

# SCIENTIFIC REPORTS

OPEN

## Growth, Quantitative Growth Analysis, and Applications of Graphene on $\gamma$ -Al<sub>2</sub>O<sub>3</sub> catalysts

Received: 03 February 2015

Accepted: 01 June 2015

Published: 03 July 2015

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The possibilities offered by catalytic  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> substrates are explored, and the mechanism governing graphene formation thereon is elucidated using both numerical simulations and experiments. The growth scheme offers metal-free synthesis at low temperature, grain-size customization, large-area uniformity of electrical properties, single-step preparation of graphene/dielectric structures, and readily detachable graphene. We quantify based on thermodynamic principles the activation energies associated with graphene nucleation/growth on  $\gamma$ -Al<sub>2</sub>O<sub>3</sub>, verifying the low physical and chemical barriers. Importantly, we derive a universal equation governing the adsorption-based synthesis of graphene over a wide range of temperatures in both catalytic and spontaneous growth regimes. Experimental results support the equation, highlighting the catalytic function of  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> at low temperatures. The synthesized graphene is manually incorporated as a 'graphene sticker' into an ultrafast mode-locked laser.

Owing to its excellent electrical and optical characteristics, graphene has attracted much research attention over the past decade<sup>1-3</sup>. Since the demonstration of mechanical exfoliation to prepare graphene in 2004<sup>1</sup>, various processes have been developed to scale-up the production of two-dimensional crystals. These include drop-casting, wide-area spraying and vacuum filtration of graphene oxide flakes<sup>4</sup>, the epitaxial synthesis of graphene from wafer-scale SiC via sublimation at high temperatures<sup>5</sup>, and the roll-based transfer of graphene from Cu foil via chemical vapor deposition (CVD)<sup>6</sup>. However, these processes have not been able to match mechanical exfoliation in terms of the quality of graphene produced.

Transfer-free processes have drawn particular interest because the transfer of large graphene sample synthesized on a metal catalyst generally leads to contamination or the formation of defects<sup>7</sup>. Moreover, transfer-free methods are applicable to non-planar curvilinear or irregular surfaces. Direct growth schemes on metal oxide substrates are promising in this context because apart from avoiding deleterious transfers, the metal oxide substrates act as insulating components as well. Catalytic graphene growth has been explored on sapphire<sup>8-11</sup>, quartz<sup>12,13</sup>, and SiO<sub>2</sub><sup>13</sup> with the spontaneous dehydrogenation of C precursors; however, these are all high-temperature reactions. Similarly, while graphene layers have been grown at the interface of a Ni film and an insulating substrate at relatively low temperatures<sup>14-16</sup>, this transfer-free approach still requires thermal pretreatment of the Ni films at more than 800 °C, leading to the thermal diffusion of Ni into the insulating substrates and the formation of ternary phases, which in turn causes malfunctions in the resulting electronic devices<sup>17-19</sup>. (Note that Ni diffusion occurs at 300 K on SiO<sub>2</sub> substrate.) Recently, the use of catalytic MgO<sup>20,21</sup> or ZrO<sub>2</sub><sup>21</sup> substrates has been shown to dramatically lower the processing temperature required. However, the graphene layers produced were only submitted to a very limited analysis and their poor quality and the small crystals therein clearly limit their application in practice. A new insulating catalytic substrate is therefore required that guarantees low-temperature and transfer-free growth of high-quality graphene. Moreover, a quantitative analysis

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of the energy factors involved in the formation of crystals is desirable to precisely control their size, morphology, and quality.

In this report, we explore  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> catalyst as a novel substrate for the metal-free direct growth of graphene layers at low temperatures. We elucidate the formation mechanism of graphene on  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> with both numerical simulations and experiments, with concordant results obtained. We derive an equation applicable universally for the adsorption-based synthesis of graphene over a wide range of temperatures, which covers both low-temperature catalytic growth and high-temperature spontaneous growth on diverse substrates, including metal catalysts. We show herein that this equation accurately reproduces experimental results. To verify the successful formation of graphene crystals<sup>2,3</sup>, we demonstrate an ultrafast mode-locked laser incorporating one of our readily detachable graphene layers, which was transferred manually to the nonlinear photonic device as a ‘graphene sticker’.

## Results

Graphene synthesized on  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> substrates is advantageous in a number of regards over conventionally prepared graphene; these include (i) the low-temperature synthesis afforded by  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> catalysis, (ii) the excellent and very uniform electrical properties provided by the ultrahigh-quality crystals that form because of the negligible activation energies associated with carbon diffusion on the substrate and with the surface-regulated crystal nucleation and growth, (iii) the control offered over the thickness of the graphene layer and of the grain size therein—from nano- to micrograins, which is closely related to band gap opening, (iv) the fact that the formation mechanism is demonstrated here both numerically and experimentally, and (v) the ready detachability of the graphene produced and its very low adsorption energy with respect to the substrate, which guarantee the complete transfer of intact layers. Furthermore, the graphene grown can be used with the  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> substrate—an ideal gate dielectric with a high dielectric constant ( $\kappa$ ) of 7.9<sup>22</sup>—in graphene transistors<sup>23</sup>, with a low leakage current density afforded by the large band gap (~8.2 eV, in spite of its crystalline nature<sup>24</sup>) of the substrate. In contrast, although the high catalytic potential of MgO<sup>20,21</sup> and ZrO<sub>2</sub><sup>21</sup> has been shown to allow graphene growth at temperatures as low as ~350 °C, the high surface diffusion barrier for C adatoms on these crystals and their small band gaps respectively lead to uneven graphene growth<sup>20,21</sup> and to high leakage current densities (~1 × 10<sup>-4</sup> A·cm<sup>-2</sup>)<sup>25,26</sup>.

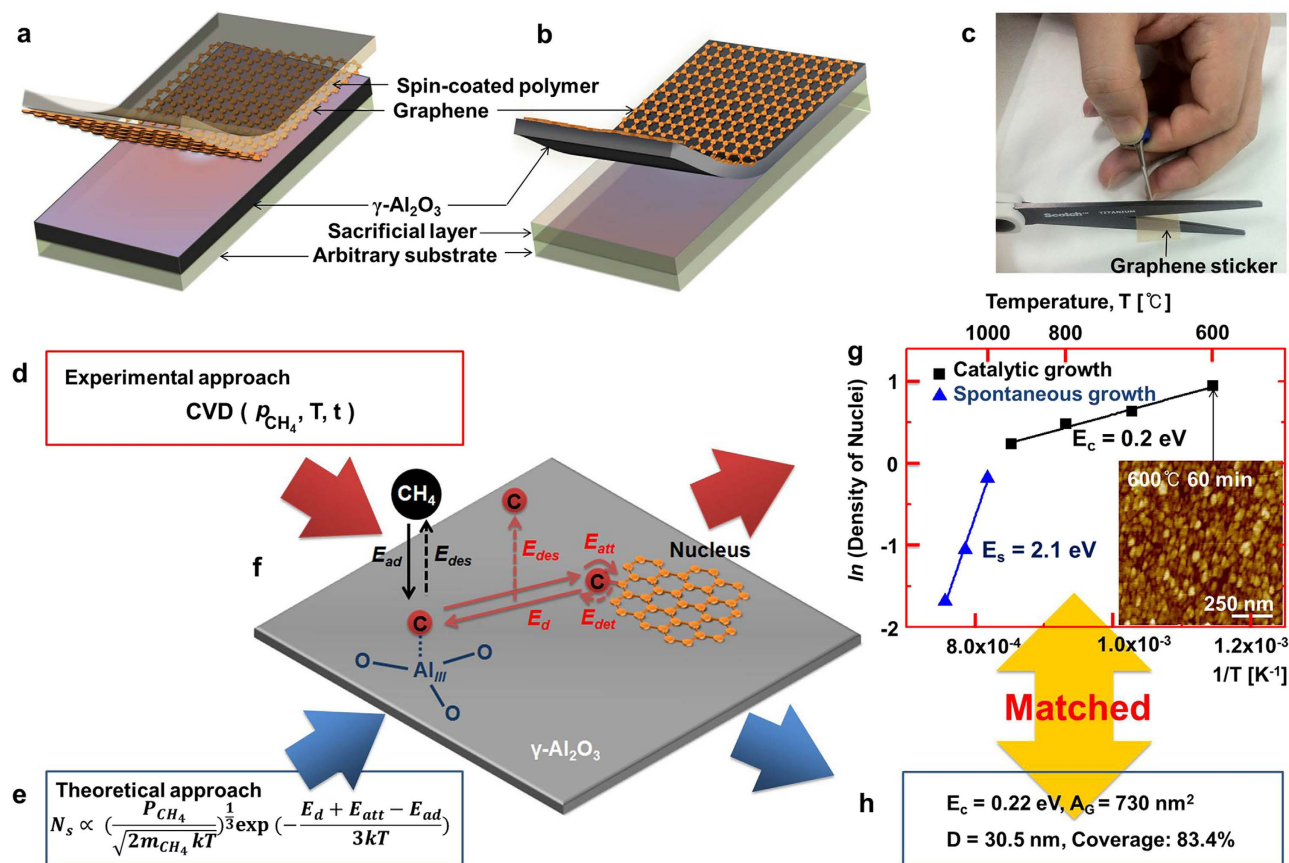
Figure 1a shows the schematic illustration of the fabrication of the graphene sticker, which consists of a polymer spin-coated onto the grown graphene detached by peeling-off the polymer film<sup>22</sup>. Figure 1b illustrates the exfoliation of graphene/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub> from the additional sacrificial layer deposited on the arbitrary substrate to form a graphene/high- $\kappa$  dielectric. The graphene can be submitted to additional treatments with the assistance of supporting substructures to obtain ultrathin, transparent sheets and a highly bendable Al<sub>2</sub>O<sub>3</sub> substrate. In particular, graphene stickers, as shown in Fig. 1c, can be prepared that can simply be cut out with scissors for applications in flexible or stretchable electronic or photonic devices.

To evaluate the versatility of  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> as a substrate for growing graphene, we analyzed the growth mechanism theoretically and validated the resulting equation experimentally. An initially amorphous Al<sub>2</sub>O<sub>3</sub> layer was prepared by atomic layer deposition (ALD). This spontaneously transforms into  $\gamma$ -phase during the first stage of graphene growth, and this phase is retained as the substrate is recycled after growing and detaching the graphene. (The specific conditions used for these steps are described in the methods section)<sup>22</sup>.

The  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> substrate formed was used for the growth of graphene using CVD at different CH<sub>4</sub> partial pressures ( $p_{\text{CH}_4}$ ), growth temperatures (T), and durations (t), as shown in Fig. 1d. The growth related equation shown in Fig. 1e was derived as described below and accurately reproduces the experimental results.

To confirm the catalytic activity of the  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> substrate and the growth characteristics of graphene, graphene layers were grown at temperatures ranging from 600 °C to 1050 °C. Regardless of the activity of the substrate, graphene always grows under CVD conditions at temperatures above 950 °C via the spontaneous dehydrogenation of CH<sub>4</sub><sup>27</sup>. Below 950 °C however, graphene can only grow at catalytic sites on the surface of the substrate. The catalytic activity of  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> can therefore be proved experimentally by demonstrating graphene growth at temperatures below 950 °C. According to previous reports<sup>28,29</sup>, CH<sub>4</sub> is only adsorbed at tricoordinated Al<sub>III</sub> sites on the surface of  $\gamma$ -Al<sub>2</sub>O<sub>3</sub>. Furthermore, these sites show catalytic activity for dehydrogenation at temperatures as low as 100 °C<sup>28,29</sup>. Figure 1f illustrates the formalism we have developed to describe graphene growth on  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> substrates. This scheme contains a number of activation barriers with many factors, which are described below.

A carbon adatom can be generated at Al<sub>III</sub> sites by dissociative adsorption of a CH<sub>4</sub> molecule. The overall activation energy barrier from the CH<sub>4</sub> molecule to the generation of a C adatom involves the dissociative adsorption energy,  $E_{\text{ad}}$ . The generated adatom then diffuses and becomes anchored onto sites that are more or less favorable according to their associated surface diffusion barrier,  $E_{\text{d}}$ . Additional C adatoms may come into contact with the anchored C adatom to form linear and ring shaped carbon clusters, as described by the attachment barrier,  $E_{\text{att}}$ . Alternatively, the C adatom may desorb from the  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> substrate immediately after its generation or as it diffuses across the surface according to the desorption energy,  $E_{\text{des}}$ . The activation energy for the detachment of a C atom from the perimeter of the graphene nucleus is the detachment barrier,  $E_{\text{det}}$ .



**Figure 1.** Schematic illustration of the preparation of readily detachable graphene on  $\gamma\text{-Al}_2\text{O}_3$  substrates, which can be used directly after mechanical detachment. **a**, Graphene covered with a spin-coated polymer film and **b**, with a  $\gamma\text{-Al}_2\text{O}_3$  substrate formed on a sacrificial layer. **c**, Photograph of a graphene sticker (graphene with a spin-coated polymer film), which is easily tailored using scissors. **d**, The chemical vapor deposition parameters used for these experiments. **e**, The theoretically derived growth equation governing the saturation density of nuclei ( $N_s$ ).  $P_{\text{CH}_4}$ ,  $T$ , and  $t$  respectively indicate the partial pressure of  $\text{CH}_4$ , and the growth temperature and time, while  $m_{\text{CH}_4}$  and  $k$  stand for the mass of  $\text{CH}_4$  and the Boltzmann constant, respectively. **f**, Schematic illustration of the nucleation and growth of graphene on  $\gamma\text{-Al}_2\text{O}_3$ . The  $\text{Al}_{\text{III}}$  site is the catalytically active site for the adsorption and dehydrogenation of  $\text{CH}_4$ . The bold solid and dotted lines respectively indicate the supply and withdrawal of the C adatom during graphene formation. **g**, Natural logarithm of the density of graphene nuclei as a function of inverse temperature. This plot was used to estimate the activation barriers associated with the growth of graphene on  $\gamma\text{-Al}_2\text{O}_3$ . The inset atomic force micrograph is taken from a graphene sample grown at  $600^\circ\text{C}$  for 1 h, and corresponds to the point at  $600^\circ\text{C}$  in the Arrhenius plot. **h**, The activation barrier ( $E_c$ ), the average grain area ( $A_G$ ), and the grain diameter ( $D$ ) and total coverage of graphene calculated theoretically for growth at  $600^\circ\text{C}$  for 1 h. The corresponding experimental results are shown in the atomic force micrograph in (g).

After growing graphene at various temperatures, we measured the overall activation barrier for growth ( $E_a$ ) via Arrhenius plots, as shown in Fig. 1g. This  $E_a$  includes all contributions from  $E_{\text{ad}}$ ,  $E_{\text{d}}$ ,  $E_{\text{att}}$ , and  $E_{\text{des}}$ , and the complex relationship between these factors. Measuring  $E_a$  provides an experimental parameter against which to validate our theoretical model, and provides insights into the formation and growth of the graphene nuclei.

**Experimental measurement of overall activation barriers.** To sketch out the overall growth of graphene nuclei on the  $\gamma\text{-Al}_2\text{O}_3$  substrates, we extracted  $E_a$  by modeling the experimental data using the Arrhenius equation. Plotting the natural logarithm of the density of graphene nuclei against the inverse of the growth temperature (in Kelvin) reveals two distinct growth regimes. The gentle slope in Fig. 1g, corresponding to an energy barrier  $E_c = 0.21$  eV, represents  $E_a$  in the catalytic growth regime, while the steeper slope reflects the non-catalytic regime with  $E_s = 2.10$  eV, in which growth occurs through site independent spontaneous dehydrogenation of  $\text{CH}_4$ . The specific procedure used to obtain these values is described in Figure S1 in the Supplementary Information (SI).

Based on these theoretical considerations,  $E_c$  and  $E_s$  should be given by Equations (1) and (2), respectively.

$$E_c = \frac{E_{ad} - E_{att} - E_d}{3} \quad (1)$$

$$E_s = E_c + \ln\left(\frac{q_0}{N_0}\right) \quad (2)$$

In the above equation,  $E_{ad} = 0.88$  eV,  $E_{att} = 0.21$  eV,  $E_d = \sim 0$  eV, and  $\ln(q_0/N_0) = 1.87$  eV, for which  $N_0 = 26 / 0.76 \text{ nm}^{-2}$  and  $q_0 = 4 / 0.76 \text{ nm}^{-2}$  represent the total density of adsorption sites respectively on the surface of the substrate and for  $\text{CH}_4$  on  $\gamma\text{-Al}_2\text{O}_3$ ; i.e., there are 26 atoms in total and 4  $\text{Al}_{III}$  atoms on each  $\gamma\text{-Al}_2\text{O}_3$  unit cell (with an area of  $0.76 \text{ nm}^2$ )<sup>30</sup>.

Inserting these values into the above equations leads to  $E_c \sim 0.22$  eV and  $E_s \sim 2.09$  eV, as shown in Fig. 1h, in good agreement with the experimental behavior ( $E_c = 0.21$  eV and  $E_s = 2.10$  eV) shown in Fig. 1g.

**Theoretical derivation of the growth mechanism.** Ruoff and coworkers have shown that graphene synthesis on transition metals involves surface adsorption on Cu but surface segregation or precipitation on Ni due to the differing solubility of C in the two (viz. a negligible  $< 0.001$  at% in Cu, but  $\sim 1.3$  at% in Ni at  $1000^\circ\text{C}$ )<sup>31,32</sup>. For  $\text{Al}_2\text{O}_3$ ,  $\text{Al}_4\text{C}_3$  forms very slowly and only above  $1600^\circ\text{C}$ , while  $\text{Al}_2\text{OC}$ , which is the first metastable product in the formation of  $\text{Al}_4\text{C}_3$ , forms only at temperatures above  $1427^\circ\text{C}$ <sup>33</sup>. The solubility of C in bulk  $\text{Al}_2\text{O}_3$  is therefore negligible under the CVD conditions employed here, such that the formation and growth of graphene nuclei on  $\gamma\text{-Al}_2\text{O}_3$  should follow a surface adsorption route similar to that which occurs on Cu. Two-dimensional crystal growth is similar to the nucleation and enlargement of crystals synthesized by physical deposition. We therefore adapted the model for the latter, derived by Robinson and Robins<sup>34</sup>, to the growth mechanism investigated here, leading to the following universal equation governing the adsorption-based synthesis of graphene over a wide temperature range. (The specifics of this derivation appear on page S3 of the SI.)

$$(\eta + N_x)^2 \frac{dN_x}{dt} = \frac{CR^2 p_{att,s} N_0}{q_0^2 p_d p_{att}^2} - \frac{\beta p_m R}{q_0 p_{att} N_0} (\eta + N_x) N_x^2 \quad (3)$$

In the above equation,  $\eta$  is used as a determinant for the growth regime,  $\beta$  is an undetermined dimensionless coefficient,  $C$  is the number of effective pair-formation sites neighboring each adatom, and the other factors are described in the SI. The determinant is highly dependent on the validity of the values used for the two activation barriers,  $E_{des}$  and  $E_d$ , at a given growth temperature. The saturation densities in the high-temperature and catalytic growth regimes are derived from the Equation (3), as shown in Equations (4) and (5), respectively.

$$N_s = \left(\frac{CN_0}{\beta v}\right)^{\frac{1}{2}} \left(\frac{P_{CH_4}}{\sqrt{2m_{CH_4} kT}} S_0\right)^{\frac{1}{2}} \exp\left(\frac{E_{des} + E_{att} - E_{ad}}{2kT}\right) \quad (4)$$

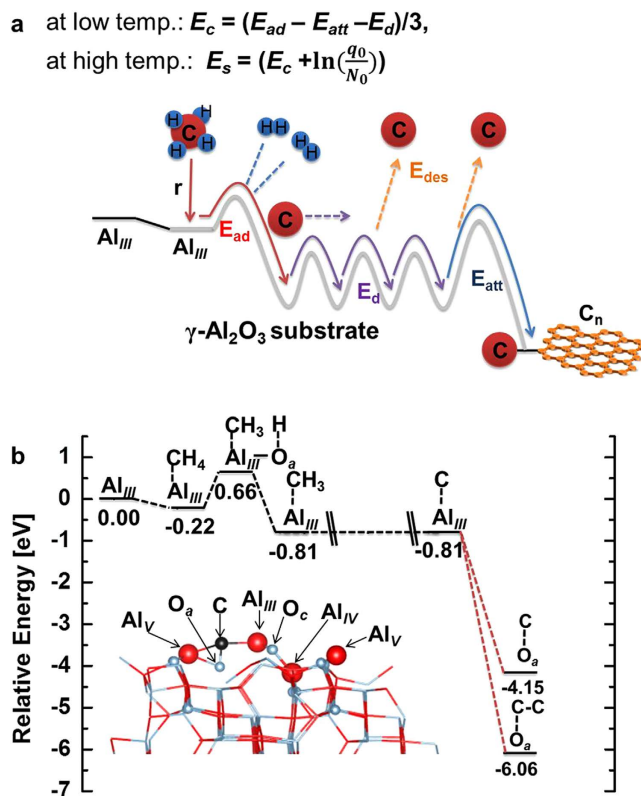
$$N_s = \left(\frac{CN_0^2}{\beta q_0 v}\right)^{\frac{1}{3}} \left(\frac{P_{CH_4}}{\sqrt{2m_{CH_4} kT}} S_0\right)^{\frac{1}{3}} \exp\left(-\frac{E_d + E_{att} - E_{ad}}{2kT}\right) \quad (5)$$

However, the value calculated for  $E_a$  in the high temperature growth regime does not match the one measured experimentally. We therefore obtained a rough estimate of the transition temperature ( $T_c$ ) from the intersection of the lines corresponding to Equations (4) and (5), yielding  $T_c \approx 4800$  K (page S13 and Figure S3 in the SI). Hence, under the CVD conditions used here, graphene growth should be governed by Equation (5), and another approach is necessary to account for the catalytic growth evidenced in Fig. 1g, which should in turn be divided into two regimes. The solution lies in the fact that the number of  $\text{CH}_4$  adsorption sites varies with the growth temperature (see page S15 in the SI).

The redefined adsorption rate ( $R'$ ) is given by the following equation,

$$R' = \left\{ \left(\frac{q_0}{N_0}\right) \exp\left(\frac{-1}{kT}\right) \right\} R \quad (6)$$

and the activation barriers in the steeper regime governed by spontaneous dehydrogenation ( $E_s$ ) are defined as follows:



**Figure 2. Energy path for the formation of graphene nuclei.** a, The energy landscape for the sequential formation of graphene nuclei on a  $\gamma$ - $\text{Al}_2\text{O}_3$  substrate.  $E_c$  and  $E_s$  represent the overall activation barriers for nucleus growth at different temperatures. b, Minimum energy path for the formation of graphene nuclei. The inset shows the result of the most stable state of a C adatom on the surface of a  $\gamma$ - $\text{Al}_2\text{O}_3$  substrate.

$$N_s' = \left(\frac{CN_0^2}{\beta q_0 v}\right)^{\frac{1}{3}} \left(\frac{P_{\text{CH}_4}}{\sqrt{2m_{\text{CH}_4} kT}} S_0\right)^{\frac{1}{3}} \exp\left[\left\{3 \ln\left(\frac{q_0}{N_0}\right) + (E_{ad} - E_{att} - E_d)\right\}/3kT\right] \quad (7)$$

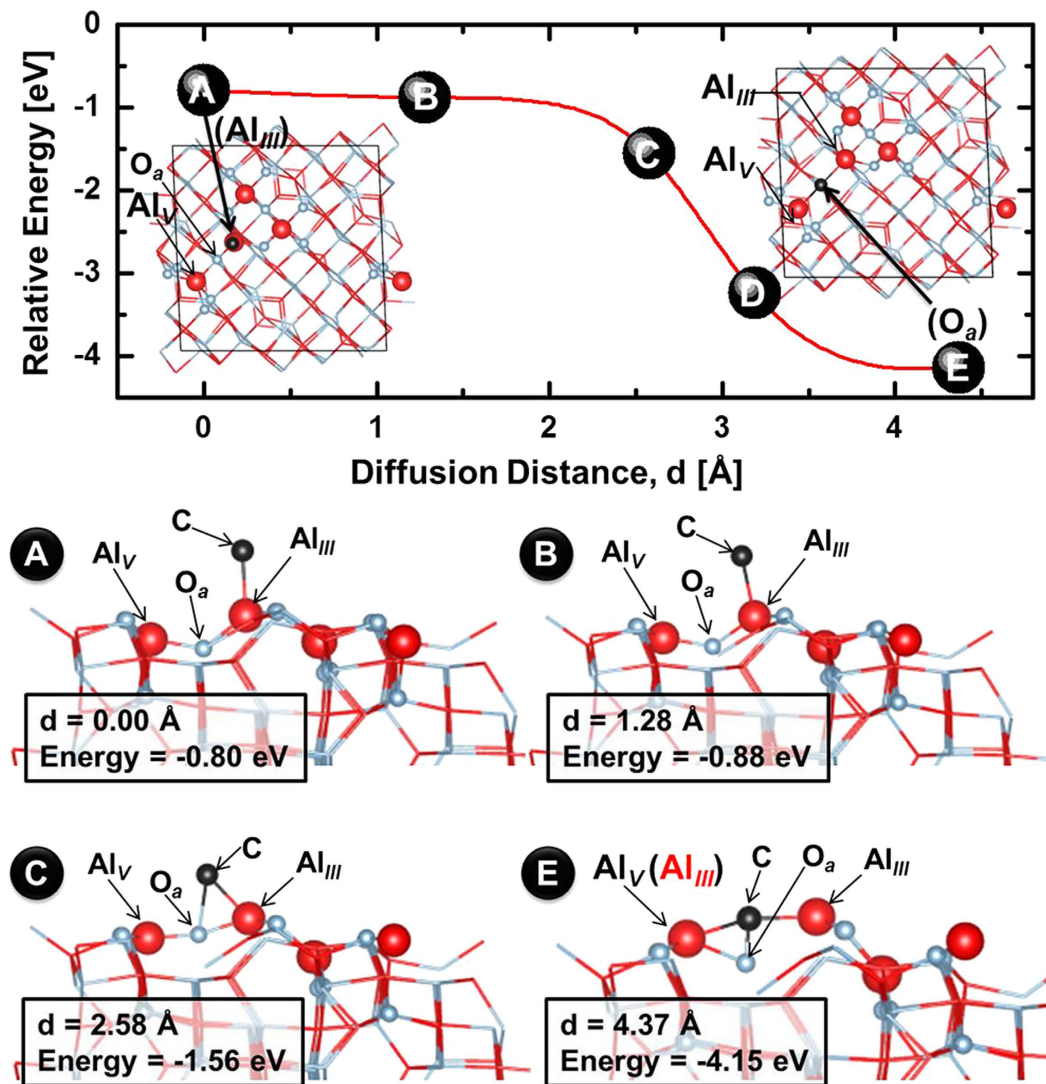
with the values for  $E_a$  in the catalytic and spontaneous growth regimes given by Equations (1) and (2), respectively.

**The initial nucleation rate at all temperatures.** From Equation (3), the initial nucleation rate,  $J$ , can be predicted from the value of  $dN_x/dt$  at  $t=0$  and  $N_x=0$ .

$$J = \left(\frac{C}{N_0 v}\right) \left(\frac{P_{\text{CH}_4}}{\sqrt{2m_{\text{CH}_4} kT}} S_0\right)^2 \exp\left(\frac{2E_{des} + 2E_{att} - 2E_{ad} - E_d}{kT}\right) \quad (8)$$

Equations (4), (5), (7) and (8) constitute to the best of our knowledge, the first description of the growth mechanisms of nanocarbon (either graphene, carbon nanotubes, or fullerene) that covers the entire process from the decomposition and adsorption of the C precursor to the nucleation and growth of nanocarbon.

Figure 2a presents a schematic illustration of the energy landscape, based on Fig. 1f, associated with graphene growth, from  $\text{CH}_4$  dissociation to the formation of graphene nuclei on the  $\gamma$ - $\text{Al}_2\text{O}_3$  substrates. Figure 2b shows the results of density functional theory (DFT) calculations performed for a C adatom based on reference data for the dissociative adsorption of  $\text{CH}_4$ <sup>28,29</sup>. Whole atoms—including O sites adjacent and non-adjacent to the initial  $\text{Al}_{\text{III}}$  site, and other Al sites—were considered as potential destinations for the surface diffusion of an adatom generated from the dissociative adsorption of  $\text{CH}_4$  on an  $\text{Al}_{\text{III}}$  site. These calculations indicate that these other Al sites are more unstable destinations than the original  $\text{Al}_{\text{III}}$ , and that O sites both adjacent and non-adjacent to the original  $\text{Al}_{\text{III}}$  site, are more stable than the latter. Examples of the DFT calculations performed for these O sites are provided in Figures S5–7 in the SI. These indicate that the  $\text{O}_a$  site adjacent to the initial  $\text{Al}_{\text{III}}$  site is the most stable (see Figure S5c,f).



**Figure 3.** Minimum energy path for a generated C adatom from the initial Al<sub>III</sub> site (A) to the final O<sub>a</sub> site (E). The red curve guides the eye and was fit through the eight points spaced by 0.63 Å that were calculated using a climbing image nudged elastic band model. Among these, five are marked with filled circles, while side-view snapshots along the minimum energy path are shown for four. The inset images are top-views from the initial to the final site of the C adatom. The diffusion distance,  $d$ , and the relative energy of the C adatom are shown in each snapshot.

**Evaluation of the compositional activation barriers.** Figure 2b shows that  $E_{ad}$  in this situation is equal to 0.88 eV. Such a low energy barrier ensures the dissociative adsorption of CH<sub>4</sub> to C adatom, which is the rate-determining step<sup>35,36</sup> in the growth mechanism, sets the lower limit of the growth temperature at 100 °C<sup>28,29</sup>.

To obtain  $E_d$ , we calculated the energy path from the Al<sub>III</sub> site to its adjacent O<sub>a</sub> site using the climbing image nudged elastic band (CI-NEB) model<sup>37,38</sup>. As shown in Fig. 3, the minimum energy path predicted in this way indicates that the associated barrier is negligible ( $E_d \approx 0$  eV). This contrasts with the values obtained for Ag and Ni, (viz. 0.20 eV and 0.39 eV, respectively) but is comparable to the barrier on Cu (0.07 eV)<sup>39</sup>. To confirm this result, we considered the energies associated with the formation of C dimers involving an adatom. The results calculated in atom-by-atom mode, presented in Figure S7, show that the formation of a C dimer on the O<sub>a</sub> site (C-C-O<sub>a</sub>) is the most stable reaction, with a relative energy of 6.06 eV per C atom. No surface diffusion barriers were evidenced in this process, and since the formation of a bridging-metal linear C<sub>n</sub>-O<sub>a</sub> structure ( $n$  is the number of C atoms) is similar to the formation of graphene nuclei on  $\alpha$ -Al<sub>2</sub>O<sub>3</sub><sup>10</sup>, these results also show that  $E_d$  for the latter is negligible.

The enlargement of graphene nuclei proceeds according to  $E_{att}$ . A value of 0.21 eV was obtained using a simple model in which the growth of graphene islands is governed by the edge controlled kinetics of the attachment and detachment of C adatoms, as described on page S26 in the SI.

	$E_{ad}$ [eV]	$E_d$ [eV]	$E_{des}$ [eV]	$E_{att}$ [eV]	C solubility [at%]	$E_c$ of $N_c$ [eV]	$E_a$ of J [eV]	Growth mechanism
$\gamma$ -Al <sub>2</sub> O <sub>3</sub>	0.88	0	4.15	0.21	NONE <sup>a)</sup>	0.21 <sup>f)</sup>	6.96 <sup>g)</sup>	Surface
						0.22 <sup>g)</sup>		adsorption
Cu	3.4~4.1 <sup>45)</sup>	0.06~0.7 <sup>46)</sup>	6 <sup>45)</sup>	0.2 <sup>d)</sup> , <sup>39)</sup>	0.001 <sup>a)</sup> , <sup>32)</sup>	1 <sup>f)</sup> , <sup>39)</sup>	19.2 <sup>g)</sup>	Surface
				2 <sup>e)</sup> , <sup>35,36)</sup>		1.1 <sup>d)</sup> , <sup>g)</sup> , 0.46 <sup>e)</sup> , <sup>g)</sup>		adsorption
Ni	0.74 <sup>b)</sup> , <sup>47)</sup>	0.37 <sup>b)</sup> , <sup>48,49)</sup>	6.28 <sup>b)</sup> , <sup>49)</sup>	0.2 <sup>39)</sup>	1.3 <sup>a)</sup> , <sup>32)</sup>	0.05 <sup>g)</sup>	11.08 <sup>g)</sup>	Segregation or precipitation
			6.76 <sup>c)</sup> , <sup>49)</sup>					

**Table 1. Comparison of the activation barriers governing graphene growth on different substrates.** <sup>a)</sup>at 1000 °C. <sup>b)</sup>(111) surface. <sup>c)</sup>(110) surface. <sup>d)</sup>estimated from results for other transition metals. <sup>e)</sup>estimated from results for Ru. <sup>f)</sup>estimated by experiment. <sup>g)</sup>estimated using the proposed growth model.

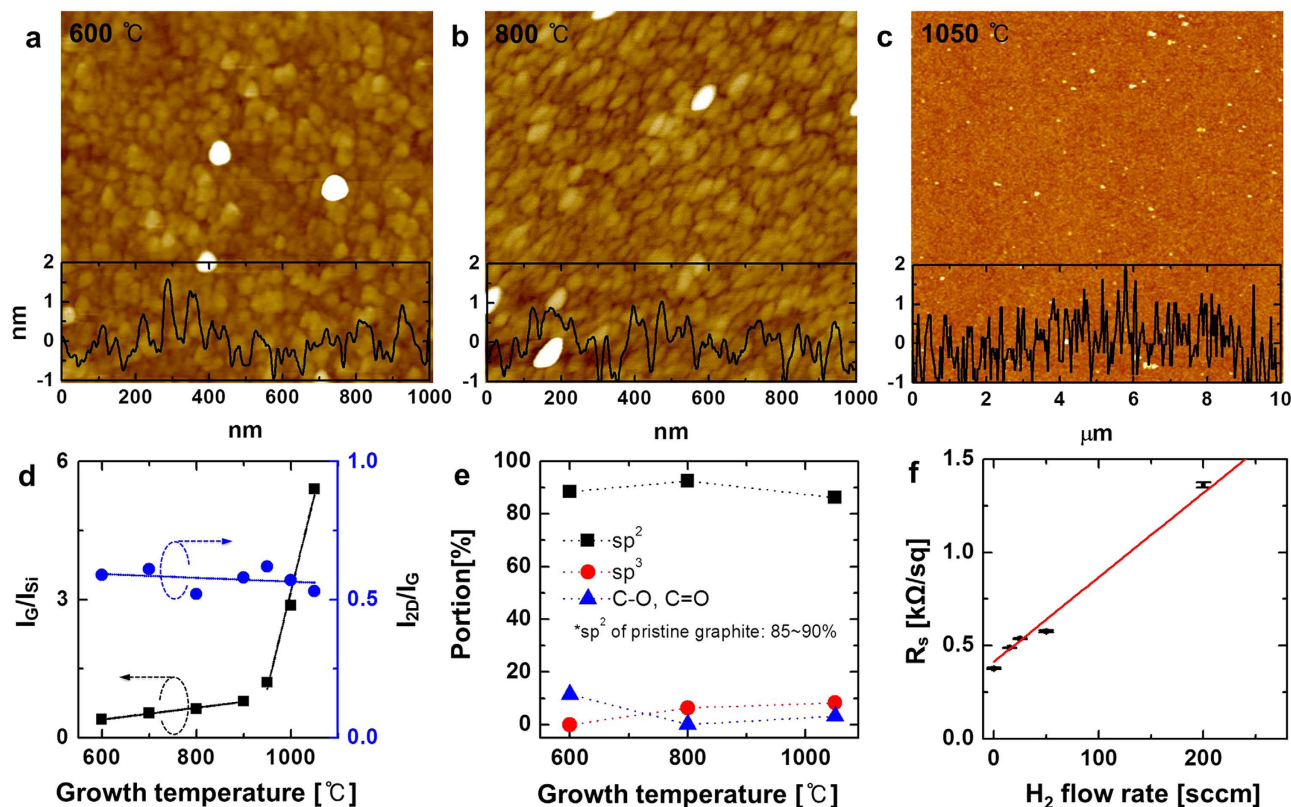
**Interpretations of growth phenomena related with material characteristics.** Table 1 lists the various surface activation barriers, C solubility, activation barrier for nucleation, and growth mechanisms associated with different growth materials. Ignoring  $E_d$  and C solubility, Ni appears at first glance to be the most favorable substrate. However, these two terms can lead to the growth of graphene on Ni substrates both by segregation and precipitation.

The supply of C adatoms is governed by  $E_{ad}$ , while as mentioned above,  $E_{att}$  governs the enlargement of the graphene nuclei. Therefore, these two activation barriers determine the lowest possible growth temperature. For the theoretical calculation of  $E_c$ , Kim *et al.*<sup>35</sup> used an  $E_{att}$  of 2 eV for Cu, based on growth experiments performed on Ru<sup>36</sup>. This approximation notwithstanding, regardless of the value used for  $E_{att}$  (either 2 eV or 0.2 eV from DFT calculation for other transition metals<sup>39</sup>), the value for  $E_c$  they calculate does not match their own experimental measurement ( $E_c = 1$  eV)<sup>35</sup>. Using the equation derived here however, as shown at Table 1, an  $E_{att}$  of 0.2 eV yields  $E_c = 1.1$  eV, demonstrating that this equation, originally derived for metal oxides, is also valid for metal catalysts. One also notes that the DFT-calculated value for  $E_{att}$  (0.2 eV for transition metals) is similar to the one measured here (0.21 eV). In this context,  $E_{ad}$  has a significant impact on the catalytic performance of the substrates. Since  $E_{ad}$ s is similar for  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> and Ni, the growth temperature of graphene on  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> should be comparable to that on Ni<sup>16</sup>. Finally, since  $E_d$  determines the homogeneity of the graphene layer grown on the substrate, and since  $E_d$  is the lowest in  $\gamma$ -Al<sub>2</sub>O<sub>3</sub>, this should be the most favorable of these three substrates for the formation of homogeneous graphene. A further discussion of C solubilities and a quantitative comparison of the different substrates may be found on page S28 of the SI.

**Characterization of graphene grown on  $\gamma$ -Al<sub>2</sub>O<sub>3</sub>.** Atomic force microscopy (AFM) images of graphene synthesized for 1 h at 600 °C, 800 °C, and 1050 °C, are respectively shown in Figs 4a–c. Nanometer-scale grains are observed in the samples grown at 600 °C and 800 °C, but no grain boundaries are visible in the sample grown at 1050 °C. The analysis of the AFM images is summarized in Table S1. Raman spectra obtained from the same samples are shown in Figure S10 and the parameters resulting from this analysis are listed in Table S2. Figure 4d plots the intensity ratio of the G peak to the Si peak ( $I_G/I_{Si}$ ), and of the 2D peak to the G peak ( $I_{2D}/I_G$ ), as a function of the growth temperature. The latter ratio reports on the number of graphene layers formed, with a values of ~0.5 at an excitation wavelength of 532 nm indicating the presence of bilayers. Figure 4d shows thereby that the graphene obtained here is bilayered, regardless of the growth temperature. On the other hand,  $I_G/I_{Si}$  reports on the average area of the graphene grains. The variation of this intensity ratio with the growth temperature follows two distinct slopes in Fig. 4d, revealing two different mechanisms for grain growth, as also shown in Fig. 1f and Figure S4.

We also analyzed our graphene samples by using X-ray photoelectron spectroscopy (XPS) and X-ray diffraction (XRD) to identify the crystalline phase of the Al<sub>2</sub>O<sub>3</sub> substrate and evaluate the quality of the graphene. High-resolution XPS profiles were acquired in the C 1s (see Figure S12 in the SI), Al 2p, O 1s, and N 1s (Figure S13) regions to characterize the graphene, the  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> substrate, and the N-doping in the graphene sheets (see page S33 of the SI). Figure 4e shows the evolution of the relative contents of different C components in the graphene as a function of the growth temperature. The proportion of sp<sup>3</sup> carbon increases at higher temperatures while that of oxygen-bonded carbon moieties decreases. The sp<sup>2</sup> content remains constant at ~90%. The total carbon content in the graphene sheets is greater than 97%, which is slightly superior even to that of pristine graphite (~96%)<sup>40</sup>. The reader is referred to page S36 and Figure S14 in the SI for the corresponding XRD analysis.

Figure 4f shows the sheet resistance ( $R_s$ ) of the graphene samples as a function of the H<sub>2</sub> flow rate used during CVD (under a constant 850 sccm flow of CH<sub>4</sub>). The linear increase of  $R_s$  with the H<sub>2</sub> flow observed here can be expressed by the following equation.



**Figure 4. Characteristics of the grown graphene.** Atomic force micrographs obtained from graphene samples grown on  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> substrates at **a**, 600 °C, **b**, 800 °C, and **c**, 1050 °C. **d**, shows the variation with the growth temperature of the  $I_{2D}/I_G$ ,  $I_G/I_{Si}$  Raman peak intensity ratios. **e**, Variation as a function of the growth temperature of the proportion of the total integrated intensity accounted for by  $sp^2$ ,  $sp^3$ , C-O, and C=O peaks in the C 1s region of X-ray photoelectron spectra. **f**, Sheet resistance ( $R_s$ ) plotted as a function of the H<sub>2</sub> flow rate. The red dotted line is provided to guide the eye.

$$R_s = 4.5 \times H_2(\text{sccm}) \left[ \frac{\Omega}{\text{sccm}} \right] + 413.7[\Omega] \quad (9)$$

H<sub>2</sub> is the flow from the mass flow controller (sccm).

The optimal H<sub>2</sub> flow rate was also investigated by Raman spectroscopy, by looking at its influence on the  $I_D/I_G$  ratio (see Figure S11). This analysis also shows that graphene synthesis is best conducted under H<sub>2</sub>-free conditions, or with minimal H<sub>2</sub> flow (~25–50 sccm), a strong argument in favor of the use of  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> as a growth substrate. It is noteworthy moreover that the  $R_s$  standard deviation is less than 1% in all the samples prepared with H<sub>2</sub> flows up to 200 sccm (see Figure S11c). In other words, the optimal samples prepared here have a  $R_s$  of ~0.4 k $\Omega$ /sq with less than 1% standard deviation, a performance comparable or superior to that of graphene grown on Cu<sup>22</sup>.

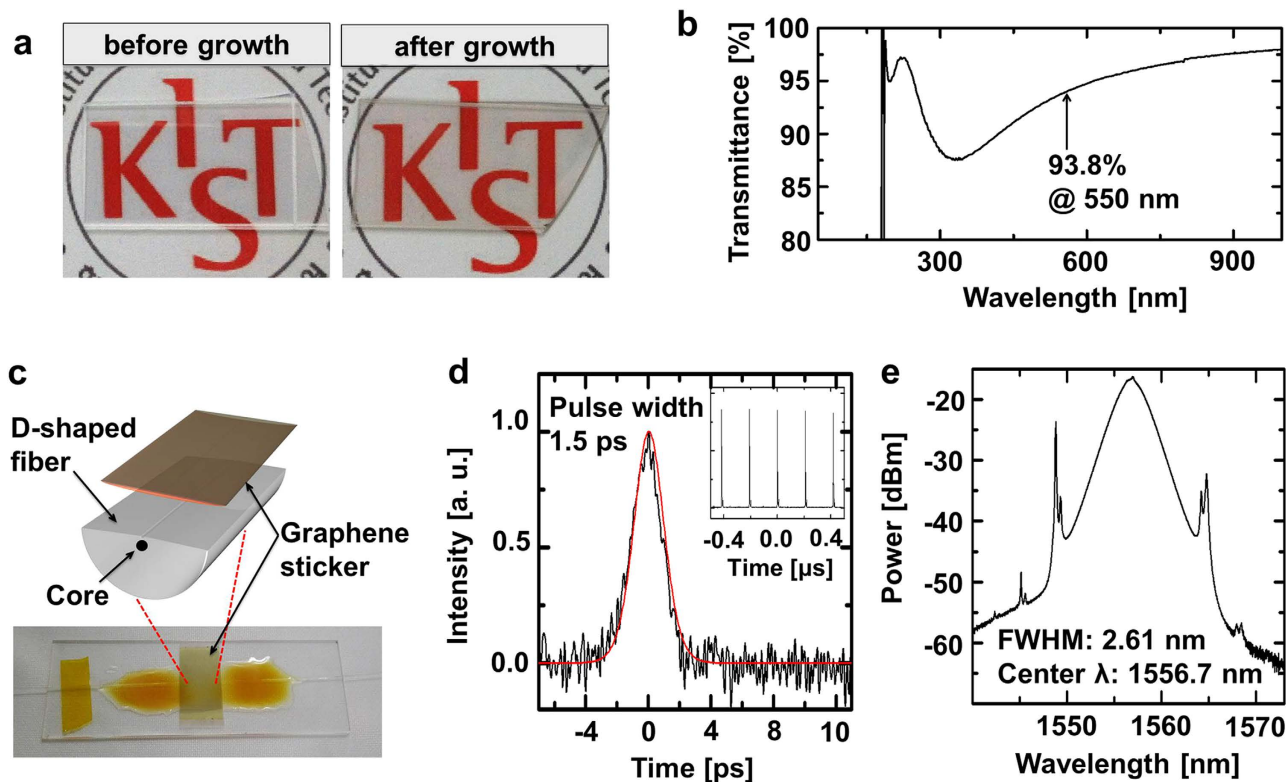
Optical transmittance measurements are a reliable means to determine the number of graphene layers synthesized. For these experiments, we synthesized graphene onto Al<sub>2</sub>O<sub>3</sub>/Quartz wafer/Al<sub>2</sub>O<sub>3</sub> substrates as shown in Fig. 5a, with the resulting optical transmittance spectrum shown in Fig. 5b.

The optical transmittance of graphene,  $T_{opt}$ , can also be obtained from Lee's equation<sup>41</sup>,

$$T_{opt} = \frac{1}{\left| 1 + \frac{Z_0}{1+n} \sigma^{2d} \right|^2} \quad (10)$$

in which  $Z_0$  is the free-space impedance (377  $\Omega$ ) and  $n$  is the refractive index of the Al<sub>2</sub>O<sub>3</sub> substrate (1.77 at 550 nm). According to this equation, a single layer of graphene grown on Al<sub>2</sub>O<sub>3</sub> absorbs ~1.66% of the incident light. As shown in Fig. 5b, the value measured here for  $T_{opt}$  at 550 nm is 93.8%, which matches the value (93.76%) predicted using Equation (10) for graphene bilayers grown on the top and bottom face of an Al<sub>2</sub>O<sub>3</sub>/Quartz wafer/Al<sub>2</sub>O<sub>3</sub> substrate<sup>22</sup>. The performance of these devices and the bending tests and Hall measurements performed thereon have been reported elsewhere<sup>22</sup>.





**Figure 5. Linear and nonlinear optical characteristics of graphene grown on  $\gamma$ -Al<sub>2</sub>O<sub>3</sub>.** **a**, Photographs of the transparent substrates (Al<sub>2</sub>O<sub>3</sub> 50 nm/quartz wafer/Al<sub>2</sub>O<sub>3</sub> 50 nm) before and after graphene growth. **b**, The optical transmittance of the graphene sample as a function of wavelength. The transmittance is 93.8% at 550 nm. **c**, A schematic diagram and a photograph showing the attachment of a graphene sticker to a D-shaped fiber, the main component of ultrafast mode-locked lasers. **d** and **e** are the measured output pulses of the autocorrelation trace (Inset: the pulse waveforms) and the optical spectrum, respectively. The autocorrelation was analysed by assuming a transform-limited sech<sup>2</sup> pulse (red line in **d**).

As a practical application of our readily detachable graphene layers, we incorporated one of these into an ultrafast mode-locked laser<sup>2,3</sup>, as shown in Fig. 1a,c. The graphene layer was manually transferred to the nonlinear photonic device as a graphene sticker, as shown in Fig. 5c. The graphene acted as a nonlinear saturable absorber to produce ultrafast laser pulses in a fiber ring cavity (see Figure S15)<sup>3</sup> by inducing a passive mode-locking of the co-propagating multiple sub-modes. Fig. 5d shows the autocorrelation trace of the successfully pulsed laser output with a pulse duration of 1.5 ps. The inset shows the pulse train obtained with a repetition frequency of 4.8 MHz. Figure 5e shows the corresponding optical spectrum, with a central wavelength of 1556.7 nm and a full width at half maximum of 2.61 nm.

In summary, the use of catalytic  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> as a substrate for the highly efficient formation of graphene has been explored and the entire formation mechanism has been elucidated using both numerical simulations and experiments. This synthesis route is effective at low temperatures and without metal, and allows grain size customization and the preparation in a single step of graphene/dielectric layer structures. Moreover, the graphene produced in this way is uniform over large areas in terms of its electrical properties and is readily detachable.

We evaluated theoretically the activation energies associated with all the stages of graphene nucleation and growth, confirming that the low energy barriers for the surface diffusion of C adatoms and for graphene nucleation/growth on the  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> substrate ensure the synthesis of high quality graphene. Finally, we derived a universal equation, which describes the adsorption-based synthesis of graphene over a wide temperature range (with catalytic and spontaneous growth at low and high temperatures, respectively) and on a number of different substrates, including metal catalysts. The experimental results obtained in this study support the proposed equation.

To demonstrate the potential practical applications of this synthesis route, we manually transferred one of the ‘graphene stickers’ prepared in this way to fabricate an ultrafast mode-locked laser.

## Methods

**Formation of the  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> substrates.** The  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> substrates (50 nm thick) were synthesized via CVD for the growth of graphene on amorphous ALD Al<sub>2</sub>O<sub>3</sub> substrate with 850 sccm of CH<sub>4</sub> and 50 sccm of H<sub>2</sub> at 1050 °C. In order to get the  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> substrate, the graphene grown was detached by using a polyimide film<sup>22</sup>.

**Graphene synthesis.** Except for the optimization of the gas flow rates, all the graphene samples were synthesized using 850 sccm of CH<sub>4</sub> and 50 sccm of H<sub>2</sub>, with different growth temperatures and durations.

**Graphene synthesis on transparent substrates for transmittance measurements.** Al<sub>2</sub>O<sub>3</sub> (50 nm thick) was synthesized via ALD onto a quartz wafer coated on its backside with a polymer film. The backside was also coated with Al<sub>2</sub>O<sub>3</sub> using the same method. Graphene was then synthesized on both sides of the Al<sub>2</sub>O<sub>3</sub>/wafer quartz/Al<sub>2</sub>O<sub>3</sub> substrate for 15 min at 1050 °C.

**Graphene stickers and graphene mode-lockers.** Graphene stickers were prepared by detaching spin-coated polyimide together with the graphene from  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> substrates. A D-shaped optical fiber was fabricated by polishing one side of a conventional single-mode fiber (SMF) fixed with epoxy on a slide glass<sup>3</sup>. The graphene sticker was simply tailored with scissors and attached onto the D-shaped fiber as shown at Figs 1c and 5c. A graphene mode-locked laser with a fiber ring cavity was prepared as described previously<sup>3</sup>. A home-made erbium-doped fiber amplifier was used as a gain medium to generate an average output power of 5.1 dBm. An additional 20-m long SMF was used to optimize the intracavity chromatic dispersion. A polarization controller was added to match the roundtrip polarization state in the cavity, into which isolators were inserted to guarantee unidirectional light propagation. A 90/10 coupler was employed for the output of the pulsed laser.

**Characterization.** Atomic force micrographs were acquired in non-contact mode with a ppp-NCHR 5M probe (Nanosensors) attached to a XE-100 microscope (Park Systems). The Raman spectra were acquired using a Renishaw In-Via system with a 532 nm excitation laser. The XRD profiles were obtained using a Dmax2500/PC (Rigaku) spectrometer operated at 40 kV, 200 mA, and 8 kW using a Cu target (1.5406 Å) at a scan rate of 2° min<sup>-1</sup>. The XPS profiles were acquired using a PHI 5000 VersaProbe (Ulvac-PHI) system at a base pressure of 6.7 × 10<sup>-8</sup> Pa using a monochromated Al Ka (1486.6 eV) anode (25 W, 15 kV) with a spot size of 100 μm × 100 μm. The transmittance data were recorded on a Cary 5000 (Varian) spectrometer from 175 nm to 3300 nm at a scan rate of 600 nm·min<sup>-1</sup> at a resolution of 1.0 nm. The optical spectrum, its characteristics, and those of the pulse waveform, were respectively measured using an autocorrelator (25 fs resolution, HAC-200, Alnair Labs), an optical spectrum analyzer (0.02 nm resolution, C-band scan range, SW7370C, Yokogawa), and an oscilloscope (DSO 5054A, Agilent Technology).

**Computational methods.** Calculations were performed using the Vienna ab initio Simulation Package<sup>42</sup>. The projector augmented wave method<sup>43</sup> was used with a cut-off energy of 500 eV, within the generalized gradient approximation parameterized by Perdew and Wang (PW91)<sup>44</sup>. The 3s and 3p orbitals of Al and the 2s and 2p orbitals of C and O were treated as the valence electrons in the spin-polarized calculations. The optimization of bulk  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> was carried out following the method proposed by Digne, *et al.*<sup>30</sup>. The (110) surface of the conventional cubic spinel structure corresponds to the (001) surface optimized by Digne, *et al.*<sup>30</sup>. The Al<sub>2</sub>O<sub>3</sub> slab with eight layers was reconstructed after having been cut to a size of  $\sqrt{2} \times \sqrt{2}$ , and rotated by an angle of 45° with 15 Å vacuum. The number of Al and O atoms was 64 and 96, respectively. The surface was a 11.65 Å × 11.65 Å square slightly tilted at an angle of 92.30°. The 3 × 3 × 1  $\Gamma$ -centred k-grid was used. Dipole corrections were applied according to the c-axis direction.

The adsorption energy of a C atom on the Al<sub>2</sub>O<sub>3</sub> surface was defined as follows.

$$E_{ads} = E(C - Al_2O_3 \text{ slab}) - E(Al_2O_3 \text{ slab}) - E(1C \text{ atom}) \quad (11)$$

The energy of one C atom was calculated in a 20 Å × 20 Å × 20 Å vacuum space. A CI-NEB calculation was performed to calculate the migration barrier energy of the attached carbon<sup>37,38</sup>.

C atom adsorption was tested on top of the Al<sub>III</sub>, O<sub>a</sub>, O<sub>b</sub>, and O<sub>c</sub> sites. The Al<sub>III</sub> site, which has three O neighbors, is reported to act as a catalytic site for the dissociation of CH<sub>4</sub><sup>28,29</sup>. The O<sub>a</sub>, O<sub>b</sub>, and O<sub>c</sub> sites are defined as O atoms having bonds with Al<sub>III</sub> and Al<sub>V</sub>, with Al<sub>III</sub> and left-Al<sub>IV</sub> (Al<sub>IV</sub>(l)), and with Al<sub>III</sub> and right-Al<sub>IV</sub> (Al<sub>IV</sub>(r)), respectively.

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## Acknowledgments

This work was supported by the Institutional Program (2E25810) funded by the Korea Institute of Science and Technology (KIST), Republic of Korea.

## Author Contributions

J.P. designed and performed the experiments, analyzed the data, and evaluated the overall growth mechanism. J.P., Y.-W.S., J.L. and J.-H.C. designed the computer simulations. J.L. ran the DFT calculations. D.K.H. contributed the ALD-grown materials. J.P. and Y.-W.S. wrote the paper. Y.-W.S. directed the research.

## Additional Information

**Supplementary information** accompanies this paper at <http://www.nature.com/srep>

**Competing financial interests:** The authors declare no competing financial interests.

**How to cite this article:** Park, J. *et al.* Growth, Quantitative Growth Analysis, and Applications of Graphene on  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> catalyst. *Sci. Rep.* **5**, 11839; doi: 10.1038/srep11839 (2015).



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