

Deterioration of quality of life is associated with the exacerbation frequency in individuals with alpha-1-antitrypsin deficiency – analysis from the German Registry

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Background: Alpha-1-antitrypsin deficiency (AATD) is a rare hereditary disease that is associated with a higher risk to develop chronic obstructive pulmonary disease and liver cirrhosis. Previous cross-sectional studies on AATD individuals have shown a relationship between worse St George's Respiratory Questionnaire (SGRQ) scores and elevated exacerbation rate or high cigarette consumption. There is a lack of longitudinal data on the relationship between the exacerbation rate and worsening of SGRQ during disease. The aim of this study was to provide not only cross-sectional data but also information about the deterioration in quality of life over a follow-up period up to 7 years (median follow-up period of 3.33 years).

Methods: We investigated questionnaire-based data of the German AATD registry concerning the relationship between SGRQ and exacerbation frequency, smoking history, forced expiratory volume in 1 second (FEV₁) and carbon monoxide diffusion capacity (DLCO) first in cross-sectional analysis and later in longitudinal analysis.

Results: Eight hundred sixty-eight individuals with protease inhibitor ZZ (PiZZ) genotype with an average age of 52.6±12.8 years had an SGRQ score of 45.7±20.6. SGRQ significantly correlated with the exacerbation frequency within the last 2 years ($r=0.464$; $P<0.001$), smoking history ($r=0.233$; $P<0.001$), FEV₁ ($r=-0.436$; $P<0.001$), DLCO ($r=-0.333$; $P<0.001$), and patients' age ($r=0.292$; $P<0.001$). Individuals with occupational dust exposure had significantly worse quality of life ($P<0.001$). Mean annual deterioration of SGRQ in all patients with available follow-up data ($n=286$) was 1.21±4.45 points per year. Univariate and multivariate analysis showed a significant relationship between worsening of SGRQ/year and exacerbation frequency in the follow-up period ($r=0.144$; $P=0.015$).

Conclusion: Worsening of SGRQ is associated with the exacerbation frequency in individuals with PiZZ AATD.

Keywords: SGRQ, quality of life, AATD, alpha-1-antitrypsin deficiency, COPD, exacerbations, emphysema, FEV₁

Background

Alpha-1-antitrypsin deficiency (AATD) is a genetic disorder that leads to low circulating levels of alpha-1-antitrypsin (AAT). Affected individuals are at higher risk of developing chronic obstructive pulmonary disease (COPD) and liver cirrhosis.^{1,2} The frequency of the AATD genotype protease inhibitor ZZ (PiZZ) in Western Europe is about 1:5,000–15,000.³ Patient registries are helpful to get more insights into this orphan disease.⁴ The German registry for individuals with AATD (AATDR) is a

questionnaire-based collection of data that currently includes 1,074 individuals (12/2015).⁵ It was established in 2003 and is continuously recruiting further participants. The data are self-reported with reports on pulmonary function provided by the patient's physician.

The assessment of quality of life has been established as a patient-related outcome, which correlates with airway obstruction and disease severity in COPD.^{6–8} St George's Respiratory Questionnaire (SGRQ) was developed by Jones et al in the year 1991 to quantify health of patients with diseases causing chronic airflow limitation.⁶ The questionnaire comprises three parts: the symptoms-, the activity- and the impacts-component. Previous studies showed a significant relationship between SGRQ-scores and exacerbation frequency, daily wheezing, sputum and dyspnea, bronchitis symptoms, 12-minute walking test and forced expiratory volume in 1 second (FEV_1) in patients with COPD.^{9,10} Total SGRQ correlated significantly with prognosis and mortality in these patients.^{7,8} Studies from other AATD registries have shown a relationship between worse SGRQ-scores and elevated exacerbation rate or high cigarette consumption.^{11–13} Most of these studies only provided cross-sectional data about the relationship between SGRQ and exacerbation rate^{11,12} except for two prior studies. Campos et al, investigated the longitudinal deterioration of SGRQ over a period of 12 months. In their study, they could not find significance between change in SGRQ and frequent exacerbations within 1 year.¹³ Needham and Stockley also did not find a significant correlation between deterioration of SGRQ and exacerbations; however, they describe a greater improvement in the SGRQ symptoms-score in individuals with no or infrequent exacerbations.¹⁴

Our aim was not only to analyze cross-sectional data but also to investigate the influence of the exacerbation rate, the deterioration of FEV_1 (ΔFEV_1 /year) and carbon monoxide diffusion capacity ($\Delta DLCO$ /year) on the change of health-related quality of life ($\Delta SGRQ$ /year) during a longer follow-up period (up to 7 years/median follow-up period of 3.33 years). The research question was whether the longitudinal deterioration of health-related quality of life is dependent on the exacerbation rate in individuals with PiZZ AATD.

To answer this question, our paper is divided into a cross-sectional (n=868) and a longitudinal follow-up analysis (n=286).

Materials and methods

Structure of the German registry for individuals with AATD

The AATDR was founded in 2003 and comprises a registry for adult patients and for individuals below 18 years of

age.⁵ The children's registry was excluded from the present analysis. The registry study is continuously enrolling individuals with AATD.

The inclusion criterion for the registry is severe AAT deficiency, reflected by low serum concentrations of AAT with PiZZ, protease inhibitor SZ (PiSZ) genotype or other deficient allelic variants. The questionnaires and the data storage concept were approved by the ethics committees of the Marburg University and the Landesärztekammer Saarland (SN80/10) and the Data Safety Office of the State of Hessen (all in Germany). A declaration of informed consent was signed by all individuals.

Structure of questionnaire

The AATDR is based on a ten-page questionnaire that is sent to participants and their physicians. Exacerbations are defined as an excessive worsening of COPD symptoms with a duration of more than 2 days that required hospitalization or treatment with antibiotics or systemic corticosteroids. The questionnaire contains questions on pulmonary diseases, for example, chronic bronchitis, emphysema or pneumonia. Data on smoking history are recorded and used to calculate the cumulative nicotine consumption in pack-years. Several items of the questionnaire had to be completed by the patients' physician, for example, questions on treatment, pulmonary function, such as FEV_1 , forced vital capacity (FVC) and VC and the carbon monoxide diffusion capacity (DLCO). The SGRQ was applied to measure the health-related quality of life.⁶ The SGRQ consists of 50 items and three sub-scales (symptoms-, activity- and impacts-score). The scores range from 0 to 100, with higher results symbolizing worse quality of life. Questionnaires were sent to the registered patients for follow-up assessments after 2 and 7 years. The collected data were archived in an MS Access 2010 – database.

Data analysis

Data collected between 06/2004 and 12/2015 were used for the present analysis and transferred into SPSS (IBM, version 23). Patients with lung transplantation or lung volume reduction in the follow-up period were excluded from the longitudinal analysis. We analyzed data on at least one follow-up survey for 286 individuals over a period of ~2 years. Furthermore, data were available for a second follow-up survey after ~7 years for 90 individuals. In individuals with more than one follow-up survey, we averaged the available values. The follow-up period differed in some cases by several months.

Data sets are not totally complete because questionnaires were not always perfectly answered. Figure 1 shows the study

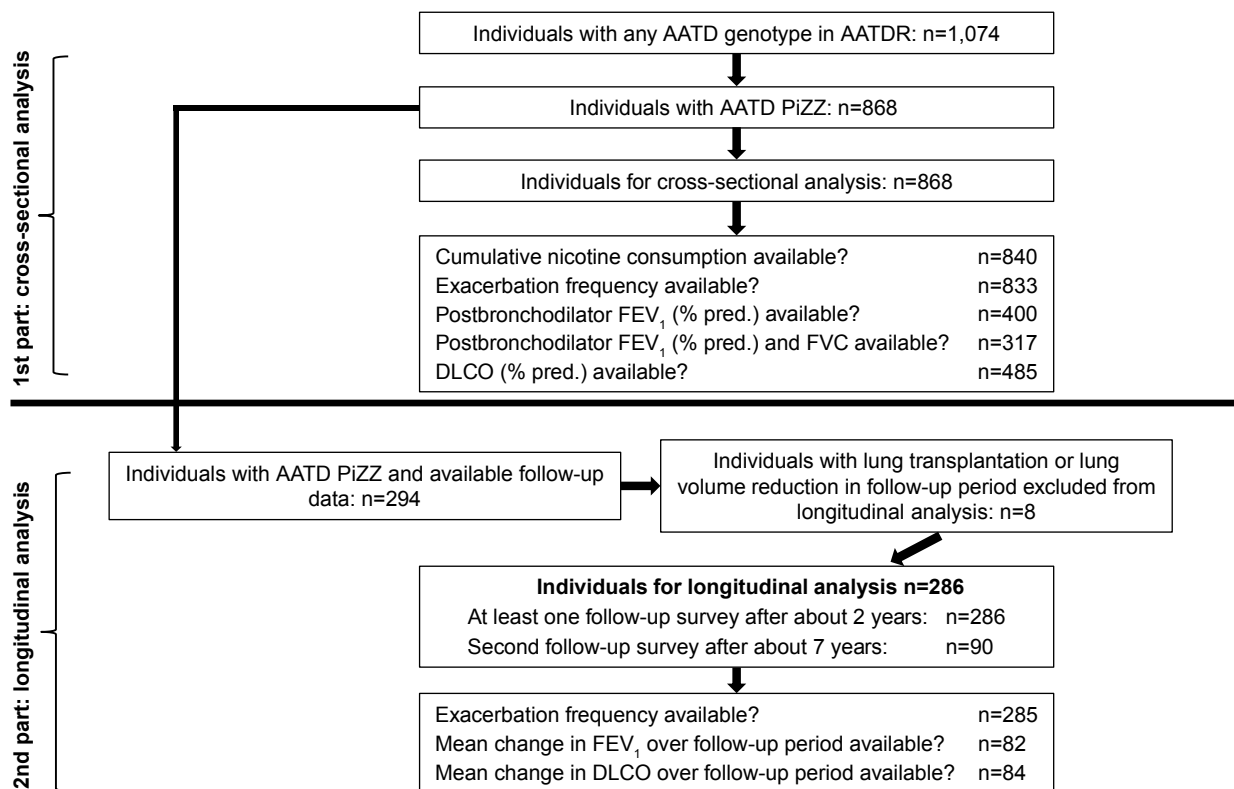


Figure 1 Flow chart showing study enrollment process.

Abbreviations: AATDR, German alpha-1-antitrypsin deficiency registry; AATD, alpha-1-antitrypsin deficiency; % pred., % of the predicted normal value; DLCO, carbon monoxide diffusion capacity; FEV₁, forced expiratory volume in 1 second; FVC, forced vital capacity; PiZZ, protease inhibitor ZZ genotype.

enrollment process and the numbers of valid data for the investigated variables. For the longitudinal analysis, changes over time in FEV₁, DLCO and SGRQ were standardized by calculating the change in mL, mmol/min/kPa and score per 12 months.

Statistical analysis

Continuous variables were expressed as means \pm standard deviation. The mean values of continuous variables in different groups were compared by independent samples *t*-test or Kruskal–Wallis test/one-way analysis of variance. The correlations between continuous variables were verified by multivariate linear regression models as sensitivity analysis. Due to multicollinearity between most of the variables in the multivariate regression analysis, we could not include all variables in a common model. Therefore, univariate results were analyzed by different models, including only a number of potential confounders (Tables S1–S5). In addition to the two-tailed significance, we specified the estimated effect of respective regression coefficients (β) and the 95% confidence interval. Categorical data are shown by frequencies and percentages. Statistical significance was considered for two-sided *P*-values <0.05 . Our analysis was performed by IBM SPSS version 23.

Ethics approval and consent to participate

The research on the registry data including the questionnaires and the data storage concept and the publication of the data were approved by the ethical committees of the Marburg University and the Landesärztekammer Saarland (SN80/10) and the Data Safety Office of the State of Hessen (all in Germany). A declaration of informed consent was signed by all participating individuals.

Results

Characteristics of patients

In December 2015, 1,074 AATD individuals with PiZZ, PiSZ and other deficient alleles were registered in the AATDR. We analyzed the data of 868 PiZZ AATD subjects (male n=490; female n=378). About three quarters of analyzed individuals had a history of smoking; however, most of them had quit smoking before being registered. The most common reason for diagnosis was pulmonary disorder followed by family screening. Most patients reported that they suffered from pulmonary disease, most frequently from emphysema and chronic bronchitis. Detailed baseline characteristics are summarized in Table 1.

Table 1 Baseline characteristics of patients (n=868)

Variables	Mean (SD)/n (%)
Age (years)	52.6 (12.8)
Sex (male)	490 (56.5%)
BMI (kg/m ²)	24.3 (4.6)
Nicotine consumption	
Never smokers	222 (25.7%)
Former smokers	617 (71.5%)
Current smokers	24 (2.8%)
Pack-years	15.8 (16.4)/ Md: 13.5 (IQR: 0.0–24.0)
Age diagnosis (years)	47.4 (14.1)
Reason for diagnosis	
Pulmonary disease	688 (80.8%)
Liver disease	29 (3.4%)
Other disease	24 (2.8%)
Family screening	69 (8.1%)
Population screening	2 (0.2%)
Other reason	40 (4.7%)
Clinical presentation	
Pulmonary disease	775 (89.5%)
Chronic bronchitis	403 (46.4%)
Emphysema	713 (82.1%)
Asthma bronchiale	147 (16.9%)
Bronchiectasis	45 (5.2%)
Others	56 (8.7%)
Baseline FEV ₁ (mL) predilatation	1,757 (930)
Baseline FEV ₁ (%) predilatation	54.2 (26.4)
Baseline FEV ₁ (mL) postdilatation	1,568 (683)
Baseline FEV ₁ (%) postdilatation	48.4 (19.3)
Baseline FVC (mL)	3,024 (1096)
Baseline FVC (%)	76.0 (21.6)
Baseline FEV ₁ /FVC ratio	0.53 (0.15)
Baseline DLCO (mmol/min/kPa)	5.00 (2.47)
Baseline DLCO (%)	51.1 (22.5)
Therapy	
Bronchodilators	733 (85.2%)
LTOT	185 (21.7%)
Augmentation therapy	470 (55.0%)
Baseline quality of life (SGRQ)	
Total-score	45.72 (20.58)
Symptoms-score	54.04 (23.37)
Activity-score	56.67 (24.24)
Impacts-score	36.12 (21.39)

Abbreviations: BMI, body mass index; DLCO, carbon monoxide diffusion capacity; FEV₁, forced expiratory volume in 1 second; FVC, forced vital capacity; LTOT, long term oxygen therapy; SD, standard deviation; SGRQ, St George's Respiratory Questionnaire; Md, median; IQR, interquartile range.

Increased age correlates with worse quality of life (cross-sectional analysis)

We found a significant relationship between age and SGRQ total-score ($r=0.29$; $P<0.001$) (and respectively to each SGRQ sub-score, all $P<0.001$; Table 2). We further confirmed a significant relationship in multivariate regression analysis, adjusted for sex, nicotine consumption, exposure of occupational dust and augmentation therapy ($P<0.001$; Table S1).

Table 2 Pearson correlations between investigated variables and SGRQ scores

Variables	SGRQ sub-score	Correlation coefficient, <i>r</i>	P-value
Age (n=868)	Total-score	0.292	<0.001
	Symptoms-score	0.203	<0.001
	Activity-score	0.333	<0.001
	Impacts-score	0.246	<0.001
Nicotine consumption (pack-years) (n=840)	Total-score	0.233	<0.001
	Symptoms-score	0.218	<0.001
	Activity-score	0.281	<0.001
Exacerbation rate within the last 2 years (n=833)	Impacts-score	0.177	<0.001
	Total-score	0.464	<0.001
	Symptoms-score	0.442	<0.001
Postbronchodilator FEV ₁ % pred. (n=400)	Activity-score	0.403	<0.001
	Impacts-score	0.445	<0.001
	Total-score	-0.436	<0.001
DLCO % pred. (n=485)	Symptoms-score	-0.309	<0.001
	Activity-score	-0.490	<0.001
	Impacts-score	-0.365	<0.001
	Total-score	-0.333	<0.001
	Symptoms-score	-0.210	<0.001
	Activity-score	-0.405	<0.001
	Impacts-score	-0.267	<0.001

Notes: Any correlations are significantly different from zero with two-sided $P<0.001$. All analyzed variables correlate significantly with SGRQ total-score and the sub-scores.

Abbreviations: DLCO, carbon monoxide diffusion capacity; FEV₁, forced expiratory volume in 1 second; SGRQ, St George's Respiratory Questionnaire; % pred., % of the predicted normal value.

Positive association between inhaled exposure (nicotine consumption and occupational dust exposure) and SGRQ (cross-sectional analysis)

Correlation analysis between SGRQ score and smoking history (pack-years) showed significant positive association in all sub-scores of SGRQ in a cross-sectional approach ($P<0.001$; Table 2; n=840). The adjusted values in multivariate analysis (Table S1; for sex, age, exposure of occupational dust and augmentation therapy) showed in some parts even higher regression coefficients in total-, symptoms-, activity- and impacts-score ($\beta=0.24$; $\beta=0.20$; $\beta=0.30$; $\beta=0.17$; all $P<0.001$). Patients who reported an occupational dust exposure (n=335) had significantly higher SGRQ scores as compared to patients without exposure (all $P<0.001$; Table 3).

Worse SGRQ is associated with the exacerbation frequency (cross-sectional analysis)

The SGRQ total-score just as the sub-scales (symptoms-, activity- and impacts-score) showed a correlation with the

Table 3 SGRQ scores according to occupational dust exposure

Variables	Occupational dust exposure		P-value
	No (n=533)	Yes (n=335)	
	Mean (SD)	Mean (SD)	
SGRQ			
Total-score	42.91 (20.93)	50.01 (19.29)	<0.001
Symptoms-score	51.14 (23.71)	58.57 (22.12)	<0.001
Activity-score	53.32 (25.04)	61.82 (22.01)	<0.001
Impacts-score	33.02 (21.32)	40.98 (20.62)	<0.001

Notes: Any group comparisons are significant with two-sided $P < 0.001$ (independent samples *t*-test). Individuals with occupational dust exposure have significantly worse SGRQ scores.

Abbreviations: SD, standard deviation; SGRQ, St George's Respiratory Questionnaire.

exacerbation rate within the last 2 years (all $P < 0.001$; Figure 2; Table 2; $n = 833$). Multivariate regression analysis (including sex, augmentation therapy and occupational dust exposure) confirmed the significant associations (total-score [$\beta = 0.41$; $P < 0.001$]; symptoms-score [$\beta = 0.41$; $P < 0.001$]; activity-score [$\beta = 0.34$; $P < 0.001$]; impacts-score [$\beta = 0.40$; $P < 0.001$]) (Table S2). SGRQ total-score correlated with the use of systemic corticosteroids ($r = 0.480$; $P < 0.001$), the increase of current medication ($r = 0.469$; $P < 0.001$), the need of antibiotics ($r = 0.466$; $P < 0.001$) and the hospitalization rate ($r = 0.455$; $P < 0.001$). Multivariate regression evaluation (adjusted for sex, augmentation therapy and occupational dust exposure) confirmed significance for the use of systemic corticosteroids, the increase of current medication, the need of antibiotics and the hospitalization rate ($\beta = 0.40$; $\beta = 0.40$; $\beta = 0.40$; $\beta = 0.38$; all $P < 0.001$).

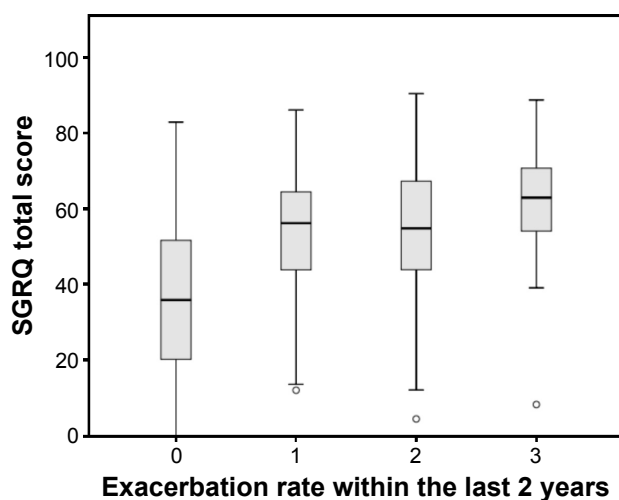


Figure 2 Worse SGRQ total-score is associated with frequent exacerbations within the last 2 years in univariate analysis.

Note: $n = 739$.

Abbreviation: SGRQ, St. George's Respiratory Questionnaire.

FEV₁ and diffusion capacity (DLCO) correlate significantly with SGRQ (cross-sectional analysis)

Low baseline bronchodilator FEV₁ % of predicted (% pred.) (available in $n = 400$ individuals) is associated with worse quality of life total-, symptoms-, activity- and impacts-scores (all $P < 0.001$) (Table 2; Figure 3). The correlation with SGRQ activity-score was stronger as compared to SGRQ total-score. Here, multivariate linear regression confirmed significance between low FEV₁ and SGRQ total-score (including sex, augmentation therapy and occupational dust exposure) ($\beta = -0.41$; $P < 0.001$) (Table S3). The relationship between baseline diffusion capacity (DLCO % pred.) (available in $n = 485$ individuals) and health-related quality of life (including sub-scales symptoms-, activity- and impacts-scores) was significant in multivariate analysis adjusted for sex, augmentation therapy and occupational dust exposure ($\beta = -0.30$; $P < 0.001$) (Table S4). Individuals with available postbronchodilator FEV₁ and FVC ($n = 317$) were classified into COPD severity levels: Global Initiative for Chronic Obstructive Lung Disease (GOLD) I (3.1%), GOLD II (29.6%), GOLD III (40.4%), GOLD IV (15.8%) and non-COPD (11.0%). The mean values of SGRQ scores were stratified according to the FEV₁-based GOLD classification (Figure 4; Table 4). The SGRQ total-score differed significantly between GOLD I-IV ($P < 0.001$). In summary, patients with lower DLCO and FEV₁ or more severe spirometric GOLD stage showed higher SGRQ scores.

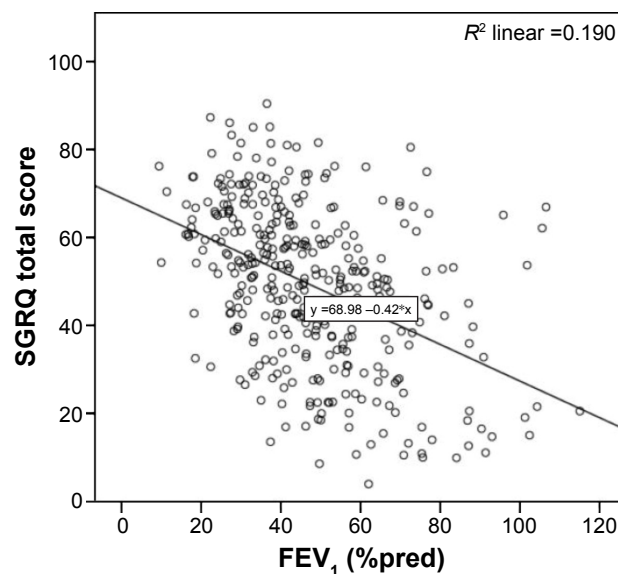


Figure 3 Scatterplot showing Pearson correlation between lung function (FEV₁ % pred.) and SGRQ total-score (univariate regression analysis) ($r = -0.436$; $P < 0.001$).

Note: $n = 364$.

Abbreviations: FEV₁, forced expiratory volume in 1 second; SGRQ, St. George's Respiratory Questionnaire; % pred., % of the predicted normal value.

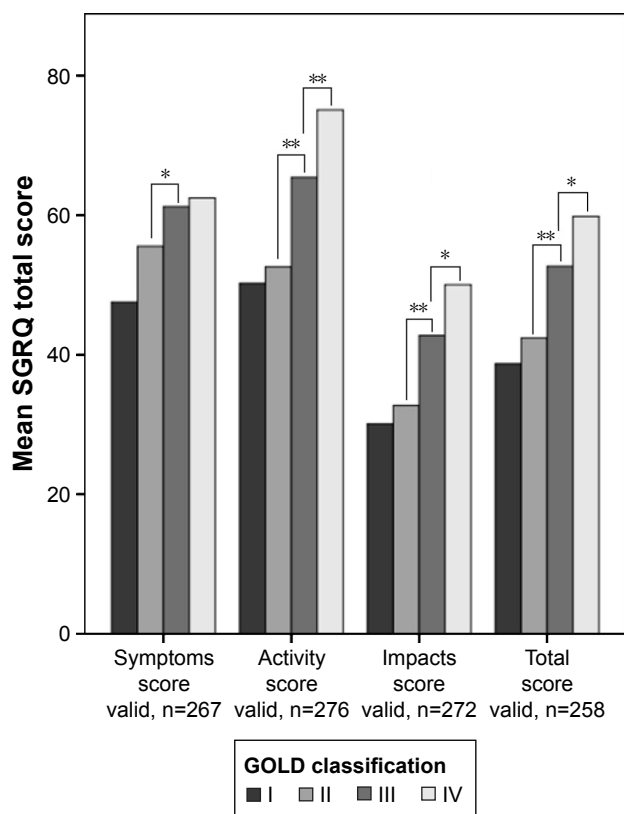


Figure 4 Mean SGRQ scores sorted by COPD GOLD classification (inter-group comparison by independent samples t-test).

Notes: * $P < 0.05$; ** $P < 0.001$.

Abbreviations: COPD, chronic obstructive pulmonary disease; GOLD, Global Initiative for Chronic Obstructive Lung Disease; SGRQ, St George's Respiratory Questionnaire.

Individuals on augmentation therapy have worse SGRQ (cross-sectional analysis)

Individuals who reported an AAT augmentation therapy had significantly worse SGRQ total-, symptoms-, activity- and impacts-scores at baseline (all $P < 0.001$) compared with subjects without this therapy (Table 5). Significant lower FEV₁ and DLCO values could be demonstrated for subjects receiving augmentation therapy (all $P < 0.001$).

Deterioration of SGRQ in follow-up period correlates with exacerbation rate (longitudinal analysis)

In a next step, we analyzed the longitudinal data from follow-up surveys to identify the factors that are associated with changes of SGRQ score. The mean annual deterioration of quality of life in all patients with available follow-up data ($n=286$) was expressed by an increase of SGRQ score (1.21 ± 4.45 points/year). In univariate analysis, we found significant relationship between the change of SGRQ score per year and the annual frequency of exacerbations in the follow-up period ($r=0.144$; $P=0.015$) ($n=285$). We confirmed this significant relationship in multivariate analysis adjusted for sex, age, augmentation therapy and occupational dust exposure ($\beta=0.15$; $P=0.011$) (Table S5).

Two hundred eighty-six individuals were divided into quartiles. Individuals in quartile I had low SGRQ rise or even loss of SGRQ score, patients in quartile IV had strong SGRQ rise within the follow-up period. Significant differences could be shown between quartiles of SGRQ total-score. Patients in the lowest quartile demonstrated lower exacerbation rate within the follow-up period than individuals in the top quartile ($P=0.022$). Significances between quartiles I and IV were also found for the need of corticosteroids ($P=0.013$), the increase of current medication ($P=0.019$) and the frequency of hospitalization ($P=0.035$). In inter-group comparison of all quartiles (I–IV), patients with higher deterioration in SGRQ score reported more often an increase of medication ($P=0.035$) and more frequent hospitalizations ($P=0.036$), while the need for corticosteroids ($P=0.077$) and antibiotics ($P=0.394$) only showed a trend. No significant correlations were found between the mean loss of FEV₁ (mL/year) or DLCO (mmol/min/kPa/year) and the mean increase of SGRQ scores per year ($n=82$ individuals with available postbronchodilator FEV₁ follow-up data; $n=84$ individuals with available DLCO follow-up data).

Table 4 Mean SGRQ scores sorted by COPD GOLD classification

Variables	GOLD				P-value	Non-COPD (n=35)
	I (n=10)	II (n=94)	III (n=128)	IV (n=50)		
	Mean (SD)	Mean (SD)	Mean (SD)	Mean (SD)		Mean (SD)
SGRQ						
Total-score	38.72 (19.72)	42.41 (16.40)	52.68 (17.15)	59.82 (12.29)	<0.001	37.55 (21.47)
Symptoms-score	44.65 (23.18)	55.65 (19.84)	61.26 (20.84)	62.94 (17.40)	0.019	43.82 (24.22)
Activity-score	48.98 (20.87)	52.50 (19.01)	65.50 (17.27)	75.43 (12.37)	<0.001	45.71 (25.81)
Impacts-score	29.01 (18.35)	32.40 (19.22)	42.88 (19.60)	50.04 (15.45)	<0.001	30.15 (22.11)

Note: $n=317$ individuals with available postbronchodilator FEV₁ and FVC were analyzed by independent samples Kruskal–Wallis test.

Abbreviations: COPD, chronic obstructive pulmonary disease; FEV₁, forced expiratory volume in 1 second; FVC, forced vital capacity; GOLD, Global Initiative for Chronic Obstructive Lung Disease; SD, standard deviation; SGRQ, St George's Respiratory Questionnaire.

Table 5 Characteristics of individuals with and without augmentation therapy

Variables	Augmentation therapy started?		P-value
	No (n=385)	Yes (n=470)	
	Mean (SD)	Mean (SD)	
SGRQ			
Total-score (n=761)	38.56 (22.10)	51.52 (17.30)	<0.001
Symptoms-score (n=809)	48.19 (25.08)	58.89 (20.70)	<0.001
Activity-score (n=815)	47.72 (27.04)	63.90 (18.82)	<0.001
Impacts-score (n=806)	29.53 (21.82)	41.48 (19.56)	<0.001
FEV ₁ (mL) (n=407)	1.77 (0.80)	1.43 (0.55)	<0.001
FEV ₁ (% pred.) (n=395)	54.52 (22.96)	44.37 (15.35)	<0.001
DLCO (mmol/min/kPa) (n=478)	5.52 (2.76)	4.58 (2.15)	<0.001
DLCO (% pred.) (n=479)	56.38 (24.28)	47.02 (20.16)	<0.001

Note: Subjects with augmentation therapy have significantly worse SGRQ scores, FEV₁ and DLCO in independent samples t-test.

Abbreviations: DLCO, carbon monoxide diffusion capacity; FEV₁, forced expiratory volume in 1 second; SGRQ, St George's Respiratory Questionnaire; % pred., % of the predicted normal value.

These data are summarized in Table 6. Taken together, worsening of quality of life is associated with frequent exacerbations in the follow-up period.

Discussion

The main finding of this study was a significant relationship between the longitudinal deterioration of health-related quality of life and the annual frequency of self-reported exacerbations in individuals with severe AATD. Moreover, the cross-sectional analysis revealed significant correlations between baseline SGRQ and smoking history, the rate of moderate and severe exacerbations, postbronchodilator FEV₁ and DLCO. Most of the correlations in cross-sectional and longitudinal results were weak but significant. The study not only considered symptomatic AATD individuals but also included asymptomatic individuals with PiZZ who were diagnosed by family screening or incidental finding.

We provided longitudinal data of up to 7 years (median follow-up period of 3.33 years) and our results suggest that worsening of SGRQ is significantly associated with an elevated exacerbation rate. Previous longitudinal studies could not find a significant relationship between the deterioration of SGRQ total-score and the exacerbation frequency.^{13,14}

Patient-reported outcomes, such as the assessment of health-related quality of life are increasingly recognized as important instruments in the evaluation of COPD patients.¹⁵ It has been shown that they predict prognosis and can be used to evaluate clinical severity.⁶⁻⁸ In COPD, numerous studies showed correlations between SGRQ-scores and exacerbation frequency, daily wheezing, sputum and dyspnea,

Table 6 Characteristics of follow-up SGRQ quartiles were analyzed by independent samples Kruskal–Wallis test (inter-group comparison QI–QIV) and by independent samples t-test (inter-group comparison QI vs QIV)

Variables	Quartiles: annual increase in SGRQ total-score (n=286)				P-value inter-group comparison QI–QIV	P-value inter-group comparison QI vs QIV
	QI (low), mean Δ SGRQ/year (SD) = -3.94 (2.83)	QII, mean Δ SGRQ/year (SD) = 0.15 (0.56)	QIII, mean Δ SGRQ/year (SD) = -2.07 (0.53)	QIV (high), mean Δ SGRQ/year (SD) = 6.57 (3.62)		
	Mean (SD)	Mean (SD)	Mean (SD)	Mean (SD)		
Clinical presentation within follow-up period						
Exacerbation rate/year (n=285)	0.84 (0.82)	0.93 (0.97)	0.84 (0.94)	1.32 (1.16)	0.054	0.022
Use of corticosteroids/year (n=274)	0.59 (0.82)	0.67 (0.78)	0.71 (0.92)	1.08 (1.10)	0.077	0.013
Need of increase of medication/year (n=264)	0.62 (0.97)	0.50 (0.66)	0.59 (0.85)	1.00 (1.05)	0.035	0.019
Need of antibiotics/year (n=284)	0.82 (0.94)	0.86 (0.91)	0.83 (0.97)	1.13 (1.08)	0.394	0.144
Need of hospitalization/year (n=258)	0.34 (0.51)	0.27 (0.46)	0.39 (0.66)	0.69 (0.81)	0.036	0.035
Mean change in FEV ₁ (mL/year) over follow-up period (n=82)	-126.89 (173.60)	-43.37 (81.47)	-0.55 (287.92)	-99.19 (154.59)	0.413	0.514
Mean change in DLCO (mmol/min/kPa/year) over follow-up period (n=84)	0.01 (0.72)	-0.15 (1.10)	-0.26 (0.35)	-0.26 (0.66)	0.266	0.236

Note: Subjects in quartile IV have significantly more exacerbations in comparison to subjects in quartile I.

Abbreviations: DLCO, carbon monoxide diffusion capacity; FEV₁, forced expiratory volume in 1 second; SD, standard deviation; SGRQ, St George's Respiratory Questionnaire.

bronchitis symptoms, BODE index, 12-minute walking test and FEV₁.^{9,10,16}

The results confirm other cross-sectional studies on AATD individuals that demonstrated the impact of exacerbations, lower FEV₁ and DLCO and smoking history on the SGRQ.^{11,12,17}

Detailed analysis showed that SGRQ sub-scores are differentially altered. The exacerbation rate had strong influence on SGRQ impacts-score, which is related to the disease's impact on employment and daily life.⁶ In contrast, nicotine consumption was most strongly associated with SGRQ activity-score. Current or former smokers may be more affected by breathlessness and restriction in physical activities.

High levels of occupational dust exposure are associated with an increased risk of more severe GOLD-COPD stages.¹⁸ Our results showed significantly worse SGRQ in individuals with occupational dust exposure compared with unexposed individuals, underlining the importance of work-related exposure.

In accordance with studies on the Canadian AATD registry¹² patients receiving augmentation therapy had worse quality of life, FEV₁ % pred. and DLCO % pred. Augmentation therapy seems to be associated with an increased disease severity and more impaired lung function.

In the longitudinal analysis of this study, we evaluated the data of 286 individuals. However, there was a loss of 582 individuals for the follow-up assessments. Nevertheless, these individuals did not show significantly worse FEV₁, DLCO and SGRQ at baseline compared with subjects with available follow-up data. This study shows a mean annual deterioration of SGRQ score of ~1.2 units in the whole population. In non-AATD COPD, two studies reported a deterioration of ~0.06 units per year or even an improvement.^{8,19} The patients in these two studies were older than in this analysis. Previous investigations on AATD individuals reported an annual worsening of SGRQ of ~1.05 points.²⁰ A change in SGRQ score of 4 units is regarded as clinically significant.²¹ Therefore, we divided the individuals into quartiles of Δ SGRQ total-score/year in our longitudinal analysis. The quartile with the highest worsening of SGRQ (QIV) showed a clear significant mean deterioration of 6.57 units/year. Individuals with the highest deterioration of SGRQ (QIV) showed a significantly higher rate of exacerbations compared with individuals with lower deterioration or even improvement of SGRQ (QI). This concurs with the results of the study by Needham and Stockley.¹⁴ Furthermore, in our study, the number of exacerbations in

the follow-up period correlates significantly with a stronger annual impairment of quality of life. In contrast to Needham and Stockley, we found significance for the correlation in the univariate analysis and in the multivariate regression model.

Next, we analyzed the relationship between Δ SGRQ total-score/year and the decline of FEV₁ and DLCO in our longitudinal analysis. The correlation analysis as well as the comparison between high and low worsening quartiles of SGRQ (QIV vs QI) showed no significance.

We conclude that the change in health-related quality of life is more dependent on the exacerbation frequency than on the decline of FEV₁ and DLCO.

This study has some limitations: the findings might not be representative for all individuals with AATD because individuals with more severe manifestations or increased burden of symptoms might be overrepresented. As the data depend largely on self-reported information (eg, exacerbations and lung function data), the quality of these data is likely less as compared to well-controlled clinical trials. Nevertheless, our results are premised on a large study group and a long period of observation (up to 7 years).

Conclusion

The annual worsening of health-related quality of life, assessed by the SGRQ, is significantly associated with the frequency of exacerbations in individuals with PiZZ AATD (symptomatic and asymptomatic subjects) in cross-sectional and longitudinal analysis.

Acknowledgments

The AATDR was supported by grants from the EU PAAIR, the Bundesministerium für Bildung und Forschung COSYCONET/ASCONET (BMBF 01GI1001) to RB and CV, and supported by a grant from Bayer/Talecris/Grifols from 2003 until 2012. The study was supported by a donation of the Bumm family to the Saarland University.

Availability of data and materials: The data sets of the AATDR are located at the Department of Internal Medicine V – Pulmonology, Allergology, Intensive Care Medicine, Saarland University Hospital, Homburg, Germany and are available from the corresponding author on reasonable request.

Author contributions

NB, PML, CV, RB, and SF contributed to conception of the study, patient recruitment and original data collection and interpretation. MS contributed to the patient recruitment.

SW supported the statistical analysis. The authors alone are responsible for the content and the writing of the paper. All authors contributed toward data analysis, drafting and revising the paper and agree to be accountable for all aspects of the work.

Disclosure

RB, SF and CV have obtained research support and travel sponsoring from Talecris/Grifols and CSL Behring. CV has received honoraria for speaking engagements and for chairing a research prize committee from Talecris/Grifols. PML has received speaker fees from Talecris/Grifols. The authors report no other conflicts of interest in this work.

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Supplementary materials

Table S1 Linear multivariate regression analysis: association between SGRQ total-score and age and nicotine consumption adjusted for sex, augmentation therapy and occupational dust exposure

Variable	Unstandardized coefficient, B	Standardized coefficient, β	95% CI	P-value
Age (years)	0.50	0.30	0.39 to 0.61	<0.001
Sex, female	2.06	0.05	-0.65 to 4.78	0.136
Nicotine consumption (pack-years)	0.29	0.24	0.21 to 0.37	<0.001
Augmentation therapy	10.59	0.26	7.95 to 13.22	<0.001
Occupational dust exposure	6.94	0.17	4.17 to 9.71	<0.001

Dependent variable: SGRQ total-score

Abbreviations: CI, confidence interval; SGRQ, St George's Respiratory Questionnaire.

Table S2 Linear multivariate regression analysis: association between SGRQ total-score and exacerbation frequency adjusted for sex, augmentation therapy and occupational dust exposure

Variables	Unstandardized coefficient, B	Standardized coefficient, β	95% CI	P-value
Exacerbation frequency	8.09	0.41	6.86 to 9.31	<0.001
Sex, female	0.29	0.01	-2.41 to 2.98	0.834
Augmentation therapy	10.04	0.24	7.44 to 12.64	<0.001
Occupational dust exposure	5.88	0.14	3.16 to 8.61	<0.001

Dependent variable: SGRQ total-score

Abbreviations: CI, confidence interval; SGRQ, St George's Respiratory Questionnaire.

Table S3 Linear multivariate regression analysis: association between SGRQ total-score and FEV₁ (% pred.) adjusted for sex, augmentation therapy and occupational dust exposure

Variable	Unstandardized coefficient, B	Standardized coefficient, β	95% CI	P-value
FEV ₁ (% pred.)	-0.39	-0.41	-0.48 to -0.30	<0.001
Sex, female	3.50	0.09	-0.04 to 7.05	0.053
Augmentation therapy	2.77	0.07	-0.80 to 6.34	0.128
Occupational dust exposure	5.50	0.15	1.96 to 9.04	0.002

Dependent variable: SGRQ total-score

Abbreviations: CI, confidence intervals; FEV₁, forced expiratory volume in 1 second; SGRQ, St George's Respiratory Questionnaire; % pred., % of the predicted normal value.

Table S4 Linear multivariate regression analysis: association between SGRQ total-score and DLCO (% pred.) adjusted for sex, augmentation therapy and occupational dust exposure

Variable	Unstandardized coefficient, B	Standardized coefficient, β	95% CI	P-value
DLCO (% pred.)	-0.27	-0.30	-0.35 to -0.19	<0.001
Sex, female	0.07	<0.001	-3.57 to 3.72	0.968
Augmentation therapy	9.40	0.23	5.88 to 12.91	<0.001
Occupational dust exposure	6.04	0.15	2.43 to 9.65	0.001

Dependent variable: SGRQ total-score

Abbreviations: CI, confidence intervals; DLCO, diffusion capacity; SGRQ, St George's Respiratory Questionnaire; % pred., % of the predicted normal value.

Table S5 Linear multivariate regression analysis: association between annual change in SGRQ total-score and exacerbation frequency adjusted for sex, age, augmentation therapy and occupational dust exposure

Variable	Unstandardized coefficient, B	Standardized coefficient, β	95% CI	P-value
Exacerbation frequency in follow-up period	0.68	0.15	0.15 to 1.20	0.011
Sex, female	-0.43	-0.05	-1.56 to 0.69	0.449
Age (years)	0.03	0.07	-0.02 to 0.08	0.233
Augmentation therapy	-1.21	-0.11	-2.59 to 0.16	0.082
Occupational dust exposure	0.12	0.01	-1.00 to 1.24	0.830

Dependent variable: Δ SGRQ total-score/year**Abbreviations:** CI, confidence intervals; SGRQ, St George's Respiratory Questionnaire.

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