencies within acute (five of seven) and chronic (five of eight) COVID-19 autopsy lungs. Microcyst clusters were identified in 9 of 15 COVID-19 autopsy lungs (Figure 4C), whereas no control lungs exhibited microcysts. As predicted from the hypothesis that microcysts emerge in response to parenchymal injury in the chronic COVID-19 phase, microcysts were more common in the chronic (seven of eight) than the acute (two of seven) phase COVID-19 lungs. Numbers of bronchioles counted in this study included 31 from 5 controls (median of 6 per lung) and 95 from the 10 COVID-19 lungs (median of 6.5 per lung) in which bronchioles could be identified. Eight hundred seventy-five individual microcyst structures were counted in the 9 microcyst-containing COVID-19 autopsy lungs/specimen (median of 90 per lung).

Mucus accumulation in bronchioles and microcysts was quantitated by AB-PAS staining. The fraction of autopsy lungs with mucus obstruction of morphologically identified bronchioles was greater in COVID-19 than control lungs (Figure 4D). The magnitude of the mucoobstructive bronchiolar burden per lung was also high

in COVID-19 autopsy lungs, with an average of ~50% of bronchioles within each COVID-19 lung exhibiting mucus obstruction (Figure 4E). Parallel analyses compared mucus accumulation in microcysts. All COVID-19 lungs that exhibited microcysts had concomitant mucus accumulation (Figure 4F). In COVID-19 lungs with microcysts, ~60% of individual microcyst structures exhibited mucus accumulation (Figure 4G). Finally, a similar pattern of raised MUC5B expression, but not MUC5AC expression, as measured by RNA-ISH, was observed in both bronchiolar and microcyst structures (Figures 4H and 4I). These data suggest that the prevalence, severity, and MUC5B molecular basis of mucus accumulation is broadly similar in bronchioles and microcysts after SARS-CoV-2 infection.

Spatial Transcriptomic Studies of Distal/Terminal Bronchioles in COVID-19 Lungs

Spatial transcriptomic studies compared three COVID-19 lungs with four normal controls with goals of 1) molecular characterization of morphologically defined bronchioles versus microcysts (Figures 3 and 4) and 2) gaining insight into mucin synthesis/cell metabolic features associated with COVID-19-associated airway mucoobstruction. Regions of interest (ROIs) in two COVID-19 lungs (one acute, one chronic) were focused on morphologically defined distal bronchioles and on morphologically defined microcysts in the third chronic lung (see Supplementary Methods and Figure E3A). COVID-19 ROIs as a group were transcriptionally distinct from control ROIs, as evidenced by principal component analysis plots (Figure E3B). Trends for suppression of the distal airway markers *SFTPB* and *SCGB3A2* were noted in COVID-19 distal airway structures (Figure E3C). Alveolar epithelial genes (e.g., *SFTPC*) were not detected in the sampled ROIs (Figure E3C). Notably, among the three studied COVID-19 lungs, the one with morphologically defined microcysts (UNC#3) contained epithelial cells with expression of aberrant basaloid gene features (Figure E3D) (29), suggesting the addition of an IPF-like pathophysiology to a postviral mucoepithelial dysfunction (i.e., bronchiolization of “microcysts”), which may resemble the features observed during lung repair after influenza or parainfluenza virus infection in mice (30–33). Spatial

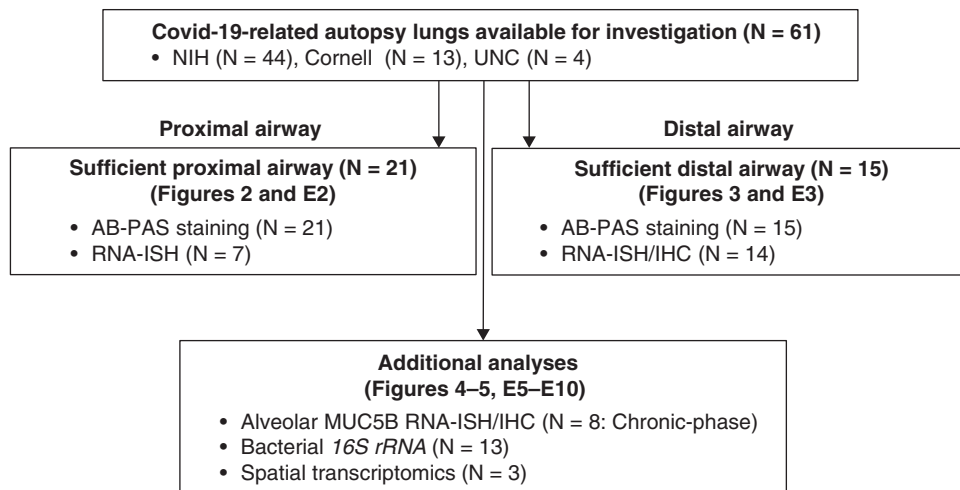


Figure 1. A diagram showing acquisition, exclusion, and allocation of coronavirus disease (COVID-19) autopsy specimens. AB-PAS = Alcian blue and periodic acid–Schiff; IHC = immunohistochemistry; NIH = National Institutes of Health; RNA-ISH = RNA *in situ* hybridization; UNC = University of North Carolina.

transcriptomic analyses of grouped COVID-19 bronchioles provided confirmatory evidence for upregulation of mucin-associated pathways, coupled to upregulated host defense response and remodeling pathways, whereas cilium assembly pathways were downregulated (Figure E4).

Alveolar MUC5B Accumulation

Immunofluorescence costaining of MUC5B with a pan-airway marker, SOX2, and an alveolar type II (ATII) cell marker, LAMP3, detected MUC5B protein in damaged COVID-19 lung alveolar spaces contiguous with bronchioles and microcysts (Figures E5 and E6). *MUC5B* mRNA expression was routinely observed in distal bronchioles and microcysts but rarely observed in MUC5B protein-containing alveoli lined by ATII cells (Figures E5 and E6).

Pathways Mediating Increased Mucin Secretion in COVID-19 Airways

The pattern of predominant upregulation of MUC5B in airway epithelia in COVID-19 lungs (Figures 3, 4, E2, E5, and E6) suggested that pathways associated with muco-obstructive diseases, not MUC5AC-dominated T2-inflammatory pathways, mediate mucin hypersecretion in COVID-19 lung disease (10, 34, 35). Ligands associated with mucin overproduction in muco-obstructive diseases (e.g., epidermal growth factor receptor [EGFR] ligands; *AREG* [amphiregulin], *IL1B*, and *IL6*) were detected by RNA-ISH in inflammatory cells located within mucus plugs and airway epithelia in

COVID-19 lungs (Figure 5A). Immunofluorescence colocalization studies demonstrated that these ligands were coexpressed most frequently with macrophage (CD68) and/or neutrophil markers (myeloperoxidase) predominately in intraluminal and submucosal regions (Figures 5B and 5C).

Bacterial Infection

To investigate the association between mucus accumulation and secondary bacterial infection, COVID-19 autopsy lungs were studied with bacterial *16S* RNA-ISH probes. Of the 12 lungs studied, 8 (67%) exhibited positive bacterial *16S* rRNA signals in mucus plugs ($n = 2$), peribronchial areas ($n = 4$), and alveolar regions ($n = 3$) (Figure E7). Thus, a significant fraction of COVID-19 lungs exhibited *16S* RNA signals that were bronchiolocentric and likely associated with accumulated mucus.

SARS-CoV-2–infected HBE Cultures and Mucin–Gene Regulation

SARS-CoV-2 titers in HBE cultures peaked at Day 3 after inoculation, followed by virus suppression or elimination by Day 14 (Figure 6A). Bulk RNA-sequencing of SARS-CoV-2–infected and mock-infected HBEs revealed that both MUC5B and MUC5AC gene expression were upregulated after SARS-CoV-2 infection and peaked in the subacute (Day 7) to chronic phase (Day 14) (Figure 6B). These findings were paralleled by upregulation of genes associated with increased mucin

gene transcription, including *SPDEF* (Figure E8A) (35–39). In contrast, RNAs coding for other host defense proteins secreted by club cells, including *SCGB1A1* and *SLPI*, were decreased or unchanged over this SARS-CoV-2 postinfection interval (Figure E8B).

Histologic studies demonstrated that AB-PAS–positive HBE cells were more frequent in SARS-CoV-2–infected versus mock cultures after SARS-CoV-2 infection at Days 3 and 14 (Figures 6C and 6D). Immunohistochemical studies of SARS-CoV-2–infected HBEs confirmed upregulation of MUC5B expression in AB-PAS–positive mucous cells at Day 14 (Figures 6C and 6E). MUC5AC protein was scarcely detected at baseline and was not significantly induced after SARS-CoV-2 infection compared with controls (Figures 6C and 6E).

We next searched the HBE bulk RNA-sequencing data for genes activated by SARS-CoV-2 infection that may be associated within an upregulation of MUC5B. IFNs (e.g., *IFNB1*, *IFNL1*, and *IFNL2*) are mucin regulatory candidates and were induced by SARS-CoV-2 (Figures 6F and E8C) (40, 41). However, the temporal patterns of expression of IFNs and IFN-stimulated genes were the inverse of mucin induction (i.e., IFNs and IFN-stimulated genes peaked at Days 1–3 and waned by Day 14) (42) (Figures 6F, E8C, and E8D). Evidence of upregulation of the IFN-induced *IDO1* and *AHR* genes implicated in mucin transcriptional regulation followed the same kinetics (Figure E8E) (42, 43). Furthermore,

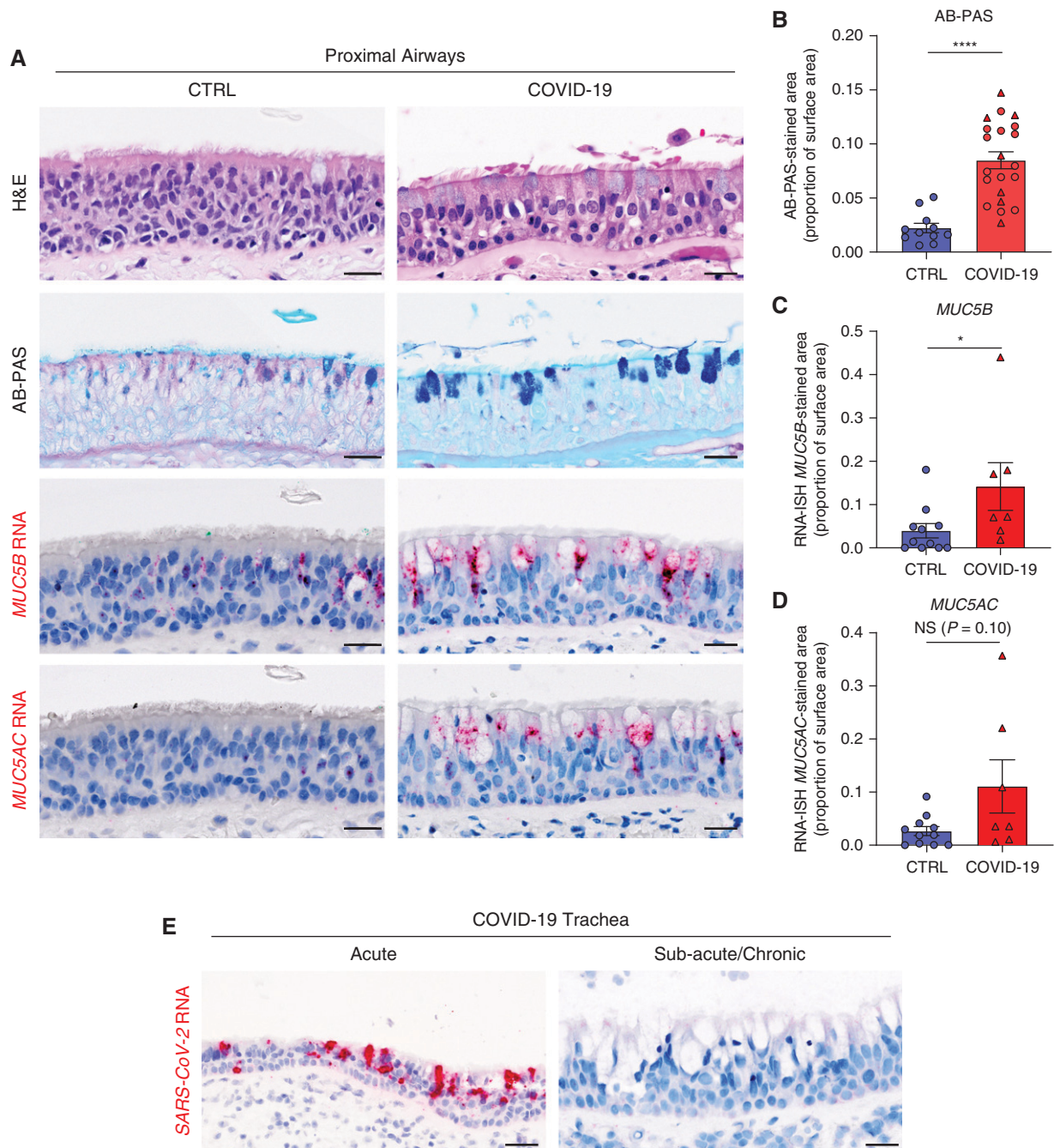


Figure 2. Goblet cell metaplasia and mucin gene expression in coronavirus disease (COVID-19) and control autopsy proximal airways. (A) Representative images from control (CTRL) and severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) autopsy (COVID-19) tracheobronchial specimens stained with hematoxylin and eosin (H&E) and Alcian blue and periodic acid–Schiff (AB-PAS) and probed by RNA *in situ* hybridization (RNA-ISH) for *MUC5B* and *MUC5AC*. (B) Morphometric quantification of AB-PAS staining signals in the tracheobronchial airways of control ($n = 11$) and COVID-19 autopsy specimens ($n = 21$). Triangles correspond to the same specimens shown in C and D. (C, D) RNA-ISH for (C) *MUC5B* and (D) *MUC5AC* signals in the tracheobronchial airways of control ($n = 11$) and COVID-19 autopsy specimens ($n = 7$). Histogram bars and error bars represent mean \pm SEM. NS = not significant; $*P < 0.05$; $****P < 0.0001$; Mann-Whitney U test. (E) Representative images from tracheas from acute and subacute/chronic COVID-19 autopsy lungs probed for SARS-CoV-2 RNA-ISH. One of five acute COVID-19 lungs (< 20 d onset from symptoms to death) was SARS-CoV-2 RNA-ISH positive, whereas neither of two chronic (> 20 d onset from symptoms to death) COVID-19 lungs was positive. Scale bars, 20 μm .

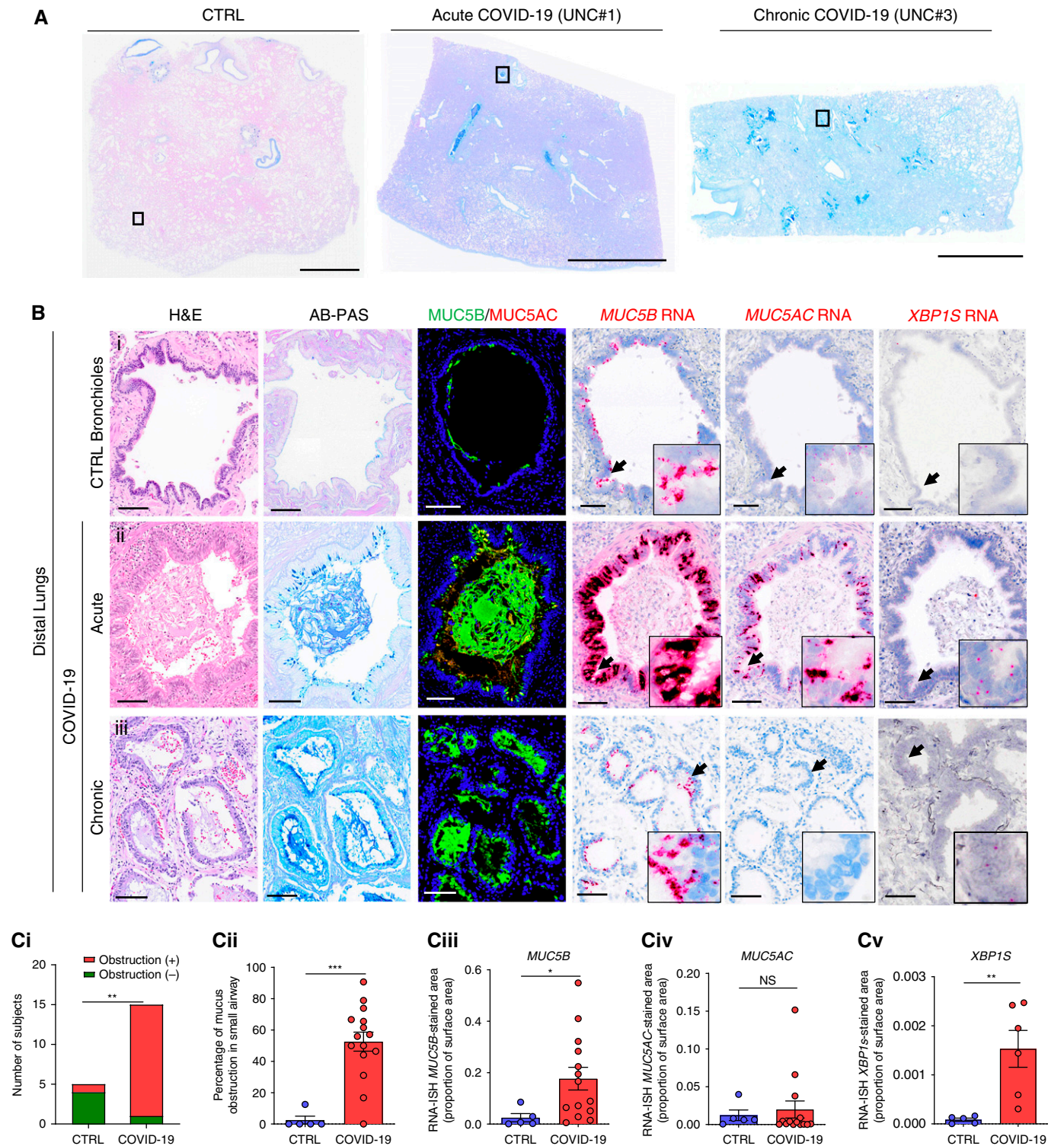


Figure 3. MUC5B and MUC5AC RNA and protein expression in distal pulmonary regions of coronavirus disease (COVID-19) and control autopsy lungs. (A) Representative low-power images of control (CTRL) and severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2)-infected (COVID-19) acute (3 d) and chronic (31 d) autopsy lung sections stained with Alcian blue and periodic acid-Schiff (AB-PAS). (B) Magnified images of boxed regions of each tissue section shown in A stained with hematoxylin and eosin (H&E) and AB-PAS; immunofluorescence staining for MUC5B and MUC5AC protein; and probed for MUC5B, MUC5AC, and XBP1S by RNA *in situ* hybridization (RNA-ISH). The control and acute COVID-19 airway structures were morphologically identified as bronchioles, whereas the structure in the chronic COVID-19 lung specimen was identified as a microcyst. Black arrows indicate the regions magnified in each inset. Scale bars, 5 mm (A); 100 μ m (B). (C, i) Numbers of control versus COVID-19 autopsy subject lungs with mucus-obstructed airways. ** $P < 0.01$; Fisher's exact test.

evidence of canonical cytochrome gene regulation by *AHR* was not detected (Figure E8F) (44). In contrast, EGFR ligand gene expression (e.g., *AREG* and *HBEGF*) was persistently elevated after SARS-CoV-2 infection (Figure 6G) (45). Despite the absence of inflammatory cells in our *in vitro* model, expression of both *IL1A* and *IL1B* was also persistently upregulated after SARS-CoV-2 infection (Figure 6H). Note, IL-6 is produced after IL-1 α/β exposure in HBE cells (35, 44, 46, 47). Consistent with IL-1 α/β induction, IL-6 expression was upregulated with SARS-CoV-2 infection, suggesting that IL-6 may also be a regulator of mucin gene expression (Figure 6H) (47). Accordingly, further characterizations of EGFR, IL-1 α/β , and IL-6 causal relationships to mucin gene transcription in SARS-CoV-2-infected HBE cells were performed.

Inhibition of EGFR Blocks Mucin Gene Induction by SARS-CoV-2 Infection

Block of EGFR pathways with either an EGFR tyrosine kinase inhibitor (gefitinib) or an EGFR monoclonal antibody (cetuximab) resulted in significant reduction of mucin gene expression in virus-infected cultures without effects on SARS-CoV-2 infectivity at Days 3 to 7 after inoculation (Figure 7). EGFR block was also associated with decreased expression of EGFR ligands (*HBEGF*, *AREG*) and inflammatory cytokines (*CXCL8*, *IL6*) but not other epithelial host defense (*SGCB1A1*, *SLPI*, *MX2*) or structural genes (*FOXJ1*, *DNAH5*) (Figures 7 and E9). The block of EGFR-mediated mucin gene transcription by an extracellular EGFR monoclonal antibody (cetuximab) suggests EGFR was activated by extracellular SARS-CoV-2-induced release of EGFR ligands (e.g., *AREG* or HB-EGF [heparin-binding EGF-like growth factor]).

IL-1R Ablation or IL-1R Inhibition Reduces Mucin Gene Induction after SARS-CoV-2 Infection

The SARS-CoV-2-induced increased RNA expression of *MUC5B* and *MUC5AC* genes was suppressed in *IL1R1* CRISPR-knockout (CRISPR-KO) cultures (Figure 8A).

Consistent with RNA data, histologic hematoxylin and eosin, AB-PAS, and whole-mount staining revealed that mucin production was inhibited in SARS-CoV-2-infected CRISPR-KO as compared with CRISPR negative control cells (Figures 8B, 8C, and E10A) (48). Note, the likely more sensitive whole-mount immunofluorescence staining technique detected *MUC5AC* as well as *MUC5B* protein induction after SARS-CoV-2 infection. However, the effects of IL-1R deletion were complex with respect to mucin induction. Most important, *IL1R1*-KO cells were less infectable than negative control cells, and infectivity was related to *MUC5B* and *MUC5AC* expression (Figures 8D and 8E). Associated with decreased infectivity, SARS-CoV-2-induced expression of mucoregulatory pathway ligands (e.g., *AREG*, *HBEGF*, and *IL6*) was also reduced in SARS-CoV-2-infected *IL1R1*-KO cells (Figure 8A). IL-1R block by an IL-1R antagonist, anakinra, though less active than *IL1R1* KO, exhibited similar trends (Figure E10D), despite the strong effect of anakinra on IL-1 β -mediated mucins and inflammatory pathways (Figure E10E).

IL-6 Receptor Block

IL-6 administration minimally affected mucin secretion in HBE cells as compared with IL-1 β as previously reported (35, 47) (Figure E11A). The IL-6R antagonist (tocilizumab) treatment did not modify mucin or related gene expression patterns after SARS-CoV-2 infection (Figure E11B).

Dexamethasone Inhibits Mucin Induction after SARS-CoV-2 Infection

Dexamethasone administration between Days 3 and 14 after SARS-CoV-2 inoculation significantly reduced *MUC5B* and *MUC5AC* RNA and protein expression at 14 days after inoculation (Figures 9A and 9B). Dexamethasone also reduced expression of *SCGB1A1* but, as expected, increased expression of epithelial sodium channel (ENaC) subunit genes (49). Similar gene regulatory responses to dexamethasone were observed in mock cultures (Figure E12).

Effect of Steroid Administration on COVID-19 Autopsy Distal Lung Mucus Accumulation/Mucin Expression

COVID-19 autopsy lungs were analyzed as a function of steroid administration. Although small in number, an interesting pattern emerged: 1) AB-PAS quantitation revealed no difference in the percentage of mucus-obstructed airway luminal structures with versus without steroid administration (Figure 9C), but 2) trends for decreased *MUC5B* and *MUC5AC* expression were observed in steroid-treated versus non-steroid-treated subjects (Figures 9D).

Discussion

The principal lower respiratory features of severe phase COVID-19 include dry cough, pneumonia, and hypoxemia. Although there are case reports suggesting airway mucus hypersecretion is also a feature of COVID-19, there are no comprehensive datasets describing the time course and sites of mucus hypersecretion/accumulation or mechanisms that trigger mucin hypersecretion in COVID-19 lungs (20, 50, 51).

Studies of proximal airway epithelia in COVID-19 autopsy lungs by RNA-ISH detected SARS-CoV-2 viral RNA coding for the spike protein in airway epithelia in acute phase disease but not in subacute to chronic phase disease (Figure 2E), consistent with patterns from other COVID-19 autopsy studies (52–54). Goblet cell metaplasia, characterized by *MUC5B*-dominated and more variable *MUC5AC* mucin expression, was observed in chronic phase COVID-19 tracheobronchial samples, consistent with previous reports of proximal airway mucin induction (16, 42) and endotracheal tube mucus obstruction (18, 19) in subjects with COVID-19 with prolonged ICU stays.

An important observation made by this study was the high prevalence (>90% of subjects) (Figures 3C, i; 4D; and 4F) and extensive fraction (~50% of airways affected per subject) of mucus accumulation in distal airway epithelium-lined structures in

Figure 3. (Continued). $n=5$ for control and $n=15$ for COVID-19. (C, ii) Percentage of distal airways within each autopsy lung specimen obstructed by mucus for control ($n=5$) and COVID-19 ($n=15$) lungs. Mann-Whitney *U* test. Total airway structures counted for control lungs = 31 (median, 6 per lung) and for COVID-19 lungs = 970 (median, 34 per lung). (C, iii–v) Morphometric quantification of RNA-ISH (C, iii) *MUC5B*, (C, iv) *MUC5AC*, and (C, v) *XBP1S* expression in distal airway structures within control ($n=5$) and COVID-19 autopsy lungs ($n=14$ for *MUC5B* and *MUC5AC* and $n=7$ for *XBP1S*). Histogram bars and error bars represent mean \pm SEM. Mann-Whitney *U* test. NS = not significant; * $P < 0.05$; *** $P < 0.001$. UNC = University of North Carolina.

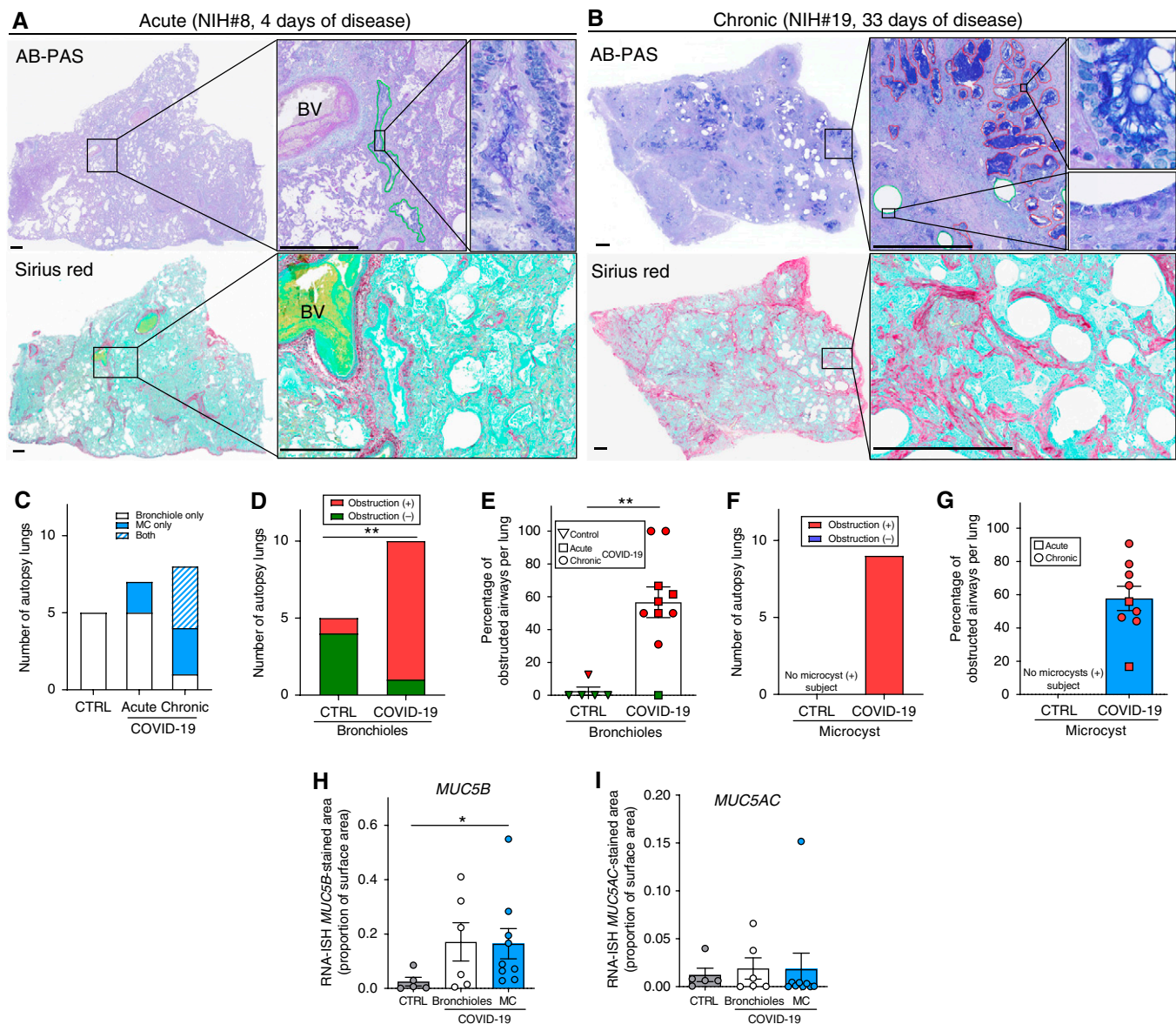


Figure 4. Mucus obstruction in coronavirus disease (COVID-19) bronchiolar and microcystic structures of COVID-19 autopsy lungs. (A, B) Representative images of Alcian blue and periodic acid–Schiff (AB-PAS) and Sirius red staining for acute (A; $n=7$) and chronic (B; $n=8$) phase COVID-19 autopsy lungs. (A) AB-PAS–stained acute COVID-19 lung with bronchioles scored outlined in green and depicted in the magnified image to the right. (B) AB-PAS–stained chronic COVID-19 autopsy lung with microcysts outlined in red and in the magnified image to the right. Scale bars, 1 mm. (C) Number of control (CTRL) and acute versus chronic COVID-19 autopsy lungs that exhibit morphologically defined bronchioles only, microcysts only, or a combination of bronchioles and microcysts. (D) Numbers of control versus COVID-19 autopsy lungs with identified bronchioles without (–) or with (+) bronchiolar mucus accumulation/obstruction. (E) Percentage of mucus-obstructed bronchioles in each control and COVID-19 autopsy lung shown in D. Numbers bronchioles counted = 31 from 5 controls (median, 6 per lung) and 95 from 10 COVID-19 autopsy lungs (median, 6.5 per lung) in which bronchioles were morphologically identified. (F) Fraction of COVID-19 lungs with morphologically identified microcysts that exhibited concomitant mucus accumulation. Note: No control lungs exhibited microcysts. (G) Percentage of microcyst structures within each microcyst-containing COVID-19 autopsy lung with significant ($\geq 50\%$ lumen) mucus accumulation. No microcysts for mucus accumulation in controls. No microcysts for mucus accumulation in controls. (H, I) MUC5B (H) and MUC5AC (I) RNA *in situ* hybridization (RNA-ISH)–stained area normalized to airway surface epithelia, subgrouped by the presence of microcysts (MC). Histogram bars and error bars represent mean \pm SEM. Fisher’s exact test (D), Mann-Whitney *U* test (E, G–I). * $P < 0.05$; ** $P < 0.01$. BV = blood vessel; NIH = National Institutes of Health.

COVID-19 lungs (Figures 3C, ii; 4E; and 4G). However, the phenotype of distal airway mucus accumulation in COVID-19 autopsy lungs is complex and reflects multiple time-

and space-dependent pathophysiology. Preterminal and terminal bronchioles, beginning with the acute phase of disease, exhibited significant mucus accumulation/

obstruction (Figures 3 and 4). MUC5B was the dominant mucin upregulated in bronchiolar epithelia (Figures 3, 4, and E2). Post-SARS-CoV-2 infection-induced

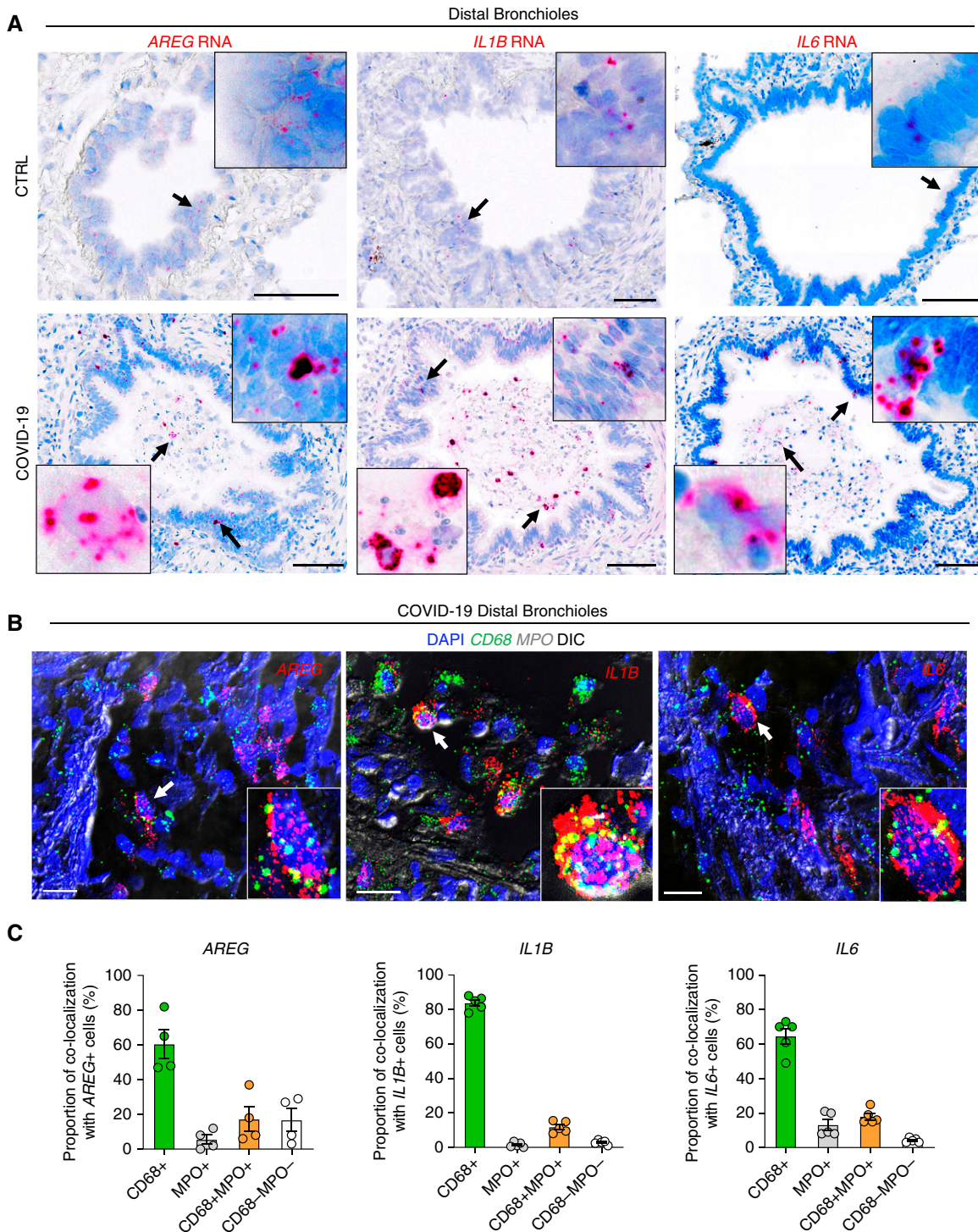


Figure 5. Identification of candidate mucin transcriptional regulators *AREG* (amphiregulin), *IL1B*, and *IL6* via RNA expression in coronavirus disease (COVID-19) autopsy lungs. (A) *AREG*, *IL1B*, and *IL6* RNA expression in representative control (CTRL) and COVID-19 bronchioles. Upper right and lower left insets show intraepithelial and lumen areas, respectively. (B) Confocal microscopic images of fluorescence RNA *in situ* hybridization (RNA-ISH) for *AREG*, *IL1B*, or *IL6* (red) with a macrophage marker *CD68* (green) and a neutrophil marker *MPO* (myeloperoxidase; gray) with differential interference contrast (DIC) imaging of distal bronchioles in COVID-19 autopsy lungs. Arrows indicate the regions magnified in insets. Scale bars, 100 μ m (A); 20 μ m (B). (C) Frequency of *AREG*, *IL1B*, and *IL6* colocalization with *CD68*⁺, *MPO*⁺, or double-positive/double-negative cells in the COVID-19 autopsy lungs ($n=4$ or 5). A total of 200 randomly selected *AREG*/*IL1B*/*IL6*-positive cells were counted.

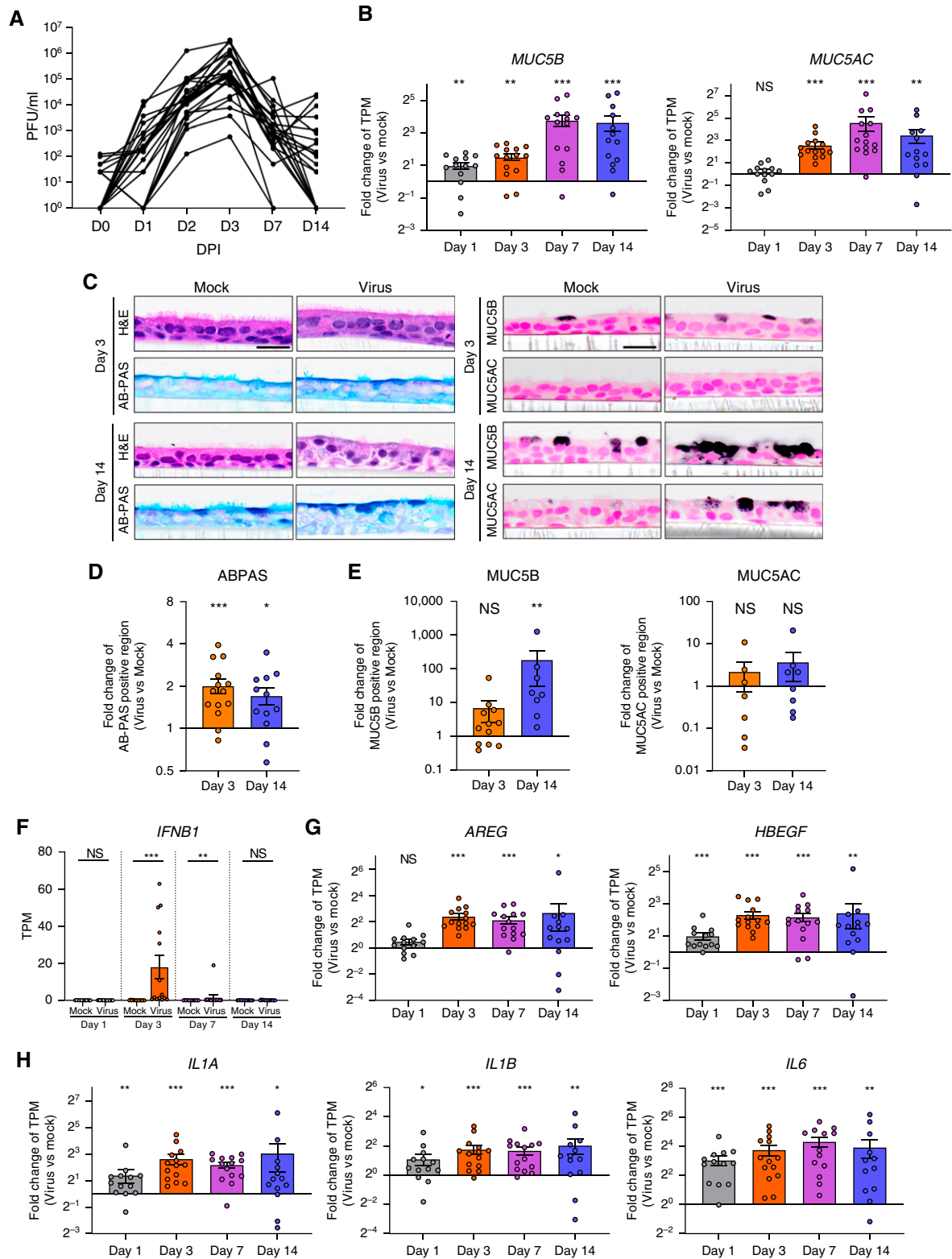


Figure 6. Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection of human bronchial epithelial (HBE) cultures over a 14-day interval. (A) Viral titers as plaque-forming units per milliliter (PFU/ml) over time. DPI = days postinfection. (B) Fold changes (virus vs. mock) in RNA transcripts per million (TPM) for the secretory mucins (*MUC5B* and *MUC5AC*) over time. (C) Histological sections of HBE cells at Days 3 and 14 after SARS-CoV-2 infection as visualized by hematoxylin and eosin (H&E) staining, Alcian blue and periodic acid–Schiff (AB-PAS) staining, and *MUC5B* and *MUC5AC* immunohistochemistry. Scale bar, 20 μ m. (D) Fold changes (virus vs. mock) of HBE cell AB-PAS–positive

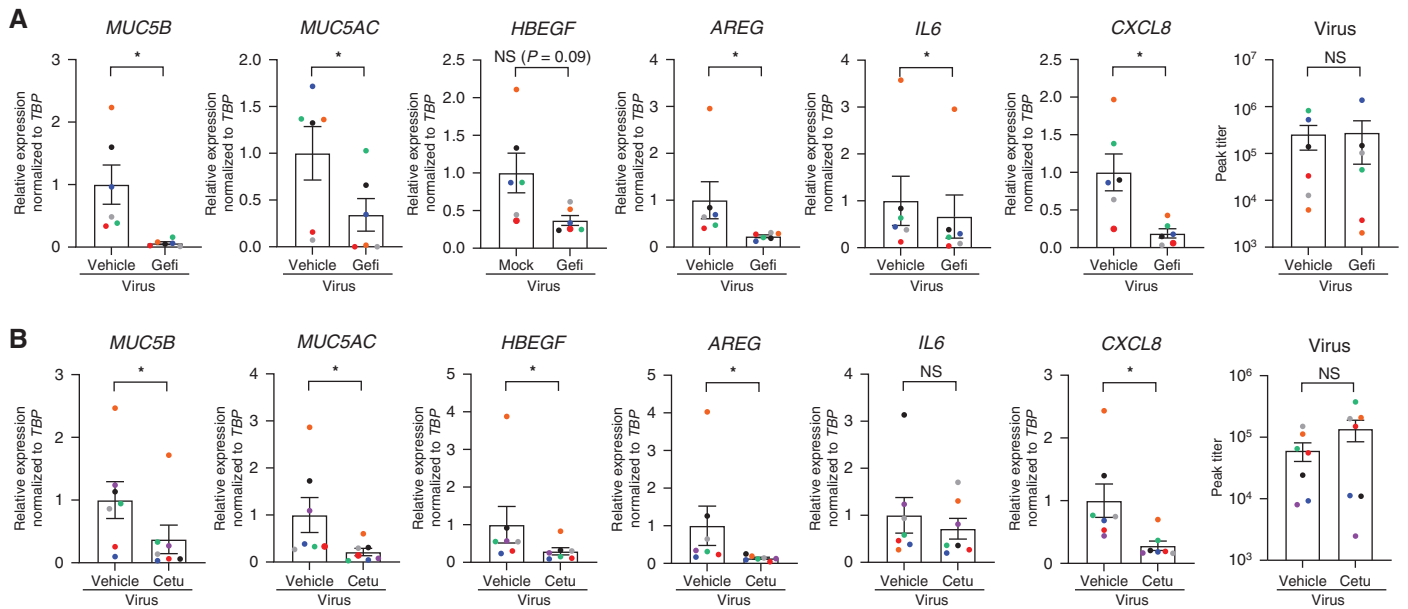


Figure 7. Effect of epidermal growth factor receptor (EGFR) inhibition on secretory mucin RNA expression and peak viral titers in severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2)-infected human bronchial epithelial (HBE) cultures. (A, B) Mucin, EGFR ligand, cytokine (*IL6*, *CXCL8*) gene expression, and peak SARS-CoV-2 virus titers with EGFR tyrosine kinase inhibitor (gefitinib [Gefi]) (A) or EGFR monoclonal antibody (cetuximab [Cetu]) (B) administration versus vehicle. Data were derived from HBE cells from $n = 6$ or 7 donors. No correction has been made for multiple statistical comparisons. Histogram bars and error bars represent mean \pm SEM. Wilcoxon test. NS = not significant; * $P < 0.05$.

epithelial and inflammatory cell signaling pathways, including EGFR and cytokines (*IL-1 α/β* , *IL-6*, nuclear factor [NF]- κ B), likely mediate a significant component of the upregulation of *MUC5B* mucin transcription in these structures (Figures 5–8). These data suggest that bronchiolar mucus obstruction could contribute to the hypoxia, pulmonary inflammation, and secondary bacterial infection characteristic of the COVID-19 syndrome. Notably, the peripheral location of mucus plugging may explain why productive sputum is not a classic feature of COVID-19 (55). Our bronchiolar mucus plugging data are consistent with a recent computed tomography–based study of small airway disease after SARS-CoV-2 infection that revealed a high incidence of air trapping/small airway disease in COVID-19 survivors (56).

In parallel, a significant number of mucus-plugged microcyst-like structures,

typically associated with damaged/fibrotic regions, were observed predominantly in chronic (>20 d) autopsy lungs (Figures 3, 4, E3, and E4). Microcyst-like structures have been observed after severe viral infection in mice, likely reflecting in part bronchiolization of damaged alveolar regions (30–33). Mucus accumulation with increased *MUC5B* expression in terminal bronchioles that do not normally express *MUC5B* and “bronchiolized microcysts” has also previously been reported in IPF (26, 29, 57, 58). Extensive molecular profiling of these affected regions in IPF has revealed complex and dynamic interrelationships between terminal bronchiolar secretory cells, termed “terminal airway-enriched secretory cells” or “respiratory airway secretory cells,” basal cells, and ATII/AT0 cells (59–63). Our molecular analyses of cell types lining distal airspace structures in SARS-CoV-2–infected lungs were limited

by virus- and/or inflammation-induced suppression of defining distal bronchiolar cell genes (e.g., *SCGB3A2*) (Figure E3C). Nonetheless, global spatial transcriptomic approaches suggested that morphologically defined terminal bronchioles in COVID-19 specimens exhibited club cell–like gene signatures, including *NOTCH*, suppressed ciliary genes, and upregulation of *MUC5B* and mucin secretory pathways, whereas the more distal microcyst-like structures were lined by *MUC5B*-expressing secretory epithelia but also with the basaloid-type cells reported in distal IPF microcysts (Figure E3D) (64, 65). This finding, plus the association of microcysts with areas of fibrosis, raises the possibility that IPF-like pathophysiologies also contribute to the increased *MUC5B* expression and mucus accumulation in the terminal respiratory units of subjects with later-stage COVID-19. Ultimately, elucidating the time and spatial

Figure 6. (Continued). areas normalized to basement membrane lengths at Days 3 and 14 after SARS-CoV-2 infection. (E) Fold changes (virus vs. mock) of *MUC5B*- and *MUC5AC*-positive areas normalized to HBE epithelial areas at Days 3 and 14 after SARS-CoV-2 infection. (F) *IFN- β* gene expression (expressed as transcripts/million [TPM]) in virus-infected versus mock cultures at Days 1, 3, 7, and 14 after inoculation. See Figure E11C for other *IFN* genes. (G) Fold changes (virus vs. mock) in epidermal growth factor receptor (EGFR) ligands (*AREG* [amphiregulin] and *HBEGF*) over time after infection. (H) Fold changes (virus vs. mock) in mucin-regulatory cytokine genes *IL1A*, *IL1B*, and *IL-6*. $n = 14$ individual HBE donors. Histogram bars and error bars represent mean \pm SEM. One-sample Wilcoxon test (B, D, E, G, H) and Wilcoxon test (F). NS = not significant; * $P < 0.05$; ** $P < 0.01$; *** $P < 0.001$.

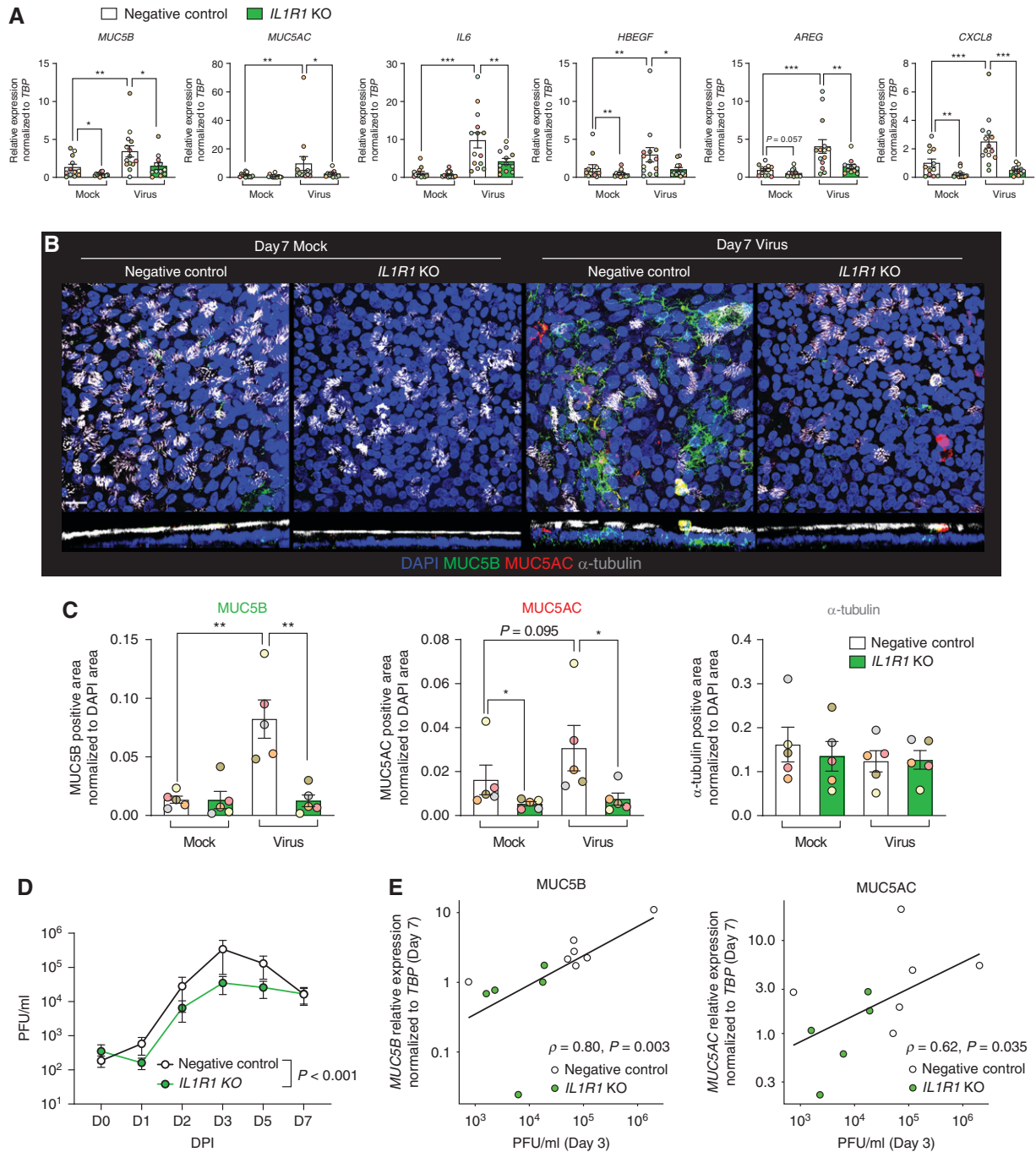


Figure 8. Effect of IL-1 receptor modulation on secretory mucin RNA expression and severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) viral infectivity. (A) Comparison of SARS-CoV-2 infection of CRISPR/Cas9-mediated IL-1 receptor knockout (KO) versus negative control (NC) human bronchial epithelial (HBE) cells with respect to relative mRNA expression of *MUC5B*, *MUC5AC*, *IL6*, *HBEGF*, *AREG*, and *CXCL8* normalized to *TBP* after 3- and 7-day inoculation. Data were derived from HBE cells from $n = 5-7$ donors. (B) Representative whole-mount immunofluorescence staining images for MUC5B (green), MUC5AC (red), α -tubulin (cilia; gray), and DAPI (nuclei) in NC or *IL1R1* CRISPR KO HBE cells 7 days after SARS-CoV2 infection. Scale bar, 20 μ m. (C) Quantification of MUC5B, MUC5AC, and α -tubulin staining area in *IL1R1* KO versus NC HBEs normalized to DAPI-positive area. $n = 5$ donors. (D) SARS-CoV-2 viral titers in plaque-forming units per milliliter (PFU/ml) in NC versus *IL1R1* CRISPR KO HBE cells after SARS-CoV2 infection over 7 days. $n = 7$ donors. DPI = days postinfection. (E) Linear regressions showing correlations between viral titers (PFU/ml) at Day 3 and *MUC5B* or *MUC5AC* RNA expression at Day 7. $n = 5-7$ donors. No correction has been made for multiple statistical comparisons. Scatterplot histograms present mean \pm SEM. Mixed effect model (A, D), Mann-Whitney *U* tests (C), and Spearman's rank-order correlation tests ($\rho =$ Spearman's rho) (E). * $P < 0.05$; ** $P < 0.01$; *** $P < 0.001$.

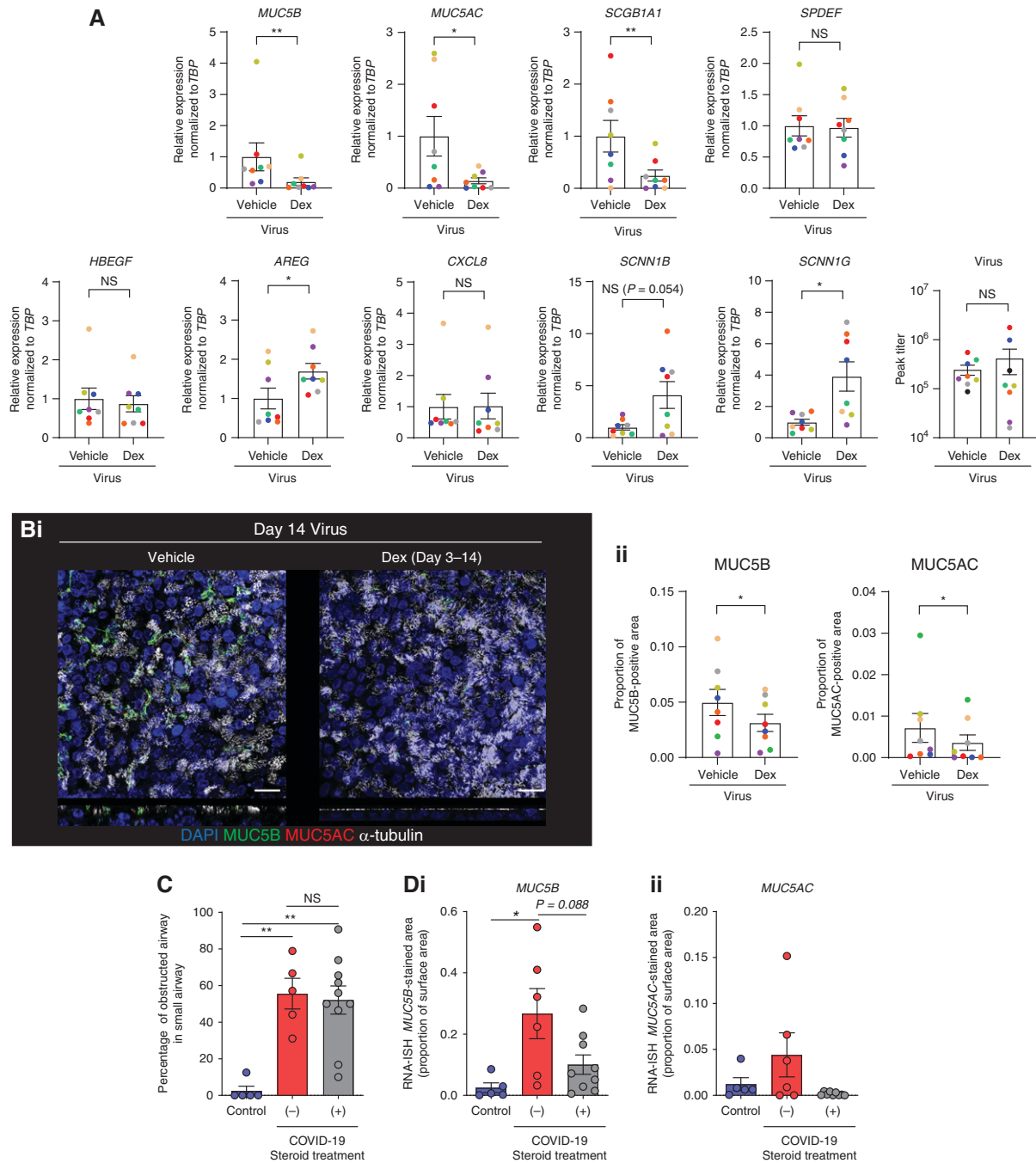


Figure 9. Effect of dexamethasone treatment on severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2)-infected human bronchial epithelial (HBE) cultures and coronavirus disease (COVID-19) autopsy lungs. (A) Relative expression of mucin, *SCGB1A1* (secretoglobin 1A1), *SPDEF* (sterile α -motif pointed domain epithelial specific transcription factor), epidermal growth factor receptor (EGFR) ligands, cytokines, and epithelial sodium channel (ENaC) subunit genes normalized to *TBP*, and peak virus titers. (B, i) Representative images of whole-mount vehicle versus dexamethasone (Dex)-treated HBE cultures stained for MUC5B (green), MUC5AC (red), cilia (α -tubulin; white), and nuclei (blue). Scale bars, 20 μ m. (B, ii) Signal quantification in whole mounts of MUC5B and MUC5AC for SARS-CoV-2-infected HBE cultures treated with vehicle versus dexamethasone at 14 days after infection. Data were derived from HBE cells from $n = 8$ donors. (C) Percentage of airways obstructed/lung for COVID-19 autopsy lungs from patients treated (+) or not (-) with steroids during their clinical course (see Figure 4). (D) Relationships between MUC5B (D, i) and MUC5AC (D, ii) expression in COVID-19 autopsy lungs from patients not treated with (-) or treated with (+) steroids during their clinical course. RNA-ISH = fluorescence RNA *in situ* hybridization. Histogram bars and error bars represent mean \pm SEM. Wilcoxon tests (B) and Mann-Whitney *U* tests (D). NS = not significant; * $P < 0.05$; ** $P < 0.01$.

evolution of the multiple pathophysiologies that may coalesce on mucin gene regulation/mucus accumulation in pre-/terminal bronchioles and alveolar ducts, and perhaps, eventually, microcysts, in the COVID-19 syndrome may require, among other studies, lineage-tracing studies in SARS-CoV-2-infected animal models (66).

Similarly, the implications of MUC5B accumulation in damaged alveoli of patients with COVID-19 are not yet clear. Aberrant MUC5B expression in alveolar regions has been reported in IPF, especially in association with a MUC5B promoter polymorphism (26, 57). Our RNA-ISH and immunohistochemical studies, however, suggest that MUC5B protein accumulation in alveoli of COVID-19 lungs did not reflect ectopic MUC5B secretion by ATII cells (Figures E5 and E6). It is possible that the extension of MUC5B expression into the terminal/respiratory bronchioles of patients with COVID-19, where MUC5B is not normally expressed, and/or microcysts allowed retrograde transport of secreted MUC5B protein into alveoli. Longitudinal studies of post-COVID-19 subjects are required to ascertain whether alveolar mucin accumulation and MUC5B polymorphisms relate to the incidence/severity of long-term clinical pulmonary outcomes.

Studies of inflammatory cells recovered by BAL from patients with COVID-19 lung disease and spatial transcriptomic studies of COVID-19 autopsy lungs have described pulmonary inflammatory cells as sources of mucoregulatory ligands (64, 67, 68). Our autopsy lung studies identified the proximity of inflammatory cells expressing AREG and IL-1 β to airway epithelia that may add to or, perhaps, dominate intraepithelial mucin regulatory responses in chronic phase COVID-19 lungs (Figures 5B and 5C). These mucoregulatory ligands almost certainly are involved in mucin regulation throughout all airway regions but also may be important in upregulation of MUC5B in bronchiolized epithelial microcysts (24–26, 57).

SARS-CoV-2-infected HBE cultures investigated during acute (Days 1–3) and subacute/chronic (Days 7–14) phases provided insights into epithelium-intrinsic mechanisms of SARS-CoV-2-infected airway epithelial mucin gene induction. MUC5B and MUC5AC RNA

and MUC5B protein concentrations increased coincident with waning infection/longer times after inoculation (Figure 6) (65). This pattern is consistent with our proximal airway COVID-19 autopsy data (Figure 2) and previous reports describing acutely infected COVID-19 patients with high viral loads and low mucin gene expression as compared to chronic COVID-19 patients with no/low viral loads, increased mucin gene expression, and persistent airway mucus production (19, 69).

A series of *in vitro* HBE studies suggested potential causal relationships between candidate SARS-CoV-2-induced mucoregulatory pathways and mucin transcription. For example, two different EGFR inhibitors produced significant reductions of mucin gene expression after SARS-CoV-2 infection (Figure 7). *IL1R1* KO blunted viral replication, which hindered discrimination between direct versus indirect (e.g., inhibition of viral replication) effects on observed reductions in mucin gene transcription (Figure 8). The finding of reduced viral replication with IL-1R block/deletion is consistent with recent reports that IL-1 β increases HBE cell SARS-CoV-2 infectivity (70). Studies of IL-6 monoclonal antibody-treated HBE cultures suggested that IL-1 α/β -dependent induction of IL-6 production was not a significant contributor to mucin regulation (Figure E11). In sum, these data suggest that HBE mucin gene regulation in response to SARS-CoV-2 infection is mediated by multiple pathways, including both EGFR and IL-1R pathways, which may exhibit crosstalk with direct SARS-CoV-2 activation of NF- κ B (70–74). Further studies are required to elucidate the integrated epithelial regulation of post-SARS-CoV-2 mucin regulatory responses.

Finally, the potential inhibitory effects of dexamethasone on mucin transcription, a recommended therapy for severely ill patients with COVID-19 requiring oxygen, ventilatory support, and/or acute respiratory distress syndrome, were studied (75–77). Dexamethasone administration initiated 3 days after SARS-CoV-2 infection of HBE cultures reduced MUC5B and MUC5AC expression at both RNA and protein levels 14 days after inoculation (Figure 9). These data paralleled the COVID-19 autopsy subgroup analysis revealing trends for reduced *MUC5B*

and *MUC5AC* RNA expression in the steroid- versus non-steroid-treated patients (Figure 9). These observations suggest that one mode of dexamethasone effectiveness in the clinic reflects its mucoinhibitory activity. Systematic studies of COVID-19 mucus plugging in dexamethasone-treated subjects, complementing our *in vitro* observations, will be required to rigorously test this possibility in clinical settings.

However, as with many therapeutic agents, dexamethasone exhibited multiple effects on RNA expression, some of which may be adverse. For example, dexamethasone reduced *SCGB1A1* expression, suggesting dexamethasone may impair mucosal immunity and/or alter airway cell populations. Furthermore, the observed dexamethasone induction of ENaC subunit expression is well characterized and may result in accelerated Na⁺/fluid absorption, dehydration of bronchiolar epithelial surfaces, and production of hyperconcentrated, difficult-to-clear mucus (78). The observations that the dexamethasone-treated subgroup of our COVID-19 autopsy cohort exhibited AB-PAS-defined mucoobstruction similar to the untreated subgroup, despite reduced mucin gene expression, may be consistent with a steroid-ENaC off-target effect (78, 79).

Limitations

Our study has limitations. For example, >50% of the autopsy specimens investigated exhibited extensive airway epithelial detachment/sloughing, causing exclusion from histologic/molecular investigations (Figures 1 and E1). One report indicated that tracheobronchial obstruction observed in COVID-19 might be caused by sloughed epithelium (18). However, it was not possible to discern whether the sloughing observed histologically reflected virus-induced disease or postmortem artifacts. Our morphometric analyses were also limited by absence of inflation procedures for COVID-19 lungs and limited blocks/lung for analyses. The limitations in defining terminal bronchiolar/microcyst structures are discussed above (Figure E3C). Finally, our COVID-19 autopsy cohort included specimens from patients early in the pandemic, without steroid treatment, and later specimens from patients treated with steroid regimens that varied in dose, agent,

and duration. Although this treatment difference allowed some quantitative comparisons, the variation in this therapeutic agent and others remains a limitation.

In summary, *in vivo* and *in vitro* studies demonstrated that SARS-CoV-2 infection causes striking subacute to chronic MUC5B-dominated distal airway mucus accumulation via, in part, EGFR- and IL-1R-dependent pathways. These data suggest that direct-acting mucolytic agents, and perhaps inhibitors of mucin transcriptional regulatory pathways, may be beneficial at targeted times in the COVID-19 clinical course. The opposing effects of dexamethasone administration

(i.e., reduced mucin gene expression) raised ENaC expression in SARS-CoV-2-infected HBE cultures, which suggests the need to clarify the risk/benefit ratio of this agent in treating the mucoobstructive component of SARS-CoV-2-induced lung disease. Finally, the mucus plugging in the distal microcyst regions associated with fibrosis may reflect a different time domain/IPF-like pathophysiology (e.g., XBP1S dependent). The role of antifibrotic agents in this disease manifestation is under investigation in mouse models and clinical trials (66). An important challenge for therapy of all phases of disease will be to identify effective biomarkers of bronchiolar/distal

lung mucus to gauge therapeutic effectiveness. ■

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