Exercise Training in Patients With Non–Small Cell Lung Cancer During In-Hospital Chemotherapy Treatment A RANDOMIZED CONTROLLED TRIAL

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Purpose: The aim of this study was to perform a randomized trial to assess the impact of exercise training in patients with non–small cell lung cancer during chemotherapy on several outcomes in comparison to a control group (CG).

Methods: The exercise training group (ETG) consisted of 20 patients and the CG consisted of 10 patients. In the ETG, a 4-wk in-hospital exercise training program was performed in 2-wk cycles interspersed with consecutive rounds of chemotherapy with cytostatic drugs. The exercise training program was individualized and included warm-up, respiratory muscle exercise, training on a cycle ergometer or treadmill, and Nordic walking. CG participants were assessed before and after 6 wk of chemotherapy alone.

Results: Comparing pre- and post-intervention values, the ETG demonstrated an increase in 6-min walk distance (486 \pm 92 vs 531 \pm 103 m, P = .01). In a battery of physical performance tests: Up and Go Test ($6.3 \pm 1.0 \text{ vs } 6.0 \pm 1.1 \text{ sec}$, P = .01); chair stand ($13.3 \pm 2.8 \text{ vs } 14.3 \pm 3.4$ repetitions, P = .001); and arm curl ($18.4 \pm 3.1 \text{ vs } 20.4 \pm 3.5$ repetitions, P = .001) all improved significantly. Spirometry values also improved: FEV₁ % predicted ($76 \pm 16 \text{ vs } 84 \pm 15$, P = .01), FVC % predicted ($87 \pm 14 \text{ vs } 95 \pm 13$, P = .01), and FEV₁/FVC ($73 \pm 13\%$ vs $76 \pm 12\%$, P = .04). The exercise training was well tolerated, without any adverse events due to exercise. There were no significant improvements in the CG.

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Conclusions: This study suggests that planned, individualized, and supervised exercise programs in patients with advanced lung cancer during chemotherapy are a practical and beneficial intervention for enhancing mobility and physical fitness.

Key Words: chemotherapy • exercise training • lung cancer • pulmonary function tests

Cancer is currently the second leading cause of death and disability after cardiovascular disease. Worldwide, more than 1.6 million new cases of lung cancer are diagnosed annually.^{1,2} Lung cancer is the most common cause of cancer-related death in men and is second in this regard in women. In Europe, the overall 5-yr survival rate among patients with lung cancer is only 10%; among those undergoing surgery, survival is 40%.³

Because of the disease process and side effects of treatment, patients with lung cancer often experience numerous symptoms, including pain, shortness of breath, weakness, weight loss, trouble sleeping, and depression.^{4,5} Reduction in physical activity is often observed, which contributes to a decrease in fitness and exercise capacity, a decrease in muscle mass and strength, and a decline in pulmonary function and respiratory muscle strength.⁶⁻⁸

It has been generally observed that patients with lung cancer can safely participate in physical activity at any stage of the disease and its treatment.⁹⁻¹¹ Additionally, evidence has been presented that physical activity reduces the risk of several forms of cancer¹² and the risk of cancer recurrence by up to 40%.¹³ Physical training may extend the life of patients, prevent the occurrence of other chronic diseases, and reduce the symptoms of cancer.^{12,14} Statements of the American Thoracic Society and the European Respiratory Society conclude that pulmonary rehabilitation (PR) reduces dyspnea and improves both exercise capacity and quality of life.¹⁵

The main objective of oncology rehabilitation is to promote functional independence and to facilitate the patient's adaptation to the changing conditions of life as a result of the disease and its treatments.¹⁶ Physical training in oncology is generally supervised; after an initial assessment, suitable exercises and tasks of movement are selected to create an individual rehabilitation program with due regard to the disease stage, the type of therapy, and the patient's motivation.^{17,18}

The aim of this study was to assess the impact of exercise training in patients with non–small cell lung cancer during chemotherapy on several outcomes in comparison to an untrained, sedentary control group (CG).

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METHODS

PARTICIPANTS

The research study took place at the Independent Public Clinical Hospital No. 3 of the Medical University of Silesia in Katowice, from October 2012 to February 2015. The study was approved by the Ethics Committee of Silesian Medical University (KNW/0022/KB1/184a/I/11/12). All patients signed a written consent to participate in the research. The research was registered in the Australian New Zealand Clinical Trials Registry (ACTRN12616001512415).

The study included 40 patients diagnosed with nonsmall cell lung cancer (NSCLC) at stages IIIB or IV, who were disqualified from surgery. The diagnosis was established within 6 wk prior to enrollment and was confirmed by histology. Patients were included in the study if they had the ability to perform the 6-min walk test (6MWT), were World Health Organization performance status 0-1, were able to complete questionnaires, and had willingness to participate in an exercise training program. Patients were deemed not eligible if they had uncontrolled hypertension or unstable coronary artery disease, anemia (hemoglobin <10 g/dL), severe osteoarthritis, or bone or central nervous system metastases.

Participants were randomly assigned, with a 2:1 assignment, to either the exercise training group (ETG) or the CG (utilizing computer-generated random numbers): 26 patients were assigned to the ETG and 14 patients were assigned to the CG. CG participants were assessed before and after 6 wk of chemotherapy alone. In the ETG, a 4-wk in-hospital exercise training program was used on the basis of rehabilitation program in chronic obstructive pulmonary disease (COPD),¹⁹ which was performed in 2-wk cycles interspersed with consecutive rounds of chemotherapy: cisplatidiam 80 mg/m² on the first day and vinorelbine 25 mg/m² on the first and eighth days (patients in the CG received an identical chemotherapy regimen). This exercise program was conducted in the hospital under the supervision of a physiotherapist and contained elements of endurance training, breathing exercises, weight training, and fitness exercise.

The study was scheduled by a physiotherapist from the Institute of Physiotherapy, not related to the hospital, who generated the random allocation sequence using the hospital chart number as the identifier for each participating patient. The patients were enrolled, assigned, and supervised during the hospital stay by the doctors working in hospital departments who were not related to the study. Outcomes were obtained using chart review by a physiotherapist trained in the abstraction of the desired outcomes from the medical records who was blinded to the oncology treatment.

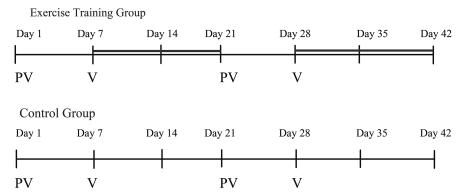
OUTCOME MEASURES

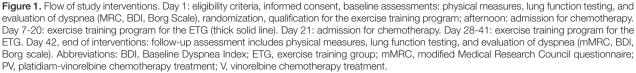
The study flow chart is presented in Figure 1. Potentially eligible participants were provided with a thorough review of the study by the study coordinator and willingness to participate was assessed. After obtaining consent, medical records were reviewed to determine eligibility. Eligible patients were randomized to either the ETG or CG. At baseline (Day 1) and at the end of the study (Day 42), the following factors were assessed in both groups: (1) exercise performance was assessed by the 6MWT distance, performed according to the American Thoracic Society 2002 guidelines²⁰; (2) spirometry was performed using the Jaeger-Masterlab (Erich-Jaeger) to assess forced expiratory volume in 1 sec (FEV₁), forced vital capacity (FVC), and the ratio of the 2 measures (FEV₁/FVC) according to European Respiratory Society recommendations,²¹ and these values were compared with normal values from the European Coal and Steel Community²²; (3) dyspnea was evaluated using the modified Medical Research Council (mMRC) questionnaire,²³ Baseline Dyspnea Index (BDI),²⁴ and Borg dyspnea scale²⁵; (4) functional fitness was assessed with the Fullerton test,²⁶ which is composed of 6 componentschair stand, arm curl, chair sit-and-reach, up and go, back scratch, and 6MWT.

INTERVENTIONS

In the CG, there was a 6-wk period between the initial and final assessments; during wk 1 and wk 4, patients underwent scheduled in-hospital chemotherapy. No exercise intervention was performed in this group.

Based on the initial 6MWT and spirometry results, patients in the ETG participated in two 2-wk inpatient exercise training programs, supervised by a certified physiotherapist. In Poland, it is common practice for patients with lung cancer to be admitted to the hospital for 2 wk of in-hospital rehabilitation. Between these 2 exercise training periods of time, patients underwent scheduled in-hospital chemotherapy.





Patients enrolled in supervised exercise training were assigned different intensities based on initial 6MWT and spirometry assessments. Patients participated in exercise sessions 5 times/wk. Sessions consisted of (1) 30 min of fitness and respiratory exercises; (2) specific respiratory exercises for 30 min (relaxation exercises for breathing muscles, strengthening exercises of the diaphragm with resistance, exercises to increase costal or chest breathing, prolonged exhalation exercise, and chest percussion); (3) training on a cycle ergometer or treadmill for 20 to 30 min at an intensity of 30% to 80% of peak work rate according to individual tolerance²⁷; (4) resistance exercise intensity 40% to 70% of the 1 repetition maximum (1RM)¹⁹; (5) Nordic walking for 45 min (depending on weather and health condition of the patient); and (6) relaxation training. Heart rate and oxygen saturation were monitored continuously throughout the sessions by pulse oximetry. Exercise blood pressure was assessed intermittently.

Exercise training sessions were withheld in the following situations related to cancer chemotherapy: 24 hr after chemotherapy; anemia (hemoglobin <8 g/L); neutropenia (white blood cell count <0.5 × 10⁹ cells/ μ L); thrombocytopenia (platelet count <50 × 10⁹ μ L); participants complaining of nausea, vomiting, fatigue, disturbances of orientation, visual disturbances, weakness, and muscle or bone pain within the past 24 hr.

STATISTICAL ANALYSIS

The normality of the data was evaluated using the Shapiro-Wilk test. The Student *t* test was used for normally distributed data and equal variances, and the Wilcoxon signed rank test was used for non-normally distributed data with a statistically significant *P* value of < .05. To make intergroup comparisons of changes, the Mann-Whitney *U* test was adopted. The effect sizes (the Cohen *d*) of the differences were calculated (small ≤ 0.5 , medium if ≤ 0.8 , and large > 0.8). To evaluate the changes for each of the measured parameters before and after intervention in both groups, the relative (percent) change rate was used.

Prior to the start of the study, a statistical power analysis was carried out to calculate sample size. This procedure determined that 19 participants were needed to achieve a statistical power of 90% to detect a medium effect (d =0.796) in the principal variable to be compared (Fullerton Up and Go) when assessed by a 2-tailed *t* test for dependent variables with a level of significance of 5%. Finally, we selected 20 participants to ensure account for dropouts. The assessment was conducted by 2-sided tests. All calculations were performed with Statistica software v.12 (Dell).

RESULTS

The characteristics of the patients in both the ETG and the CG were closely matched in terms of gender, age, weight, and height (Table 1). As indicated in the Consort diagram (Figure 2), of the 26 patients randomized to the ECG, 20 completed the 4-wk training program and underwent the final assessment. Of the 14 participants enrolled in the CG, 10 completed the study. Four in the ETG and 3 in the CG did not complete the study because of chemotherapy-related events (anemia, myalgia, asthenia, pneumonia, or renal insufficiency); 2 in the ETG did not complete the study (1 death; 1 not motivated to continue) after completing 2 wk of training. No adverse events related to exercise training were noted in the ETG group.

Table 2 summarizes the Fullerton test results. In the ETG, values for the chair sit and reach (P = .45, effect size

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	Exercise Training Group ($n = 20$)	Control Group (n = 10)						
Age, yr	59.1 ± 6.8	61.3 ± 8.8						
Male	18 ± 90	9 ± 90						
BMI, kg/m ²	25.0 ± 4.1	26.2 ± 2.9						
Comorbidities								
COPD	12 (60)	5 (50)						
Coronary artery disease history	3 (15)	1 (10)						
Heart failure history	0 (0)	0 (0)						
Diabetes mellitus	6 (30)	3 (30)						
Smoking history								
Current	0 (0)	0 (0)						
Former	15 (75)	7 (70)						
Never	5 (25)	3 (30)						
WHO performance status								
0	3 (15)	1 (10)						
1	17 (85)	9 (90)						
Diagnosis								
Adenocarcinoma	14 (70)	7 (70)						
Squamous cell carcinoma	6 (30)	3 (30)						
TNM status								
T2N2M0	16 (80)	8 (80)						
T2N2M1	4 (20)	2 (20)						
Tumor stage								
IIIB	16 (80)	8 (80)						
IV	4 (20)	2 (20)						

Abbreviations: BMI, body mass index; COPD, chronic obstructive pulmonary disease; TNM, tumor node metastasis; WHO, World Health Organization.

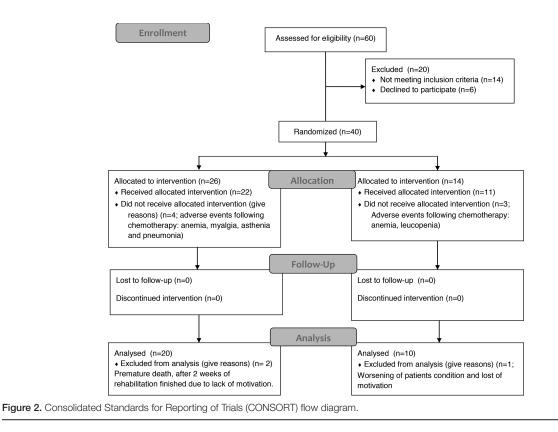
^aData are reported as mean \pm standard deviation or number (%).

0.04) and back scratch (P = .39, effect size 0.04) tended to improve, but these changes did not reach statistical significance. The 6MWT distance improved significantly in the ETG (P = .01, effect size 0.47). In the Up and Go Test, there was a statistically significant reduction in the time needed to complete the task (P = .01, effect size 0.23). In the chair stand (P < .001, effect size 0.33) and arm curl (P = .001, effect size 0.61), there was a statistically significant improvement in repetitions.

In the CG, none of the changes in the component tests showed a statistically significant improvement. In the chair sit and reach (P = .02, effect size 0.33) and up and go (P = .046, effect size 0.54), there was a statistically significant decrease in performance. In between-group comparisons, the Up and Go Test differences achieved statistical significance; the 6MWT distance differences approached significance (Table 2).

In the ETG, a statistically significant improvement in FEV₁ % pred. (P = .01, effect size 0.56), FVC % pred. (P = .01, effect size 0.64), and FEV₁/FVC (P = .04, effect size 0.29) were observed (Table 3). Significant changes were seen in the CG only in the FEV₁/FVC (P = .03, effect size 0.79) (Table 3).

The mean values of the mMRC questionnaire (P = .18, effect size 0) and BDI scale in the ETG were not significantly improved (P = .83, effect size 0). The Borg Dyspnea Scale (P = .04, effect size 0.12) showed a significant improvement in the perception of dyspnea in the ETG. In the CG, the mMRC (P = 1, effect size 0.38), BDI (P = .72, effect size 0.04), and the Borg dyspnea scale (P = .42, effect size 0.83) showed nonsignificant decreases (Table 4).



DISCUSSION

This study is among the few studies evaluating the effects of an exercise training program in patients with advanced NSCLC during chemotherapy.²⁸ In the last decade, growing interest has been noted in research on different forms of PR, including physiotherapy, in patients with lung cancer. Most of these studies have focused on perioperative physiotherapy, including the Cochrane database review.²⁹⁻³²

Chronic obstructive pulmonary disease often coexists with lung cancer.³³ A PR program designed for patients with COPD¹⁹ was adopted in this study. Research conducted over many years in the field of physiotherapy in COPD clearly shows its positive impact.^{12,19,34-38}

Several systematic reviews conclude that exercise training is safe in lung cancer and even increases functional capacity,^{28,39-41} but only a few studies in patients with newly diagnosed advanced lung cancer during chemotherapy were evaluated.^{17,42-47} From 2004 to 2007, Temel et al⁴² enrolled 25 patients with NSCLC, of whom only 11 (44%) completed the entire rehabilitation program. The authors used aerobic

Table 2

Baseline and 6-wk Fullerton Test Results for Both Groups^a

	Exercise Training Group					Control Grou	p		Between-Group Comparison, % Change	
	Before PR	After PR	<i>P</i> Value	Cohen's d	Baseline	6-wk Follow-up	<i>P</i> Value	Cohen's d	ETG CG	<i>P</i> Value ^b
Arm curl, repetitions	18.4 ± 3.1	20.4 ± 3.5	.001	0.61	15.2 ± 3.0	16.2 ± 3.3	.06	0.33	11.8 ± 13.4 6.9 ± 9.7	.36
Chair stand, repetitions	13.3 ± 2.8	14.3 ± 3.4	.01	0.33	11 ± 1.8	11.2 ± 1.5	.34	0.12	7.2 ± 9.2 2.4 ± 6.0	.17
Chair sit and reach, cm	1.9 ± 6.6	2.2 ± 6.2	.45	0.04	-3.3 ± 3.6	-4.4 ± 3.4	.02	0.33	-3.3 ± 15.1 -14.7 ± 105.1	.20
Up and go, sec	6.3 ± 1.0	6.0 ± 1.1	.01	0.23	6.0 ± 0.4	6.3 ± 0.8	.046	0.54	3.8 ± 6.4 -5.3 ± 7.3	.01
Back scratch, cm	-1.8 ± 7.1	-1.5 ± 7.2	.39	0.04	-9.1 ± 6.1	-8.1 ± 4.6	.36	0.2	-5.0 ± 45.9 3.8 ± 20.6	.52
6MWT, m	486 ± 92	531 ± 103	.01	0.47	487 ± 100	490 ± 124	.92	0.02	10.4 ± 17.4 0.3 ± 15.4	.09

Abbreviations: CG, control group; ETG, exercise training group; PR, rehabilitation program; 6MWT, 6-min walk test.

^aData reported as mean \pm standard deviation.

^bMann-Whitney *U* test was used the calculate *P* values.

Table 3

Baseline and 6-wk Spirometry	Test Results for	or Both Groups ^a
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	Exc	ercise Traini	ng Group)		Control Group			Between-Group Comparison, % Cha		
	Before PR	After PR	P Value	Cohen's d	Baseline	6-wk Follow-up	P Value	Cohen's d	ETG	CG	<i>P</i> Value ^b
FEV1, % pred	76 ± 16	84 ± 15	.01	0.56	70 ± 23	68 ± 24	.68	0.11	14 ± 21	-0.9 ± 15.1	.08
FVC, % pred	87 ± 14	95 ± 13	.01	0.64	80 ± 21	80 ± 22	.83	0.04	9 ± 13.9	0.4 ± 10.1	.06
FEV ₁ /FVC, %	70 ± 13	76 ± 12	.04	0.29	82 ± 17	71 ± 12	.03	0.79	$9,3 \pm 15.8$	$-9,5 \pm 11.7$.01

Abbreviations: FEV₁, forced expiratory volume in 1 sec; FEV₁/FVC, forced expiratory volume in 1 sec as a percent of vital capacity; FVC, forced vital capacity; PR, pulmonary rehabilitation. ^aData are reported as mean ± standard deviation.

^bMann-Whitney *U* test was used to calculate *P* values.

training and weight training for 90 to 120 min twice weekly for 8 wk. The authors demonstrated nonsignificant increases in the average 6MWT distance and muscle strength.42 A similar study was performed by a Polish group of investigators assessing the utility of a PR program on mobility, dyspnea, and lung function in patients with advanced lung cancer during chemotherapy. The study group consisted of 20 patients (a study group of 12, a CG of 8). Only 12 underwent the final assessment (60%). The 8-wk inpatient rehabilitation program was based on Nordic walking exercise training and respiratory muscle training 5 times/wk. The authors observed an increase in the average distance in the 6MWT, FEV₁, and perception of dyspnea with the MRC questionnaire.⁴³ Hwang et al^{47} enrolled 24 patients with NSCLC (stages IIIa-IV), who were randomly assigned to either the CG or the exercise group. The exercise training consisted of treadmill or cycling ergometer performed 3 times/wk for 24 sessions; each exercise session was 30 to 40 min in length. The authors demonstrated a significant increase in the peak oxygen uptake and % predicted peak oxygen uptake and decreased dyspnea.⁴⁷

Tarumi et al⁴⁸ investigated the changes in respiratory function because of a perioperative intensive PR program in patients with NSCLC who underwent induction chemoradiotherapy. A group of 82 patients with NSCLC IIB-IV stage underwent a PR program for an average of 10 wk. Significant increases were observed in FEV₁ and FVC.⁴⁸

Compared to previous studies, 26 patients were randomized to the intervention group, and 20 underwent the final assessment (77%) in our study. Our results suggest good tolerance of exercise training by patients with lung cancer. The favorable outcomes indicated by our results could be because of the exercise training program at the study hospital, which consisted of high-intensity exercise (activities that occurred 5 times/wk; daily patient participation ranged from 120 to 170 min, depending on the model of rehabilitation used) over a shorter duration (the exercise training program lasted 4 wk). In our study, the statistically significant improvement in the 6MWT distance is the result of the rehabilitation adhering to the recommendations of the American College of Sport Medicine,¹⁹ which posits that aerobic training should be carried out 3 to 5 times/wk to have a positive impact on improving physical capacity. The results of our study correspond to the results of Licker et al,49 who investigated the effects of high-intensity interval training in patients awaiting lung cancer surgery. Those results showed a significant improvement in aerobic performance expressed as 6MWT distance.⁴⁹ Appropriate qualifications for rehabilitation, including a functional assessment of the patient, contributed to the proper selection of load and intensity of training, and thereby to the choice of the appropriate model of rehabilitation according to the individual abilities of the patient, which seems to be lacking in previous studies.

In the assessment of the results of exercise training in patients with lung cancer, the Fullerton test was used because of the advanced age of the patients. A statistically significant improvement was observed in the Up and Go Test, which is also commonly used for patients with COPD to assess dynamic balance and coordination, directly affecting the risk of falls.⁵⁰⁻⁵² This is important in patients with lung cancer treated with chemotherapy, in whom balance problems are often observed as a side effect of chemotherapy. A statistically significant improvement was also observed in

	Exercise Training Group					Control Group				Between-Group Comparison, % Change		
	Before PR	After PR	P Value	Cohen's d	Baseline	6-wk Follow-up	P Value	Cohen's d	ETG	CG	P Value ^b	
mMRC	0.7 ± 0.9	0.7 ± 1.0	.18	0	0.6 ± 1.0	0.3 ± 0.7	1	0.38	0.7 ± 0.9	0.7 ± 1.0	.18	
FI	3.2 ± 0.8	3.1 ± 0.9	1	0.06	3.3 ± 0.9	3.3 ± 0.8	.72	0	3.2 ± 0.8	3.1 ± 0.9	1	
MT	3.3 ± 0.7	3.3 ± 0.9	1	0	3.3 ± 0.9	3.3 ± 0.8	1	0	3.3 ± 0.7	3.3 ± 0.9	1	
ME	3.1 ± 0.8	3.1 ± 0.9	.80	0	3.3 ± 0.8	3.2 ± 0.9	.96	0.12	3.1 ± 0.8	3.1 ± 0.9	.80	
BDI	9.5 ± 2.1	9.5 ± 2.4	.83	0	9.9 ± 2.6	9.8 ± 2.4	.72	0.04	9.5 ± 2.1	9.5 ± 2.4	.83	
Borg	1.7 ± 2.2	1.5 ± 2.1	.04	0.12	1.1 ± 1.0	2.6 ± 2.5	.42	0.83	1.7 ± 2.2	1.5 ± 2.1	.04	

Abbreviations: BDI, Baseline Dyspnea Index (BDI=FI+MT+ME); Borg, Borg Dyspnea Scale; FI, functional impairment; ME, magnitude of effort; mMRC, modified Medical Research Council Questionnaire; MT, magnitude of task; PR, pulmonary rehabilitation.

^aData are reported as mean \pm standard deviation.

^bMann-Whitney U test.

the arm curl and chair stand tests. This is consistent with the study by Quist et al,44 which also reported a significant improvement in muscle strength in a nonrandomized study with 71 patients with advanced-stage lung cancer (NSCLC, stage IIIb-IV). These findings also correspond with the results described by Adamsen et al,⁵³ Salhi et al,⁵⁴ and Kuehr et al.55 These results are in contrast to those of previous studies reporting no change in muscle strength in patients with NSCLC. In a study by Temel et al,⁴² which included 25 patients with advanced NSCLC who underwent a structured exercise program, the authors found no deterioration in their 6MWT or muscle strength. Arbane et al³⁰ proposed a 12-wk rehabilitation program for patients undergoing lung resection for NSCLC. Post-operative data showed a change in quadriceps strength from baseline to 12 wk, but this was not statistically significant.³⁰

We observed a deterioration in functional fitness within the CG. A significant decrease in the Up and Go Test and the Chair Sit and Reach Test compared with baseline was observed.

This study has revealed that exercise training is beneficial for lung capacity. The patients in the exercise group displayed significant improvements in FEV₁, FVC, and FEV₁/FVC. In contrast to our data, Andersen et al⁴⁶ reported no change in FEV₁ and FEV₁ % predicted after 7 wk of twice weekly training in 21 patients with lung cancer.

Decreased dyspnea was also noted in the ETG; however, a significant improvement in dyspnea was observed only in the Borg scale in contrast to the CG, who showed a nonsignificant increase of dyspnea.

The strength of our study was the inclusion of a CG. A limitation of this study was the relatively small number of people enrolled and the lack of biochemical marker measurements in the ETG compared with the CG. Indeed, we cannot exclude the effect of exercise training on reducing fatigue symptoms and increasing exercise tolerance. Our results require confirmation by a larger multicenter study. The individual exercise training program could be introduced in the first phase of treatment in patients with lung cancer. The results of this study on the role of exercise training in reducing fatigue symptoms show that this method could be an important part of the rehabilitation program in patients with advanced lung cancer during chemotherapy.

CONCLUSION

This study suggests that planned, individualized, and supervised exercise programs in patients with advanced lung cancer during chemotherapy are a practical and beneficial intervention for enhancing mobility and physical fitness.

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