

# Sequestosome 1/p62: A multitasker in the regulation of malignant tumor aggression (Review)

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**Abstract.** Sequestosome 1 (SQSTM1)/p62 is an adapter protein mainly involved in the transportation, degradation and destruction of various proteins that cooperates with components of autophagy

and the ubiquitin-proteasome degradation pathway. Numerous studies have shown that SQSTM1/p62 functions at multiple levels, including involvement in genetic stability or modification, post-transcriptional regulation and protein function. As a result, SQSTM1/p62 is a versatile protein that is a critical core regulator of tumor cell genetic stability, autophagy, apoptosis and other forms of cell death, malignant growth, proliferation, migration, invasion, metastasis and chemoradiotherapeutic response, and an indicator of patient prognosis. SQSTM1/p62 regulates these processes via its distinct molecular structure, through which it participates in a variety of activating or inactivating tumor-related and tumor microenvironment-related signaling pathways, particularly positive feedback loops and epithelial-mesenchymal transition-related pathways. Therefore, functioning as a proto-oncogene or tumor suppressor gene in various types of cancer and tumor-associated microenvironments, SQSTM1/p62 is capable of promoting or retarding malignant tumor aggression, giving rise to immeasurable effects on tumor occurrence and development, and on patient treatment and prognosis.

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*Abbreviations:* SQSTM1, sequestosome 1; UBA, ubiquitin-associated domain; PB1, Phox and Bem1p; LIR, LC3-interacting region; HSP90, heat shock protein 90; HCC, hepatocellular carcinoma; NRF2, nuclear factor erythroid 2; TRAF6, TNF receptor-associated factor 6; ARE, antioxidant response element; JNK, Jun N-terminal kinase; KEAP1, Kelch-like ECH-associated protein 1; NQO1, NAD(P)H dehydrogenase quinone 1; HDAC6, histone deacetylase 6; CSC, cancer stem cell; ROS, reactive oxygen species; PI3K, phosphoinositol-3 kinase; KLF4, Kruppel-like factor 4; ATRA, all-trans retinoic acid; AML, acute myeloid leukemia; NSCLC, non-small cell lung cancer; NBR1, neighbor of BRCA1; Ack1, activate Cdc42-associated kinase 1; CAFs, cancer-associated fibroblasts; EMT, epithelial-mesenchymal transition; AR, androgen; HIF1 $\alpha$ , hypoxia-inducible factor 1 $\alpha$ ; Dvl2, disheveled 2; ECSIT, evolutionarily conserved signaling intermediate in toll pathways

*Key words:* SQSTM1/p62, autophagy, ubiquitin-proteasome degradation, EMT, tumor

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## 1. Introduction

SQSTM1/P62, an adapter and scaffolding protein described cursorily by Park (1) in 1995, is a 62-kD protein that binds to the Src homology 2 domain of p56lck and is involved in the degradation and destruction of ubiquitinated proteins. Over time, an increasing number of studies have extensively shown that SQSTM1/p62 is a multifunctional protein that impinges on a variety of tumor biological behaviors, such as cell growth, proliferation, migration, invasion, metastasis, autophagy, apoptosis and ubiquitination (2-5). SQSTM1/p62 has important and versatile roles in cancer owing to its distinct and important structures, including a ubiquitin-associated (UBA) region and a Phox and Bem1p (PB1) domain, which mediate the ubiquitin-proteasome degradation pathway, a LC3-interacting region (LIR), which mediates the autophagy pathway, and a Kelch-like ECH-associated protein 1 (KEAP1)-interacting region, which is involved in the NF- $\kappa$ B pathway (6). The coexistence of a UBA region and LIR indicates that SQSTM1/p62 serves as a bridge between autophagy and the ubiquitin-proteasome system, which is of the utmost importance for SQSTM1/p62 regulation of various biological behaviors (7,8). In addition, after incorporation into a completed autophagosome, SQSTM1/p62 is quickly degraded in autolysosomes; therefore, it is an important index useful for monitoring autophagic degradation. Generally, increased SQSTM1/p62 expression reflects inhibition of autophagy. Analogously, decreased SQSTM1/p62 expression indicates activation of autophagy, and thus, to a certain degree, it is used to monitor autophagic flux in cells; however, these differences in expression may be context specific (9). For instance, the SQSTM1/p62 level may not change when autophagy is induced, as SQSTM1/p62 may be involved in other signaling pathways, interacting with numerous molecules through other domains as described in the aforementioned literature (9). Additionally, the phosphorylation of SQSTM1/p62 at Ser403 may post-transcriptionally participate in the regulation of the autophagic clearance of ubiquitinated proteins (10). Notably, recent evidence has confirmed that in gastric cancer, SQSTM1/p62 can directly bind and transport the long non-coding RNA ARHGAP5-AS1 as cargo to autophagosomes, ultimately leading to RNA recycling by autolysosomes (11). Furthermore, SQSTM1/p62 may directly recruit and interact with autophagy-linked FYVE protein, a protein similar to SQSTM1/p62 that is essential to the formation and autophagic degradation of ubiquitinated proteins, to degrade ubiquitinated proteins via autophagy (12). In particular, SQSTM1/p62 plays a crucial role in autophagy and the regulation of other transcriptional regulators, including nuclear factor erythroid 2 (NRF2) and NF- $\kappa$ B. For example, NRF2 degradation is primarily powered by the KEAP1, whereas, phosphorylation of SQSTM1/p62 at S349 may tremendously enhance the adhesion to KEAP1 and subsequently disrupts association between KEAP1 and NRF2, and promotes NRF2 stabilization and activation to facilitate growth of tumor cells, implying crosstalk between SQSTM1/p62-mediated autophagy and the KEAP1-NRF2 system (13,14). Moreover, SQSTM1/p62 acts as a direct transcriptional target of NF- $\kappa$ B and can in turn activate the NF- $\kappa$ B pathway by stimulating inhibitor of NF- $\kappa$ B kinase

subunit  $\beta$ /I $\kappa$ B by TNF receptor-associated factor 6 (TRAF6) polyubiquitination (15-17). In addition, SQSTM1/p62 p.R321C mutation leads to autophagy inhibition by activating the NF- $\kappa$ B pathway in Paget's disease of the bone (18), and as autophagy is inhibited, positive feedback between SQSTM1/p62 and NF- $\kappa$ B interaction can excessively and sustainably trigger the NF- $\kappa$ B pathway, leading to epithelial-mesenchymal transition (EMT) in various RAS-mutated cells (19).

Recently, an increasing number of studies have confirmed that under conditions of various intracellular or extracellular pressures, including nutrient deficiency, and endoplasmic reticulum, oxidative and metabolic stresses, such as hypoxia and high concentrations of insulin, SQSTM1/p62 may act as either a proto-oncogene or tumor suppressor gene, promoting or inhibiting malignant tumor progression, respectively (20-23). By directly binding to numerous cancer-associated genes, such as Tribbles 3, EGFR, COX-2, MMP1/2, membrane type-MMP, c-Myc, Snail, Twist (20), RAD51 recombinase, filamin A (21), ring finger protein 168 (an E3 ubiquitin-protein ligase) (22) and checkpoint kinase 1 (23), SQSTM1/p62 can mitigate genetic instability and DNA damage foci, and induce DNA repair, thereby playing a role in cancer oncogenesis, malignant progression, senescence and chemoradiotherapeutic sensitivity (20-23). Since SQSTM1/p62 is at the center of a hub of various complicated signaling pathways in different cancer types and as its functions have wide implications in different cellular systems, the underlying regulatory mechanisms of its role in steering tumor progression are not entirely known and remain to be revealed in the future. The present review focuses on the mechanisms and functions of SQSTM1/p62 in regulating diverse biological behaviors in different types of cancer.

## 2. Gastrointestinal tumors

It has been established that SQSTM1/p62, as a tumor oncogene, is frequently abnormally upregulated and involved in the aggression of gastrointestinal tumors, including gastric, colorectal and pancreatic cancer (24). Several lines of evidence also suggest that specific expression patterns of SQSTM1/p62 in tumor cells are closely related to invasion and metastasis, and can indicate prognosis. Notably, the punctiform expression of SQSTM1/p62 in the cytoplasm and/or cell nucleus may be an independent prognostic factor of esophageal adenocarcinoma, particularly early esophageal adenocarcinoma, in which the expression of SQSTM1/p62 is usually aberrantly upregulated (24,25). However, patients with higher SQSTM1/p62 expression in the tumor cell cytoplasm and nucleus have a better prognosis, while patients with lower SQSTM1/p62 or lower combined LC3 and SQSTM1/p62 expression in tumor cells have more aggressive esophageal adenocarcinoma (25). The overexpression of SQSTM1/p62 in gastric cancer is related to hematogenous and hepatic metastasis, particularly in early gastric cancer, with an unfavorable prognosis. However, SQSTM1/p62 expression in colorectal cancer treated with 5-fluorouracil has not been shown to be significantly associated with prognosis (26,27). All of these data indicate that SQSTM1/p62 dysregulation may be an early and important event in tumorigenesis. SQSTM1/p62 expression in different gastrointestinal tumors has different prognostic significance, possibly due to the location of the cancer lesion and the

immune response to cancer cells that express SQSTM1/p62 and to the tumor microenvironment, which exhibits a high density of regulatory forkhead box P3<sup>+</sup> T cells (28).

Furthermore, inhibition of SQSTM1/p62 may repress autophagy, impeding tumor aggression by involving the MEK/ERK signaling pathway in KRAS- and BRAFV600E-mutated colorectal cancer cells. The evidence for this comes from the finding of a previous study where positive cytoplasmic SQSTM1/p62 staining for conspicuously present in the majority of colorectal cancer tissues. Although there was no significant association between SQSTM1/p62-positive staining and KRAS mutations, patients with SQSTM1/p62-positive staining in the cytoplasm, particularly those with KRAS mutations, may have better overall survival rates (29). However, KRAS and BRAFV600E mutations have been shown to activate the MEK/ERK signaling pathway to promote autophagy and downregulate SQSTM1/p62 expression in colorectal cancer cells when the phosphoinositol-3 kinase (PI3K)/mTOR signaling pathway is inhibited (30). Therefore, the activation of autophagy and the downregulation of SQSTM1/p62 may indirectly mirror the effects of KRAS and BRAF mutations in cells. However, autophagy can be repressed by SQSTM1/p62 inhibition, leading to the cessation of cancer cell growth and tumor formation (31).

Nevertheless, SQSTM1/p62 expression is not always decreased and has sometimes been increased when autophagy was activated. For instance, a study showed that SQSTM1/p62 expression in colorectal cancer cells was inhibited by the  $\beta$ -catenin/transcription factor (TCF)4 complex, which inhibits autophagosome formation (32). However, under starvation-induced autophagy conditions, SQSTM1/p62 expression was markedly increased, as  $\beta$ -catenin was spontaneously degraded by LC3 binding to the LIR of  $\beta$ -catenin (31). Thus, it seems that detecting SQSTM1/p62 expression alone may not always be a reliable approach for evaluating the autophagy process; it may be necessary to also assess the expression of other autophagy-related molecules, such as Beclin1 or ATG8.

In addition, recent studies have shown that SQSTM1/p62 plays an indispensable role in regulating drug sensitivity in colorectal cancer by inducing autophagy, apoptosis and DNA damage. For example, high expression of SQSTM1/p62 can be induced directly by activated heat shock factor 1 in colorectal cancer cells treated with a heat shock protein 90 (HSP90) inhibitor, which may accelerate the process of autophagy and suppress cell death, ultimately resulting in the weak anticancer effects of HSP90. By contrast, SQSTM1/p62 inhibition may markedly enhance colorectal cancer chemosensitivity to HSP90 (33). Alternatively, it was previously reported that SQSTM1/p62 and LC3 were overexpressed in stage III-IV colon cancer exposed to 5-FU therapy, which indicated that high levels of SQSTM1/p62 and activation of autophagy may represent the self-defense of a tumor against internal and external stress to compromise therapeutic efficacy (27). This outcome may be partially reflected at the genetic level; for example, in escin-treated CRC cells, activated autophagy and SQSTM1/p62 accumulation have been shown to play a protective role against escin-induced apoptosis and DNA damage, as SQSTM1/p62 abrogates the ataxia-telangiectasia mutated/phosphorylated histone family member X pathway (34). However, a high level of SQSTM1/p62 may enhance the efficacy of photodynamic therapy by promoting tumor cell death (35). Therefore, on the

one hand, SQSTM1/p62 may act as a protective factor in tumor cell survival by promoting autophagy and inhibiting apoptosis under drug-induced stress, while on the other hand, it may act as a driving force to accelerate the death of tumor cells subjected to photodynamic therapy via mechanisms that remain unknown.

In conclusion, SQSTM1/p62 is involved in the occurrence and development of gastrointestinal tumors through various signaling pathways (Fig. 1). SQSTM1/p62 plays a key role in the process of autophagy. However, increased or decreased SQSTM1/p62 expression does not necessarily represent the inactivation or activation of autophagy. In fact, SQSTM1/p62 expression may be elevated or decreased in association with activated or suppressed autophagy. Additionally, patients with an elevated SQSTM1/p62 level do not necessarily tend to have a poor prognosis, nor does a decreased SQSTM1/p62 expression level indicate a favorable prognosis. Nonetheless, it seems certain that the inhibition of SQSTM1/p62 expression can significantly enhance the therapeutic strategies used in the treatment of gastrointestinal tumors. Therefore, targeting SQSTM1/p62-mediated signaling pathways may be an ancillary strategy used with therapies against these cancer types.

### 3. Hepatocellular carcinoma (HCC)

The pattern and significance of SQSTM1/p62 expression are different between cancer cells and peripheral stromal cells. SQSTM1/p62 expression has been shown to be higher in HCC cells but lower in peripheral mesenchymal astrocytes, where SQSTM1/p62 directly promotes the interaction between the vitamin D receptor and retinoid X receptor to inhibit the process of liver fibrosis, inflammation and cancer (36). In this respect, SQSTM1/p62 may function as an anti-oncogene in HCC formation by regulating steroid hormone reactions.

In HCC, SQSTM1/p62 gene amplification, mutation and hepatitis C virus infection can cause excessive SQSTM1/p62 aggregation and phosphorylation. Under these conditions, glucose is carried into the glucuronic acid metabolic pathway, and glutamate is converted into glutathione by activating nuclear factor erythroid 2 (NRF2), which further promotes SQSTM1/p62 expression. The positive feedback induces aggressive cancer cell proliferation and chemoresistance (37-39). In addition, SQSTM1/p62 can bind to the N-terminal region of TRAF6 [a ubiquitin ligase activating the NF- $\kappa$ B cascade by binding to the N-terminal region of  $\zeta$ -protein kinase C (PKC)] to activate the NF- $\kappa$ B signaling pathway, thus facilitating invasion, migration and distant metastasis of HCC. Enhanced expression of SQSTM1/p62 participates in the formation of benign adenoma by liver cells with autophagy deficiency; furthermore, in addition to activating NRF2, enhanced SQSTM1/p62 expression in conditions of cellular reactive oxygen stress or inflammation in HCC precancerous lesions can induce c-myc expression by initiating the mechanistic target of rapamycin complex 1 (m-TORC1) signaling pathway, rather than the ubiquitination pathway, to promote the occurrence of liver cancer. Even after HCC resection, high expression of SQSTM1/p62 in the remainder of the liver is an indicator of HCC recurrence (40). In summary, accumulation of SQSTM1/p62 as an oncogene in HCC may eventually promote malignant tumor cell progression by either interacting with NRF2 or TRAF6 to activate the

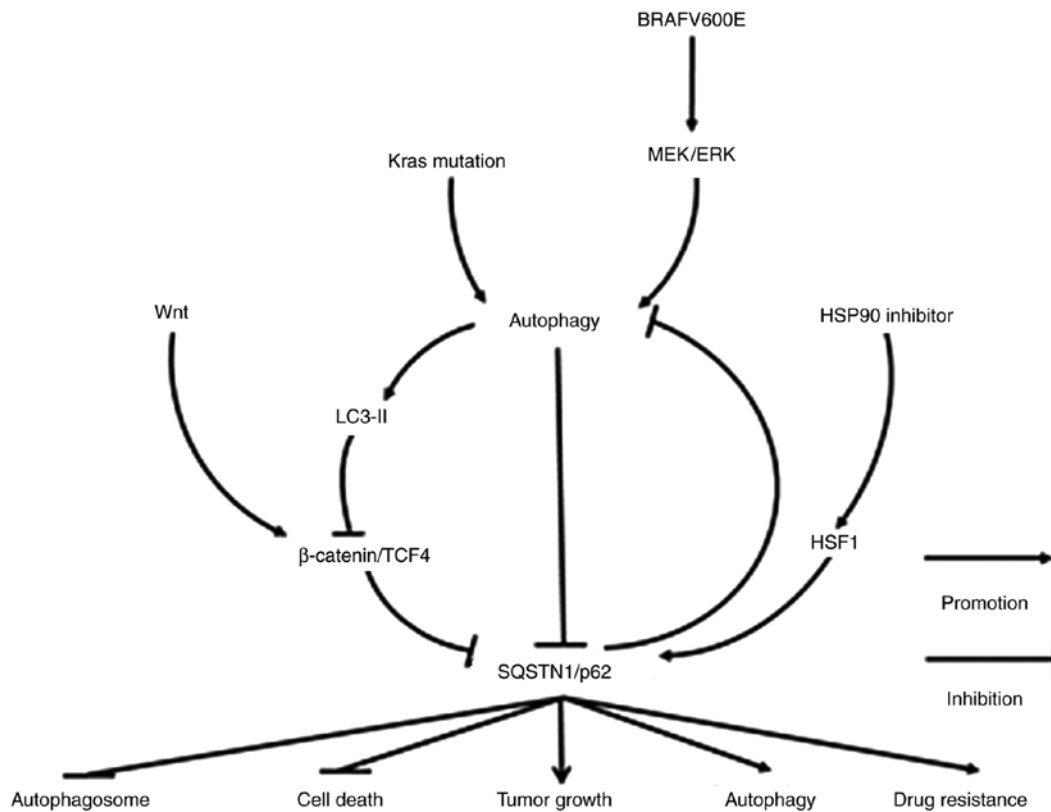


Figure 1. Molecular mechanisms of SQSTM1/p62 in regulating gastrointestinal tract tumors. SQSTM1/p62 expression may be decreased following the activation of Wnt/ $\beta$ -catenin/TCF4, a pathway inhibiting autophagy, including autophagy caused by KRAS mutation and BRAFV600E activation. However, under conditions of starvation-induced autophagy or in the presence of an HSP90 inhibitor, SQSTM1/p62 expression may also increase due to the degradation of LC-3II-bound  $\beta$ -catenin in autophagosomes or the activation of heat shock factor 1, respectively. The elevated expression of SQSTM1/p62 ultimately not only inhibits the formation of autophagosomes and cell death, but also facilitates autophagy, tumor growth and drug resistance. SQSTM1, sequestosome 1; TCF4, transcription factor 4; HSP90, heat shock protein 90; HSF1, heat shock factor protein 1.

NF- $\kappa$ B signaling pathway or to upregulate c-myc expression to initiate the m-TORC1 signaling pathway.

The promoter region of SQSTM1/p62 contains antioxidant response elements (AREs), making SQSTM1/p62 an NRF2 (and NRF1) response gene. Thus, the SQSTM1/p62 gene is induced in response to NRF2 activation. Substantial analyses of a high number of cancer biopsy samples of different forms of cancer have indicated that the NRF2 pathway is frequently activated by somatic mutations (41). Thus, in cancer cells where NRF2 is constitutively activated, the transcription of SQSTM1/p62 and a number of other protective oxidative stress response genes is expected to be increased. Thus, elevated SQSTM1/p62 gene expression is considered to be a consequence of mutations that frequently occur in cancer. A study showed that autophagy-deficient mouse livers exhibited aberrant SQSTM1/p62 accumulation and developed severe liver damage as SQSTM1/p62 accumulation disrupted the KEAP1-NRF2 association and promoted NRF2 stabilization and accumulation. However, in SQSTM1/p62-deficient mouse livers, KEAP1 was primarily degraded via the autophagy pathway in a SQSTM1/p62-dependent manner, and NRF2 accumulation caused severe liver dysfunction through the autophagy pathway, not the proteasome pathway, independent of SQSTM1/p62 expression (42).

Additionally, SQSTM1/p62 regulates the chemosensitivity and chemoresistance of HCC in different ways. When dehydroepiandrosterone induces autophagic cell death, unexpectedly,

SQSTM1/p62 is not degraded through the autophagic process; it aggregates upon upregulation via the initiation of the Jun N-terminal kinase (JNK)-NRF2-SQSTM1/p62 signaling pathway, in which JNK methylation triggers NRF2 to induce SQSTM1/p62 expression (43). On the other hand, a ferroptosis agonist promotes the expression of SQSTM1/p62 in HCC cells, which can further inactivate the cobinding factor KEAP1 to release and thus activate NRF2. Once released, NRF2 accumulates in the cell nucleus and induces the expression of various downstream oncogenes, such as ferritin heavy chain 1, NAD(P)H dehydrogenase quinone 1 (NQO1) and heme oxygenase-1 (HO-1), which suppresses cellular ferroptosis and causes drug resistance (38). Notably, SQSTM1/p62 also regulates both apoptosis and pyroptosis via acetylation. Researchers have confirmed that SQSTM1/p62 colocalizes with histone deacetylase 6 (HDAC6) during ubiquitylation in mitochondria and abolishes HDAC6 deacetylation (44). The inhibition of SQSTM1/p62 may facilitate HDAC6-mediated deacetylation of  $\alpha$ -tubulin and cortactin to disrupt the stability of microtubules and the formation of autolysosomes. Unexpectedly, the inhibition of autolysosomes can cause the downregulation not the upregulation of SQSTM1/p62 (45), suggesting that the underlying mechanisms for regulating SQSTM1/p62-related networks under autophagy conditions should be studied further. Therefore, it seems that SQSTM1/p62 may weaken the curative effects of some anticancer drugs primarily through the SQSTM1/p62-NRF2 axis.

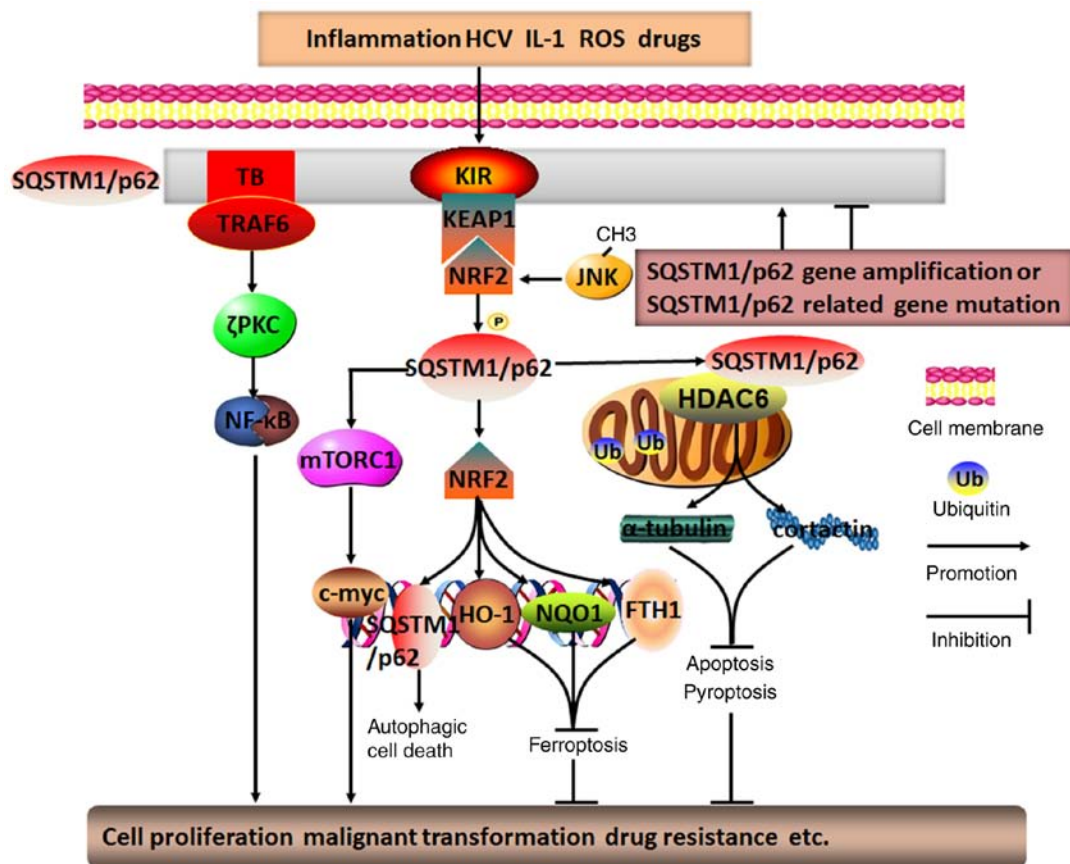


Figure 2. Molecular mechanisms of SQSTM1/p62 in regulating HCC. Diverse intracellular and extracellular risk factors, including SQSTM1/p62 gene amplification, SQSTM1/p62-related gene mutation, inflammation, HCV infection, ROS-induced stress, drugs and IL-1, may promote SQSTM1/p62 aggregation and phosphorylation. Subsequently, SQSTM1/p62 is capable of activating the mTOR/c-myc, TRAF6/ζPKC/NF-κB, and SQSTM1/p62/KEAP1/NRF2 pathways through its distinctive TB and KIR domains. The positive feedback loop of SQSTM1/p62/KEAP1/NRF2, involving JNK methylation-induced NRF2 activation, may generate various secondary messengers, such as SQSTM1/p62, HO-1, NQO1 and FTH1. In addition, by colocalizing with HDAC6 during ubiquitylation in mitochondria, SQSTM1/p62 may inhibit HDAC6 deacetylation of α-tubulin and cortactin, which may ultimately result in p62 directly or indirectly regulating HCC autophagic cell death, apoptosis, pyroptosis, ferroptosis, cell proliferation, malignant transformation and drug resistance, among others. TRAF6, TNF receptor-associated factor 6; TB, TRAF6-binding domain; KIR, KEAP1-interacting region; PKC, protein kinase C; SQSTM1, sequestosome 1; ROS, reactive oxygen species; FTH1, ferritin heavy chain 1; KEAP1, Kelch-like ECH-associated protein 1; NRF2, nuclear factor erythroid 2; HO-1, heme oxygenase 1; NQO1, NAD(P)H dehydrogenase quinone 1; HDAC6, histone deacetylase 6; HCC, hepatocellular carcinoma; HCV, hepatitis C virus.

In summary, it appears that SQSTM1/p62 in HCC cells may act primarily as an oncogene, while it may also function as a tumor repressor gene in peripheral stromal cells; in these cells, SQSTM1/p62 regulates chemosensitivity and chemoresistance through a variety of processes, including autophagic cell death, apoptosis, ferroptosis and pyroptosis, via different signaling pathways, particularly protein deacetylation and the SQSTM1/p62-NRF2 positive feedback loop (Fig. 2). Therefore, targeting SQSTM1/p62 in intricate networks may be a good adjuvant therapeutic approach to HCC treatment.

#### 4. Breast cancer

A mechanism of SQSTM1/p62 function as an oncogene similar to that involved in HCC has been observed in breast cancer; that is, expression of SQSTM1/p62 in the presence of NRF2 can be decreased in the cytoplasm and increased in the cell nucleus through the SQSTM1/p62-KEAP1-NRF2 axis, which causes NRF2 to activate the expression of numerous downstream oncogenes. Furthermore, the aggregation of NRF2 in the cell nucleus may also significantly promote SQSTM1/p62 expression. In particular, SQSTM1/p62 mediates CD44-NRF2

activation during autophagy in cancer stem cells (CSCs), leading to aggressive cancer growth and drug resistance (46). Thus, the formation of the SQSTM1/p62 and the NRF2 positive feedback loop ultimately endows CSCs with self-renewal capacity and chemoradiotherapy resistance (47,48). Notably, SQSTM1/p62 has been shown to interact with microRNAs to regulate breast cancer malignancy. That is, in a previous study, SQSTM1/p62 prevented myc degradation by directly abrogating the expression of the microRNAs let7a and let7b, resulting in persistent overexpression of myc in the cancer cells (49).

To some degree, it seems that SQSTM1/p62 plays a central role in in complex networks to regulate the occurrence and development of breast cancer. Recent data have demonstrated that SQSTM1/p62, as a bridge between Notch1 intracellular domain (Notch1-IC) and LC-3-II in the autophagosome, has the ability to abolish the Notch1 signaling pathway through its role in the autophagosome, which engulfs and degrades Notch1-IC (50,51). Moreover, HER-2-mediated activation of SQSTM1/p62 can maintain cancer cell vitality and markedly accelerate cell proliferation and malignant growth through various signaling pathways, including the PI3K/AKT signaling

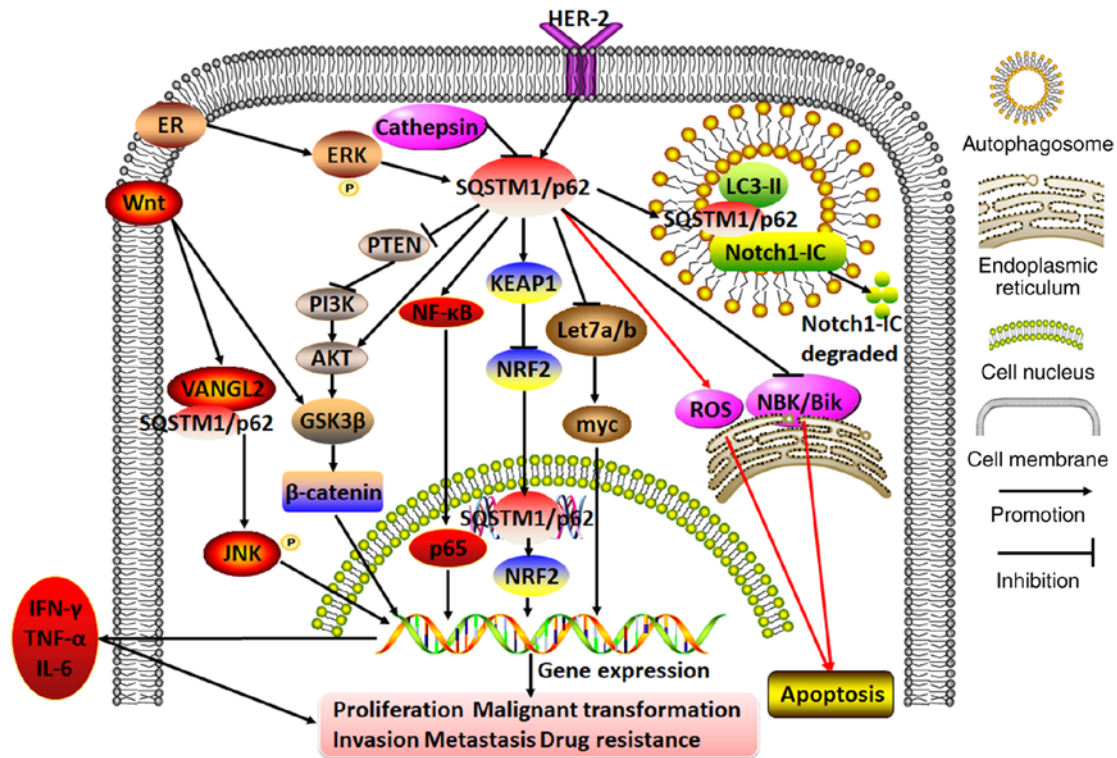


Figure 3. Molecular mechanisms of SQSTM1/p62 in regulating breast cancer. In breast cancer cells, cathepsin on the autolysosome may repress SQSTM1/p62 expression. By contrast, ER or HER-2 can initiate SQSTM1/p62 expression. The hyperexpression of SQSTM1/p62 exacerbates cell proliferation, malignant transformation, invasion, metastasis and drug resistance by participating in diverse cascades, including the PTEN/PI3K/AKT, Wnt/ $\beta$ -catenin, AKT/glycogen synthase kinase 3 $\beta$ / $\beta$ -catenin, KEAP1/NRF2, NF- $\kappa$ B (which releases IFN- $\gamma$ , TNF- $\alpha$  and IL-6), Wnt/VANGL2/SQSTM1/p62/JNK and Let7a/b/myc pathways. Moreover, servicing as a bridge between Notch1-IC and LC3-II, SQSTM1/p62 mediates Notch1-IC degradation in autophagosomes. In addition, SQSTM1/p62 aggregation may either promote or inhibit apoptosis by repressing NBK/Bik expression at the endoplasmic reticulum or inducing ROS expression. VANGL2, Van Gogh-like 2; ROS, reactive oxygen species; SQSTM1, sequestosome 1; ER, estrogen receptor; PI3K, phosphoinositol-3 kinase; KEAP1, Kelch-like ECH-associated protein 1; NRF2, nuclear factor erythroid 2; Notch1-IC, Notch1 intracellular domain.

pathway (which is initiated through SQSTM1/p62-induced PTEN degradation), the AKT/glycogen synthase kinase 3 $\beta$ / $\beta$ -catenin signaling pathway, the Wnt/ $\beta$ -catenin signaling pathway, the NF- $\kappa$ B signaling pathway and the KEAP1-NRF2 signaling pathway (51-53). Among these pathways, the SQSTM1/p62-activated NF- $\kappa$ B signaling pathway under conditions of autophagy deficiency may lead to high rates of p65 phosphorylation and transport into the cell nucleus, inducing the upregulation of NF- $\kappa$ B target genes, such as IFN- $\gamma$ , TNF- $\alpha$  and IL-6, which promote cancer cell proliferation (54). In addition, SQSTM1/p62 can recruit and phosphorylate JNK to facilitate the development of cancer cells by directly binding to Van Gogh-like 2 in the Wnt/PCP signaling pathway (55). In addition, SQSTM1/p62 dysfunction may cause autophagy deficiency and initiate the mitochondrial apoptosis pathway by inducing the accumulation of the apoptosis-related BH3-only protein NBK/Bik on endoplasmic reticulum membranes, thus converting autophagic cells to apoptotic cells and suppressing cancer cell proliferation (56). Furthermore, in a previous study, SQSTM1/p62 degradation was diminished due to the inhibition of cathepsin in the autolysosome in cancer cells treated with chemicals that induce autophagy, and apoptosis occurred due to the increased reactive oxygen species (ROS) level that was induced upon SQSTM1/p62 accumulation (57).

SQSTM1/p62 aggregation in breast cancer cells usually acts as an indicator of chemoresistance to multiple drugs, such as

Adriamycin, PI3K/AKT inhibitors and *Pseudomonas aeruginosa* mannose-sensitive hemagglutinin (46). Breast cancer with high expression of SQSTM1/p62 has been linked to distant lymphatic and vessel metastasis, and is correlated with an unfavorable prognosis in these patients, particularly those with triple-negative breast cancer (58-61). As a result, the inhibition of SQSTM1/p62 expression in these cancer cells may lead to the abrogation of cell growth and proliferation, enhancing the efficacy of therapeutic treatments. Nevertheless, an exception has also been reported in gemcitabine-treated estrogen receptor (ER)-positive breast cancer cells, where ERK phosphorylation may promote SQSTM1/p62 expression to accelerate the process of autophagic cell death. By contrast, SQSTM1/p62 silencing may abrogate the ER/ERK/SQSTM1/p62 signaling pathway to interrupt excessive autophagy, thereby protecting cancer cells from cytotoxic effects (62). Taken together, these findings imply that SQSTM1/p62 may enhance either chemosensitivity or chemoresistance depending on the type of breast cancer, information of importance for implementing precision therapies to treat breast cancer.

In general, SQSTM1/p62 can regulate breast cancer cell proliferation, malignant transformation, invasion, migration and distant metastasis through multiple apoptosis- and autophagy-associated signaling pathways; thus, it plays a dual role in breast cancer, acting both as an oncogene to confer cancer cell chemoresistance and as a tumor repressor to confer chemosensitivity (Fig. 3).

## 5. Hematological malignancy

In multiple myeloma cells treated with TNF- $\alpha$ , high-risk myelodysplastic syndromes/acute myeloid leukemia (AML) del(5q) cells and hematopoietic stem cells/progenitor cells with miR-146a deletion, the sustained expression of SQSTM1/p62 has an oncogenic effect that leads to the marked activation of NF- $\kappa$ B or p38<sup>MAPK</sup> cascades through the following mechanisms: i) Direct binding to and ubiquitination of TRAF-6 through its TRAF-binding domain to activate the NF- $\kappa$ B signaling pathway (63-65); ii) direct binding with receptor-interacting protein 1 through its C-terminal region ZZ domain, as well as with atypical PKCs through its N-terminal PB1 domain to activate the NF- $\kappa$ B signaling pathway (66,67); and iii) direct binding to p38<sup>MAPK</sup> through its p38 domain to activate the p38<sup>MAPK</sup> signaling pathway (68). Activated NF- $\kappa$ B can further enhance SQSTM1/p62 gene expression, ultimately generating predominately positive feedback loops to continuously amplify its deleterious effect in exacerbating unrestrained growth, proliferation and malignant transformation, and inhibiting osteoblast activation to hamper bone formation (66,69). As a result, the deprivation of SQSTM1/p62, leading to the inhibition of SQSTM1/p62 ZZ domain activity, may attenuate the NF- $\kappa$ B signaling pathway by downregulating phospho-focal adhesion kinase, p-I $\kappa$ B $\alpha$  and NF- $\kappa$ B expression, promoting osteoblast differentiation and generating myeloid progenitor cells and osteoclasts, thereby inhibiting the growth of multiple myeloma cells (67,69).

Given that SQSTM1/p62 is closely linked to autophagy and ubiquitylation-mediated proteasomal processes, defective autophagy in multiple myeloma cells may lead to an increase in undigested and toxic proteins with SQSTM1/p62 located in the endoplasmic reticulum; thus, SQSTM1/p62 may be regarded as a reliable biomarker for the chemosensitivity of multiple myeloma to proteasome inhibitors (70). Furthermore, both SQSTM1/p62 and Kruppel-like factor 4 (KLF4), an intranuclear transcription factor, are upregulated in multiple myeloma cells that are resistant to the proteasome inhibitor carfilzomib. Notably, KLF4 has been found to bind to the promoter regions encoding ubiquitin-binding domains to upregulate SQSTM1/p62 and trigger the ubiquitin-proteasome and autophagy pathways, thus inducing cancer cell resistance to carfilzomib (71). In addition, all-trans retinoic acid (ATRA)-induced NF- $\kappa$ B activation may upregulate SQSTM1/p62 expression. By contrast, SQSTM1/p62 may decrease the response to ATRA in AML patients with a poor prognosis (72,73). However, SQSTM1/p62 may function as a tumor suppressor in chronic myeloid leukemia, as the proto-oncogene BCR-ABL can be translocated to autophagosomes by SQSTM1/p62 for degradation by the cysteine protease cathepsin B in autolysosomes (74). Notably, a recent article reported that in mice lacking SQSTM1/p62 expression in all hematopoietic cells, including macrophages, no changes in NF- $\kappa$ B signaling were found (75). These data query the importance of the signaling role of SQSTM1/p62 in non-transformed cells. On the other hand, another paper emphasized the possible autophagy-independent roles of SQSTM1/p62 in cancer (76). In summary, SQSTM1/p62 may either transmit deleterious messages to induce resistance to chemotherapeutic drugs through the ubiquitin-proteasome, autophagy or

ATRA/NF- $\kappa$ B pathways in patients with multiple myeloma or AML, or provide attenuating signals through the autophagy pathway in patients with chronic myeloid leukemia.

In summary, by participating in multiple signaling pathways, particularly in the positive feedback loop cascade with NF- $\kappa$ B, SQSTM1/p62 may serve as a proto-oncogene to induce hematological malignancy with resistance to various anti-tumor drugs. Unexpectedly, SQSTM1/p62 may, under certain circumstances, sensitize cancer cells to some chemotherapeutics (Fig. 4). Therefore, silencing SQSTM1/p62 expression may enhance chemosensitivity, but notably, elevated SQSTM1/p62 expression does not necessarily indicate that cancer cells will become resistance to antitumor reagents; therefore, these observations should be used only to guide the treatment of specific hematological malignancies.

## 6. Lung cancer

Recent investigations have confirmed that SQSTM1/p62 is usually upregulated in the cytoplasm of non-small cell lung cancer (NSCLC) cells, which indicates a more progressive phenotype for these cancer cells and a shorter survival period for patients (77). However, when autophagy is defective, the expression of SQSTM1/p62 is negatively associated with Tumor-Node-Metastasis stage and lymph node metastases, which indicates that it may be considered an independent prognostic factor for NSCLC (78).

It has been confirmed that SQSTM1/p62 plays an oncogenic role through its involvement with different pathways, such as the NF- $\kappa$ B signaling pathway and SQSTM1/p62-KEAP1-NRF2 cascade, to regulate autophagy and apoptosis. For instance, emerging evidence has indicated that nickel exposure may cause the degradation of SQSTM1/p62 through the autophagy-related mTOR-Unc-51 like autophagy activating kinase1-Beclin1 cascade. However, nickel exposure increases SQSTM1/p62 expression by stabilizing TNF mRNA, which in turn promotes SQSTM1/p62 mRNA transcription due to the activation of the NF- $\kappa$ B/REL-associated protein signaling pathway; thus, TNF may function as a key transcription regulator binding to the promoter regions of the SQSTM1/p62 gene (79). Similarly, in cisplatin-treated lung adenocarcinoma cells or lung adenocarcinoma cells in nutrient-rich environments, the binding of SQSTM1/p62 and TRAF6 through the TRAF6-binding motif may also activate the NF- $\kappa$ B signaling pathway through TRAF6-mediated ubiquitination of mTORC1, which is then transported to the lysosome by SQSTM1/p62 and thereby inactivated; this positive feedback loop promotes the malignant transformation of bronchial epithelial cells, the abolishment of autophagy, the proliferation of cancer cells and the secondary chemoresistance of cells to cisplatin (80,81). These results indicate that, in some cases, increased SQSTM1/p62 expression may indirectly result in the inhibition of autophagy. In addition, a number of other factors contribute to the occurrence of lung cancer. For instance, arsenic, cadmium and isodeoxyelephantopin may activate the SQSTM1/p62-KEAP1-NRF2 cascade, in which SQSTM1/p62 transports KEAP1 to autophagosomes, where KEAP1 is spontaneously digested in the cytoplasm; alternatively, exposure to these compounds might activate the

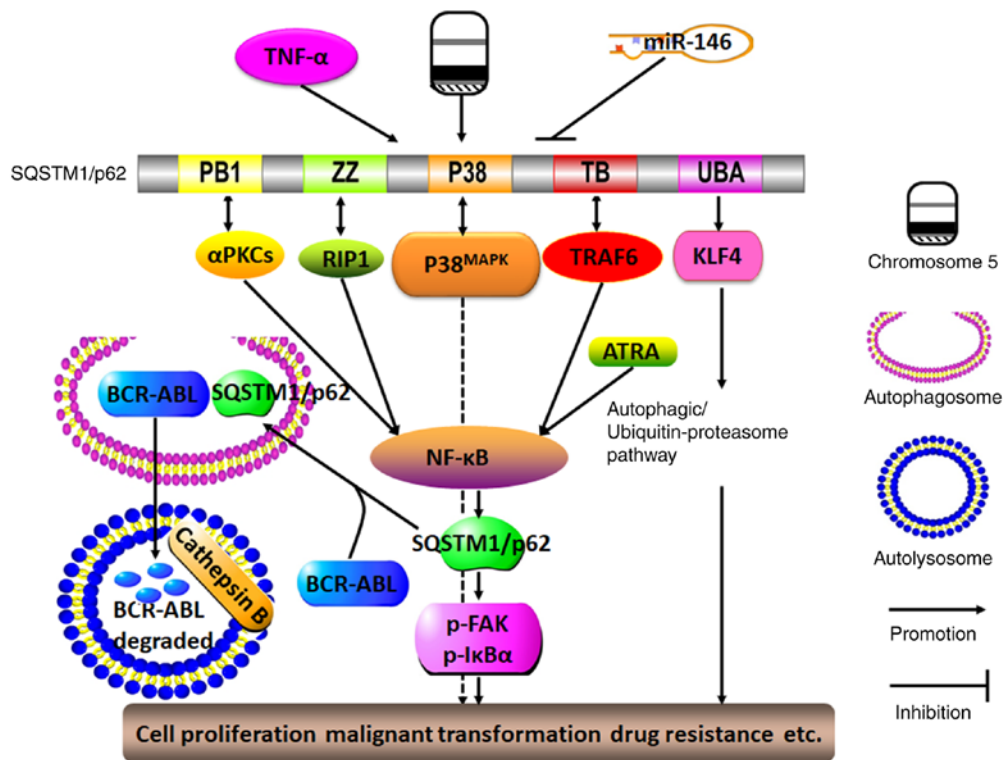


Figure 4. Molecular mechanisms of SQSTM1/p62 in regulating hematological malignancy. The presence of TNF- $\alpha$ , del(5q) and miR-146a deletions may lead to the sustained expression of SQSTM1/p62. By interacting with TRAF-6, RIP1 and  $\alpha$ PKCs via its TB, ZZ and PB1 domains, respectively, SQSTM1/p62 may activate the NF- $\kappa$ B signaling pathway, which in turn promotes SQSTM1/p62 expression. ATRA may also trigger the NF- $\kappa$ B signaling pathway, thereby forming a positive feedback loop with SQSTM1/p62 and NF- $\kappa$ B. By interacting with p38<sup>MAPK</sup> via the P38 domain, SQSTM1/p62 can function in conjunction with the activated autophagy/ubiquitin-proteasome pathway as triggered by the interaction between SQSTM1/p62 and KLF4 through the SQSTM1/p62 UBA domain, and SQSTM1/p62 can ultimately promote cell proliferation, malignant transformation and drug resistance. By contrast, SQSTM1/p62 may function as a tumor suppressor by driving the oncogene BCR-ABL into autophagosomes, and it is degraded by cathepsin B in autolysosomes. aPKC, atypical protein kinase C; PB1, Phox and Bem1p; ZZ, ZZ-type zinc finger domain; P38, P38<sup>MAPK</sup> domain; RIP1, receptor-interacting protein 1; TB, TRAF6-binding domain; UBA, ubiquitin-associated domain; KLF4, Kruppel-like factor 4; SQSTM1, sequestosome 1; TRAF6, TNF receptor-associated factor 6.

NRF2-KEAP1-SQSTM1/p62 cascade, in which NRF2 in the nucleus directly triggers SQSTM1/p62 binding to KEAP1 to release NRF2. NRF2 is subsequently imported into nucleus where it actively stimulates the expression of secondary downstream molecules such as HO-1, SQSTM1/p62 and Bcl-2/Bcl-xL by binding to ARE promoter regions. Thus, the formation of another positive feedback loop between SQSTM1/p62 and NRF2 leads to the increased expression of antiapoptotic proteins and antioxidants, ultimately causing cancer cell proliferation, growth and malignant transformation (82-84). Unexpectedly, SQSTM1/p62 is capable of weakening the oncolytic effects of measles virus in lung cancer, as seen through its ability to evoke mitochondrial autophagy to degrade the Edmonton strain (85).

Since SQSTM1/p62 acts as an oncogene to facilitate malignant cancer progression, the inhibition of excessive SQSTM1/p62 aggregation may represent an effective anti-cancer strategy. For instance, silencing SQSTM1/p62 may lead to the initiation of the autophagic cell death process and the abrogation of cell proliferation not only in lung cancer, but also in pancreatic cancer and squamous cell carcinoma of the esophagus, oral cavity and skin (86). The silencing or degradation of SQSTM1/p62 apparently disrupts the SQSTM1/p62/TRAF6/NF- $\kappa$ B cascade and activates the apoptosis pathway. Moreover, as SQSTM1/p62 may directly block the formation of the Fas/Cav-1 complex, non-functional

SQSTM1/p62 may result in the escape of the Fas/Cav-1 complex to activate caspase-8 and cleave Beclin-1, and produce diverse mediators, including ROS, that eventually enhance the killing effects of resveratrol or the combined effects of the Chinese herb Yu Ping Feng San and cisplatin (81,87). Furthermore, silencing SQSTM1/p62 expression to downregulate neuronal precursor cell expression of developmentally downregulated gene 9 may increase the response to cisplatin treatment in small cell lung cancer cells (88). Alternatively, SQSTM1/p62 can bind with both the TRAF-C domain of TRAF6 and the coiled-coil domain of Beclin1 to disrupt the interaction of the TRAF6-Beclin1 and TRAF6-evolutionarily conserved signaling intermediate in Toll pathways (ECSIT); thus, SQSTM1/p62 may simultaneously abolish TRAF6-ECSIT signaling and activate the NF- $\kappa$ B pathway in response to toll-like receptor 4 stimulation, thereby abrogating Beclin1 and ECSIT ubiquitination, along with the activation of autophagy and cancer invasion of both lung and breast cancer cells (89,90).

In conclusion, SQSTM1/p62 functions as an oncogene to disrupt the malignant transformation of lung cancer by forming several positive feedback loops, particularly loops involving SQSTM1/p62 and NF- $\kappa$ B or NRF2 (Fig. 5). Therefore, interrupting deleterious cycles of these amplifying feedback loops may be considered a feasible way to obtain marked clinical benefits for patients suffering from lung cancer.



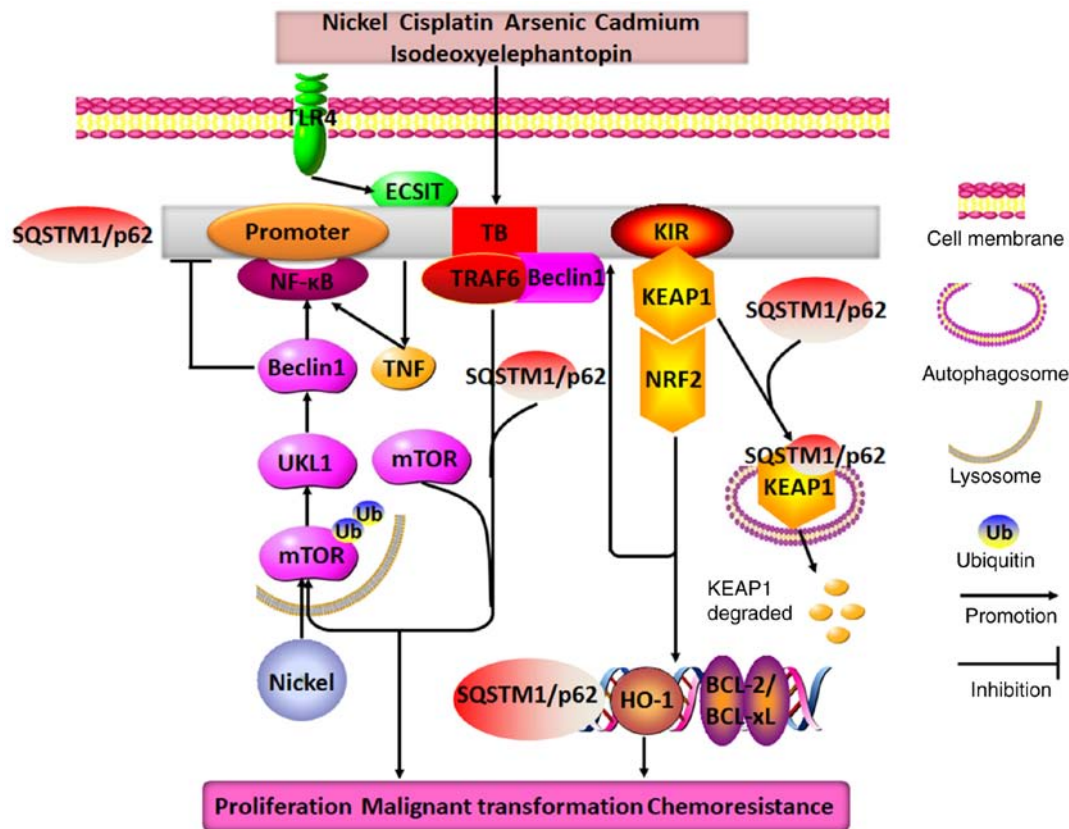


Figure 5. Molecular mechanisms of SQSTM1/p62 in regulating lung cancer. Nickel exposure may cause SQSTM1/p62 degradation through the mTOR/ULK1/Beclin1 pathway, and it upregulates p62 expression by stimulating the TNF/NF- $\kappa$ B/SQSTM1/p62 positive feedback loop. Additionally, when exposed to different drugs, such as cisplatin, arsenic, cadmium, isodeoxyelephantopin and TLR4, SQSTM1/p62 expression is observed to be increased in lung cancer cells, where it may directly interact with TRAF6, KEAP1, Beclin1 and ECSIT through its TB and KIR domains to form two distinct positive feedback loops: SQSTM1/p62/TRAF6/mTORC1/NF- $\kappa$ B and SQSTM1/p62/KEAP1/NRF2. These feedback loops promote the expression of SQSTM1/p62, HO-1 and BCL2/BCL-xL. Therefore, the end result of the combined effects of these positive feedback loops with SQSTM1/p62 at their core is the promotion of lung cancer cell proliferation, malignant transformation and chemoresistance. TRAF6, TNF receptor-associated factor 6; TB, TRAF6-binding domain; KIR, KEAP1-interacting region; ECSIT, evolutionarily conserved signaling intermediate in Toll pathways; SQSTM1, sequestosome 1; ULK1, Unc-51 like autophagy activating kinase; TLR4, toll-like receptor 4; KEAP1, Kelch-like ECH-associated protein 1; HO-1, heme oxygenase 1.

## 7. Genital system cancer

Recent evidence has confirmed that SQSTM1/p62 expression is markedly higher in epithelial ovarian cancer, particularly in cisplatin-resistant ovarian cancer, than in benign ovarian tumors (91). The positive rates of SQSTM1/p62 are also significantly higher in the advanced stage than in the early stage of ovarian cancer (92). However, a study has reported that compared with patient-matched primary tumor tissues, metastatic and recurrent ovarian cancer samples had lower SQSTM1/p62 expression levels, and a low level of SQSTM1/p62 was closely related to cancer recurrence, metastasis and paclitaxel resistance; furthermore, the positive rates of SQSTM1/p62 in cisplatin-resistant ovarian cancer cells were also lower than those in cisplatin-sensitive cells (93,94). In some specific cancer types, such as seminomas and non-seminomas, SQSTM1/p62 expression is negligibly changed (95). Therefore, the function and mechanism of SQSTM1/p62 in ovarian cancer should be further explored.

Moreover, high SQSTM1/p62 expression in the cytoplasm and low expression in the nucleus is associated with an advanced stage, a residual tumor and unfavorable survival in patients with endometrial cancer, epithelial ovarian cancer, serous carcinoma or cervical cancer (92,96,97). The possible

underlying mechanisms might be that by binding NRF2 and the cotranscription factor TCF20 through its binding domain containing the ARE element, activated SQSTM1/p62 can initiate the activation of NRF2 and TCF20, which subsequently enter the nucleus to stimulate SQSTM1/p62 expression; this outcome leads to resistance to cisplatin or sulforaphane by initiating cascades of antioxidant gene expression and facilitating differentiation, proliferation and anti-apoptotic behavior in drug-resistant cancer cells (97). Additionally, SQSTM1/p62-mediated upregulation of the cell cycle protein Skp2 through autophagy, not proteasomal processes, can cause the degradation of p21 and p27, which results in abrogation of quinacrine-induced apoptosis (98).

Upon treatment with vitamin K3, ovarian cancer cells may escape ROS-mediated oxidative damage by activating SQSTM1/p62/KEAP1/NRF2 signaling (99). By contrast, after treatment with cisplatin to block autophagy, SQSTM1/p62 may function as an anti-oncogene to accelerate the apoptosis of ovarian cancer cells by interacting with the apoptosis-related protein caspase-8 (100).

In summary, SQSTM1/p62 expression varies in different genital system cancer types and different cellular locations. However, depending largely on the type of cancer, SQSTM1/p62 can display various functions by engaging in diverse signaling

events, and it may be a new reliable prognostic biomarker for endometrial cancer and ovarian cancer, and a drug-sensitive biomarker for use in neoadjuvant chemotherapy.

## 8. Urological cancer

Similar to that in genital system cancer, high expression of SQSTM1/p62 may be an indicator of poor prognosis for patients with prostate cancer. SQSTM1/p62 expression is substantially higher in prostate cancer, particularly prostate cancer at an advanced stage, with resistance to androgen, and with low androgen expression, compared with that in benign prostatic hyperplasia (101,102); moreover, its expression in the cytoplasm is highly associated with advanced Gleason grade, a positive resection margin and the recurrence of prostate cancer. The higher the SQSTM1/p62 expression level in the cytoplasm, the poorer the prognosis in these patients. Thus, by targeting SQSTM1/p62-induced constitutive NRF2 activation and Bcl-xL expression, verteporfin, a drug used to treat macular degeneration, can effectively block autophagy and enhance drug sensitivity in prostate cancer (103). More importantly, at the genetic level, elevated SQSTM1/p62 levels may also be relevant to the gene fusion of TMPRSS2 and ERG, and gene deletions in PTEN, 3p13, 5q21 and 6q15 (104), which suggests that crosstalk between SQSTM1/p62 and prostate cancer-related genes may play a pivotal role in the occurrence and development of prostate cancer.

SQSTM1/p62 can drive the autophagy process in response to the activation of the Raf/MEK/ERK signaling pathway in both malignant melanoma and prostate cancer (105). In addition, SQSTM1/p62 can bind to MEKK3 and neighbor of BRCA1 (NBR1) through its PB1 domain, activate Cdc42-associated kinase 1 (Ack1) through its UBA domain and then stimulate the following downstream events: i) Under nutrient-deprived conditions, MEKK3 induces SQSTM1/p62 phosphorylation, and phosphorylated SQSTM1/p62 recruits TRAF6, which directs mTORC1 to lysosomes (106); and ii) in the presence of EGF, Ack1 dissociation from SQSTM1/p62 and NBR1 in autophagosome precursors results in prolonged survival of EGFR through slow endocytosis rather than lysosomal degradation pathways (107). These cascades markedly promote autophagy, proliferation and malignant processes in PTEN-deficient prostate organoids, prostate cancer and cervical cancer cells. Furthermore, by directly simulating the KEAP1/NRF2/ARE signaling pathway, SQSTM1/p62 may contribute to the aggressive behavior of prostate cancer (108).

In addition, it seems that SQSTM1/p62 expression in prostate cancer cells may have some underlying connections with the surrounding microenvironment. SQSTM1/p62 expression is frequently upregulated in cancer cells, but downregulated in nearby microenvironments. For example, emerging studies have validated that, in contrast to prostate cancer cells, in cancer-associated fibroblasts (CAFs), SQSTM1/p62 expression is usually decreased. The lower the expression of SQSTM1/p62, the higher the Gleason grade in prostate cancer. Notably, SQSTM1/p62 deficiency in adipocytes near prostate cancer cells can be beneficial to tumor nutrient availability, the EMT and invasiveness, by increasing osteopontin secretion and inactivating mTORC1-related energy-consuming pathways (109). Furthermore, SQSTM1/p62 deletion stimulates the downstream SQSTM1/p62/mTORC1/c-Myc/glutathione (GSH)/IL-6/TGF $\beta$

pathway, in which mTORC1 and c-Myc inactivation resulting from SQSTM1/p62 deletion decreases GSH expression and stimulates the IL-6/TGF $\beta$  pathway to generate a CAF phenotype that favors malignant transformation, proliferation and invasion (110). Furthermore, it has been revealed that both IL-1 $\beta$  and hematopoietic HS-5 derived from cancer-related bone marrow stromal cells upregulate and phosphorylate SQSTM1/p62 by activating the AMPK pathway in androgen receptor (AR)-independent prostate cancer, and that phosphorylation of SQSTM1/p62 recruits and degrades AR, eventually causing repression of apoptosis, promotion of proliferation and resistance to multiple drugs (101,111). In summary, downregulation of SQSTM1/p62 in cancer-related surrounding cells may assist tumor cells in promoting malignant transformation in prostate cancer.

In renal cell carcinoma, in response to hypoxia, SQSTM1/p62 can directly integrate and inactivate the ubiquitin-protein ligase VHL E3 to improve the activity and stability of hypoxia-inducible factor 1 $\alpha$  (HIF1 $\alpha$ ), which in turn forces SQSTM1/p62 to trigger the glycolytic pathway. Therefore, the collaboration of SQSTM1/p62 and HIF1 $\alpha$  may provide more energy to facilitate renal cancer cell survival in harsh environments (112). By contrast, when the proteasome degradation pathway is mitigated, SQSTM1/p62 can directly bind to and direct ubiquitylated HIF2 $\alpha$  to autophagosomes, where HIF2 $\alpha$  is degraded through the SQSTM1/p62-dependent autophagic degradation pathway. Conversely, when the autophagic degradation pathway is inhibited, ubiquitylated HIF2 $\alpha$  can be degraded via the proteasome degradation pathway (113). These data indicate that SQSTM1/p62 may function as an oncogene in manipulating the occurrence and development of renal cell carcinoma by inducing the action of HIF as a switch between the autophagic degradation pathway and proteasome degradation pathway.

Moreover, in bladder cancer, overexpressed SQSTM1/p62 may protect bladder cancer cells from oxidative stress by stimulating the KEAP1/NRF2 signaling pathway, which upregulates the expression of several antioxidant genes, such as glutamate-cysteine ligase catalytic subunit, glutathione S-transferase Mu 5 and glutathione peroxidase 2, promoting cell proliferation and inhibiting apoptosis (114).

In summary, SQSTM1/p62 is closely linked not only to oncogenic characteristics or tumor repressor gene deletions in tumor cells, but also to tumor microenvironments. For example, under nutrient-rich or nutrient-deprivation conditions, inflammation, hypoxia and growth factors can upregulate SQSTM1/p62 expression to stimulate downstream signal transduction, which activates a variety of oncogenes and accelerates malignant transformation, growth, proliferation, distant metastasis and drug resistance in urinary system cancer (Fig. 6).

## 9. Head and neck neoplasms and skin cancer

Accumulating evidence has indicated that SQSTM1/p62 may play roles via positive feedback between SQSTM1/p62 and NRF2 in squamous cell carcinoma (115-119). Highly aggressive oral squamous carcinoma cells have high SQSTM1/p62 expression in the cytoplasm and low SQSTM1/p62 expression in the nucleus, but not the opposite expression trend (115). In addition, SQSTM1/p62 aggregation in the cytoplasm has been found to be associated with poor prognosis and drug

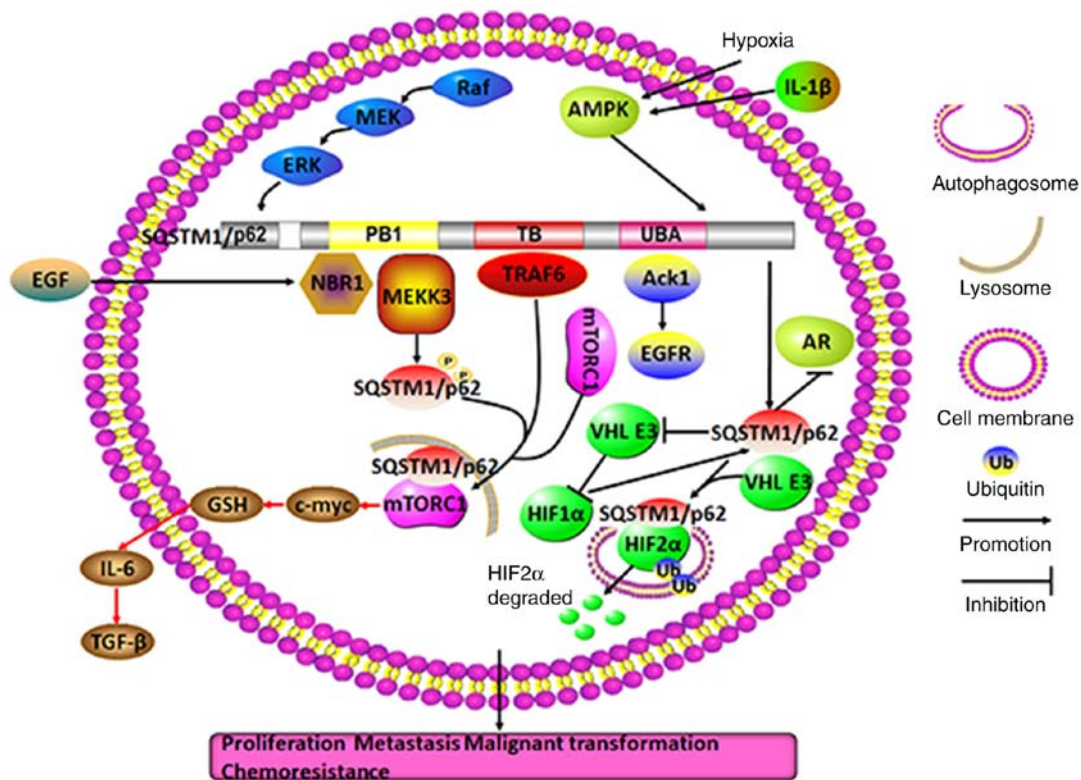


Figure 6. Molecular mechanisms of SQSTM1/p62 in regulating urological cancer. In prostate cancer, SQSTM1/p62 aggregation and phosphorylation may facilitate autophagy and AR degradation owing to the activation of the Raf/MEK/ERK pathway and the IL-1 $\beta$  and hypoxia-activated AMPK pathway, respectively. Moreover, in a nutrient-rich environment or in the presence of EGF, SQSTM1/p62 can promote autophagy, proliferation and malignant transformation by tightly binding either to MEK3 or NBR1 to recruit TRAF6 and direct mTORC1 to lysosomes through the SQSTM1/p62 PB1 domain or to Ack1 to prolong EGFR survival through the SQSTM1/p62 UBA domain. In CAFs, SQSTM1/p62 deletion may cause stimulation of the mTORC1/c-myc/GSH/IL-6/TGF- $\beta$  pathway, which facilitates the action of nearby prostate cancer cells in combating malignant transformation, proliferation and invasion (red arrow). In renal cell carcinoma, hypoxia-induced SQSTM1/p62 may not only directly bind to and drive ubiquitylated HIF2 $\alpha$  to autophagosomes for degradation, but may also form a positive feedback loop with HIF1 $\alpha$  by inactivating VHL E3, facilitating renal cell survival in unfavorable environments. TRAF6, TNF receptor-associated factor 6; PB1, Phox and Bem1p; NBR1, neighbor of BRCA1 gene 1; TB, TRAF6-binding domain; UBA, ubiquitin-associated domain; SQSTM1, sequestosome 1; NBR1, neighbor of BRCA1; Ack1, activate Cdc42-associated kinase 1; CAFs, cancer-associated fibroblasts; mTORC1, mechanistic target of rapamycin complex 1; GSH, glutathione; HIF1 $\alpha$ , hypoxia-inducible factor 1 $\alpha$ .

resistance to PI3K/AKT inhibitors in autophagy-deficient head and neck squamous cell carcinoma (116,117). Usually, the change in SQSTM1/p62 expression, to some degree, reflects the change in LC3-II expression. As autophagy is initiated, LC3-II is formed on autophagosome; meanwhile SQSTM1/p62 incorporates into the autophagosome by interacting with LC3-II and then will be degraded in autolysosomes (9). Autophagy is triggered in oral squamous cell carcinoma cells, but SQSTM1/p62 expression may not fluctuate with LC3-II. By contrast, in skin squamous cell carcinoma, strong activation of autophagy and low expression of SQSTM1/p62 have been correlated with a poor prognosis (118). Similarly, arsenic-mediated overexpression of SQSTM1/p62 triggers positive feedback between SQSTM1/p62 and NRF2, which causes the expression of numerous secondary messengers, including the glutamate-cysteine ligase catalytic subunit, HO-1 and NQO1, and promotes keratinocyte proliferation and malignant transformation (119). Therefore, inducing the oncolytic virus  $\Delta$ PK to degrade SQSTM1/p62 by activating the calcium protease calpain pathway, not the autophagy pathway, may be a novel therapeutic approach for treating tumor stem cell-rich malignant melanomas (120). Nevertheless, SQSTM1/p62, as a direct target of miR-372, can suppress cancer cell migration

by inducing NQO1 expression to repress ROS activation in head and neck squamous cell carcinoma (121).

Collectively, these observations imply that SQSTM1/p62 expression is typically higher in the cytoplasm than in the nucleus, usually indicating that SQSTM1/p62 participates in a series of downstream cascades involved in oncogene activation. Thus, inhibiting SQSTM1/p62 activation in different ways or decreasing its expression may be an effective treatment against head and neck carcinoma and skin cancer.

## 10. Nerve and brain tumors

The SQSTM1/p62/NRF2 axis may play a central role in nerve and brain tumors by participating in autophagy. Increasing evidence shows that SQSTM1/p62 can cause neuronal degeneration and neural stem cell differentiation through its abnormal aggregation and the inhibition of superoxide dismutase (122-124). Additionally, SQSTM1/p62 overexpression, along with NRF2 activation, is capable of stimulating classical macroautophagy and mitochondrial autophagy, which allow neuroblastoma cell and glioblastoma multiforme cell survival by antagonizing apoptosis, resulting in drug resistance to proteasome inhibitors (125,126). As a result, deleting the SQSTM1/p62 UBA domain to abolish the defensive function

of autophagy and promote apoptosis may be a potential and powerful way to inhibit the proteasome in these tumors (127).

In summary, SQSTM1/p62 plays an oncogenic role in these tumors by engaging in macroautophagy and mitochondrial autophagy to prevent apoptosis, thereby contributing to uncontrolled cell growth and unresponsiveness to chemotherapeutic drugs.

## 11. EMT

Recently, numerous studies have shown that SQSTM1/p62 participates in EMT-modulated malignant transformation (128-132). Tumor cells undergoing EMT shift from being in an epithelial state to being in a mesenchymal state, and in the mesenchymal state, a variety of signaling pathways are activated, including TGF- $\beta$ /Smad and Wnt/ $\beta$ -catenin pathways, which is followed by decreased expression of epithelial markers, including E-cadherin and occludin, and by increased expression of mesenchymal markers, including vimentin, Twist1, snail1/2 and N-cadherin. The EMT renders most cancer cells aggressive (128). For instance, in squamous cell carcinoma of the skin, malignant melanoma, hepatic carcinoma, breast cancer and bladder cancer, TGF- $\beta$ -mediated SQSTM1/p62 aggregation in the cytoplasm of autophagy-defective cells can initiate the EMT process to exacerbate cancer cell growth, proliferation, migration, invasion and distant metastasis. As SQSTM1/p62 binds to Smad4 and Twist1 through its UBA domain to prevent degradation by autophagy and the proteasome pathway, the activation of Smad4 and Twist1 causes increased N-cadherin and decreased E-cadherin, occludin and claudin-1 expression, ultimately stimulating the EMT (129-131). In addition, SQSTM1/p62 can inhibit autophagy and promote the EMT to accelerate tumor invasion and metastasis by directly binding with HDAC6 to impair the acetylation of  $\alpha$ -tubulin and microtubules, which leads to disrupted fusion of autophagosomes and lysosomes, and subsequently to the impairment of autophagosomal degradation (132). Similarly, in breast cancer MCF-7 cells, in the presence of insulin receptor substrate 1/2, SQSTM1/p62 releases disheveled 2 (Dvl2) to trigger the EMT by activating the EMT-related molecules c-Myc and cyclinD1, which are involved in the Wnt/ $\beta$ -catenin signaling pathway. However, when autophagy is activated, SQSTM1/p62 transports both Dvl2 and snail2 into autophagosomes, where they are degraded, thereby inhibiting EMT initiation (133,134).

In summary, functioning as a proto-oncogene or tumor suppressor gene, SQSTM1/p62 can play a dual role in activating or silencing EMT. The prerequisite condition for exerting its biological functions depends largely on whether autophagy is activated. When autophagy is ongoing, SQSTM1/p62 can block the EMT process and malignant cancer progression by promoting the degradation of EMT-related factors through the autophagy pathway. By contrast, when autophagy is inhibited or damaged, SQSTM1/p62 activates the EMT signaling pathways and promotes cancer cell malignant transformation by binding to and stabilizing EMT-related factors (Fig. 7).

## 12. Conclusions and prospects

To date, the molecular mechanisms and functions of SQSTM1/p62 in regulating malignant progression are unclear,

but SQSTM1/p62 has been shown to participate in the occurrence and development of various tumors in multiple ways, including by affecting genetic stability, transcription and post-transcriptional regulation, to modify and interact with different molecules.

For instance, at the transcriptional level, either NRF2 or TNF may upregulate SQSTM1/p62 mRNA expression to facilitate hepatocellular malignant transformation of hepatocytes or bronchial epithelial cells by directly binding the promoter region of SQSTM1/p62 (40,79). At the post-transcriptional level, SQSTM1/p62 may be directly targeted by miR-372 to inhibit cancer cell migration in head and neck squamous cell carcinoma (121), and it may also be targeted by miR-487a to promote malignant progression of esophageal cancer cells (135). Notably, high levels of the lncRNA associated with small nucleolar RNA host gene 16 may increase SQSTM1/p62 expression by directly inhibiting its target molecule miR-17-5p, a miRNA that directly targets SQSTM1/p62, to facilitate HCC cell proliferation, migration and invasion (136). Since SQSTM1/p62 can function as an oncogene or tumor suppressor gene in different tumors under different circumstances (Fig. 8), SQSTM1/p62 can be considered to be like a multifunctional ship, ferry or car trafficking different bad or good cargos to different factories for further processing.

Under normal conditions, SQSTM1/p62 expression is inversely associated with LC3-II expression when autophagy is activated; however, to a certain extent, elevated SQSTM1/p62 expression not only induces autophagy and autophagic cell death, but also inhibits autophagy, and vice versa. These results demonstrate that, in addition to the classical autophagy pathway, SQSTM1/p62 participates in a variety of signaling pathways to regulate tumor progression. However, until recently, the relationships between autophagy and SQSTM1/p62 had not been well known. Therefore, although guidelines for monitoring autophagy have been described, these data indicate that monitoring autophagic flux merely by assessing the fluctuation in SQSTM1/p62 and LC3-II levels is insufficient, and it is advisable to assess the functional status of the ubiquitin proteasome system (137). As SQSTM1/p62 acts as a bridge or an axis between the autophagy pathway and the ubiquitin-proteasome degradation pathway, when either pathway is disrupted, SQSTM1/p62 activity may be diverted to the other pathway.

In an overwhelming majority of cases, cancer cells with SQSTM1/p62 aggregation in the cytoplasm are more aggressive than those in which SQSTM1/p62 resides only in the nucleus, implying that excessively activated SQSTM1/p62 shuttling from the nucleus to the cytoplasm is involved in diverse signaling pathways, particularly the EMT-related TGF- $\beta$ /Smad and Wnt/ $\beta$ -catenin, SQSTM1/p62/KEAP1/NRF2 and SQSTM1/p62/TRAF6/NF- $\kappa$ B pathways. The formation of positive feedback loops clearly amplifies the effects of carcinogenesis, which results in pleiotropic effects on the clinicopathological parameters of human tumors (Table I). As drug resistance in malignant cancer is the most critical challenge to effective treatment encountered thus far, it is of great significance to search for specific molecule-targeted drugs. It is promising that recent studies have demonstrated

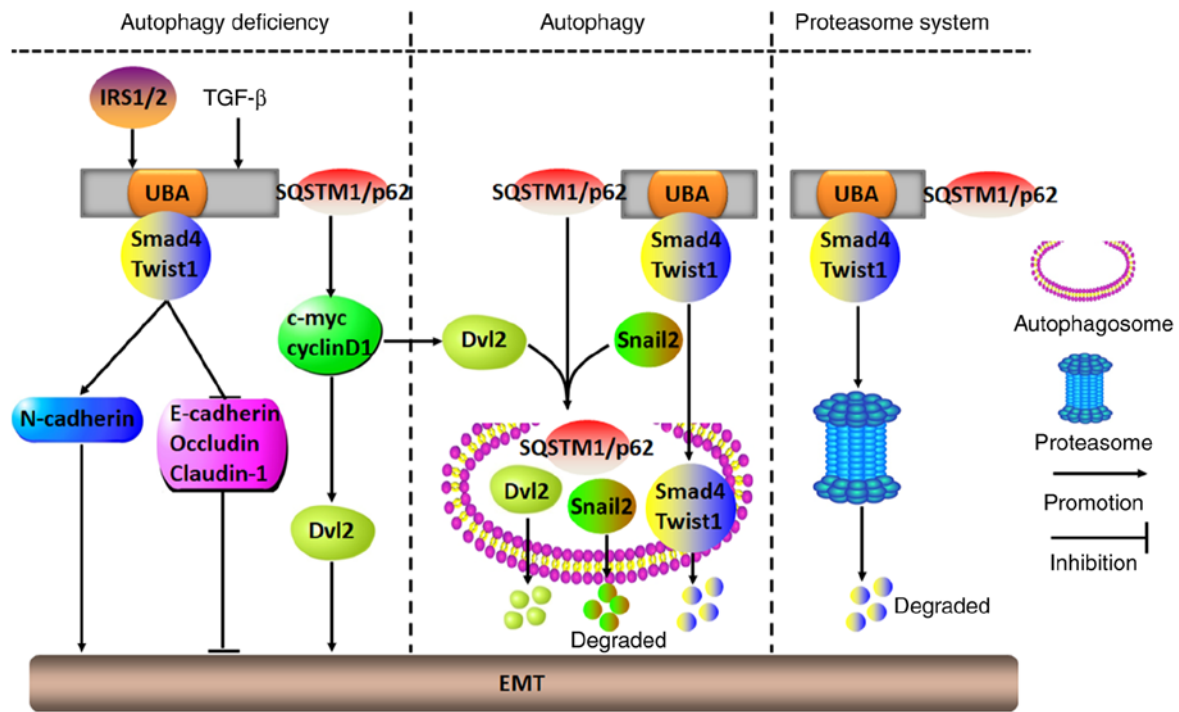


Figure 7. Molecular mechanisms of SQSTM1/p62 in regulating the tumor EMT. In numerous tumor cells with deficient autophagy, IRS1/2- or TGF- $\beta$ -induced SQSTM1/p62 aggregation may initiate the EMT to accelerate malignant aggression by either binding to and stabilizing Smad4 and Twist1 through its UBA domain or stimulating c-myc and cyclinD1 to release Dvl2. However, when cells show autophagic ability, SQSTM1/p62 may act as a carrier to transport EMT-related molecules, including Dvl2, snail2, Smad4 and Twist1, to autophagosomes for their degradation, which results in inhibition of the EMT. Alternatively, SQSTM1/p62 may also repress the EMT by directing Smad4 and Twist1 into proteasomes for degradation. UBA, ubiquitin-associated domain; Dvl2, Disheveled 2; EMT, epithelial-mesenchymal transition; SQSTM1, sequestosome 1; IRS1/2, insulin receptor substrate 1/2.

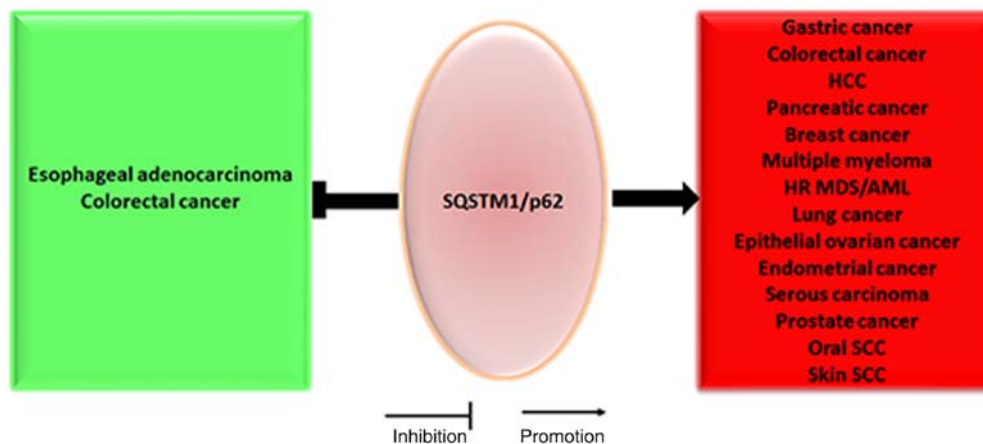


Figure 8. SQSTM1/p62 plays an oncogenic and tumor-suppressive role in malignancy. SQSTM1/p62 plays an oncogenic role in esophageal adenocarcinoma and colorectal cancer, whereas SQSTM1/p62 primarily plays a tumor-suppressive role in a number of other tumors, such as gastric cancer, lung cancer, breast cancer and serous carcinoma. HCC, hepatocellular carcinoma; SQSTM1, sequestosome 1; HR MDS, high-risk myelodysplastic syndrome; AML, acute myeloid leukemia; SCC, squamous cell carcinoma.

that XRK3F2, a novel small-molecule inhibitor specifically targeting the SQSTM1/p62-ZZ domain, can selectively impair leukemia-initiating cells in AML and multiple myeloma cells to inhibit tumor aggression by inhibiting the SQSTM1/p62-ZZ domain-dependent autophagic or TNF $\alpha$  plus IL17 signaling pathways, respectively; therefore, XRK3F2 may be, to some extent, a potentially useful tool for targeting therapies specifically to these tumors in the future (138,139). In addition, the crosstalk between components in tumor microenvironments (SQSTM1/p62 and

various autocrine or paracrine factors originating from tumor stromal cells, etc.) and tumor cells may markedly increase the expression of SQSTM1/p62 in tumor cells by augmenting or inhibiting specific signaling pathways, as well as by boosting tumor stem cell self-renewal, malignant tumor growth and aggression, and chemoradiotherapeutic resistance. To date, the communication between tumor microenvironments and tumor cells has been unclear, and further understanding may elucidate the underlying mechanisms of SQSTM1/p62 serving as a bridge between tumor microenvironments and

Table I. Clinicopathological significance and biological pathways of SQSTM1/p62 in human tumors.

Tumors	Location and expression	Pathways	Vessel invasion	Lymph node and distant metastasis	TNM stage	Chemoresistance	Prognosis	(Refs.)
Esophageal adenocarcinoma	Cytoplasm ↑, Nucleus ↑	N.A.	N.A.	Positive	N.A.	N.A.	Good	(25)
Gastric cancer	Cytoplasm ↑, Nucleus ↑	N.A.	Positive	Positive or Negative	Positive or No	N.A.	Poor	(24,26)
Colorectal cancer	Cytoplasm ↑, Nucleus ↑ or ↓	Autophagy, MEK/ERK PI3K/mTOR, Wnt/β-catenin, ATM/γH2AX	N.A.	No	No	Yes	Good or No	(24,27, 29-32,34)
Pancreatic adenocarcinoma	Cytoplasm ↑, Nucleus ↑	N.A.	N.A.	No	No	N.A.	No	(24)
HCC	Cytoplasm ↑	Vitamin D, SQSTM1/p62/ KEAP1/NRF2, SQSTM1/p62/ TRAF6/NF-κB, SQSTM1/p62/ HDAC6	N.A.	N.A.	N.A.	Yes	Poor	(36-40, 42-44)
Breast cancer	Cytoplasm ↑, Nucleus ↑	CD44/SQSTM1/p62/ NRF2, SQSTM1/p62/ KEAP1/NRF2, SQSTM1/p62/ TRAF6/NF-κB, PI3K/ AKT Wnt/β-catenin, AKT/GSK3β/β-catenin, ER/ERK, Wnt/PCP, IRS1/2/SQSTM1/P62/ Dvl2, TRAF6-Beclin1	Positive	Positive	Positive or No	Yes	Poor	(46-55, 57-61, 90,133)
Multiple myeloma	Cytoplasm ↑	SQSTM1/P62/ TRAF6/NF-κB, SQSTM1/P62/RIP1/ NF-κB, SQSTM1/P62/ aPKCs/NF-κB, SQSTM1/p62/ P38MAPK	N.A.	N.A.	N.A.	Yes	Poor	(62-66, 69,70)
HR MDS/AML	Cytoplasm ↑, Nucleus ↑	SQSTM1/p62/TRAF6/ NF-κB	N.A.	N.A.	N.A.	N.A.	Poor	(62,63)
Chronic myeloid leukemia	Cytoplasm ↑	Autophagy	N.A.	N.A.	N.A.	No	N.A.	(73)
Lung cancer	Cytoplasm ↑	mTOR-ULK1-Beclin1, SQSTM1/p62/ TRAF6/NF-κB, SQSTM1/p62/ KEAP1/NRF2, TRAF6-Beclin1	N.A.	Negative	Negative	Yes	Poor	(77-83,90)
Epithelial ovarian cancer	Cytoplasm ↑ or ↓	SQSTM1/p62/KEAP1/ NRF2, SQSTM1/p62/ caspase 8	N.A.	Positive	Positive <sup>a</sup>	Yes	Poor	(91,92, 94,99, 100)

Table I. Continued.

Tumors	Location and expression	Pathways	Vessel invasion	Lymph node and distant metastasis	TNM stage	Chemoresistance	Prognosis	(Refs.)
Seminoma	Cytoplasm/ Nucleus, NC	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	(95)
Non-seminoma	Cytoplasm/ Nucleus, NC	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	(95)
Endometrial cancer	Cytoplasm↑	N.A.	N.A.	Positive	No	N.A.	Poor	(89)
Serous carcinoma	Cytoplasm↑	N.A.	N.A.	Positive	No	N.A.	Poor	(96)
Cervical cancer	Cytoplasm ↑, Nucleus↑	SQSTM1/p62/NRF2	N.A.	N.A.	N.A.	N.A.	N.A.	(97)
Prostate cancer	Cytoplasm↑	SQSTM1/p62/NRF2, Raf/MEK/ERK, SQSTM1/p62/MEKK3, SQSTM1/P62/TRAF6/ mTORC1, SQSTM1/ p62/mTORC1/c-myc/ GSH/IL-6/ TGFβ, AMPK/SQSTM1/p62/ AR	N.A.	Positive	Positive	Yes	Poor	(101,103, 105-111)
Malignant melanoma	Cytoplasm↑	Raf/MEK/ERK, EGF/TGFβ/Twist1	N.A.	N.A.	N.A.	N.A.	N.A.	(105,128)
Renal cell carcinoma	Cytoplasm↑	Glycolytic pathway, Autophagic pathway, Proteasome degradation pathway	N.A.	N.A.	N.A.	N.A.	N.A.	(112,113)
Bladder cancer	Cytoplasm↑	TGFβ/Smad4, SQSTM1/p62/ KEAP1/NRF2	N.A.	N.A.	N.A.	N.A.	N.A.	(115,129)
Oral SCC	Cytoplasm↑, Nucleus↓	N.A.	Positive	Positive	Positive	N.A.	Poor	(115)
Head and neck SCC	Cytoplasm↑	PI3K/AKT, SQSTM1/ p62/NRF2	N.A.	N.A.	N.A.	Yes	N.A.	(116)
Skin SCC	Cytoplasm↓ or↑	SQSTM1/P62/NRF2, EGF/TGFβ/ Twist1	N.A.	Negative	Negative	N.A.	Poor	(117-119, 129)
Neuroblastoma mitophagy	Cytoplasm↑	SQSTM1/P62/NRF2,	N.A.	N.A.	N.A.	Yes	N.A.	(125)
Glioblastoma	Cytoplasm↑	SQSTM1/P62/NF-κB, autophagy	N.A.	N.A.	N.A.	Yes	N.A.	(126)

<sup>a</sup>FIGO stage. SQSTM1, sequestosome 1; NC, no change; SCC, squamous cell carcinoma; HCC, hepatocellular carcinoma; HR MDS, higher-risk myelodysplastic syndromes; AML, acute myeloid leukemia; ↑, upregulation; ↓, downregulation; N.A., not available; No, no relationship; MEK, mitogen-activated protein kinase kinase; ERK, extracellular-signal-regulated kinase; MEKK3, mitogen-activated protein kinase kinase kinase 3, PI3K, phosphoinositol-3 kinase; AKT, protein kinase B; AMPK, AMP-activated protein kinase; mTOR, mammalian target of rapamycin; mTORC1, mTOR complex 1; RIP1, receptor interacting protein 1; ULK1, Unc-51 like autophagy activating kinase; NRF2, nuclear factor erythroid 2; TRAF6, TNF receptor-associated factor 6; KEAP1, Kelch-like ECH-associated protein 1; HDAC6, histone deacetylase 6; ATM, ataxia-telangiectasia mutated; γH2AX, phosphorylated histone family member X; GSH, glutathione; PCP, planar cell polarity; Dvl2, disheveled 2; KLF4, Kruppel-like factor 4; GSK3β, glycogen synthase kinase-3β; TGFβ, transforming growth factor-beta; EGF, epidermal growth factor; NF-κB, nuclear factor-κB; IRS1/2, insulin receptor substrate 1/2; aPKCs, atypical protein kinase Cs; Raf, resistance allele frequencies; AR, androgen receptor.

tumor cells. A SQSTM1/p62 DNA vaccine or gene fusion vaccine based on the SQSTM1/p62 gene and tumor antigens has already exhibited complementary antitumor effects and few adverse effects in experimental subjects, such as dogs, mice, and rats, as well as in breast cancer, lung cancer, melanoma, ovarian cancer, renal cancer and sarcoma; these effects were achieved by augmenting immunoreactions among CD3-positive cells surrounding the tumor cells and stimulating a fibrotic response around the tumor cells (140-143). Therefore, unmasking the underlying mechanisms of SQSTM1/p62 in regulating the tumor microenvironment and tumor cells may be very worthwhile and lead to an increase in the effective precision therapy treatments available for various tumors in the future.

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### Availability of data and materials

Not applicable.

### Authors' contributions

JT drafted the manuscript and YL created the figures; all the images have been drawn using with Pathway Builder Tool 2.0 ([www.proteinlounge.com](http://www.proteinlounge.com)) and ScienceSlides 2016 version ([www.visiscience.com](http://www.visiscience.com)). SX and YL participated in the literature collection, JL and QY designed and created the tables, and HZ and KD designed the study and supervised the creation of the manuscript. All authors have read and approved the final manuscript. Data authentication is not applicable.

### Ethics approval and consent to participate

Not applicable.

### Patient consent for publication

Not applicable.

### Competing interests

The authors declare that they have no competing interests.

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