



# Article Catalytic Reaction Mechanism of NO–CO on the ZrO<sub>2</sub> (110) and (111) Surfaces

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**Abstract:** Due to the large population of vehicles, significant amounts of carbon monoxide (CO), nitrogen oxides (NO<sub>x</sub>), and unburned hydrocarbons (HC) are emitted into the atmosphere, causing serious pollution to the environment. The use of catalysis prevents the exhaust from entering the atmosphere. To better understand the catalytic mechanism, it is necessary to establish a detailed chemical reaction mechanism. In this study, the adsorption behaviors of CO and NO, the reaction of NO reduction with CO on the  $ZrO_2$  (110) and (111) surfaces was performed through periodic density functional theory (DFT) calculations. The detailed mechanism for CO<sub>2</sub> and N<sub>2</sub> formation mainly involved two intermediates N<sub>2</sub>O complexes and NCO species. Moreover, the existence of oxygen vacancies was crucial for NO reduction reactions. From the calculated energy, it was found that the pathway involving NCO intermediate interaction occurring on the  $ZrO_2$  (110) surface was most favorable. Gas phase N<sub>2</sub>O formation and dissociation were also considered in this study. The results indicated the role of reaction intermediates NCO and N<sub>2</sub>O in catalytic reactions, which could solve the key scientific problems and disputes existing in the current experiments.

**Keywords:** Nitric oxide; Carbon monoxide; Zirconium dioxide; Density functional theory; Reaction mechanism

# 1. Introduction

Heterogeneous catalysis always be used to decrease the emission of automobile exhausts (CO, NO<sub>x</sub> and HC), such as the Rhodium catalytic system to convert toxic gas NO to inactive product N<sub>2</sub> [1,2]. However, the rare and expensive characteristics of noble metal Rh limit its widespread use. Thus, quantities of investigations have been conducted to develop effective and economical catalyst systems.

As zirconium dioxide (ZrO<sub>2</sub>) has high thermal stability, excellent redox properties and an acid–basic site on its surface, it is a good catalyst and support material for various reactions, such as CO<sub>2</sub> methanation [3,4], water–gas shift [5,6], NH<sub>3</sub> selective catalytic reduction [7,8], and hydrodeoxygenation [9,10]. Especially, the transition metal oxide dispersed onto the surface of ZrO<sub>2</sub> exhibits powerful activity for NO reduction. Okamoto et al. [11] found that Cu/ZrO<sub>2</sub> catalysts showed high NO conversion to N<sub>2</sub> at low temperature through a nitrous oxide (N<sub>2</sub>O) intermediate for a NO–CO reaction. As the supporter, ZrO<sub>2</sub> has better performance than CeO<sub>2</sub> in reducing the energy barrier of NO dissociation [12]. Besides, ZrO<sub>2</sub> also provides abundant NO<sub>x</sub> adsorption sites [13–15], which is beneficial for NO reduction. Koga et al. discovered that c-ZrO<sub>2</sub> (110) ultrathin film covering a Cu surface exhibited high NO<sub>x</sub> reduction activity [16].

NO reduction by CO may simultaneously eliminate two kinds of pollutants and convert them into harmless CO<sub>2</sub> and inactive N<sub>2</sub>, via the stoichiometric reaction  $2CO + 2NO \rightarrow 2CO_2 + N_2$ . Dramatically,

isocyanate (NCO) species and gas phase N<sub>2</sub>O were detected via experimental equipment during the reaction of NO with CO [17,18]. NO–CO reaction mechanisms involving NCO and N<sub>2</sub>O as intermediate complex have been considered on Co<sub>3</sub>O<sub>4</sub> (110)-B [19], Pd/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub> (110) [20], and Cu-doped SrTiO<sub>3</sub> (100) [21] catalyst surfaces. It is widely believed that CO abstracts lattice oxygen of catalyst to produce CO<sub>2</sub> and oxygen vacancy through the Mars–van Krevelen mechanism [22], and then NO reduction occur on the defective catalyst surface via NCO or N<sub>2</sub>O intermediate species to form N<sub>2</sub>. Although previous studies have provided significant insight on NO–CO catalytic reaction mechanism, the catalytic cycle paths of NO reduction by CO on ZrO<sub>2</sub> surfaces remain elusive.

Surface formation energies of three low-index c-ZrO<sub>2</sub> (100), (110) and (111) were computed, as shown in Table S1. In this study, we adopted the most stable surface (111) and a relatively reactive surface (110) as the exposed surfaces. The adsorption behaviors of CO and NO on ZrO<sub>2</sub> (110) and (111) surfaces and possible reaction pathways for CO<sub>2</sub> and N<sub>2</sub> formation with corresponding energy evolution were carefully discussed based on DFT calculations. Through in-depth analysis and research, the role of reaction intermediates NCO and N<sub>2</sub>O in catalytic reactions will be clarified, which can solve the key scientific problems and disputes existing in the current experiments.

#### 2. Results and Discussion

#### 2.1. CO and NO Adsorption on ZrO<sub>2</sub> (110) Surface

The structure models of  $ZrO_2$  (110) surface, as shown in Figure 1a, exposes threefold coordinated O (O<sub>3c</sub>) and sixfold coordinated Zr (Zr<sub>6c</sub>) atoms. In the surface, the Zr–Zr bond distance is 3.710 Å and the Zr–O bond distance is 2.247 Å. The lattice constant of ZrO<sub>2</sub> bulk is 5.115 Å, which is in good agreement with experimental result of 5.090 Å [23].

Three adsorption sites were considered (Figure 1b): (1) the top site of Zr (Zr<sub>T</sub>), (2) the top site of O (O<sub>T</sub>), and (3) the bridge site between two O atoms (O<sub>b</sub>). We have systematically calculated the adsorption energies of CO (C-end and O-end) and NO (N-end and O-end) gas molecules on  $ZrO_2$  (110) surface, and the Table 1 lists all the value of  $E_{ads}$ .



**Figure 1.** Structure models of (a) the side view and (b) corresponding top view of  $ZrO_2$  (110)-2 × 2 surface. (b) Three possible adsorption sites for CO and NO gas molecules are labeled. Optimized adsorption structures of (c) CO C-end, (d) CO O-end, (e) NO N-end, and (f) NO O-end on  $Zr_T$  site. Red, cyan, gray, and blue spheres represent the O, Zr, C, and N atoms, respectively.

Gas Molecule		Adsorption Site	E <sub>ads</sub> (eV)	Figure
	C-end	Zr <sub>T</sub>	-0.676	1(c)
СО		O <sub>T</sub>	-0.675	-
		Ob	-0.227	-
	O-end	$Zr_T$	-0.359	1(d)
		$O_{T}$	-0.257	-
		Ob	-0.231	-
NO	N-end	$Zr_T$	-0.788	1(e)
		O <sub>T</sub>	-0.776	-
		Ob	-0.253	-
	O-end	$Zr_T$	-0.575	1(f)
		O <sub>T</sub>	-0.290	-
		Ob	-0.047	-

**Table 1.** Adsorption energies ( $E_{ads}$ ) of CO and NO gas molecules on the different adsorption sites of ZrO<sub>2</sub> (110) surface.

As shown in Figure 1, after fully structural optimization, CO (C-end and O-end) and NO (N-end and O-end) gas molecules preferred to adsorb on  $Zr_T$  site of  $ZrO_2$  (110) surface. As for CO molecule adsorption,  $E_{ads}$  of CO (C-end and O-end) adsorbed on  $Zr_T$  site was -0.676 eV and -0.360 eV, respectively, indicating the C-end adsorption was more energetically favorable than O-end. In the same way NO N-end adsorption was more stable than NO O-end adsorption on  $ZrO_2$  (110) surfaces. Coordinates for all the optimized structures were presented in Table S3.

2.2. Reaction Mechanism of NO Reduction with CO on ZrO<sub>2</sub> (110) Surface

#### 2.2.1. Path 1

The Mars–van Krevelen (MvK) mechanism is a universal reaction step for CO oxidation [24] and NO–CO reaction [19] on metal oxide surfaces. As shown in Figure 2, the NO–CO catalytic cycle reaction started when the first CO gas molecule adsorbed on  $Zr_T$  site (state *ii*) with an adsorption energy of -0.676 eV, and with the change of lattice O–C distance to 3.098 Å. After adsorption, CO extracted surface oxygen atom to form CO<sub>2</sub> and a surface oxygen vacancy (state *iii*) through the MvK mechanism. In the corresponding transition state (TS1), the lattice O-CO bond decreased to 1.568 Å and the lattice O–C–O angle changed to 116.105°. Moreover, the energy barrier for this process was 1.948 eV, the result clearly shows that CO oxidation reaction can happen smoothly on ZrO<sub>2</sub> (110) surface by using exhaust temperature. We calculated two cycle reaction mechanisms (path 1 and path 2) and N<sub>2</sub>O formation mechanism all share common steps to form oxygen vacancies on ZrO<sub>2</sub> (110) surface.

In the next step, CO<sub>2</sub> desorption into the gas phase (state *iv*) costs energy of 0.283 eV, then O<sub>V</sub> was occupied by the first NO gas molecule forming a O<sub>V</sub>-NO complex structure (state *v*), and the energy of 2.310 eV is released. Subsequently, the next CO weakly adsorbed to adjacent O atom of the NO (state *vi*) with an adsorption energy of -0.510 eV. In TS2, the N-O bond broke and O atom moved toward CO to form OC-O bond by overcoming an energy barrier of 2.263 eV, and the OC-O bond length was changed from 2.683 Å at state *vi* to 1.637 Å. After TS2, the second CO<sub>2</sub> molecule formation occurs (state *vii*). The reaction was exothermic by 1.246 eV. The similar reaction steps have been explored for Pd/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub> (110), the second CO abstracted lattice O atom from N-loaded Pd/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub> (110) surface with an energy barrier of 1.88 eV [20].



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**Figure 2.** Energy profile and structure models of reactants, transition states and products for path 1 (NO N-end embed in oxygen vacancy site on  $ZrO_2$  (110) surface). The energy profile is placed in the center, and structure models are placed around.

Then, the second CO<sub>2</sub> desorbed into the gas phase leaving a N-doped surface (state *vii*), in which N atom embedded at surface oxygen vacancy site. The desorption energy of CO<sub>2</sub> is approximately 0.3 eV, the interaction of ZrO<sub>2</sub> surface with CO<sub>2</sub> was much weaker than that with NO ( $E_{ads} \approx 0.7 \text{ eV}$ ), which have been reported by Luo et al. [13]. After the second CO<sub>2</sub> desorption, the second NO gas molecule located at N-doped ZrO<sub>2</sub> (110) surface (state *ix*) with the binding energy of -0.068 eV. Once NO interacted with the embedded N atom, formation of a relatively stable intermediate complex bent N<sub>2</sub>O (state *x*) was very easy. As we can see from the energy profile (Figure 2), the process could facilely occur without energy barrier and release 3.286 eV energy. The energy barrier for N<sub>2</sub>O dissociation was extremely low only 0.002 eV. After TS3, N-O bond and N-Zr bond dissociated to produce N<sub>2</sub> (state *xi*), then N<sub>2</sub> desorption into gas phase cost 0.458 eV, O atom successively diffused to oxygen vacancy site via an obvious barrierless process with releasing energy of 0.510 eV, the integrated ZrO<sub>2</sub> (110) surface was recovered eventually.

Accordingly, from calculated energy profile (Figure 2), the overall catalytic cycle is strongly exothermic. However, the second CO oxidation has greater activation energy of 2.263 eV, determining the rate of catalytic cycle. We proposed the other catalytic cycle path to produce  $CO_2$  and  $N_2$  via NCO species.

## 2.2.2. Path 2

As presented in Figure 3, the reaction mechanism of  $O_V$  surface formation is shared with path 1. Subsequently, NO adsorbed on  $O_V$  site, the value of NO O-end adsorption was -1.605 eV, which bound weaker than that of NO N-end. Then, the second CO directly combined with N atom to generate the NCO intermediate (-1.614 eV, state vi). The second NO adsorbed on Zr<sub>T</sub> site adjacent to NCO complex (-0.208 eV, state vii). In the co-adsorption configuration, NCO and NO moved toward each other via TS2 to form a NNCO<sub>2</sub> four-membered ring intermediate (state viii) with an energy barrier of 0.355 eV. The subsequent step was NNCO<sub>2</sub> intermediate dissociation to produce N<sub>2</sub> and CO<sub>2</sub> (state ix) with a barrier of only 0.141 eV. With  $N_2$  and  $CO_2$  desorption by costing 0.563 eV energy, the catalytic surface was recovered.



**Figure 3.** Energy profile and structure models of reactants, transition states and products for path 2 (NO O-end embed in oxygen vacancy site on  $ZrO_2$  (110) surface). The energy profile is placed in the center, and structure models are placed around.

As we can see from the energy profile (Figure 3), the rate-determining step is the CO oxidation by the lattice oxygen, indicting  $ZrO_2$  (110) surface exhibits weakly catalytic activity for low-temperature oxidation of CO. Liang et al. observed that c-ZrO<sub>2</sub> ultrafine powder showed relatively high activity for CO oxidation with light-off temperature at ~280 °C (50% conversion) and ~550 °C (100% conversion) [25,26]. As reported in the literature, metal doping was particularly effective for the formation of oxygen vacancy [21,27]. As for NO reduction reaction, the formation of oxygen vacancy is crucial for NO adsorption and reduction reaction [28–30]. On defective  $ZrO_2$  (110) surface, NO decomposition and N<sub>2</sub> formation are occur easily as a result of a very small barrier of 0.355 eV via path 2. Although for the defective  $Co_3O_4$  (110)-B surface [19], the most favorable NO reduction processes are energetically less competitive with a higher barrier of 1.48 eV, i.e., the  $ZrO_2$  (110) surface is remarkable for NO reduction.

## 2.2.3. N<sub>2</sub>O formation.

Beginning with the oxygen vacancy surface (state *iv*, Figure 4) the first gas NO molecule located at  $O_V$  site (state *v*, Figure 4) by releasing 1.605 eV energy, the second incoming NO molecule N-end bound with surface N atom (state *vi*, Figure 4) with strong exothermicity of 2.872 eV. Subsequently, the ONN-O bond broke into N<sub>2</sub>O via TS2 (Figure 4). After TS2, the gas-phase N<sub>2</sub>O (state *vii*, Figure 4) formation, the barrier for the process was 0.987 eV, the reaction was exothermic by 1.345 eV. Eventually, N<sub>2</sub>O desorption into the gas phase (state *viii*, Figure 4) cost 0.456 eV and ZrO<sub>2</sub> (110) surface recovered.



**Figure 4.** Energy profile (**a**) and structure models (**b**) of reactants, transition states and products for  $N_2O$  formation on  $ZrO_2$  (110) surface. The energy profile is placed above, and structure models are placed below.

In cold start engines or lean-burn conditions  $N_2O$  is the main by-product of NO reduction [31], and the formation of  $N_2O$  on  $ZrO_2$  surface has been observed through NO temperature-programmed desorption experiment [13].  $N_2O$  is one of the six greenhouse gases specified in the Kyoto Protocol, the greenhouse activity is 310 times of  $CO_2$  [32]. Catalytic systems for  $N_2O$  decomposition should be employed in catalytic converters.

Three reaction pathways have been calculated on the  $ZrO_2$  (110) surface: the gas phase N<sub>2</sub>O was observed via experimental equipment and in [18] it was also formed by theoretical calculation; our work provides the mechanism of N<sub>2</sub>O formation. Path 1 involving bent N<sub>2</sub>O intermediate was proposed to produce harmless CO<sub>2</sub> and inactive N<sub>2</sub>, this cycle had a relatively high barrier than path 2 involving surface NCO intermediate, indicting CO<sub>2</sub> and N<sub>2</sub> formation mainly through path 2. Besides, the activation barriers for N<sub>2</sub>O and N<sub>2</sub> formation on O<sub>V</sub>-ZrO<sub>2</sub> (110) surface were 0.987 eV and 0.355 eV, respectively. The results imply that NO is selectively converted to N<sub>2</sub> versus N<sub>2</sub>O under mild conditions.

## 2.3. CO and NO Adsorption on ZrO<sub>2</sub> (111) Surface

 $ZrO_2$  (111) surface consists of 3-fold-coordinated oxygen atoms ( $O_{3c}$ ) and 7-fold-coordinated zirconium atoms ( $Zr_{7c}$ ), as shown in Figure 5a.

In Figure 5b three possible adsorption sites are labeled: (1) the top site of  $Zr (Zr_T)$ ; (2) the top site of O (O<sub>T</sub>); (3) the 3-fold O-hollow site (O<sub>H</sub>). The value of adsorption energies is listed in Table 2.



**Figure 5.** Structure models of (**a**) the side view and (**b**) corresponding top view of  $ZrO_2$  (111)-2 × 2 surface. (b) Three possible adsorption sites for CO and NO gas molecules are labeled. Optimized adsorption structures of (**c**) CO C-end, (**d**) CO O-end, (**e**) NO N-end, and (**f**) NO O-end on  $Zr_T$  site.

Gas Molecule		Adsorption site	E <sub>ads</sub> (eV)	Figure
		Zr <sub>T</sub>	-0.410	5(c)
СО	C-end	O <sub>T</sub>	-0.129	-
		O <sub>H</sub>	-0.160	-
	O-end	$Zr_T$	-0.162	5(d)
		OT	-0.133	-
		O <sub>H</sub>	-0.154	-
NO	N-end	$Zr_T$	-0.041	5(e)
		O <sub>T</sub>	0.078	-
		O <sub>H</sub>	0.046	-
	O-end	$Zr_T$	0.054	5(f)
		O <sub>T</sub>	0.076	-
		O <sub>H</sub>	0.055	-

**Table 2.** Adsorption energies ( $E_{ads}$ ) of CO and NO gas molecules on the different adsorption sites of ZrO<sub>2</sub> (111) surface.

For the adsorption behavior of CO (C-end and O-end) and NO (N-end and O-end) on  $ZrO_2$  (111) surface, we again researched that the  $Zr_T$  site was the most favorable adsorption site; the CO C-end adsorbed on  $Zr_T$  site had maximum adsorption energy -0.788 eV, which suggested that CO C-end adsorption was slightly preferred on  $ZrO_2$  (111) surface. Moreover, NO O-end adsorption was thermodynamically impossible, because of the positive  $E_{ads}$ .

#### 2.4. Reaction Mechanism of NO Reduction with CO on ZrO<sub>2</sub> (111) Surface

## 2.4.1. Path 1'

Based on the lowest energy structures of CO and NO on  $ZrO_2$  (111) surface, the first step was gas-phase CO interaction with surface Zr atom (-0.410 eV, state *ii*), as shown in Figure 6, CO may incorporate lattice O via TS1 where the distance between CO molecule and O atoms was decreased from 2.787 Å to1.246 Å. After TS1, a gas phase CO<sub>2</sub> molecule (state *iii*) formed. The energy barrier was equivalent to 2.949 eV. Next, CO<sub>2</sub> overcame 0.130 eV binding energy desorption into gas-phase. Specifically, the following path 1' and  $N_2O$  formation mechanisms share as common steps as discussed above.



**Figure 6.** Energy profile and structure models of reactants, transition states and products for path 1' (NO N-end embed in oxygen vacancy site on  $ZrO_2$  (111) surface). The energy profile is placed in the center, and structure models are placed around.

Note that the energy barrier corresponding to TS1 (2.949 eV, Figure 6) is evidently high and oxygen vacancy formation on  $ZrO_2$  (111) surface is difficult at low-temperature. Once oxygen vacancy formation on  $ZrO_2$  (111) surface, NO adsorption and reduction are facile.

In state *v*, the NO molecule N-end was located at  $O_V$  site with a strong exothermicity of 2.923 eV. Subsequently, the second CO bonded with O atom of the NO to form a NOCO complex (-0.107 eV, state *vi*). The second CO<sub>2</sub> formation (state *vii*) arose from NOCO complex with an energy barrier of 0.405 eV (TS2). Desorption of CO<sub>2</sub> cost 0.134 eV. Then, the second NO adsorption on the N-doped surface (-0.629 eV, state *ix*) via TS3 forms the intermediate N<sub>2</sub>O (state *x*) with a small barrier of 0.350 eV. Followed by a barrierless process of N<sub>2</sub>O decomposition to generate N<sub>2</sub> (state *xi*). With the N<sub>2</sub> desorption, the surface was recovered.

# 2.4.2. Path 2'

As shown in Figure 7, after the formation of oxygen vacancy, the  $O_V$  site was naturally replenished by NO molecule O-end (-3.056 eV, state v). The second CO approached toward N atom of adsorbed NO (-0.382 eV, state vi) to generate the NCO intermediate (state vii) through TS2) and an energy barrier of 0.385 eV was needed. The next NO could adsorb close to NCO complex (-1.582 eV, state viii) to realize the formation of four-membered ring intermediate NNCO<sub>2</sub> (state ix). The activation barrier for this process was 1.194 eV. Subsequently, the N–C bond and N–O bond cleavage of four-membered ring NNCO<sub>2</sub> led to CO<sub>2</sub> and N<sub>2</sub> formation (state *x*), with an almost barrierless processes (0.006 eV). Desorption of CO<sub>2</sub> and N<sub>2</sub> cost 0.255 eV.



**Figure 7.** Energy profile and structure models of reactants, transition states and products for path 2' (NO O-end embed in oxygen vacancy site on  $ZrO_2$  (111) surface). The energy profile is placed in the center, and structure models are placed around.

## 2.4.3. Mechanism involving N<sub>2</sub>O

The formation process of  $N_2O$  was same as the case found on  $ZrO_2$  (110) surface. The second NO bonded with N atom of first adsorbed NO. With the N-O and N-Zr bond dissociation,  $N_2O$  formation. The energy profile and corresponding structure models of reactant, transition state and product were illustrated in Figure 8.



**Figure 8.** Energy profile (**a**) and structure models (**b**) of reactants, transition states, and products for  $N_2O$  formation on  $ZrO_2$  (111) surface. The energy profile is placed above, and structure models are placed below.

As N<sub>2</sub>O is a hazardous by-product, its decomposition processes have been conducted on transition metal surfaces [33,34]. Following with the decomposition mechanism of N<sub>2</sub>O on Pd-O<sub>V</sub>/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub> (110) surface proposed by Gao [20]. We calculated N<sub>2</sub>O decomposition process on O<sub>V</sub>-ZrO<sub>2</sub> (111) surface, as shown in Figure 9. The binding energy of N<sub>2</sub>O adsorption was equivalent to -0.166 eV, in the corresponding transition state the N–O bond length increased from 1.195 Å to 1.288 Å. By breaking the already activated N–O bond, the desired product N<sub>2</sub> was formed and the dissociated O atom filled the O<sub>V</sub>. The reaction barrier for N<sub>2</sub>O decomposition is 0.288 eV, and the N<sub>2</sub> desorption from the ZrO<sub>2</sub> (111) surface requires 0.128 eV.

From calculated energy barriers, we found that CO oxidation by surface lattice oxygen was the rate-determining step during the process of NO reduction with CO, the reaction barriers were 1.948 eV and 2.949 eV on  $ZrO_2$  (110) and (111) surfaces, respectively. The results indicated that compared with  $ZrO_2$  (111) surface,  $ZrO_2$  (110) surface had more remarkable ability to catalyze NO reduction with CO because of the lower activation barrier. From the calculated adsorption energies, CO and NO all preferred to adsorb on  $ZrO_2$  (110) surface, which is consistent with the results of surface formation energies for (110) surface was relatively reactive than (111) surface. For the reaction mechanism of NO reduction by CO, similar reaction processes were found on  $ZrO_2$  (110) and (111) surfaces. Intermediate complex bent  $N_2O$  was produced during path 1, path 1' and  $\rightarrow$  NCO intermediate was produced during path 2, path 2', hazardous  $N_2O$  gas molecule was formed both on  $ZrO_2$  (110) and (111) surfaces.



**Figure 9.** Energy profile (**a**) and structure models (**b**) of reactants, transition states and products for  $N_2O$  adsorb on oxygen vacancy  $ZrO_2$  (111) surface to generate  $N_2$  (g). The energy profile is placed above, and structure models are placed below.

#### 3. Materials and Methods

Periodic DFT calculations were executed using DMol<sup>3</sup> module of Material Studio software package [35,36]. The exchange-correlation functional was treated by generalized gradient approximation with the Perdew-Wang 91 (GGA-PW91) [37]. The double numerical plus d-functions (DND) basis set was used to optimize all spin unrestricted structures. SCF tolerance was employed to  $1.0 \times 10^{-5}$ , the convergence tolerance of maximum energy change, maximum force, and maximum displacement were set as  $2.0 \times 10^{-5}$  Ha, 0.004 Ha/Å, and 0.005 Å, respectively. The transition states (TS) were calculated with linear synchronous transit (LST) and quadratic synchronous transit (QST) method [38,39] and vibrational analysis was performed to identify TS have only one imaginary frequency.

The slab models of  $ZrO_2$  (110) and (111) surfaces were built with a 15–20 Å vacuum thickness to avoid interaction between neighboring slabs. The  $ZrO_2$  (110) surface consisting of four atomic layers with the lowest two layers being kept fixed in their bulk positions, whereas the others were allowed to relax with adsorbed molecules. The Brillouin zone was sampled with a (1 × 2 × 1) Monkhorst–Pack k-point grid [40]. The  $ZrO_2$  (111) surface consisting of six atomic layers with the bottom four layers were kept fixed and the top two layers were relaxed. A (2 × 2 × 1) Monkhorst–Pack grid [40] for the  $ZrO_2$  (111) surface geometry optimization. In all cases, an orbital cutoff of 5.0 Å was used to improve the computational performance and a 2 × 2 supercell was applied.

The adsorption energies ( $E_{ads}$ ) of CO and NO gas molecules on  $ZrO_2$  (110) and (111) surfaces were calculated by the following formula,

$$E_{ads} = E_{(surface + gas molecules)} - E_{(surface)} - E_{(gas molecules)}$$
(1)

where  $E_{\text{(surface + gas molecules)}}$  is the total energy of the system involving the ZrO<sub>2</sub> (110) or (111) catalyst with the adsorbed CO or NO gas molecule,  $E_{\text{(surface)}}$  is the total energy of isolated ZrO<sub>2</sub> (110) or (111) surface, and  $E_{\text{(gas molecules)}}$  is the total energy of a single CO or NO gas molecule.

To validate the methods, we calculated the energy of NO, CO, N<sub>2</sub> and CO<sub>2</sub> molecules in a large unit cell of 10 Å × 10 Å × 10 Å, and compared the bond distances with the values calculated at the B3LYP/6-31G(d) level [41]. We listed all the values in Table S2, the calculated bond distances were within 1% of ones.

## 4. Conclusions

In summary, DFT calculations were performed to investigate NO reduction with CO on  $ZrO_2$  (110) and (111) surfaces. For the  $ZrO_2$  (110) surface, two cycle reaction pathways (paths 1 and 2) for  $CO_2$  and  $N_2$  formation were presented, and the path 2 involving the process of the NCO intermediate interaction with NO was energetically favorable. The similar cycle reaction pathways (paths 1' and 2') were found on  $ZrO_2$  (111) surface, but CO oxidation by lattice O had a significantly higher energy barrier of 2.949 eV. The results indicated that compared with  $ZrO_2$  (111) surface,  $ZrO_2$  (110) surface had more remarkable ability to catalyze NO reduction with CO. Our calculations also clearly showed that the existence of oxygen vacancies on  $ZrO_2$  (110) and (111) surfaces were crucial for NO adsorption and reduction reaction. NO healing  $O_V$  was a strongly exothermic process (2.0–3.0 eV) and successive reactions all exhibited lower energy barriers, especially path 2 (0.355 eV) and path 1' (0.405eV). The mechanism involving  $N_2O$  also was explored, and the activation barriers for  $N_2O$  formation on  $O_V$ - $ZrO_2$  (110) and (111) were 0.987 eV and 0.515 eV, respectively. The relatively higher barriers imply that NO is selectively converted to  $N_2$  versus  $N_2O$  under mild conditions.

**Supplementary Materials:** Supplementary materials can be found at http://www.mdpi.com/1422-0067/20/24/6129/s1.

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