

ORIGINAL RESEARCH

# Time To Response In Patients With Advanced Anaplastic Lymphoma Kinase (ALK)-Positive Non-Small-Cell Lung Cancer (NSCLC) Receiving Alectinib In The Phase II NP28673 And NP28761 Studies

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Correspondence: Sai-Hong Ignatius Ou University of California, Irvine School of Medicine, 1001 Health Sciences Road, Irvine, Orange, CA 92617, USA Tel +1 714 456 8104 Email siou@uci.edu **Introduction:** Alectinib is a highly selective and potent ALK inhibitor, approved for the treatment of patients with metastatic *ALK*+ NSCLC based on results from the Phase II global NP28673 (NCT01801111) and North American NP28761 (NCT01871805) studies.

**Methods:** This exploratory analysis of two Phase II studies of alectinib (NP28673/NP28761) investigated time to systemic response (TTR) and time to central nervous system (CNS) response (TTCR) in patients with previously treated advanced anaplastic lymphoma kinase fusion gene-positive (*ALK*+) non-small-cell lung cancer. Patients (n=225) received 600 mg oral alectinib twice daily and had scans every 6/8 weeks (NP28673/NP28761).

**Results:** For NP28673 and NP28761, respectively: median follow-up was 21.3 months/17.0 months; most responders (72.6%/82.9%) responded by the first disease assessment; median TTR was 8 weeks (95% confidence interval [CI]: 8.00–8.14)/6 weeks (95% CI: 5.86–6.14); median TTCR in responders with measurable baseline CNS disease was 8 weeks (95% CI: 7.86–10.29)/6 weeks (95% CI: 5.71–not evaluable). Similar results were observed regardless of measurable/non-measurable disease.

**Discussion:** These data suggest that alectinib achieves a rapid response in patients, both systemically and in the CNS.

Keywords: alectinib, non-small-cell lung cancer, NP28673, NP28761, time to response

### Introduction

Anaplastic lymphoma kinase fusion gene-positive (*ALK*+) non-small-cell lung cancer (NSCLC) is a distinct subgroup of lung cancer, occurring in approximately 5% of patients with advanced NSCLC.<sup>1</sup> The majority of patients treated with crizotinib relapse within the first year, due to either poor penetration to the central nervous system (CNS)<sup>2</sup> or development of secondary *ALK* resistance mutations.<sup>1</sup>

Alectinib is a highly selective and potent ALK inhibitor, approved by the US Food and Drug Administration for the treatment of patients with metastatic *ALK*+ NSCLC. The approval of alectinib in patients with metastatic *ALK*+ NSCLC whose disease had progressed on, or who were intolerant to, crizotinib was based on data from the global NP28673 (NCT01801111) and North American NP28761 (NCT01871805) studies. A pooled analysis of data from these studies showed that alectinib achieved high overall

response rates (51.3%, 95% confidence interval [CI] 44.0–58.6; data cutoff February 1, 2016 [NP28673] and January 22, 2016 [NP28761]), and that responses were durable (median 14.9 months).<sup>3</sup>

Alectinib has also demonstrated consistent CNS efficacy. In a pooled analysis from NP28673 and NP28761, the CNS response rate was 64.0% (95% CI: 49.2–77.1) in patients with measurable CNS disease at baseline. The median duration of response in the CNS was 10.8 months.<sup>4</sup> The CNS disease control rate was 90.0% (95% CI: 78.2–96.7) in patients with measurable CNS disease at baseline and 86% (95% CI: 79.1–91.4) in patients with measurable and non-measurable CNS disease at baseline.<sup>5</sup>

This exploratory analysis investigated how rapidly patients achieve benefit from alectinib, in terms of time to systemic response (TTR) and time to CNS response (TTCR).

## **Methods**

NP28673 and NP28761 were Phase II, single-arm, openlabel, multicenter studies, for which full methodology has been published previously.<sup>6,7</sup> Response was assessed according to the Response Evaluation Criteria in Solid Tumors (RECIST) v1.1. Restaging scans, including brain scans, were obtained every 8 (NP28673) or 6 (NP28761) weeks. TTR and TTCR were defined, respectively, as time from date of first dose of alectinib to date of first occurrence of response in the response-evaluable (RE) population with confirmed systemic response, or in the safety population with confirmed CNS response. The studies were approved by the Institutional Review Board and the Ethics Committee of each study centre (full list available Supplementary Table 1), and were undertaken in accordance with the principles of the Declaration of Helsinki and Good Clinical Practice Guidelines. Written informed consent was obtained from all patients.

The results are presented as two separate datasets rather than a pooled analysis because TTR is driven by scanning intervals. For NP28673, we present the number of patients who responded by weeks 8 and 16, and for NP28761 the number of patients who responded by weeks 6 and 12. The data cutoffs were February 1, 2016 (NP28673) and January 22, 2016 (NP28761).

# **Results**

# **Patients**

The dataset comprised 138 patients from NP28673 and 87 patients from NP28761; the RE populations by independent

review committee (IRC) comprised 122 and 67 patients, respectively. In the RE populations, baseline CNS metastases were present in 60.7% (NP28673) and 58.2% (NP28761) of patients. In both studies, baseline characteristics were similar in confirmed responders and in the RE population (Supplementary Table 2).

# **Efficacy**

Median follow-up was 21.3 months (range 0.6–29.7) in NP28673 and 17.0 months (range 1.1–28.6) in NP28761. Time to first response, progression, and death for the patients with confirmed responses are shown in <a href="Supplementary">Supplementary</a> Figure 1A and B.

In patients with a response, median TTR by IRC was 8 weeks (95% CI: 8.00–8.14) in NP28673 (n=62; Figure 1A) and 6 weeks (95% CI: 5.86–6.14) in NP28761 (n=35; Figure 1B). Most patients achieved a response by the first assessment; 72.6% (n=45/62) in NP28673 (Week 8), and 82.9% (n=29/35) in NP28761 (Week 6). Median TTR by investigator assessment was consistent with the IRC assessment in each study (Figure 1C and D). By the second assessment (Week 16 for NP28673 and Week 12 for NP28671), 90.3% (n=56/62) of responders had achieved their response in NP28673 (Figure 1A) and 91.4% (n=32/35) in NP28671 (Figure 1B).

In responders with measurable CNS disease at baseline, median TTCR by IRC was 8 weeks (95% CI: 7.86–10.29) in NP28673 (n=20; Figure 2A) and 6 weeks (5.71–not estimable [NE]) in NP28761 (n=12; Figure 2B). Overall, 75% of patients with measurable CNS disease at baseline in both NP28673 (n=15/20 patients) and NP28761 (n=9/12 patients) achieved a CNS response by the first assessment (Week 8 and Week 6, respectively). Similar results were observed in patients with measurable and/or non-measurable CNS disease at baseline (Figure 2C and D).

In responders with measurable CNS disease at baseline and no prior radiotherapy treatment, median TTCR by IRC was 7.86 weeks (95% CI: 7.86–NE) in NP28673 (n=6; Supplementary Figure 2A) and 5.71 weeks (95% CI: 5.71–NE) in NP28761 (n=5; Supplementary Figure 2B). Most CNS responses were achieved by the first assessment (83.3% [n=5/6 patients] by Week 8 in NP28763 and 80.0% [n=4/5 patients] by Week 6 in NP28761). Similar results were observed in patients with measurable and/or non-measurable CNS disease at baseline who had not received prior radiotherapy (Supplementary Figure 2C and D).

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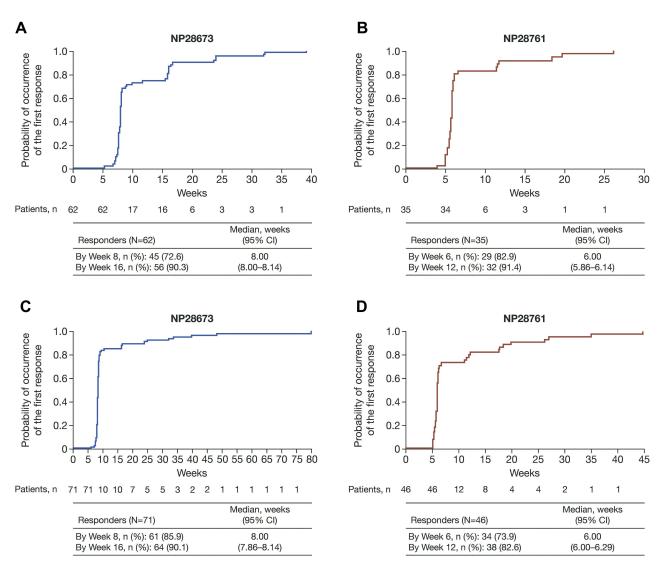


Figure 1 TTR in all responders by IRC in the (A) NP28673 study and (B) NP28761 study, and by investigator assessment in the (C) NP28673 study and (D) NP28761 study. Abbreviations: CI, confidence interval; IRC, independent review committee; TTR, time to response.

# **Discussion**

In pooled analyses from NP28673 and NP28761, alectinib showed activity against systemic and CNS disease in patients previously treated with crizotinib.<sup>3,4</sup> Alectinib demonstrates effective CNS penetration and is not a substrate for P-glycoprotein, which promotes efflux at the blood–brain barrier.<sup>8</sup> Responses in the alectinib Phase II studies were durable, lasting for longer than 1 year.<sup>3</sup> In the exploratory analyses presented here, we investigated how quickly patients can achieve benefit from alectinib. Systemic and CNS responses were rapid (median 6–8 weeks), and most patients achieved a response by the time they underwent their first scan. This trend was consistent when patients were analyzed by measurable and/or non-measurable baseline CNS disease or measurable baseline

disease only, indicating that the onset of clinical activity is not impacted by the lesion being measurable or non-measurable. It is important to note that TTR also included patients with CNS disease for whom quick response in the CNS contributed to the overall rapid systemic response.

The previously published pooled analysis from NP28673 and NP28761 showed that alectinib is also effective in patients with CNS metastases at baseline who had not received prior radiotherapy. Our exploratory analyses identified a rapid TTCR in patients with baseline CNS metastases who had not received prior radiotherapy, indicating that a lack of prior radiotherapy does not impact how rapidly these patients respond to alectinib. TTCR is critical in determining the appropriate therapy, especially for symptomatic CNS disease where radiation is considered a standard of care and

Gadgeel et al Dovepress

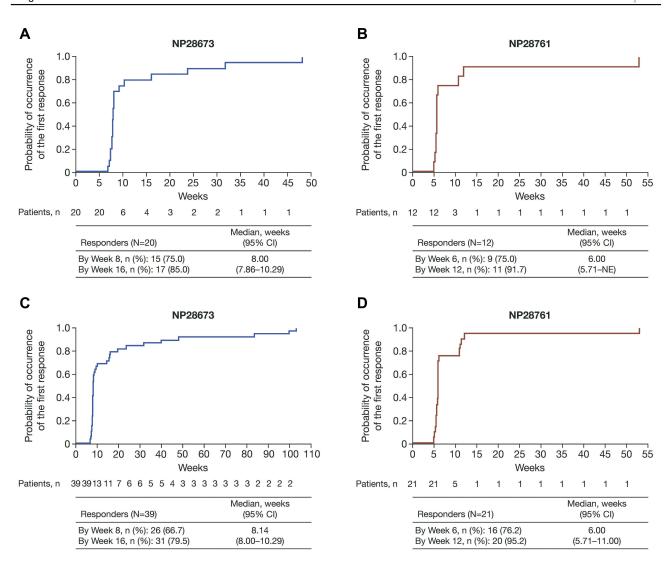


Figure 2 TTCR by IRC in patients with measurable CNS disease at baseline and confirmed CNS responses in the (A) NP28673 study and (B) NP28761 study, and in patients with measurable and/or non-measurable CNS disease at baseline and confirmed CNS responses in the (C) NP28673 study and (D) NP28761 study.

Abbreviations: CI, confidence interval; CNS, central nervous system; IRC, independent review committee; NE, not evaluable; TTCR, time to CNS response.

is associated with rapid symptomatic improvement. While our analysis is limited by the timing of radiographic assessments, future studies incorporating both clinical symptom assessment and imaging at earlier time points may be helpful to determine whether alectinib TTR can be confirmed within an earlier timeframe, which would aid the management of symptomatic CNS metastases. The documented penetration and activity of alectinib in the CNS suggest that it may be possible to substitute alectinib for radiation in some circumstances.

These exploratory analyses have some limitations, which should be considered when interpreting the data. Patient numbers for some of the subgroup analyses are small, and the data should therefore be interpreted with caution. In addition, pseudoprogression is a well-defined phenomenon

that can occur within 3 months of radiotherapy completion due to radiation necrosis. However, it is not possible to account for pseudoprogression in NSCLC metastases in the CNS, as the current RECIST criteria lack an outline for determining pseudoprogression in non-primary CNS solid tumors. In the NP28673 and NP28761 studies, 20% and 53% of patients, respectively, were enrolled less than 6 months after completing radiotherapy, 4,6,7 so it is possible that some patients identified as having disease progression may actually have had pseudoprogression.

Three Phase III studies have demonstrated superiority of alectinib compared with crizotinib in patients with either treatment-naïve or ALK inhibitor-naïve *ALK*+ NSCLC (treatment-naïve in ALEX [NCT02075840] and ALESIA [NCT028384 20]; ALK inhibitor-naïve in J-ALEX [JapicCTI-132316]). 9-11

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All three studies included patients with untreated baseline CNS metastases, and all demonstrated prolonged PFS with alectinib versus crizotinib (median PFS ALEX, 34.8 months vs 10.9 months; ALESIA, NE months vs 11.1 months; 10 J-ALEX, 34.1 months vs 10.2 months).

Alectinib also consistently demonstrated superior efficacy in the CNS versus crizotinib across all three first-line studies. In ALEX, the hazard ratio (HR) for time to CNS progression, without prior non-CNS progression, was significantly longer with alectinib versus crizotinib; HR 0.18 (95% CI: 0.09–0.36) in patients with baseline CNS metastases, and 0.14 (95% CI: 0.06–0.33) in patients without baseline CNS metastases. <sup>12</sup> In ALESIA, alectinib significantly decreased the risk of CNS progression without prior non-CNS progression compared with crizotinib (causespecific HR 0.14; 95% CI 0.06–0.30). <sup>10</sup>

In J-ALEX, alectinib demonstrated superiority to crizotinib in preventing the onset of CNS metastases (HR 0.19, 95% CI 0.07–0.53) and in patients with brain metastases at baseline, prevented CNS progression compared with crizotinib (HR 0.51, 95% CI: 0.16–1.64).<sup>13</sup>

Median PFS in patients with CNS metastases at baseline was superior for alectinib versus crizotinib in all three trials (ALEX, 27.7 months [95% CI: 9.2-NE] for alectinib versus 7.4 months [95% CI: 6.6-9.6] for crizotinib [HR 0.35; 95% CI: 0.22–0.56]; ALESIA, NE months for alectinib versus 9.2 months for crizotinib [HR 0.11; 95% CI: 0.05–0.28]; 10 J-ALEX, 25.9 months [95% CI: 17.5–NE] for alectinib versus 10.3 months [95% CI: 6.5-14.2] for crizotinib [HR 0.47; 95% CI: 0.19–1.18]). 13 In patients without baseline CNS metastases, median PFS for alectinib was also superior for alectinib in all three studies (ALEX, 34.8 months [95% CI: 22.4–NE] for alectinib versus 14.7 months [95% CI: 10.8-20.3] for crizotinib [HR 0.47; 95% CI: 0.32-0.71]; ALESIA, 20.3 months for alectinib versus 12.7 months for crizotinib [HR 0.34; 95% CI: 0.18–0.65]; 10 J-ALEX, NE months [95% CI: 20.3-NE] for alectinib versus 10.2 months [95% CI: 8.3-12.1] for crizotinib [HR 0.36; 95% CI: 0.23–0.56]). 13

These data suggest that many patients could be spared the toxicity of radiation by using a targeted therapy, such as alectinib, that is effective both systemically and in the CNS.

In summary, the data reported here demonstrate that alectinib can achieve a rapid response in both untreated and previously treated patients with *ALK*+ NSCLC, both systemically and in the CNS. Further investigation into the early clinical benefit (<6 weeks) is warranted to evaluate alectinib for the initial treatment of CNS metastases and the potential for sparing radiation therapy.

# **Data Sharing**

Qualified researchers may request access to individual patient-level data through the clinical study data request platform (www.clinicalstudydatarequest.com). Further details on Roche's criteria for eligible studies are available here (https://clinicalstudydatarequest.com/Study-Sponsors/Study-Sponsors-Roche.aspx). For further details on Roche's Global Policy on the Sharing of Clinical Information and how to request access to related clinical study documents, see here.

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# **Author Contributions**

All authors contributed to data analysis, drafting and revising the article, gave final approval of the version to be published, and agree to be accountable for all aspects of the work.

# **Disclosure**

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