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# Chest radiography in children aged 2–59 months enrolled in the Innovative Treatments in Pneumonia (ITIP) project in Lilongwe Malawi: a secondary analysis

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## Abstract

**Background:** Pneumonia is the leading infectious cause of death in children aged under 5 years in low- and middle-income countries (LMICs). World Health Organization (WHO) pneumonia diagnosis guidelines rely on non-specific clinical features. We explore chest radiography (CXR) findings among select children in the Innovative Treatments in Pneumonia (ITIP) project in Malawi in relation to clinical outcomes.

**Methods:** When clinically indicated, CXRs were obtained from ITIP-enrolled children aged 2 to 59 months with community-acquired pneumonia hospitalized with treatment failure or relapse. ITIP1 (fast-breathing pneumonia) and ITIP2 (chest-indrawing pneumonia) trials enrolled children with non-severe pneumonia while ITIP3 enrolled children excluded from ITIP1 and ITIP2 with severe pneumonia and/or selected comorbidities. A panel of trained pediatricians classified the CXRs using the standardized WHO CXR research methodology. We analyzed the relationship between CXR classifications, enrollee characteristics, and outcomes.

**Results:** Between March 2016 and June 2018, of 114 CXRs obtained, 83 met analysis criteria with 62.7% (52/83) classified as having significant pathology per WHO standardized interpretation. ITIP3 (92.3%; 12/13) children had a higher proportion of CXRs with significant pathology compared to ITIP1 (57.1%, 12/21) and ITIP2 (57.1%, 28/49) ( $p$ -value = 0.008). The predominant pathological CXR reading was “other infiltrates only” in ITIP1 (83.3%, 10/12) and ITIP2 (71.4%, 20/28), while in ITIP3 it was “primary endpoint pneumonia” (66.7%, 8/12;;  $p$ -value = 0.008). The percent of CXRs with significant pathology among children clinically cured (60.6%, 40/66) vs those not clinically cured (70.6%, 12/17) at Day 14 was not significantly different ( $p$ -value = 0.58).

**Conclusions:** In this secondary analysis we observed that ITIP3 children with severe pneumonia and/or selected comorbidities had a higher frequency of CXRs with significant pathology, although these radiographic findings had limited relationship to Day 14 outcomes. The proportion of CXRs with “primary endpoint pneumonia” was low. These findings add to existing data that additional diagnostics and prognostics are important for improving the care of children with pneumonia in LMICs.

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**Trial registration:** ITIP1, ITIP2, and ITIP3 were registered with ClinicalTrials.gov ([NCT02760420](https://clinicaltrials.gov/ct2/show/study/NCT02760420), [NCT02678195](https://clinicaltrials.gov/ct2/show/study/NCT02678195), and [NCT02960919](https://clinicaltrials.gov/ct2/show/study/NCT02960919), respectively).

**Keywords:** Chest radiography, Childhood pneumonia

## Introduction

Pneumonia is the leading infectious cause of death in children under the age of 5 years in low- and middle-income countries (LMICs) [1–4]. Ongoing morbidity and mortality persist despite the introduction of conjugate vaccines for *Streptococcus pneumoniae* and *Haemophilus influenzae* type b (Hib), major bacterial causes of childhood pneumonia.

World Health Organization (WHO) Integrated Management of Childhood Illness (IMCI) guidelines used to diagnose pneumonia in resource-constrained settings rely on non-specific clinical features including cough, difficulty breathing, fast breathing-for-age and chest indrawing [5]. When imaging is clinically indicated in children with suspected pneumonia, chest radiography (CXR) has long been considered the reference standard [6, 7]. In 2001, the WHO vaccine trials group developed a standardized research method for vaccine trials and epidemiological studies to interpret and define CXR changes in children likely attributable to bacterial pneumonia [6, 7].

CXR-confirmed pneumonia based on the WHO CXR methodology (WHO CXR-confirmed pneumonia) has been shown to correlate with laboratory features of infection or the isolation of pneumonia-causing pathogens such as *S. pneumoniae* in blood or respiratory samples [3, 8–10]. Compared to WHO IMCI-defined pneumonia, children with WHO CXR-confirmed pneumonia, are reported to have higher treatment failure rates, longer hospital stays, and higher morbidity and mortality in LMICs [9, 11–15]. Previous studies have demonstrated that no single clinical feature is adequate to predict WHO CXR-confirmed pneumonia [16–18]. However, with reports that children with pneumonia and abnormal CXRs have poorer outcomes, further data from sub-Saharan Africa that describes the indications for CXR imaging and the predictive value of CXR findings for pediatric outcomes appears prudent.

Patterns of WHO CXR-confirmed pneumonia may be shifting after the introduction of pneumococcal and Hib conjugate vaccines and with respiratory viruses becoming increasingly predominant [19, 20]. Few studies to date have examined whether CXR findings are associated with outcomes of children diagnosed with pneumonia per WHO IMCI guidelines in Africa post-introduction of pneumococcal and Hib conjugate vaccines. To address these gaps, we conducted a post-hoc secondary analysis

to describe the clinical indications for CXR imaging and explore CXR findings among children 2 to 59 months of age with community-acquired pneumonia in the Innovative Treatments in Pneumonia (ITIP) project at Kamuzu Central Hospital (KCH) in Lilongwe, Malawi.

## Methods

### Setting and study population

The pediatric ward of KCH, a tertiary hospital in Lilongwe, has a 299-bed capacity that hospitalizes up to 25,000 children annually. The KCH radiology department performs approximately 9000 CXRs annually, and CXRs are requested by treating clinicians, largely for children with pneumonia either not responding to antibiotic treatment or deteriorating clinically. The KCH radiologist may be consulted for interpretation of CXRs when required, but CXRs are typically interpreted by the clinician providing care.

The ITIP project consisted of three studies: ITIP1, a double-blind randomized controlled clinical trial evaluating 3 days of amoxicillin dispersible tablets (DT) versus placebo DT (intervention) for non-severe fast-breathing pneumonia [21]; ITIP2, a double-blind randomized controlled clinical trial evaluating 5 versus 3 days (intervention) of amoxicillin DT for non-severe chest-indrawing pneumonia [22]; and ITIP3, a prospective observational study of clinical outcomes among children with pneumonia who were excluded from ITIP1 and ITIP2 due to severe pneumonia and/or comorbidities such as HIV exposure or infection, severe malaria, severe acute malnutrition, and/or anemia with hemoglobin < 8 g/dl [23]. The ITIP3 study population included children with both severe and non-severe pneumonia. Children presenting to the study sites with a history of cough and/or difficulty breathing were screened for eligibility to enroll in one of the three ITIP studies. Children enrolled in the ITIP1 or ITIP2 clinical trials were managed by ITIP study clinicians.

Those ITIP1 and ITIP2 children who demonstrated treatment failure while receiving oral study drug - amoxicillin DT or placebo DT - at Day 4 (ITIP1) or Day 6 (ITIP2) assessment or who had clinical relapse of pneumonia after Day 4 (ITIP1) or Day 6 (ITIP2) and by Day 14 follow-up visits, were hospitalized and received intravenous antibiotic treatment with benzyl penicillin and gentamicin (first-line intravenous antibiotic regimen). The pneumonia diagnosis at the time of initiating intravenous

antibiotics was either fast-breathing pneumonia, chest-indrawing pneumonia, danger sign pneumonia, or CXR-confirmed pneumonia (Table 1). In the children requiring hospitalization for pneumonia relapse, the clinical pneumonia diagnosis at the time of hospitalization could either be the same or different from the clinical diagnosis at time of enrollment. ITIP1 and ITIP2 children with treatment failure to benzyl penicillin and gentamicin were switched to ceftriaxone (second-line intravenous antibiotic regimen) by ITIP study clinicians. Children enrolled in the ITIP3 observational study were managed at the discretion of non-study KCH clinicians. Typically, ITIP3 children with danger sign pneumonia were hospitalized and placed on intravenous benzyl penicillin and gentamicin. Some ITIP3 children were subsequently changed to second-line intravenous antibiotic treatment with ceftriaxone due to an assessment of poor response or deterioration while on intravenous benzyl penicillin and gentamicin. ITIP3 children with HIV infection or exposure were treated as outpatients if they did not have danger signs.

#### Data collection

Per ITIP study standard operating procedures, anteroposterior CXRs were obtained from ITIP1 and ITIP2 children who were hospitalized and treated with second-line intravenous antibiotics due to treatment failure to first-line intravenous antibiotics, who had persistent pneumonia or relapse of pneumonia at Day 14 (study exit visit), or who had treatment failure due to isolated fever while on oral study drugs. As ITIP3 was an

observational study, anteroposterior CXRs for ITIP3 children were obtained at the clinical discretion of the non-study KCH clinician. Digital CXR images were acquired from a Philips Medical Systems 9890 00002031 Optimus 50 x-ray machine and printed into analogue format for interpretation by CXR readers. All CXRs were independently interpreted in printed format by three interpreters masked to each other's interpretations. The three interpreters, EF, PK and TM, were trained in the WHO standardized interpretation of pediatric CXRs by a member of the WHO Chest Radiography in Epidemiological Studies working group (EDM), and all three passed standardized testing prior to performing the interpretations for this study [6, 7, 24]. TM was the third interpreter and arbitrator in cases where there were discordant CXR interpretations between the first (EF) and second (PK) interpreters.

WHO standardized interpretation of pediatric CXRs (Table 2) was undertaken to optimize comparisons with existing epidemiological and clinical studies [24]. Thus, CXR readings were divided into four categories: "primary endpoint pneumonia only"; "other infiltrates only"; "primary endpoint pneumonia and other infiltrates"; and "no significant pathology" (no pneumonia, no infiltrates or effusion) [6, 7, 25]. The first three interpretation categories were considered to be CXR interpretations with significant pathology. During data analysis, "primary endpoint pneumonia only" and "primary endpoint pneumonia and other infiltrates" were combined into one category namely, "primary endpoint pneumonia".

Data were retrieved from the ITIP project databases and safety reports, and included age, sex, presence or

**Table 1** Study definitions

| Terminology                                                                                            | Definition                                                                                                                                                                                                                                                                                      |
|--------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| World Health Organization (WHO) Integrated Management of Childhood Illness (IMCI) general danger signs | Lethargy or unconsciousness, convulsions, vomiting everything, or inability to drink or breast-feed                                                                                                                                                                                             |
| Respiratory danger signs                                                                               | Grunting, nasal flaring, head nodding, severe chest indrawing, or hypoxemia (pulse oximetry saturation < 90%)                                                                                                                                                                                   |
| Fast-breathing pneumonia                                                                               | History of cough < 14 days or difficult breathing with fast breathing-for-age ( $\geq 50$ breaths/minute in children 2 to < 12 months of age, $\geq 40$ breaths/minute in children $\geq 12$ months of age) in the absence of chest indrawing and WHO IMCI general and respiratory danger signs |
| Chest-indrawing pneumonia                                                                              | History of cough < 14 days or difficult breathing with chest indrawing in the absence of WHO IMCI general and respiratory danger signs                                                                                                                                                          |
| Danger sign pneumonia                                                                                  | History of cough < 14 days or difficult breathing and the presence of WHO IMCI general and/or respiratory danger signs                                                                                                                                                                          |
| Chest radiograph (CXR)-confirmed pneumonia                                                             | History of cough < 14 days or difficulty breathing with CXR features of pneumonia per the assessment of the clinician interpreting the CXR in the absence of fast breathing-for-age, chest indrawing, and WHO general and respiratory danger signs                                              |
| First-line intravenous antibiotic treatment failure                                                    | Persistence or presence of new WHO general or respiratory danger signs after at least 2 days of receiving intravenous benzyl penicillin and gentamicin                                                                                                                                          |
| Second-line intravenous antibiotic treatment failure                                                   | Persistence or presence of new WHO general or respiratory danger signs after at least 5 days of receiving intravenous ceftriaxone                                                                                                                                                               |

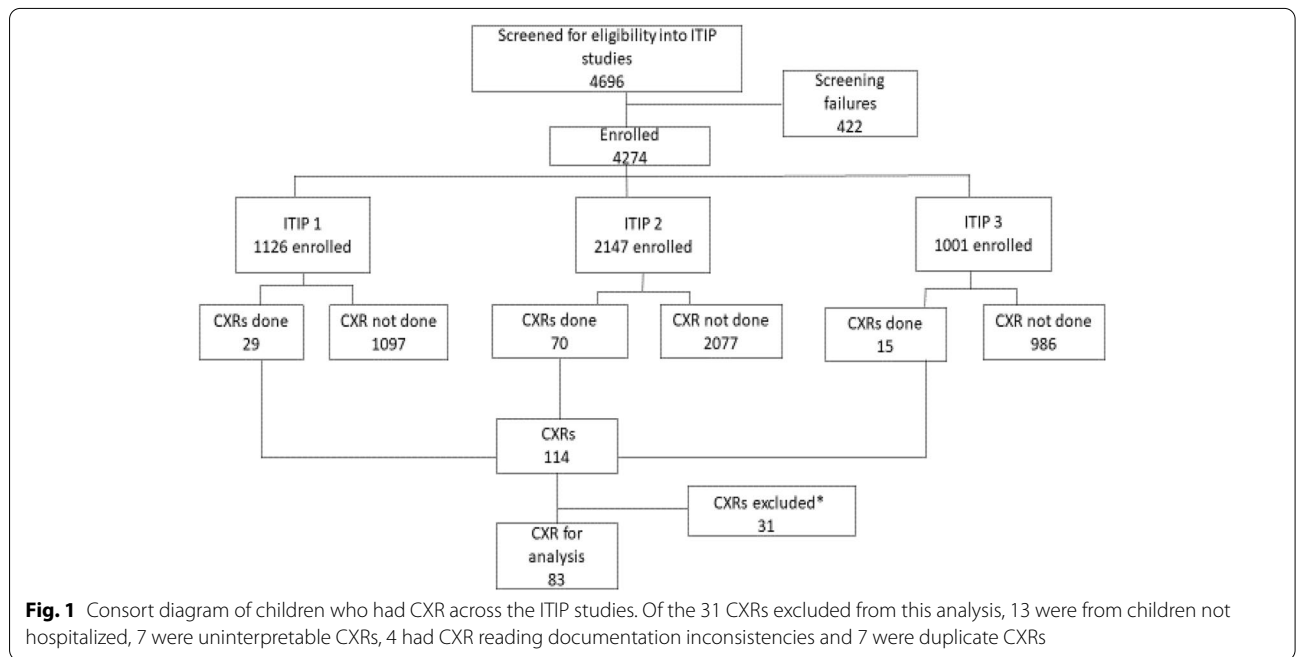
**Table 2** World Health Organization standardized interpretation of pediatric anteroposterior chest radiographs in pneumonia epidemiological studies (adapted from Mahomed et al., 2017)

| Film Quality                         | Definition                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|--------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Uninterpretable                      | Features of the image are not interpretable with respect to presence or absence of consolidation or pleural effusion without additional images.                                                                                                                                                                                                                                                                                                        |
| Suboptimal                           | Features allow interpretation of consolidation and pleural effusion, but not of other infiltrates or findings.                                                                                                                                                                                                                                                                                                                                         |
| Adequate                             | Features allow confident interpretation of consolidation and pleural effusion as well as other infiltrates.                                                                                                                                                                                                                                                                                                                                            |
| <b>Classification of findings</b>    |                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| Significant pathology                | Refers specifically to the presence of consolidation, infiltrates or effusion.                                                                                                                                                                                                                                                                                                                                                                         |
| Endpoint consolidation               | A dense or confluent opacity that occupies a portion or whole of a lobe or the entire lung that may or may not contain air bronchogram.                                                                                                                                                                                                                                                                                                                |
| Other (non-endpoint) infiltrates     | Linear and patchy opacities (interstitial infiltrate) in a lacy pattern, featuring Peribronchial thickening and multiple areas of atelectasis; it also includes minor patchy infiltrates that are not of sufficient magnitude to constitute endpoint consolidation, and small areas of atelectasis that in children may be difficult to distinguish from consolidation.                                                                                |
| Pleural effusion                     | Presence of fluid in the lateral pleural space between the lung and chest wall that is spatially associated with a pulmonary parenchymal infiltrate (including other infiltrate) or has obliterated enough of the hemithorax to obscure any infiltrate; in most cases, this will be seen at the costo-phrenic angle or as a layer of fluid adjacent to the lateral chest wall; this does not include fluid seen in the horizontal or oblique fissures. |
| <b>Conclusions</b>                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| Primary endpoint pneumonia (PEP)     | The presence of consolidation or pleural effusion, (as defined above)                                                                                                                                                                                                                                                                                                                                                                                  |
| Other infiltrate                     | The presence of other (non-consolidation) infiltrates as defined above in the absence of a pleural effusion.                                                                                                                                                                                                                                                                                                                                           |
| No consolidation/infiltrate/effusion | Absence of consolidation, other infiltrates or pleural effusion.                                                                                                                                                                                                                                                                                                                                                                                       |

absence of WHO general and/or respiratory danger signs, mid-upper arm circumference, malaria rapid diagnostic test result, HIV rapid diagnostic test result, intravenous antibiotic regimen, duration of hospital stay, and Day 14 outcome of pneumonia illness.

Conducted from March 2016 to April 2019, the three ITIP studies enrolled 5127 (ITIP1, 1126; ITIP2, 3000; ITIP3, 1001) children. However, this secondary CXR

analysis included children enrolled between March 2016 and June 2018 only as this was the period when all WHO CXR methodology-trained pediatricians were available at the study site for the reading of the printed CXRs. From March 2016 and June 2018, the three ITIP studies enrolled 4274 children and 114 CXRs were obtained (Fig. 1). This analysis includes all CXRs



obtained for enrolled ITIP1 and ITIP3 children, and for ITIP2 children enrolled through June 2018.

**Data analysis**

Clinical and safety data from the three ITIP studies were merged with the CXR findings and indications for performing CXRs. Children were excluded from this analysis if they were not hospitalized, if the CXR was uninterpretable, or if there were inconsistencies in CXR documentation. Child and CXR characteristics were stratified by ITIP study and compared by chi-squared or analysis of variance tests, depending on variable type. Pneumonia type, treatment failure, and Day 14 outcomes were stratified by CXR classification and compared by Fisher’s exact tests. Concordance of CXR findings was calculated as the percentage of full matches of the CXR reading categories between the first two reviewers (EF and PK). Extracted data was tabulated on excel (Additional file 1.cxr data) and analyzed per data dictionary (Additional file 2. data dictionary). Analysis was conducted in R v3.5.1 (Vienna, Austria) [26].

**Results**

Of the 83 enrolled ITIP children whose CXRs were included in this analysis, 24.1% (21/83) were from ITIP1, 60.9% (49/83) were from ITIP2, and 14.9% (13/83) were from ITIP3 (Table 3). The majority of children in all three studies were aged 2 to 11 months. Other baseline characteristics were similar for children across the three studies with the exception of a trend for a lower mean mid-upper

arm circumference in ITIP3 compared to ITIP1 and ITIP2.

CXRs demonstrating significant pathology were identified in 62.7% (52/83) of children across the three studies (Table 4). ITIP3 children demonstrated a higher proportion of significant pathology on CXRs (92.3%, 12/13) in comparison to similar proportions across ITIP1 (57.1%, 12/21) and ITIP2 (57.1%, 28/49) children ( $p$ -value=0.008). Among those CXRs demonstrating significant pathology, “other infiltrates only” (65.4%, 34/52) was the most frequent interpretation, followed by “primary endpoint pneumonia” (34.6%, 18/52). “Other infiltrates only” was the most frequent interpretation in ITIP1 (83.3%, 10/12) and ITIP2 (71.4%, 20/28), while “primary endpoint pneumonia” was the most frequent interpretation in ITIP3 (66.744%, 8/12). These differences noted in CXR interpretations across the three ITIP studies were statistically significant ( $p$ -value=0.008). Of note, no CXR demonstrated a pleural effusion and 21.7% (18/83) had a reading of “primary endpoint pneumonia.”

When comparing clinical findings to CXR findings, danger sign pneumonia was present in 50% (9/18) of the CXR interpretations of “primary endpoint pneumonia” while danger sign and chest-indrawing pneumonias were present in 26.5% (9/34) of the interpretations of “other infiltrates only” (Table 5). “Primary endpoint pneumonia” was not observed in any children with fast-breathing pneumonia. Variations in CXR interpretations by WHO IMCI clinical pneumonia diagnoses or durations of hospital stay were not statistically significant.

**Table 3** Baseline characteristics of children at time of enrollment into the Innovative Treatments in Pneumonia (ITIP) project at who had a chest radiograph for ITIP1 (fast-breathing pneumonia), ITIP2 (chest-indrawing pneumonia), and ITIP3 (pneumonia with comorbidities and/ or danger signs) studies

| Child characteristic                          | ITIP1 N = 21 | ITIP2 N = 49 | ITIP3 N = 13 | Total N = 83 | p-value |
|-----------------------------------------------|--------------|--------------|--------------|--------------|---------|
| Sex (female), n (%)                           | 8 (38.1)     | 18 (36.7)    | 3 (23.1)     | 29 (34.9)    | 0.62    |
| Age (months), n (%)                           |              |              |              |              | 0.02    |
| 2–11                                          | 11 (52.4)    | 33 (67.3)    | 8 (61.5)     | 52 (62.7)    |         |
| 12–35                                         | 7 (33.3)     | 14 (28.6)    | 5 (38.5)     | 26 (31.3)    |         |
| 36–59                                         | 3 (14.3)     | 2 (4.1)      | 0 (0)        | 5 (6.0)      |         |
| Median (IQR)                                  | 11 (6, 25)   | 7 (3, 13)    | 10 (2, 21)   | 8 (3, 15.5)  |         |
| Mid-upper arm circumference (mm), n (%)       |              |              |              |              | <0.001  |
| < 115                                         | 0 (0.0)      | 0 (0.0)      | 1 (7.7)      | 1 (1.2)      |         |
| 115–135                                       | 2 (9.5)      | 17 (34.7)    | 5 (38.5)     | 24 (28.9)    |         |
| > 135                                         | 19 (90.5)    | 32 (65.3)    | 7 (53.8)     | 58 (69.9)    |         |
| Positive malaria rapid diagnostic test, n (%) | 1 (4.8)      | 2 (4.1)      | 3 (23.1)     | 6 (7.2)      | 0.06    |
| Diarrhea present, n (%)                       | 0 (0.0)      | 4 (8.2)      | 1 (7.7)      | 5 (6.0)      | 0.41    |
| Fever ( $\geq 38^{\circ}$ C), n (%)           | 7 (33.3)     | 10 (20.4)    | 3 (23.1)     | 20 (24.1)    | 0.55    |

NOTE: p-values for sex, malaria, diarrhea, and fever were obtained from chi-squared tests. p-values for age and mid-upper arm circumference were from analysis of variance

**Table 4** Chest radiograph (CXR) findings, clinical diagnoses at time of CXR, and CXR indications for ITIP1 (fast-breathing pneumonia), ITIP2 (chest-indrawing pneumonia), and ITIP3 (pneumonia with comorbidities and/ or danger signs) studies

| n (%)                                               | ITIP1 N = 21 | ITIP2 N = 49 | ITIP3 N = 13 | Total N = 83 | p-value |
|-----------------------------------------------------|--------------|--------------|--------------|--------------|---------|
| CXR findings                                        |              |              |              |              | 0.008   |
| CXR with no significant pathology                   | 9 (42.9)     | 21 (42.9)    | 1 (7.7)      | 31 (37.3)    |         |
| CXR with significant pathology                      | 12 (57.1)    | 28 (57.1)    | 12 (92.3)    | 52 (62.7)    |         |
| Primary endpoint pneumonia <sup>a</sup>             | 2 (16.7)     | 8 (28.6)     | 8 (66.7)     | 18 (34.6)    |         |
| Other infiltrates only                              | 10 (83.3)    | 20 (71.4)    | 4 (33.3)     | 34 (65.4)    |         |
| Pleural effusion                                    | 0 (0)        | 0 (0)        | 0 (0)        | 0 (0)        |         |
| Clinical diagnoses at time of CXR                   |              |              |              |              | 0.006   |
| Fast-breathing pneumonia                            | 3 (14.3)     | 7 (14.3)     | 0 (0.0)      | 10 (12.0)    |         |
| Chest-indrawing pneumonia                           | 5 (23.8)     | 22 (44.9)    | 4 (30.8)     | 31 (37.4)    |         |
| Danger sign pneumonia                               | 3 (14.3)     | 15 (30.6)    | 7 (53.8)     | 25 (30.1)    |         |
| Other <sup>b</sup>                                  | 10 (47.6)    | 5 (10.2)     | 2 (15.4)     | 17 (20.5)    |         |
| CXR indications                                     |              |              |              |              | 0.001   |
| First-line intravenous antibiotic treatment failure | 4 (19.0)     | 17 (34.7)    | 3 (23.1)     | 24 (28.9)    |         |
| Isolated fever                                      | 9 (42.9)     | 1 (2.0)      | 1 (7.7)      | 11 (13.3)    |         |
| Treatment failure/relapse at Day 14                 | 5 (23.8)     | 24 (49.0)    | 6 (46.2)     | 35 (42.2)    |         |
| Other <sup>c</sup>                                  | 3 (14.3)     | 7 (14.3)     | 3 (23.1)     | 13 (15.7)    |         |

p-values for CXR findings and CXR indications were obtained from Fisher's exact tests; p-value for clinical diagnoses was obtained from chi-square test

<sup>a</sup> This category consists of CXR readings of primary endpoint pneumonia with or without other infiltrates

<sup>b</sup> Other clinical diagnoses included 10 CXR-confirmed pneumonia cases, 6 isolated fever cases, and 1 severe acute malnutrition case in which pulmonary tuberculosis was being investigated

<sup>c</sup> Other indications for CXR included 10 cases with an unclear or undocumented reason for ordering a CXR by requesting clinician, 1 case investigating possible foreign body aspiration, 1 case of isolated fever, and 1 case of suspected pulmonary tuberculosis

**Table 5** Chest radiograph (CXR) findings in relation to clinical findings across ITIP studies

| Clinical variable, n (%)                                 | CXR findings                      |                               |                                 | Total N (%)<br>N = 83 | p-value |
|----------------------------------------------------------|-----------------------------------|-------------------------------|---------------------------------|-----------------------|---------|
|                                                          | Primary endpoint pneumonia N = 18 | Other infiltrates only N = 34 | No significant pathology N = 31 |                       |         |
| Hospital duration <sup>a</sup>                           |                                   |                               |                                 |                       |         |
| 1–5 days                                                 | 4 (22.2)                          | 11 (32.4)                     | 6 (19.4)                        | 21 (25.3)             | 0.50    |
| 6–10 days                                                | 9 (50.0)                          | 15 (44.1)                     | 16 (51.6)                       | 40 (48.2)             |         |
| 11–15 days                                               | 3 (16.7)                          | 7 (22.6)                      | 6 (19.4)                        | 16 (19.3)             |         |
| ≥ 16 days                                                | 1 (5.6)                           | 0 (0)                         | 3 (9.7)                         | 4 (4.8)               |         |
| Clinical pneumonia diagnosis <sup>b</sup>                |                                   |                               |                                 |                       |         |
| Fast-breathing pneumonia                                 | 0 (0)                             | 5 (14.7)                      | 5 (16.3)                        | 10 (12.0)             | 0.08    |
| Chest-indrawing pneumonia                                | 5 (27.8)                          | 9 (26.5)                      | 17 (54.8)                       | 31 (37.3)             |         |
| Danger sign pneumonia <sup>c</sup>                       | 9 (50.0)                          | 9 (26.5)                      | 7 (22.6)                        | 25 (30.1)             |         |
| Treatment failure on intravenous antibiotics             |                                   |                               |                                 |                       | 0.09    |
| Treatment failure on first-line intravenous antibiotics  | 9 (50.0)                          | 9 (26.5)                      | 12 (38.7)                       | 30 (36.1)             |         |
| Treatment failure on second-line intravenous antibiotics | 5 (27.8)                          | 0 (0)                         | 1 (3.2)                         | 6 (7.2)               |         |
| Day 14 assessment                                        |                                   |                               |                                 |                       |         |
| Cured at time of Day 14 visit                            | 11 (61.1)                         | 29 (85.3)                     | 26 (83.9)                       | 66 (79.5)             | 0.11    |
| Not cured at time of Day 14 visit                        | 7 (38.9)                          | 5 (14.7)                      | 5 (16.1)                        | 17 (20.5)             |         |

p-value for hospital duration was obtained via analysis of variance. Hospital duration variables were missing for 2 children. Values for pneumonia diagnosis, treatment failure on intravenous antibiotics, and Day 14 assessment were obtained from a Fisher's exact test

<sup>a</sup> Hospital duration variables were missing for 2 children

<sup>b</sup> The total from the WHO pneumonia diagnosis equals 66; 17 children who had a CXR did not fall into this clinical diagnosis (10 had CXR-confirmed pneumonia, 6 had isolated fever, and 1 had severe acute malnutrition)

<sup>c</sup> Among children with danger sign pneumonia, 17 had severe chest indrawing, 11 nasal flaring, 5 head nodding, 2 grunting, and 2 hypoxemia

Treatment failure after first-line intravenous antibiotics was observed in 36.1% (30/83) of the ITIP children who had an interpretable CXR, 60% (18/30) of whom had a CXR demonstrating significant pathology. “Primary endpoint pneumonia” on CXR was noted in 30% (9/30) and “other infiltrates only” on CXR was present in 30% (9/30) of cases of treatment failure to first-line intravenous antibiotics. Treatment failure after second-line intravenous antibiotics occurred in 6 children. Among these children, 83% (5/6) had a CXR demonstrating significant pathology. “Primary endpoint pneumonia” was the CXR interpretation in all 5 of these children. The proportion of CXRs demonstrating significant pathology among patients clinically cured at Day 14, compared to patients not clinically cured, was not significantly different (60.6% (40/66) vs 70.6% (12/17);  $p$ -value = 0.58). One ITIP3 child in this analysis died and the CXR showed “primary endpoint pneumonia.”

## Discussion

We found important variation in CXR interpretations among children enrolled in the three ITIP studies that was largely consistent with the child’s risk profile. CXRs demonstrating “primary endpoint pneumonia” or “other infiltrates only” were observed more frequently in the higher-risk ITIP3 children with severe pneumonia and/or comorbidities. On the other hand, children in ITIP1 and ITIP2 were by trial design low-risk, and we found their CXRs demonstrated either “no significant pathology” or “other infiltrates only” more frequently. CXRs with “primary endpoint pneumonia” were noted in 22% of all ITIP CXRs analyzed. Overall, the CXR patterns we observed may suggest that in the post-pneumococcal and Hib conjugate vaccine era, low-risk African children with WHO IMCI non-severe pneumonia who are failing antibiotic treatment are likely to have either nonbacterial lower respiratory infections or non-pulmonary illnesses altogether, while higher-risk children with severe pneumonia and/or comorbidities who are also failing antibiotics may have bacterial lower respiratory infections less responsive to primary antibiotic therapies.

CXR findings may help identify children with a higher or lower probability of bacterial or viral lower respiratory infection etiology. More specifically, CXRs with “other infiltrates only” may have a higher probability of viral etiology and CXRs with “primary endpoint pneumonia” may have a higher probability of bacterial etiology, while a combination of the two may suggest both viruses and bacteria as causative pathogens [3, 7, 8, 11]. The PERCH studies in children hospitalized with severe pneumonia in Africa and Asia reported 61.4% as having viral etiology [27]. Studies in South Africa reported the isolation of at least one virus in 87% of pneumonia cases

[28]. Other studies have shown associations between higher-risk, severe cases of pneumonia with polymicrobial infection [19]. In our ITIP studies, the predominance of “other infiltrates only” (65%) on CXR implies viruses may be important pathogens in pneumonia cases in Malawi post-pneumococcal and Hib conjugate vaccine introduction. While we identified “other infiltrates only” frequently in our analysis, a study in India prior to the introduction of pneumococcal conjugate vaccine found “primary endpoint pneumonia” readings to be more frequent among CXRs from children with pneumonia [29]. In this analysis, we did not observe any statistically significant relationship between CXR findings and Day 14 clinical outcomes. However, point estimates suggest a higher proportion of children with ‘primary endpoint pneumonia’ may have remained ill on Day 14 than those without ‘primary endpoint pneumonia.’ Apart from antibiotic susceptibility of the pneumonia-causing pathogen, patient factors such as comorbidities, severity of illness on presentation, and inflammatory responses may affect the speed of recovery [30]. It is possible these may be effect modifiers on the relationship between CXR findings and outcomes. Given the relatively small sample size of this study, a larger study is needed to further explore this possibility.

Similar to other studies in LMICs that used standardized WHO research methodology to interpret CXRs, our analysis revealed nearly 40% of ITIP children with CXRs and first-line intravenous antibiotic failure were without significant CXR pathology. Furthermore, most children lacking CXR pathology were low-risk since they were classified as non-severe and lacked comorbidities [17, 31, 32]. It is notable that having a CXR without significant pathology does not exclude pneumonia in a child with respiratory signs. CXRs frequently miss alveolar consolidation identifiable on chest-computed tomography or the development of radiological abnormalities may lag the clinical presentation [18, 33, 34]. While chest computed tomography demonstrates high sensitivity and specificity for parenchymal lung disease, its routine use is infeasible in children, especially those in resource-constrained LMICs, given high ionizing radiation exposure and costs [35, 36]. Biomarkers like procalcitonin may offer an alternative to increase sensitivity for bacterial pneumonia or predicting those at risk of adverse outcomes [37, 38].

Among higher-risk children failing antibiotics, CXRs may be clinically indicated in part to exclude complications like pleural effusions [39]. It may be that we failed to identify any pleural effusions in the ITIP studies due to the limited number of CXRs collected or that pleural effusions were too small to visualize. All CXRs obtained in ITIP were supine anteroposterior images as this is the standard approach for imaging children at KCH. Supine

CXR images can limit the detection of small pleural effusions, and while erect posteroanterior CXR images are preferred, they are not commonly obtained in young children due to challenges with compliance [40]. In addition, the absence of pleural effusions on CXR in our study may also be due to the changing epidemiology of pneumonia post-pneumococcal and Hib conjugate vaccine introduction [10]. A South African study evaluating children suspected of having pulmonary tuberculosis reported a higher proportion of pleural effusions using lung ultrasound (LUS) than CXR (15% versus 9%) [41]. Another study in Italy identified 28 more small-volume pleural effusions with LUS compared to CXR, among 47 children with pneumonia [42]. Our findings suggest clinicians may consider utilizing other imaging modalities like LUS rather than CXR to exclude pleural effusions.

Among children with suspected pneumonia confirmed by chest computed tomography, LUS has demonstrated a sensitivity and accuracy of 0.906 and 0.661, respectively in comparison to CXR which had a sensitivity and accuracy of 0.79 and 0.559, respectively [35]. Furthermore, LUS expert interpreters have achieved substantially higher interrater reliability compared to CXR expert interpreters [43]. Without ionizing radiation as a limitation, LUS may be useful to monitor treatment changes and possibly differentiate features of viral versus bacterial pneumonia [44–46]. LUS may also identify features of acute respiratory distress syndrome or lung changes noted in malaria and sepsis [47]. Early identification of children with acute respiratory distress or changes from malaria may improve their outcomes if appropriately triaged and managed. However, both CXR and LUS have their limitations. Aside from the difficulty in routinely capturing high-quality images in many resource-constrained settings, CXRs may have interpretation inaccuracies due to the reading of overlapping intrathoracic tissue and LUS may have challenges in identifying more centrally located lesions and lung abscesses [35, 48].

This study has several limitations. Our small sample size of hospitalized children receiving CXR examinations limits the generalizability of our results. In the ITIP studies, CXRs were ordered when clinically indicated rather than as a routine research procedure, which is reflective of actual pediatric clinical practice in LMICs. Given the current paucity of similar contemporary studies from LMICs, we believe the analysis of this relatively small sample adds hypothesis generating value in light of its rigor and real-world application. Another limitation to this project was the use of the WHO methodology for CXR interpretation. Although the WHO methodology is often applied to epidemiologic studies, the approach was originally designed to prioritize specificity and reproducibility for identifying children likely to have bacterial

pneumonia participating in vaccine efficacy and effectiveness studies. For example, this approach does not take into account mediastinal or hilar lymphadenopathy that may suggest pulmonary tuberculosis, or other important findings like cardiomegaly or pneumothorax. This methodological gap limits our ability to comment on other findings that may explain the lack of improvement in children imaged with CXRs in ITIP. In the post-pneumococcal and Hib conjugate vaccine era, this suggests WHO-defined abnormal CXRs are more frequently associated with antibiotic failure [49].

## Conclusions

The lower frequency of CXRs with significant pathology among low-risk children failing oral antibiotic treatment suggests clinicians should consider more aggressive pursuit of alternative non-pneumonia diagnoses when antibiotic failure occurs. In our study, among higher-risk children, CXRs consistent with a bacterial lower respiratory infection did not predict Day 14 outcomes. The proportion of CXRs with primary endpoint pneumonia among children with a clinical diagnosis of pneumonia was low. The development and validation of alternative diagnostics, prognostics, and/or ancillary tests that can augment the yield and/or replace CXRs will be important for improving the care and outcomes of children with pneumonia in LMICs.

## Abbreviations

CXR: Chest radiography; DT: Dispersible tablets; Hib: *Haemophilus influenzae* type b; IMCI: Integrated Management of Childhood Illness; ITIP: Innovative Treatments in Pneumonia; KCH: Kamuzu Central Hospital; LMIC: Low- and middle-income countries; LUS: Lung ultrasound; WHO: World Health Organization..

## Supplementary Information

The online version contains supplementary material available at <https://doi.org/10.1186/s12887-021-03091-3>.

**Additional file 1.** cxr data.

**Additional file 2.** Data dictionary.

## Acknowledgements

We would like to acknowledge caregivers and children for their consent and participation in these trials. We would also like to acknowledge the UNC Project Malawi ITIP study team members and the staff of the KCH Department of Paediatrics and Child Health for their collaborative efforts in conducting the ITIP studies.

## Authors' contributions

TM provided project administration and oversaw study implementation locally, contributing to the study methodology, overseeing data collection and curation, and performing CXR interpretations. TM also wrote the first draft of the manuscript. EDM contributed to the study methodology, trained and accredited the CXR interpreters, provided guidance for the CXR analysis plan, and helped write the manuscript. EF and PK performed CXR interpretations. MP and CC collected and curated the data. RHS performed the data analysis. ASG obtained the funding, conceptualized and designed the project, oversaw



project administration, supervised the overall project and data curation, provided guidance for the CXR analysis plan, and helped write the manuscript. All authors critically reviewed and approved the submitted final manuscript.

#### Funding

This work was supported by a grant from the Bill and Melinda Gates Foundation [OPP1105080]. The Bill and Melinda Gates Foundation had no role in either the design of the study, data collection and analysis, interpretation of data or writing of this manuscript.

#### Availability of data and materials

All data generated or analysed for this analysis are included in this published article and supplementary information files.

#### Declarations

##### Ethics approval and consent to participate

The ITIP project was conducted under the International Conference on Harmonization Good Clinical Practice guidelines and the principles of the Declaration of Helsinki. Ethical approvals were received from the Western Institutional Review Board in the USA and the Malawi College of Medicine Research Ethics Committee prior to commencement of study procedures. The ITIP1 and ITIP2 clinical trials also received regulatory approval from the Malawi Pharmacy Medicines and Poisons Board. Written informed consent from parents or caregivers was obtained prior to any study procedures. ITIP1, ITIP2, and ITIP3 were registered with ClinicalTrials.gov (NCT02760420, NCT02678195, and NCT02960919, respectively).

##### Consent for publication

Not applicable.

##### Competing interests

The authors declare that they have no competing interests.

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Received: 19 May 2021 Accepted: 20 December 2021

Published online: 10 January 2022

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