Hindawi Publishing Corporation Pulmonary Medicine Volume 2012, Article ID 736290, 9 pages doi:10.1155/2012/736290

Research Article

The Effect of Ventilation, Age, and Asthmatic Condition on Ultrafine Particle Deposition in Children

Hector A. Olvera,^{1,2} Daniel Perez,² Juan W. Clague,³ Yung-Sung Cheng,⁴ Wen-Whai Li,² Maria A. Amaya,⁵ Scott W. Burchiel,⁶ Marianne Berwick,⁶ and Nicholas E. Pingitore^{3,5}

Correspondence should be addressed to Hector A. Olvera, holvera@utep.edu

Received 15 October 2011; Revised 10 April 2012; Accepted 24 April 2012

Academic Editor: Cecilie Svanes

Copyright © 2012 Hector A. Olvera et al. This is an open access article distributed under the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited

Ultrafine particles (UFPs) contribute to health risks associated with air pollution, especially respiratory disease in children. Nonetheless, experimental data on UFP deposition in asthmatic children has been minimal. In this study, the effect of ventilation, developing respiratory physiology, and asthmatic condition on the deposition efficiency of ultrafine particles in children was explored. Deposited fractions of UFP ($10-200\,\mathrm{nm}$) were determined in 9 asthmatic children, 8 nonasthmatic children, and 5 nonasthmatic adults. Deposition efficiencies in adults served as reference of fully developed respiratory physiologies. A validated deposition model was employed as an auxiliary tool to assess the independent effect of varying ventilation on deposition. Asthmatic conditions were confirmed via pre-and post-bronchodilator spirometry. Subjects were exposed to a hygroscopic aerosol with number geometric mean diameter of $27-31\,\mathrm{nm}$, geometric standard deviation of 1.8-2.0, and concentration of 1.2×10^6 particles cm⁻³. Exposure was through a silicone mouthpiece. Total deposited fraction (TDF) and normalized deposition rate were 50% and 32% higher in children than in adults. Accounting for tidal volume and age variation, TDF was 21% higher in asthmatic than in non-asthmatic children. The higher health risks of air pollution exposure observed in children and asthmatics might be augmented by their susceptibility to higher dosages of UFP.

1. Introduction

Particles smaller than 100 nm, due to their size, can elude human defense mechanisms, penetrate deep into the body, reach the bloodstream, and accumulate in sensitive target sites such as bone marrow, lymph nodes, spleen, heart, brain, and the central nervous system [1–9]. The distinctive translocation properties of nanoparticles have prompted their application as drug carrying vectors and in early detection, diagnosis, and treatment of diseases [7, 10–19]. Unfortunately, such translocation properties might also explain why ultrafine particles (UFP) significantly contribute to the elevated health risks associated with urban air pollution

[3, 20–22]. In particular, UFPs have been shown to impact the cardiovascular, pulmonary, and central nervous systems, especially in children, the elderly, and those with respiratory diseases [5, 20–26]. Exposure to UFPs has also been linked to pulmonary inflammation and increased susceptibility to respiratory infections as well as increased risk of cancer, chronic obstructive pulmonary diseases, and exacerbation of asthma [27–38].

Despite extensive research on the health effects of air pollution, the fundamental mechanisms by which UFP could induce disease remain elusive. Further research (e.g., absorption, biopersistence, carcinogenicity, translocation to other

¹ Center for Environmental Resource Management, University of Texas at El Paso, 500 W. University Avenue, El Paso, TX 79968, USA

² Civil Engineering Department, University of Texas at El Paso, 500 W. University Avenue, El Paso, TX 79968, USA

³ Geological Sciences Department, University of Texas at El Paso, 500 W. University Avenue, El Paso, TX 79968, USA

⁴ Aerosol and Dosimetry Program, Lovelace Respiratory Research Institute, 2425 Ridgecrest Dr. SE, Albuquerque, NM 87108-5127, USA

⁵ School of Nursing, University of Texas at El Paso, 500 W. University Avenue, El Paso, TX 79968, USA

⁶ Center for Environmental Health Sciences, University of New Mexico, Los Lunas, NM 87131, USA

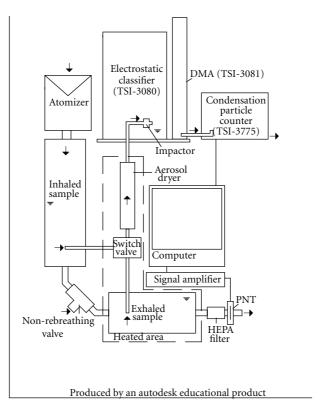
tissues or organs, etc.) is necessary to support a comprehensive assessment of the risks associated with human inhalation exposure to UFP. Advances in the epidemiology, toxicology, and pharmacology of nanoparticles hinge on the ability to accurately determine dose-based susceptibility associated with inhalation exposure. As prevalence of asthma and other respiratory illnesses remains high among children, understanding their underlying susceptibility to air pollution is urgent [39-43]. In asthmatics, greater UFP deposition might be induced by enhanced diffusional mechanisms caused by airway obstruction and increased alveolar volumes. In children, however, developing respiratory physiology and changes in breathing patterns could further induce deposition variability [44-46]. In adults, asthmatic conditions have been observed to significantly increase UFP deposition [47]. In children, the effect of asthmatic conditions on deposited fraction of ultrafine particles remains undetermined. The objective of this study was to explore the effect of ventilation, developing respiratory physiology, and asthmatic condition on the deposition efficiency of poly-dispersed hygroscopic ultrafine particles in children. Deposition efficiencies of healthy adults were determined to serve as reference of fully developed respiratory physiologies. The International Commission on Radiological Protection deposition model [48] was employed as an auxiliary tool to assess the independent effect of ventilation on deposition efficiency.

2. Methods

2.1. Subjects. The Institutional Review Board for the protection of human subjects participating in research at the University of Texas at El Paso approved the research protocol (no. 93915). Informed written consent forms were obtained from subjects or their legal guardians in the case of children. Assent forms were obtained directly from children. The experiment was conducted on a group of 22 male subjects, 5 nonasthmatic adults, 8 nonasthmatic children, and 9 clinically diagnosed asthmatic children. Non-smoking adults between 25 and 35 years of age with no history of asthmatic symptoms were recruited at the University of Texas at El Paso. Children between the ages of 9 and 16, from nonsmoking households, were randomly selected from an existent cohort of 500 children. The cohort was built for purposes of a larger epidemiological study for which children asthmatic status was confirmed as described next. Suspected asthmatics were identified based on the standardized asthmatic symptom prevalence questionnaire from the International Study of Asthma and Allergies in Childhood (ISAAC) [49]. Subsequently, lung function tests (spirometry and bronchodilator response) were performed following the American Thoracic Guidelines (ATS) [50]. A forced expiratory volume in 1 s $(FEV_1) \ge 90\%$ of predicted was used as a healthy threshold. A forced expiratory volume in 1 s (FEV₁) \leq 80% of predicted, a ratio of FEV₁ to forced vital capacity (FVC) \leq 75%, and a positive bronchodilator response were used as asthmatic thresholds. A positive bronchodilator response was defined as an increase in FEV₁ \geq 15% and/or \geq 200 mL from baseline after inhalation of 400 μ g of albuterol.

2.2. Experimental Design. The experiment was conducted between February and May, 2010. Deposition measurements were conducted during uncontrolled breathing. Breathing frequency (breaths per minute, bpm), tidal volume (liters, L), and minute ventilation (liters per minute, lpm) were recorded with a pneumotachograph (PNT) during exposure. Height, weight, and body mass index (BMI) were also documented. The variables were categorized into groups representing varying ventilation and physiological conditions. The ventilation group included breathing frequency, minute ventilation, and tidal volume, whereas the physiological group included BMI, height, weight, and age. The objective was to evaluate the combined influence of varying ventilation and physiology on UFP deposition, by paring and controlling for variables from these two groups. Pulmonary function immediately before exposure was assessed by means of forced expiratory volume and forced vital capacity measured with an EasyOne spirometer (NDD Medical Technologies, Andover, MA) following previously documented protocols [51]. Pearson correlation was employed to assess associations between variables. Deposition means between groups were compared by two-tailed Student's t-tests with P < 0.05denoting significance [52]. The effect of asthmatic condition adjusted for ventilation and physiological variability was explored via one-way analyses of covariance (ANCOVA) [53]. The International Commission on Radiological Protection (ICRP 66) deposition model [48] was employed to assess the effect of varying ventilation independently.

2.3. Exposure. Subjects were exposed to polydisperse sodium chloride (NaCl) produced via atomization (TSI. Inc., Model 3076) of a salt-deionized water solution of 1% by mass. The NaCl aerosol had a geometric mean mobility diameter (GMD) of 27–31 nm, a geometric standard deviation (GSD) of 1.8–2.0, and a total concentration of 1.2×10^6 particles cm⁻³. The particle number concentration is comparable to the levels of ultrafine particle typically observed near dense traffic highways [54–56]. Sodium chloride particles were used because they do not exacerbate asthmatic symptoms. The system was extensively characterized with NaCl particles and particle shift and loss were known to be minimal [57]. Two size-resolved deposited fraction (DF) curves for particles with mobility diameters in the range 10-200 nm were determined per subject per measurement. Each measurement was duplicated on a nonconsecutive day. Each DF curve measurement was obtained during a 12-minute exposure period. A short period was desired to facilitate children's participation. The exposure period was defined as the shortest time span for which consistent measurements were achieved. Exposure was through a silicone mouthpiece assisted by a nose-clip. The use of a mouthpiece has been observed to affect breathing patterns by increasing minute ventilations during respiratory measurements [58]. The instrumentation employed to measure the ultrafine particle concentrations requires the capture and retention of uncontaminated exhaled breath samples; thus the use of a mouthpiece was necessary. By controlling for ventilation in the ANCOVA mouthpiece-induced breathing variability was also accounted for.



- ▼ Temp/RH sensor
- → Flow direction

FIGURE 1: Layout of flow-through breath sampling system.

2.4. Deposition Measurements. A scanning mobility particle sizer (SMPS 3936-L75, TSI Inc. USA) was employed to determine particle size distributions and number concentrations in breath samples. Breath samples were delivered to the SMPS via a well-characterized flow-through system [57]. To accommodate children's breathing conditions and further reduce particle loss, a custom-made aluminum non-rebreathing valve and a smaller exhaled sample tank (2.5 L) were introduced to the system originally presented by Löndahl et al. [57]. The non-rebreathing valve had a dead space of 19.5 cm³. The temperature of the exhaled sample was maintained at 37°C until dried to prevent condensation and minimize size shift due to coagulation. To avoid the effects of temperature on concentration due to air volume changes, inhale and exhale samples were dried and cooled to identical conditions before reaching the SMPS. The system operated at ambient pressure. A diagram of the flow-through system is shown in Figure 1.

The inherent particle loss in the modified system was measured as in Löndahl et al. [57] and was reduced to 5% as compared to the 10% previously reported. The highest size-dependent particle loss was below $5 \pm 0.8\%$ and occurred at the smallest measured particle size (5.9 nm) and it decreased with particle size. Measurements for particles smaller than

10 nm were discarded. Deposition fractions were estimated as follows:

$$DF_{\text{human}}(d_{p,i}) = 1 - \frac{C_{\text{ex}}(d_{p,i})}{C_{\text{in}}(d_{p,i}) \cdot (1 - DF_{\text{equip}}(d_{p,i}))}, \quad (1)$$

where $d_{p,i}$ is the particle diameter in size channel i, $C_{\rm in}$ and $C_{\rm ex}$ are the particle concentrations in the inhaled and exhaled samples, respectively, and ${\rm DF_{equip}}$ is the particle loss incurred in the system. The equation is valid for depositional losses occurring in any part of the system between the two sampling ports providing that the particles do not change size during measurements. The SMPS produced DF curves consisting of 99 logarithmically spaced size bins within a mobility diameter size range of 10–200 nm. To further minimize the effect of particle size shift on the DF measurements, the number of size-bins was reduced to 33 by increasing the size range of the bins. Total deposited fraction (TDF) of the NaCl aerosol in the particle size range of 10 nm–225 nm was calculated as in the equation by using the total number concentration for the inhaled and exhaled breath samples.

2.5. Model. Deposition in the respiratory tract of healthy subjects was estimated with the empirical ICRP 66 model

TABLE 1: Measurements by participating subject.

Subject	Condition	Sex	Age	Weight (kg)	Height (cm)	Chest width (cm)	BMI	BMI %ile	f (bpm)	$V_t\left(\mathrm{L}\right)$	V _E (L/min)	TDF
A	Asthmatic	M	9	33.8	137	76	18.1	80	24.0 ± 1.41	$.14\pm.01$	$3.24\pm.02$	$.49\pm.00$
В	Asthmatic	M	9	36.6	142	77	18.2	81	$16.0\pm.00$	$.26\pm.01$	$4.08\pm.11$	$.46\pm.03$
C	Asthmatic	M	10	36.3	145	71	17.4	63	22.0 ± 5.66	$.16\pm.05$	$3.27\pm.21$	$.61\pm.05$
D	Asthmatic	M	10	42.0	147	76	19.4	85	$18.5\pm.71$	$.23\pm.02$	$4.17\pm.55$	$.60\pm.01$
E	Asthmatic	M	12	56.8	162	82	23.5	89	$16.8\pm.32$	$.54\pm.04$	$9.07\pm.27$	$.51\pm.03$
F	Asthmatic	M	12	56.4	150	81	25.1	96	$13.7\pm.00$	$.66\pm.01$	$9.04\pm.19$	$.43\pm.01$
G	Asthmatic	M	15	56.6	167	77	20.3	58	9.5 ± 2.12	$.37\pm.13$	$3.38\pm.42$	$.64\pm.01$
Н	Asthmatic	M	16	63.5	174	80	21.0	56	$7.4\pm.92$	$.48\pm.01$	$3.52\pm.34$	$.65\pm.01$
I	Asthmatic	M	12	54.3	158	80	20.5	78	$15.2\pm.32$	$.61\pm.04$	$9.70\pm.50$	$.43\pm.01$
J	Nonasthmatic	M	11	53.1	160	77	20.8	88	31.5 ± 2.12	$.20\pm.05$	6.20 ± 1.97	$.42\pm.06$
K	Nonasthmatic	M	11	45.3	152	70	19.5	80	$39.5\pm.71$	$.17\pm.01$	$6.72\pm.68$	$.61\pm.06$
L	Nonasthmatic	M	11	40.8	142	74	20.2	85	$16.5\pm.46$	$.61\pm.01$	$9.98 \pm .39$	$.37\pm.00$
M	Nonasthmatic	M	12	61.2	170	84	21.2	86	$19.0\pm.00$	$.24\pm.02$	$4.47\pm.40$	$.53\pm.02$
N	Nonasthmatic	M	12	57.1	168	76	20.4	81	23.5 ± 2.12	$.15\pm.01$	$3.51\pm.01$	$.36\pm.04$
Ο	Nonasthmatic	M	12	52.2	155	84	21.8	88	$15.2\pm.28$	$.52\pm.02$	$7.81\pm.46$	$.42\pm.01$
P	Nonasthmatic	M	15	54.2	170	76	18.9	34	12.5 ± 2.12	$.47\pm.15$	$5.66\pm.87$	$.55\pm.07$
Q	Nonasthmatic	M	16	80.3	176	90	26.2	92	12.5 ± 2.12	$.51\pm.12$	$6.19\pm.43$	$.55\pm.07$
R	Adult	M	21	74.5	174	85	24.6	_	$12.9\pm.92$	$.64\pm.11$	$8.26\pm.55$	$.36\pm.06$
S	Adult	M	36	86.6	180	91	26.6	_	12.1 ± 1.10	$.68\pm.06$	$8.23\pm.24$	$.38\pm.00$
T	Adult	M	22	78.3	175	84	25.6	_	$13.4\pm.67$	$.62\pm.11$	$8.31\pm.46$	$.34\pm.05$
U	Adult	M	20	69.4	171	71	23.7	_	$16.7\pm.85$	$.54\pm.09$	$9.02\pm.76$	$.30\pm.04$
V	Adult	M	29	88.7	181	88	25.8	_	$10.00\pm.89$	$.82\pm.07$	$9.12 \pm .39$	$.42\pm.02$

^{*}Mean values ± standard deviation for four experimental repetitions.

[48]. The ICRP 66 was selected for this study as it has been shown to produce comparable results to most deposition models and has been widely referenced in similar studies [47, 57]. The anatomical and physiological reference values for 15- and 10-year-olds provided by the ICRP 66 model were employed in this study. Hygroscopic growth was estimated under the assumption of RH = 99.5% throughout the respiratory tract and immediate particle growth to the equilibrium size [48]. Deposition estimates produced with ICRP 66 model were made for an aerosol with the same characteristics as the one used during the experiments, that is, with a GMD of 30 nm, GSD of 2.0, and a total concentration of 1.2×10^6 particles cm⁻³.

3. Results

3.1. Child versus Adult. The dataset is presented in Table 1 and mean and standard deviations of age, sex, BMI, respiratory parameters, and TDF by subject group are summarized in Table 2. The average BMI percentiles by age for all three subject-groups were below the 85 percentile overweight criteria [59]. However, individually six children had a BMI in the overweight percentile range and two in the obese percentile range [59]. As expected, children had higher breathing frequencies and lower tidal volume and minute ventilation than adults [60, 61]. During the uncontrolled

breathing measurements TDF for both asthmatic and non-asthmatic children was higher as compared to healthy adults. Specifically, non-asthmatic children had 50% higher TDF than non-asthmatic adults (P=0.002) for ultrafine hygroscopic particles with dry mobility diameters of 10 to 200 nm (see Table 2). The curves in Figure 2 show that the significant differences in size resolved DF between adults and children, occurred for diameters greater than 50 nm.

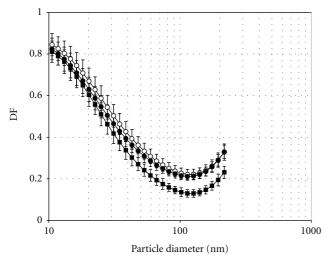
3.2. Asthmatic versus Non-Asthmatic. The asthmatic group experienced a decrease of minute volume (V_E) and breathing rate (f) as compared to non-asthmatic subjects. The mean TDF was 14% higher for asthmatic children as compared to non-asthmatics (see Table 3). However, the TDF difference among asthmatic and non-asthmatic children was not significant (P = 0.212). The effect of the wide variation of breathing patterns and age within and among the two subjects groups on TDF is further explored in the following sections. As with TDF, the size-dependent DF curves did not suggest a significant difference between the asthmatic and nonasthmatics as shown in Figure 2. The modeled DF curves for healthy 10 and 15 year-olds slightly underestimated the deposition in healthy children, but still performed acceptably well (see Figure 3). Mean V_t and f values shown in Table 1 for asthmatic and non-asthmatic children were employed in the ICRP model to estimate TDF for the two groups. Based solely

Pulmonary Medicine	

Characteristic	Asthmatic (FEV1% < 80)	Nonasthmatic** (FEV1% > 90)	Total children	Adult (control) (FEV1% > 90)	
Age (years)	11.67 ± 2.50	12.50 ± 1.93	12.06 ± 2.22	25.60 ± 7.16	
Weight (kg)	48.52 ± 11.20	51.79 ± 11.88	51.79 ± 11.74	79.5 ± 8.12	
Height (cm)	153.6 ± 12.38	157.35 ± 11.41	157.35 ± 12.28	176.11 ± 4.08	
BMI	20.39 ± 2.55	20.74 ± 2.25	20.74 ± 2.37	25.26 ± 1.12	
BMI-Percentile	76.22 ± 14.09	77.65 ± 18.69	77.65 ± 15.95	— ± —	
f (bpm)	15.98 ± 5.34	18.47 ± 9.71	18.47 ± 7.93	13.01 ± 2.45	
V_t (L)	$.38 \pm .20$	$.37 \pm .18$	$.37 \pm .19$	$.66 \pm .34$	
V_E (L/min)	5.50 ± 2.85	6.31 ± 1.99	5.88 ± 2.44	$8.59 \pm .44$	
TDF***	$.54\pm.09$	$.48\pm.10$	$.51 \pm .09$	$.36 \pm .05$	

TABLE 2: Subject demographics and summarized results*.

^{***}Total deposition fraction for an aerosol with GMD of 40 nm, σ_g of 1.9, and mobility diameter range from 10 to 200 nm.



- −o− Asthmatic child
- Nonasthmatic child
- Nonasthmatic adult

FIGURE 2: Measured deposition fraction curves for all subjects. Error bars represent 95% confidence intervals. The DF curves show a significant difference between children and adults and a nonsignificant difference between asthmatic and non-asthmatic children.

on varying breathing conditions, the ICRP model predicted a positive effect on TDF of 16% and 5% for an "asthmatic" 15-year-old and 10-year-old child, respectively (see Table 3). An age-related effect was also evident from the size-resolved DF curves shown in Figure 3.

3.3. Correlation Analysis. Pearson correlation coefficients for observations from the entire group and for children only are shown in Table 4. For the entire group, significant correlations were observed between TDF and BMI, V_T , and V_E . Age significantly correlated with weight, height, BMI, and f. Whereas BMI was inversely correlated with TDF and f and directly correlated to V_T and V_E . Among children, TDF was

only significantly correlated to V_E . Correlations between age and V_T , and BMI and f were not significant among children. These two pairs of variables, each representing ventilation and a physiological characteristic, were used as covariates to evaluate the effect of asthmatic conditions among children.

3.4. Adjusted Effects. A series of evaluations of the effect of asthmatic condition on TDF while controlling for age, BMI, height, weight, f, V_T and V_E independently did not reveal significant results. However, after controlling for age and V_T or BMI and f, significant effects on TDF due to asthmatic conditions were observed (see Tables 5 and 6). The age- V_T ANCOVA produced the most significant results; F(1, 13) =7.419, P < .05 and 20.4%($w^2 = 0.204$) of the total variance in TDF was accounted for by the two levels of asthmatic condition controlling for the effect of subject age and tidal volume during the experiment. The adjusted TDF mean for asthmatics (0.552) was 21% higher than for nonasthmatics (0.458) for an age value of 12.06 years and V_t of 0.37 L (see Table 3). The homogeneity-of-regression (slopes) assumption was confirmed as the relationship between the covariates, and the dependent variable did not differ significantly as a function of the independent variable: F(1, 10) = 1.195, P = .300 for tidal volume and F(1, 10) =.176, P = .684 for age.

4. Discussion

Bennett and Zeman [58] established that for identical DF values, and independent of particle size, the deposition rate is higher in children than in adults due to higher minute ventilation and smaller lung surface area. Bennett and Zeman [58] also observed that the normalized deposition rate for monodisperse $2\,\mu\rm m$ particles was actually 35% higher in children as compared to adults. In this study, it was observed that for UFPs, specifically for hygroscopic particles with mobility diameters between 10 and 200 nm, the total deposited fraction was 50% higher in children as compared to adults (see Table 2). Following the approach presented by Bennett and Zeman [58] and using the same functional

^{*}Mean values ± standard deviation.

^{**}Including a passive smoker.

Characteristic	Asthmatic	Nonasthmatic	Effect	Notes
Mean	.544	.476	14%	All subjects
Adjusted Means	.552	.458	21%	ANCOVA
ICRP for 15 year olds*	.507	.438	16%	Based on distinct f and V_t values as shown in Table 2
ICRP for 10 year olds*	.501	.475	5%	Based on distinct f and V_t values as shown in Table 5

TABLE 3: Summary of total deposited fraction values.

^{*}Estimated for an aerosol of GMD = 30 nm, σ_g 2.0, and total concentration 1.2 × 10⁶ particles cm⁻³.

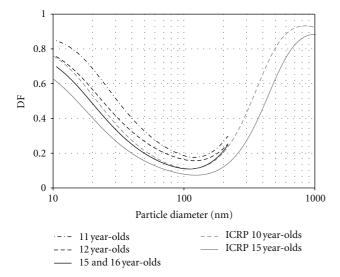


FIGURE 3: Measured and modeled deposition fraction curves by age for non-asthmatics. The curves suggest a strong effect of age on deposition. Age was used as a covariate in the ANCOVA analysis for total deposited fraction. Note reasonable agreement between our measurements and the ICRP model estimates.

residual capacity values for adults (2.87 L) and 12 year olds (1.77 L), the 50% increase in TDF for UFP observed in this study results in a normalized deposition rate 32% higher in healthy children as compared to adults. These results suggest that children are prone to considerably higher dosages of airborne ultrafine particles as compared to adults and that such disposition increases with smaller particle size.

The mean differences in TDF between asthmatic and non-asthmatic children were nonsignificant and strongly affected by age and breathing patterns. For ultrafine hydrophobic particles, Chalupa et al. [47] and Diagle et al. [62] observed significantly higher (42.6%) deposition fractions in asthmatic adults as compared to non-asthmatic adults. However, the effect on total particle deposition variation induced by distinctive breathing patterns among subject groups observed in those studies was undetermined. In this study, after controlling for extraneous variance associated with age and V_T or BMI and f a significant effect due to asthmatic conditions was also observed for children. For children, the estimated adjusted effect of asthmatic condition on TDF was 21%. The ICRP model, based solely on varying breathing conditions between the asthmatic and non-asthmatic groups, predicted an effect between 5% and 16%. The model results suggest that a considerable fraction of the increase

in DF in asthmatics is directly associated with the variation of f, and V_T induced by asthmatic conditions. Enhance diffusional deposition of UFPs might also be associated with airway obstruction and increased lung residual volume, characteristic of asthmatic conditions. In this regard, the disposition to higher dose in asthmatic children might be linked to inflammatory airway conditions, higher minute ventilation, and a smaller lung surface area. The results of this study, which build upon and complement a series of previous studies, suggest that children, and evermore so asthmatic children, receive significantly higher dosages of ultrafine particles than adults with similar exposure.

This study, as well as other deposition studies [47, 63, 64], worked with a small sample size due to constrains of the experimental procedure. The results are preliminary, not only because the subject group is small but also because the breathing conditions were through a mouthpiece and therefore might be unrepresentative of "real" breathing conditions. Still the results clearly substantiate the need for more comprehensive studies on the mechanisms and sources of variability in nanosize particle deposition within the human respiratory tract. Specifically, studies with controlled breathing conditions on asthmatic children, with hydrophobic particles, and on larger groups are necessary. Additional exercises to determine if the accuracy of the ICRP in determining the effect of DF in asthmatic children could be improved by employing subject-specific biometric values would also be valuable. Given the high prevalence of childhood asthma and the potentially higher susceptibility of asthmatic children to air quality impacts, the results of this study were deemed important.

5. Conclusion

The results of this study suggest that for the same exposure, children receive a higher lung dose of UFP as compared to adults and that asthmatic condition further increases deposited fraction. The total deposited fraction and normalized deposition rate were 50% and 32% higher in children than in adults, respectively. After controlling for age and tidal volume variation, TDF was 21% higher in asthmatic than in non-asthmatic children. The effect on TDF of distinctive tidal volume and breathing frequency induced by asthmatic conditions was estimated by the ICRP to be between 16% and 5%, for a 10 to 15 year old age range. The results suggest that the observed higher deposited fraction of UFP in asthmatics is due to causes beyond distinctive breathing patterns and possibly due to diffusional deposition enhanced by inflamed airways. The higher health risk of air pollution exposure

		Age	Weight	Height	BMI	f	V_T	V_E	TDF
					Only	children			
Age		1.000	.836**	.867**	.507*	571*	0.478	0.004	0.353
Weight		.865**	1.000	.895**	.794**	-0.389	0.427	0.143	0.091
Height		.757**	.922**	1.000	0.473	-0.337	0.208	-0.102	0.247
BMI	All Subjects	.743**	.896**	.692**	1.000	-0.313	.617**	.494*	-0.166
f		459*	493*	455*	441*	1.000	649**	-0.031	-0.123
V_T		.668**	.692**	.509*	.768**	688**	1.000	.753**	-0.219
V_E		0.402	.432*	0.215	.627**	-0.174	.798**	1.000	503*
TDF		-0.385	-0.365	-0.187	477*	0.075	454*	629**	1.000

TABLE 4: Pearson correlation coefficients for variables.

TABLE 5: Analysis of covariance for total deposited fraction by asthmatic condition.

Source	SS	df	MS	F	P
Age	0.057	1	0.057	12.199	0.004
Tidal volume	0.04	1	0.04	8.578	0.012
Asthmatic condition	0.035	1	0.035	7.419	0.017
Error	0.061	13	0.005		
Total	0.142	16			_

Table 6: Analysis of covariance for total deposited fraction by asthmatic condition.

Source	SS	df	MS	F	P
BMI	0.047	1	0.047	7.778	0.015
f	0.022	1	0.022	3.608	0.080
Asthmatic condition	0.043	1	0.043	7.154	0.019
Error	0.081	13	0.006		
Total	0.140	16			

commonly observed in children, and asthmatics might be linked to higher dosages of UFP, as compared to adults and healthy individuals.

The subject group studied was small, composed of only males, and the breathing conditions were through a mouth-piece, and therefore might be unrepresentative of "real" breathing conditions. Given the high prevalence of asthma among children the suggested susceptibility to UFP levels is of importance and warrants further research.

Acknowledgments

This work was supported by grant number S11 ES013339 from the National Institute of Environmental Health Sciences (NIEHS) and the National Institutes of Health (NIH). Its contents are solely the responsibility of the authors and do not necessarily represent the official views of the NIEHS, NIH, or HEI. The authors would like to thank Dr. Jakob Löndahl for his technical assistance and valuable comments.

References

- [1] W. G. Kreyling, M. Semmler, F. Erbe et al., "Translocation of ultrafine insoluble iridium particles from lung epithelium to extrapulmonary organs is size dependent but very low," *Journal of Toxicology and Environmental Health—Part A*, vol. 65, no. 20, pp. 1513–1530, 2002.
- [2] A. Nemmar, M. F. Hoylaerts, P. H. M. Hoet et al., "Ultrafine particles affect experimental thrombosis in an *in vivo* hamster model," *American Journal of Respiratory and Critical Care Medicine*, vol. 166, no. 7, pp. 998–1004, 2002.
- [3] G. Oberdörster, "Pulmonary effects of inhaled ultrafine particles," *International Archives of Occupational and Environmental Health*, vol. 74, no. 1, pp. 1–8, 2001.
- [4] G. Oberdörster, Z. Sharp, V. Atudorei et al., "Extrapulmonary translocation of ultrafine carbon particles following whole-body inhalation exposure of rats," *Journal of Toxicology and Environmental Health—Part A*, vol. 65, no. 20, pp. 1531–1543, 2002
- [5] S. K. Park, M. S. O'Neill, P. S. Vokonas, D. Sparrow, and J. Schwartz, "Effects of air pollution on heart rate variability: the VA normative aging study," *Environmental Health Perspectives*, vol. 113, no. 3, pp. 304–309, 2005.
- [6] P. H. M. Hoet, I. Brüske-Hohlfeld, and O. V. Salata, "Nanoparticles—known and unknown health risks," *Journal of Na*nobiotechnology, vol. 2, no. 1, article 12, 2004.
- [7] G. Oberdörster, E. Oberdörster, and J. Oberdörster, "Nanotoxicology: an emerging discipline evolving from studies of ultrafine particles," *Environmental Health Perspectives*, vol. 113, no. 7, pp. 823–839, 2005.
- [8] G. Oberdörster, Z. Sharp, V. Atudorei et al., "Translocation of inhaled ultrafine particles to the brain," *Inhalation Toxicology*, vol. 16, no. 6-7, pp. 437–445, 2004.
- [9] G. Oberdörster and M. J. Utell, "Ultrafine particles in the urban air: to the respiratory tract—ang beyond?" *Environmental Health Perspectives*, vol. 110, no. 8, pp. A440–A441, 2002.
- [10] S. Fiorito, A. Serafino, F. Andreola, A. Togna, and G. Togna, "Toxicity and biocompatibility of carbon nanoparticles," *Journal of Nanoscience and Nanotechnology*, vol. 6, no. 3, pp. 591–599, 2006.
- [11] B. Gopalan, I. Ito, C. D. Branch, C. Stephens, J. A. Roth, and R. Ramesh, "Nanoparticle based systemic gene therapy for lung cancer: molecular mechanisms and strategies to suppress nanoparticle-mediated inflammatory response," *Technology in Cancer Research and Treatment*, vol. 3, no. 6, pp. 647–657, 2004.

^{**}Correlation is significant at the 0.01 level (2-tailed).

^{*}Correlation is significant at the 0.05 level (2-tailed).

[12] J. M. Koziara, P. R. Lockman, D. D. Allen, and R. J. Mumper, "The blood-brain barrier and brain drug delivery," *Journal of Nanoscience and Nanotechnology*, vol. 6, no. 9-10, pp. 2712–2735, 2006.

8

- [13] J. Kreuter, "Nanoparticulate systems for brain delivery of drugs," Advanced Drug Delivery Reviews, vol. 47, no. 1, pp. 65– 81, 2001
- [14] P. R. Lockman, J. Koziara, K. E. Roder et al., "In vivo and in vitro assessment of baseline blood-brain barrier parameters in the presence of novel nanoparticles," *Pharmaceutical Research*, vol. 20, no. 5, pp. 705–713, 2003.
- [15] P. R. Lockman, R. J. Mumper, M. A. Khan, and D. D. Allen, "Nanoparticle technology for drug delivery across the bloodbrain barrier," *Drug Development and Industrial Pharmacy*, vol. 28, no. 1, pp. 1–13, 2002.
- [16] P. R. Lockman, M. O. Oyewumi, J. M. Koziara, K. E. Roder, R. J. Mumper, and D. D. Allen, "Brain uptake of thiamine-coated nanoparticles," *Journal of Controlled Release*, vol. 93, no. 3, pp. 271–282, 2003.
- [17] S. Mansouri, Y. Cuie, F. Winnik et al., "Characterization of folate-chitosan-DNA nanoparticles for gene therapy," *Biomaterials*, vol. 27, no. 9, pp. 2060–2065, 2006.
- [18] C. Medina, M. J. Santos-Martinez, A. Radomski, O. I. Corrigan, and M. W. Radomski, "Nanoparticles: pharmacological and toxicological significance," *British Journal of Pharmacology*, vol. 150, no. 5, pp. 552–558, 2007.
- [19] S. B. Tiwari and M. M. Amiji, "A review of nanocarrier-based CNS delivery systems," *Current Drug Delivery*, vol. 3, no. 2, pp. 219–232, 2006.
- [20] G. Oberdorster, R. M. Gelein, J. Ferin, and B. Weiss, "Association of particulate air pollution and acute mortality: involvement of ultrafine particles?" *Inhalation Toxicology*, vol. 7, no. 1, pp. 111–124, 1995.
- [21] G. Oberdörster, A. Maynard, K. Donaldson et al., "Principles for characterizing the potential human health effects from exposure to nanomaterials: elements of a screening strategy," *Particle and Fibre Toxicology*, vol. 2, article 8, 2005.
- [22] P. Pedata, E. M. Garzillo, and N. Sannolo, "Ultrafine particles and effects on the organism: literature review," *Giornale Italiano di Medicina del Lavoro ed Ergonomia*, vol. 32, no. 1, pp. 23–31, 2010.
- [23] D. W. Dockery, H. Luttman-Gibson, D. Q. Rich et al., "Association of air pollution with increased incidence of ventricular tachyarrhythmias recorded by implanted cardioverter defibrillators," *Environmental Health Perspectives*, vol. 113, no. 6, pp. 670–674, 2005.
- [24] M. W. Frampton, M. J. Utell, W. Zareba et al., "Effects of exposure to ultrafine carbon particles in healthy subjects and subjects with asthma," *Research Report*, no. 126, pp. 1–47, 2004
- [25] M. W. Frampton, "Does inhalation of ultrafine particles cause pulmonary vasular effects in humans?" *Inhalation Toxicology*, vol. 19, no. 1, pp. 75–79, 2007.
- [26] J. Braz Nogueira, "Air pollution and cardiovascular disease," *Revista Portuguesa de Cardiologia*, vol. 28, no. 6, pp. 715–733,
- [27] Y. Bai, A. K. Suzuki, and M. Sagai, "The cytotoxic effects of diesel exhaust particles on human pulmonary artery endothelial cells in vitro: role of active oxygen species," *Free Radical Biology and Medicine*, vol. 30, no. 5, pp. 555–562, 2001.
- [28] A. Baulig, M. Garlatti, V. Bonvallot et al., "Involvement of reactive oxygen species in the metabolic pathways triggered by diesel exhaust particles in human airway epithelial cells,"

- American Journal of Physiology, vol. 285, no. 3, pp. L671–L679, 2003.
- [29] D. Diaz-Sanchez, "The role of diesel exhaust particles and their associated polyaromatic hydrocarbons in the induction of allergic airway disease," *Allergy*, vol. 52, no. 38, supplement, pp. 52–56, 1997.
- [30] D. Diaz-Sanchez, M. P. Garcia, M. Wang, M. Jyrala, and A. Saxon, "Nasal challenge with diesel exhaust particles can induce sensitization to a neoallergen in the human mucosa," *Journal of Allergy and Clinical Immunology*, vol. 104, no. 6, pp. 1183–1188, 1999.
- [31] D. Diaz-Sanchez, M. Jyrala, D. Ng, A. Nel, and A. Saxon, "In vivo nasal challenge with diesel exhaust particles enhances expression of the CC chemokines rantes, MIP-1α, and MCP-3 in humans," *Clinical Immunology*, vol. 97, no. 2, pp. 140–145, 2000.
- [32] D. Diaz-Sanchez, M. Penichet-Garcia, and A. Saxon, "Diesel exhaust particles directly induce activated mast cells to degranulate and increase histamine levels and symptom severity," *Journal of Allergy and Clinical Immunology*, vol. 106, no. 6, pp. 1140–1146, 2000.
- [33] E. Garshick, F. Laden, J. E. Hart et al., "Lung cancer and vehicle exhaust in trucking industry workers," *Environmental Health Perspectives*, vol. 116, no. 10, pp. 1327–1332, 2008.
- [34] A. L. Holder, D. Lucas, R. Goth-goldstein, and C. P. Koshland, "Cellular response to diesel exhaust particles strongly depends on the exposure method," *Toxicological Sciences*, vol. 103, no. 1, pp. 108–115, 2008.
- [35] J. Kagawa, "Health effects of diesel exhaust emissions—a mixture of air pollutants of worldwide concern," *Toxicology*, vol. 181-182, pp. 349–353, 2002.
- [36] J. Lewtas, "Air pollution combustion emissions: characterization of causative agents and mechanisms associated with cancer, reproductive, and cardiovascular effects," *Mutation Research*, vol. 636, no. 1–3, pp. 95–133, 2007.
- [37] R. O. McClellan, "Health effects of exposure to diesel exhaust particles," *Annual Review of Pharmacology and Toxicology*, vol. 27, pp. 279–300, 1987.
- [38] P. Møller, J. K. Folkmann, L. Forchhammer et al., "Air pollution, oxidative damage to DNA, and carcinogenesis," *Cancer Letters*, vol. 266, no. 1, pp. 84–97, 2008.
- [39] T. F. Bateson and J. Schwartz, "Children's response to air pollutants," *Journal of Toxicology and Environmental Health—Part A*, vol. 71, no. 3, pp. 238–243, 2008.
- [40] H. Moshammer, A. Bartonova, W. Hanke et al., "Air pollution: a threat to the health of our children," *Acta Paediatrica, Inter*national Journal of Paediatrics, vol. 95, no. 453, pp. 93–105, 2006.
- [41] M. M. Patel and R. L. Miller, "Air pollution and childhood asthma: recent advances and future directions," *Current Opinion in Pediatrics*, vol. 21, no. 2, pp. 235–242, 2009.
- [42] L. J. Akinbami, J. E. Moorman, and X. Liu, "Asthma prevalence, health care use, and mortality: United States, 2005–2009," National Health Statistics Reports, 2011, http://www.cdc.gov/nchs/products/hestats.htm.
- [43] N. Pearce, N. Aït-Khaled, R. Beasley et al., "Worldwide trends in the prevalence of asthma symptoms: phase III of the International Study of Asthma and Allergies in Childhood (ISAAC)," *Thorax*, vol. 62, no. 9, pp. 757–765, 2007.
- [44] W. D. Bennett and G. C. Smaldone, "Human variation in the peripheral air-space deposition of inhaled particles," *Journal of Applied Physiology*, vol. 62, no. 4, pp. 1603–1610, 1987.

[45] J. Heyder, J. Gebhart, and G. Scheuch, "Influence of human lung morphology on particle deposition," *Journal of Aerosol Medicine*, vol. 1, no. 2, pp. 81–88, 1988.

- [46] W. D. Bennet, "Human variation in spontaneous breathing deposition fraction: a review," *Journal of Aerosol Medicine*, vol. 1, no. 2, pp. 67–80, 1988.
- [47] D. C. Chalupa, P. E. Morrow, G. Oberdörster, M. J. Utell, and M. W. Frampton, "Ultrafine particle deposition in subjects with asthma," *Environmental Health Perspectives*, vol. 112, no. 8, pp. 879–882, 2004.
- [48] International Comission on Radiological Protection, *Human Respiratory Tract Model for Radiological Protection*, ICRP, Elsevire Science, Tarrytown, NY, USA, 1994.
- [49] M. I. Asher, U. Keil, H. R. Anderson et al., "International study of asthma and allergies in childhood (ISAAC): rationale and methods," *European Respiratory Journal*, vol. 8, no. 3, pp. 483–491, 1995.
- [50] M. R. Miller, J. Hankinson, V. Brusasco et al., "Standardisation of spirometry," *European Respiratory Journal*, vol. 26, no. 2, pp. 319–338, 2005.
- [51] S. E. Sarnat, A. U. Raysoni, W.-W. Li et al., "Air pollution and acute respiratory response in a panel of asthmatic children along the U.S.-Mexico Border," *Environmental Health Perspec*tives, vol. 120, no. 3, pp. 437–444, 2012.
- [52] B. W. Brown, "The crossover experiment for clinical trials," *Biometrics*, vol. 36, no. 1, pp. 69–79, 1980.
- [53] E. Huitema, The Analysis of Covariance and Alternatives: Statistical Methods for Experiments, Quasi-Experiments, and Single-Case Studies, John Wiley & Sons, Hoboken, NJ, USA, 2nd edition, 2011.
- [54] Y. Zhu and W. C. Hinds, "Predicting particle number concentrations near a highway based on vertical concentration profile," *Atmospheric Environment*, vol. 39, no. 8, pp. 1557– 1566, 2005.
- [55] Y. Zhu, W. C. Hinds, S. Kim, S. Shen, and C. Sioutas, "Study of ultrafine particles near a major highway with heavy-duty diesel traffic," *Atmospheric Environment*, vol. 36, no. 27, pp. 4323–4335, 2002.
- [56] Y. Zhu, J. Pudota, D. Collins et al., "Air pollutant concentrations near three Texas roadways, Part I: ultrafine particles," *Atmospheric Environment*, vol. 43, no. 30, pp. 4513–4522, 2009.
- [57] J. Löndahl, J. Pagels, E. Swietlicki et al., "A set-up for field studies of respiratory tract deposition of fine and ultrafine particles in humans," *Journal of Aerosol Science*, vol. 37, no. 9, pp. 1152–1163, 2006.
- [58] W. D. Bennett and K. L. Zeman, "Deposition of fine particles in children spontaneously breathing at rest," *Inhalation Toxi*cology, vol. 10, no. 9, pp. 831–842, 1998.
- [59] W. H. Dietz and T. N. Robinson, "Use of the body mass index (BMI) as a measure of overweight in children and adolescents," *Journal of Pediatrics*, vol. 132, no. 2, pp. 191–193, 1998.
- [60] E. Tabachnik, N. Muller, B. Toye, and H. Levison, "Measurement of ventilation in children using the respiratory inductive plethysmograph," *Journal of Pediatrics*, vol. 99, no. 6, pp. 895–899, 1981.
- [61] M. J. Tobin, T. S. Chadha, and G. Jenouri, "Breathing patterns. 1. Normal subjects," *Chest*, vol. 84, no. 2, pp. 202–205, 1983.
- [62] C. C. Daigle, D. C. Chalupa, F. R. Gibb et al., "Ultrafine particle deposition in humans during rest and exercise," *Inhalation Toxicology*, vol. 15, no. 6, pp. 539–552, 2003.
- [63] J. Löndahl, A. Massling, J. Pagels, E. Swietlicki, E. Vaclavik, and S. Loft, "Size-resolved respiratory-tract deposition of fine

- and ultrafine hydrophobic and hygroscopic aerosol particles during rest and exercise," *Inhalation Toxicology*, vol. 19, no. 2, pp. 109–116, 2007.
- [64] J. Löndahl, A. Massling, E. Swietlicki et al., "Experimentally determined human respiratory tract deposition of airborne particles at a busy street," *Environmental Science and Technol*ogy, vol. 43, no. 13, pp. 4659–4664, 2009.