Preoperative sarcopenia and post-operative accelerated muscle loss negatively impact survival after resection of pancreatic cancer

Moon Hyung Choi^{1,2}, Seung Bae Yoon^{1,3*}, Kyungjin Lee³, Meiying Song^{1,3}, In Seok Lee^{1,3}, Myung Ah Lee^{1,3}, Tae Ho Hong^{1,4} & Myung-Gyu Choi³

¹Cancer Research Institute, College of Medicine, The Catholic University of Korea, Seoul, Korea, ²Department of Radiology, College of Medicine, The Catholic University of Korea, Seoul, Korea, ³Department of Internal Medicine, College of Medicine, The Catholic University of Korea, Seoul, Korea, ⁴Department of Surgery, College of Medicine, The Catholic University of Korea, Seoul, Korea

Abstract

Background Sarcopenia and post-operative accelerated muscle loss leading to cachexia are commonly observed in patients with pancreatic cancer. This study aimed to assess the influence of body compositions and post-operative muscle change on survival of patients with surgically treated pancreatic cancer.

Methods We analysed data of patients diagnosed with pancreatic adenocarcinoma who underwent surgery from 2008 to 2015. Skeletal muscle areas, muscle attenuation, and visceral and subcutaneous adipose tissue areas were measured from two sets of computed tomography images at L3 vertebral levels. In addition, muscle change was calculated from images obtained before and after cancer resection. We set our own cut-off values of various body compositions based on sex-specific tertiles.

Results A total of 180 patients were analysed. Patients with perioperative sarcopenia (n = 60) showed poorer overall survival than those without perioperative sarcopenia (P = 0.031). Fifty (28.6%) patients with accelerated muscle loss after surgery (>10%/60 days) had poorer survival compared with the others (P = 0.029). Sarcopenia (hazard ratio, 1.79: 95% confidence interval, 1.20–2.65] and post-operative muscle change (%/60 days) (hazard ratio, 0.94: 95% confidence interval, 0.92–0.96) were identified as significant predictors of survival on multivariable analyses.

Conclusions Preoperative sarcopenia identified on CT scan was associated with poor overall survival in patients with pancreatic cancer following surgery. Accelerated muscle loss after surgery also negatively impacted survival in pancreatic cancer patients.

Keywords Sarcopenia; Muscle loss; Pancreatic cancer; Pancreatectomy; Survival

Received: 20 June 2017; Accepted: 14 November 2017

*Correspondence to: Seung Bae Yoon, MD, PhD., Division of Gastroenterology, Department of Internal Medicine, College of Medicine, The Catholic University of Korea, 222 Banpo-daero, Seocho-gu, Seoul 06591, Korea. Email: sbyoon@catholic.ac.kr

Introduction

Pancreatic cancer is the 7th leading cause of cancer-related death across both genders worldwide.¹ Pancreatic cancer is an aggressive malignancy with a dismal 5-year survival of about 5%.² Surgical resection remains the only curative option for treatment of localized pancreatic cancer.^{3,4} Although operative techniques and perioperative care have improved over the past several decades, prognosis following surgery

for pancreatic cancer remains poor.^{5–7} Previous studies on prognosis following pancreatic cancer resection have focused mainly on tumour-specific factors such as nodal metastasis, margin involvement, and histologic grade;^{8–10} however, the prognosis may be related not only to tumour-specific factors but also individual patient factors such as medical comorbidities, performance status, and body compositions.

In recent years, there has been increasing interest in the influence various body compositions on outcomes of oncology

^{© 2018} The Authors. Journal of Cachexia, Sarcopenia and Muscle published by John Wiley & Sons Ltd on behalf of the Society on Sarcopenia, Cachexia and Wasting Disorders This is an open access article under the terms of the Creative Commons Attribution-NonCommercial License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited and is not used for commercial purposes.

patients. Emerging reports suggest that sarcopenia is associated with poor prognosis in many cancers.^{11–13} There have been a few studies showing that preoperative sarcopenia is associated with decreased survival in patients with pancreatic cancer following surgery.^{14–16} Some recent reports also suggested that visceral obesity and increased fat content in muscle tissue impact negative clinical outcome in pancreatic cancer.^{17,18}

Many patients with pancreatic cancer are not only malnourished prior to surgical resection but also have significant weight loss post-operatively.¹⁹ A previous study reported a post-operative weight loss of approximately 10% in patients who underwent pancreatectomy.²⁰ However, post-operative muscle changes and their effects on survival in pancreatic cancer patients have not been well studied. Initiation of adjuvant chemotherapy is usually recommended between 3 to 10 weeks after surgery.^{6,7} Thus, we hypothesized that accelerated muscle loss during this period would impact long-term survival after pancreatic cancer surgery.

The aim of this study was to assess the impact of body compositions and post-operative muscle change on longterm survival after pancreatic cancer resection.

Materials and methods

Patients and data collection

Patients who underwent curative surgery for pancreatic cancer between 2008 and 2015 at Seoul St. Mary's Hospital, Seoul, Korea, were retrospectively analysed. Patients diagnosed with pancreatic adenocarcinoma after either pancreaticoduodenectomy or distal pancreatectomy were included in this study. Exclusion criteria for the study were (i) pancreatic neuroendocrine tumour, (ii) patients undergoing palliative surgery, and (iii) cases without initial CT scans.

Clinical data were collected including demographics, preoperative body mass index (BMI), type of operation, adjuvant chemotherapy, presence of diabetes, haemoglobin, albumin, and carbohydrate antigen 19-9 (CA19-9) level. Pathologic data included tumour stage, tumour size, tumour grade, resection margin, lymphatic invasion, vascular invasion, and perineural invasion. Post-operative complications were classified according to the Clavien–Dindo classification with major complications being defined as grade $\geq 3.^{21}$ Data on recurrence and mortality were also collected. The primary outcome of the study was overall survival after surgical resection of pancreatic cancer. The institutional review board approved this study (KC16RISI0963).

Computed tomography-based image analysis

Initial and follow-up CT images were retrospectively retrieved from a picture arching and communication system for analysis.

A radiologist (MH.C), blinded to patient information, measured the total abdominal muscle area (TAMA) from two consecutive axial CT slices at the level of the L3 vertebral body. The TAMA included the psoas, paraspinal, and abdominal wall muscles and excluded intra-abdominal visceral muscles. Measurements were performed in a semi-automated fashion with manual outlining of the skeletal muscle border. The density window setting was between -29 and +150 Hounsfield unit (HU) values, and the results from the two images were then averaged.^{22,23} The cross-sectional TAMA value was normalized for height as is conventional for BMI, and the value was labelled skeletal mass index. The radiation attenuation for skeletal muscle was assessed by calculating the average HU value of the muscle area within the range between -29 and +150 HU. Visceral adipose tissue (VAT) and subcutaneous adipose tissue (SAT) areas were also measured automatically using Aquarius Workstation software (TeraRecon Inc., San Mateo, CA, USA). The windows for VAT and SAT were -150 to -50 and -190 to -30 HUs, respectively. The area of VAT and SAT were also corrected for stature to calculate VAT and SAT indexes.

Because body composition varies among ethnicities and comorbidities, we set our own cut-off values for our cohort based on sex-specific tertiles. Cut-off values were set at the lowest tertile for skeletal muscle index and radiation attenuation, and at the highest tertile for VAT and SAT index.

Post-operative skeletal muscle change was assessed based on the difference between the initial and follow-up CT scan, which was performed prior to the first adjuvant chemotherapy session. For patients who did not receive adjuvant chemotherapy, muscle change was analysed by the CT performed around 2 months after surgery. The percent change in TAMA between the first and follow-up scans were then calculated as the percent change per 60 days to provide a standardized unit to allow comparisons between patients.

% change of TAMA/60 days

= [(TAMA at follow-up CT — TAMA at initial CT) × 60 days × 100]

/ [TAMA at initial CT \times time interval (days) between CT scans].

Patients with a muscle loss or gain more than 10% per 60 days were classified as 'muscle losers' or 'muscle gainers', respectively. Those who had a muscle change within 10% per 60 days were classified as group of 'No significant muscle change'.

Statistical analysis

Continuous data are presented as the mean \pm SD, and categorical data are presented as the quantity and proportion. Descriptive statistics were used to analyse the baseline characteristics of the study population. Characteristics and variables between the sarcopenia and non-sarcopenia groups were compared using a two-sample independent *t*-test for numerical variables and a Pearson χ^2 test for nominal variables. Overall survival after surgical resection of pancreatic cancer was determined using the Kaplan-Meier method, and the differences among groups were compared by the logrank test. Survival analysis was performed separately in patients who underwent pancreaticoduodenectomy and distal pancreatectomy. The impact of preoperative sarcopenia and muscle change on overall survival was examined using Cox proportional hazard models. First, multivariable Cox regression analysis was performed with all potential variables that were selected based on a clinical point of view. Then, variables not significantly contributing to the fit of the model were removed from the model using a backward selection method. Statistical analysis was performed using the SPSS 24.0 software (SPSS Inc., Chicago, IL, USA) and R software (version 2.6.2, R foundation for Statistical Computing, Vienna, Austria). Statistical significance was defined as P < 0.05.

Results

Study population.

A total of 223 patients underwent surgery for pancreatic cancer during the study period. Of these, 23 patients had pancreatic neuroendocrine tumours, 7 patients underwent surgery for the purpose of palliative therapy, and 13 patients had

Table 1 Patient and tumour characteristics

Baseline characteristics of study patients (n = 180) are shown in Table 1. The mean age was 64.4 ± 9.3 years and there were 98 (54.4%) males and 82 (45.6%) females. The majority of tumours were stage II (87.8%), with a mean tumour size of 3.7 ± 1.8 cm. Surgical procedures consisted of pancreaticoduodenectomy (72.2%) and distal pancreatectomy (27.8%), and surgical margins were negative (R0) in 116 (64.4%) patients. Lymphatic, vascular and perineural invasion were observed in 103 (57.2%), 64 (35.6%), and 152 (84.4%) patients, respectively. Adjuvant chemotherapy was administered to 151 (86.3%) patients. Means and sex-specific cut-off values for all body composition parameters measured by CT scan are shown in Table 2.

Comparison of characteristics of patients with and without preoperative sarcopenia

Table 1 summarizes comparisons of baseline characteristics between patients with and without preoperative sarcopenia.

| Parameters | Total (<i>n</i> = 180) | Sarcopenia ($n = 60$) | No sarcopenia ($n = 120$) | Р |
|---|-------------------------|-------------------------|-----------------------------|---------|
| Patient Characteristics | | | | |
| Age, years | 64.4±9.3 | 65.4±9.7 | 63.9 ± 9.1 | 0.282 |
| Sex, male (%) | 98 (54.4%) | 33 (55.0%) | 65 (54.2%) | 0.916 |
| Preoperative body mass index (kg/m ²) | 22.9 ± 3.3 | 20.9 ± 2.2 | 23.9 ± 3.3 | < 0.001 |
| Adjuvant chemotherapy (%) | 152 (84.4%) | 50 (83.3%) | 102 (85.0%) | 0.771 |
| Diabetic on diagnosis (%) | 53 (29.4%) | 15 (25.0%) | 38 (31.7%) | 0.355 |
| Haemoglobin (g/dL) | 11.9±1.5 | 11.6 ± 1.5 | 12.1 ± 1.4 | 0.031 |
| Albumin (g/dL) | 3.2±0.8 | 3.2 ± 1.1 | 3.2 ± 0.7 | 0.723 |
| CA 19-9, median (IQR), (U/mL) | 93 (23–344) | 103 (34–354) | 89 (21–342) | 0.417 |
| Tumour Characteristics | | | | |
| Tumour size, cm | 3.7 ± 1.8 | 3.8 ± 2.1 | 3.6 ± 1.6 | 0.381 |
| Stage of tumour | | | | 0.702 |
| Stage I (%) | 14 (7.8%) | 4 (6.7%) | 10 (8.3%) | |
| Stage IIa (%) | 56 (31.1%) | 17 (28.3%) | 39 (32.5%) | |
| Stage IIb (%) | 102 (56.7%) | 35 (58.3%) | 67 (55.8%) | |
| Stage III (%) | 8 (4.4%) | 4 (6.7%) | 4 (3.3%) | |
| Grade of tumour | | | | 0.894 |
| Well differentiated (%) | 22 (12.2%) | 9 (15.0%) | 15 (12.5%) | |
| Moderately differentiated (%) | 134 (74.4%) | 44 (73.3%) | 90 (75.0%) | |
| Poorly differentiated (%) | 24 (13.3%) | 7 (11.7%) | 15 (12.5%) | |
| Type of operation | | | | 0.638 |
| Pancreaticoduodenectomy (%) | 130 (72.2%) | 42 (70.0%) | 88 (73.3%) | |
| Distal pancreatectomy (%) | 50 (28.8%) | 18 (30.0%) | 32 (26.7%) | |
| Resection margin | | | | 0.441 |
| R0 resection (%) | 116 (64.6%) | 41 (68.3%) | 75 (62.5%) | |
| R1 resection (%) | 64 (35.4%) | 19 (31.7%) | 45 (37.5%) | |
| Lymphatic invasion (%) | 103 (57.2%) | 34 (56.7%) | 69 (57.5%) | 0.915 |
| Vascular invasion (%) | 64 (35.6%) | 23 (38.3%) | 41 (34.2%) | 0.582 |
| Perineural invasion (%) | 152 (84.4%) | 48 (80.0%) | 104 (86.7%) | 0.245 |

IQR, interquartile ranges.

| Table 2 | Means and | sex-specific | cut-off \ | values ⁻ | for all | body | composition | measurements | at the | level of | third | vertebra | body |
|---------|-----------|--------------|-----------|---------------------|---------|------|-------------|--------------|--------|----------|-------|----------|------|
| | | | | | | | | | | | | | |

| | Male (n = | = 98) | Female (<i>n</i> | = 82) | Total $(n = 180)$ | |
|--|--|------------------------------|--|------------------------------|--|--|
| | Mean (SD) | Cut-off | Mean (SD) | Cut-off | Mean (SD) | |
| Skeletal muscle index (cm ² /m ²) Radiation attenuation (HU) Visceral adipose tissue index (cm ² /m ²) Subcutaneous adipose tissue index (cm ² /m ²) | 49.4 (7.7) 43.9 (7.4) 38.9 (24.0) 28.9 (15.2) | 45.3 40.8 48.2 33.3 | 40.9 (6.2) 37.3 (7.3) 36.6 (20.7) 57.3 (22.7) | 39.3 33.9 43.4 66.0 | 45.5 (8.2) 40.9 (8.0) 37.8 (22.5) 41.8 (23.6) | |

Sex-specific cut-off values set at the lowest tertile for skeletal muscle index and radiation attenuation, and at the highest tertile for visceral and subcutaneous adipose tissue index. HU, Hounsfield units; SD, standard deviation.

| Table 3 | Operative | variables | and | complications | following | pancreatic | cancer | surgery |
|---------|-----------|-----------|-----|---------------|-----------|------------|--------|---------|
|---------|-----------|-----------|-----|---------------|-----------|------------|--------|---------|

| Parameters | Total (<i>n</i> = 180) | Sarcopenia ($n = 60$) | No sarcopenia (n = 180) | Р |
|---|------------------------------------|-------------------------|-------------------------|-------|
| Operation time, minute | 323 ± 101 | 315 ± 101 | 328 ± 101 | 0.421 |
| Perioperative blood transfusion (%) | 120 (66.7%) | 41 (68.3%) | 79 (65%) | 0.429 |
| Length of hospital stay after surgery, days | 16.7 [±] 9.9 [′] | 15.6 ± 7.9 | 17.2 ± 10.8 | 0.303 |
| Length of ICU care, days | 2.3 ± 2.1 | 2.4 ± 3.1 | 2.3 ± 1.3 | 0.574 |
| Any complication (%) | 95 (52.8%) | 34 (56.7%) | 61 (50.8%) | 0.460 |
| Major grade III-IV complication (%) | 20 (11.1%) | 5 (8.3%) | 15 (12.5%) | 0.402 |

ICU, intensive care unit; NA, not available.

Preoperative BMI was lower in the sarcopenia group compared with the non-sarcopenia group (20.9 \pm 2.2 vs. 23.9 \pm 3.3, P < 0.001). Age, percentage of patients receiving adjuvant chemotherapy, presence of diabetes, haemoglobin and albumin levels, and tumour characteristics (including size, stage, grade, and margin status) were not significantly different between the two groups.

Post-operative complications

The overall and major post-operative complication rates were 52.8% and 11.1% in entire patients. There were no significant differences of the overall and major post-operative complication rates between sarcopenic (56.7% and 8.3%) and non-sarcopenic patients (50.8% and 12.5%) (Table 3). Operation time, perioperative transfusion rate, and length of hospital or intensive care unit care after surgery were not significantly different between the two groups. Lower muscle attenuation and higher VAT and SAT index also were not associated with any post-operative complications.

Impact of preoperative body compositions on survival after resection of pancreatic cancer

The life table of all patients is shown in Table 4. The median overall survival after surgery for the entire cohort was 18.0 months. Overall 1-, 3-, and 5-year survival was 67.3%, 23.9%, and 16.0%, respectively. Patients with perioperative sarcopenia showed poorer overall survival than those without perioperative sarcopenia (P = 0.031 by the log-rank test, *Figure* 1A). Median survival after pancreatic cancer surgery for sarcopenic and non-sarcopenic patients was 13.9 and

Table 4 Life table for pancreatic cancer patients following surgery

| Interval | No. alive at start of interval | No. of deaths during interval | No. censored |
|----------------|--------------------------------|----------------------------------|-----------------|
| 0–30 days | 180 | 0 | 0 |
| 30–90 days | 180 | 4 | 2 |
| 90 days–1 year | 174 | 53 | 16 |
| 1–2 years | 105 | 46 | 19 |
| 2–3 years | 42 | 10 | 8 |
| 3–4 years | 24 | 7 | 7 |
| 4–5 years | 10 | 0 | 0 |

21.9 months, respectively. When only patients with pancreaticoduodenctomy were analysed, patients with sarcopenia showed poorer overall survival than those without sarcopenia (*Figure* 1B, P = 0.014). Meanwhile, in patients with distal pancreatectomy, there were no difference in survival rates between the two groups (*Figure* 1C, P = 0.721). Recurrence-free survival was not significantly different between patients with and without sarcopenia.

Lower muscle attenuation (*Figure* 1D, P = 0.817), higher VAT index (*Figure* 1E, P = 0.810), and higher SAT index (*Figure* 1F, P = 0.237) were not related to poorer overall survival. Also, recurrence-free survivals were not associated with muscle attenuation, VAT index, and SAT index.

Muscle changes after resection of pancreatic cancer

Five of the 180 enrolled patients died or were lost to followup within 60 days without follow-up CT scan. Among the 175 patients with a follow-up CT scan, the median duration Figure 1 (A) Overall survival curves after operation according to skeletal mass index; (B) overall survival curves after operation according to skeletal mass index in patients undergoing pancreaticoduodenectomy; (C) overall survival curves after operation according to skeletal mass index in patients undergoing distal pancreatectomy; (D) overall survival curves after operation according to skeletal muscle attenuation; (E) overall survival curves after operation according to skeletal muscle attenuation; (E) overall survival curves after operation according to subcutaneous adipose tissue index; and (F) overall survival curves after operation according to subcutaneous adipose tissue index. VAT, visceral adipose tissue; SAT, subcutaneous adipose tissue.



| Table 5 | Characteristics | of | patients | according | to | postoperative | muscle | е с | hange |
|---------|-----------------|----|----------|-----------|----|---------------|--------|-----|-------|
|---------|-----------------|----|----------|-----------|----|---------------|--------|-----|-------|

| Parameters | Muscle-losers $(n = 50)$ | No significant muscle change ($n = 116$) | Muscle-gainers $(n = 9)$ | Р |
|---|--------------------------|--|--------------------------|-------|
| Age, years | 62.8 ± 10.7 | 65.3 ± 8.1 | 62.0 ± 9.1 | 0.157 |
| Sex, male (%) | 30 (60.0%) | 52 (44.8%) | 5 (55.6%) | 0.118 |
| Preoperative sarcopenia (%) | 11 (22.0%) | 44 (37.9%) | 4 (44.4%) | 0.108 |
| Diabetic on diagnosis (%) | 14 (28.0%) | 38 (32.8%) | 1 (11.1%) | 0.363 |
| CA 19-9, median (IQR), (U/mL) | 120 (40–515) | 84 (24–328) | 28 (7–56) | 0.033 |
| Stage of tumour | | | | 0.552 |
| Stage I (%) | 2 (4.0%) | 11 (9.5%) | 1 (7.5%) | |
| Stage IIa (%) | 19 (38.0%) | 33 (28.4%) | 3 (33.3%) | |
| Stage IIb (%) | 25 (50.0%) | 68 (58.6%) | 5 (55.6%) | |
| Stage III (%) | 4 (8.0%) | 4 (3.4%) | 0 (0%) | |
| Type of operation | | | | 0.216 |
| Pancreaticoduodenectomy (%) | 40 (80.0%) | 81 (69.8%) | 5 (55.6%) | |
| Distal pancreatectomy (%) | 10 (20.0%) | 35 (30.2%) | 4 (44.4%) | |
| Resection margin | | | | 0.771 |
| R0 resection (%) | 33 (66.0%) | 73 (62.9%) | 7 (77.8%) | |
| R1 resection (%) | 17 (34.0%) | 43 (37.1%) | 2 (22.2%) | |
| Operation time, minute | 339 ± 91 | 322 ± 106 | 271 ± 93 | 0.193 |
| Length of hospital stay after surgery, days | 19.7 ± 14.0 | 15.6 ± 7.5 | 12.2 ± 4.4 | 0.019 |
| Length of ICU care, days | 3.0 ± 3.6 | 2.0 ± 0.8 | 1.7 ± 0.7 | 0.013 |
| Any complication (%) | 30 (60.0%) | 58 (50.0%) | 4 (44.4%) | 0.438 |
| Major grade III-IV complication (%) | 9 (18.0%) | 9 (7.8%) | 1 (11.1%) | 0.151 |

IQR, interquartile ranges.

between the initial and follow-up CT was 62 [interquartile range (IQR), 48–77] days.

Fifty patients (28.6%) had decreased muscle mass and 9 (5.1%) patients had increased muscle mass after surgery. The remaining 116 (66.3%) did not show a significant post-operative muscle change. Characteristics of patients according to post-operative muscle change are shown in Table 5. Median CA19-9 level was higher in muscle-losers [120,





interquartile ranges (IQR) 40–515 U/mL] than patients without significant muscle change (84, IQR 24–328) and musclegainers (28, IQR 7–56). Length of hospital stay and ICU care after surgery were also longer in muscle-losers (19.7 \pm 14.0 and 3.0 \pm 3.6 days) compared with patients without significant muscle change (15.6 \pm 7.5 and 2.0 \pm 0.8) and musclegainers (12.2 \pm 4.4 and 1.7 \pm 0.7).

There was a significant difference in overall survival according to the post-operative muscle change (P = 0.029 by the log-rank test, *Figure* 2). Median survival for muscle-losers, patient without significant muscle change, and muscle-gainers were 13.9, 19.1, and 28.8 months, respectively.

Cox regression analyses

The total number of deaths for all 180 patients was 122. Known sample rule suggests that the maximal number of predictors within Cox regression model in our study should be 12. Age, sex, preoperative sarcopenia, post-operative muscle change (%/60 days), tumour size, nodal status, histologic differentiation, type of surgery, resection status, post-operative complication, and hospitalization period were selected as possible predictors. Cox proportional hazards models for overall survival after resection of pancreatic cancer are summarized in Table 6. Multivariable Cox analysis including all predictors showed that preoperative sarcopenia [hazard ratio (HR), 1.68; 95% confidence intervals (CI), 1.18–2.68] and postoperative muscle change (%/60 days) (HR, 0.94; 95% CI, 0.92– 0.97) significantly associated with overall survival after pancreatic cancer surgery. On multivariable analysis using a

| | Multivariable a including all possible | nalysis e predictors | Multivariable analysis using a backward selection method | | |
|--|---|-------------------------|---|---------|--|
| Factor | HR (95% CI) | P-value | HR (95% CI) | P-value | |
| Age | 1.01 (0.99–1.03) | 0.602 | | _ | |
| Male | 1.33 (0.91–2.00) | 0.139 | | _ | |
| Preoperative sarcopenia | 1.78 (1.18–2.68) | 0.006 | 1.79 (1.20–2.65) | 0.004 | |
| Post-operative muscle change (%/60 days) | 0.94 (0.92-0.97) | < 0.001 | 0.94 (0.92-0.96) | < 0.001 | |
| Adjuvant chemotherapy | 0.35 (0.20-0.61) | < 0.001 | 0.36 (0.22-0.62) | < 0.001 | |
| Tumour size (cm) | 1.09 (0.96–1.23) | 0.172 | | _ | |
| Lymph node metastasis | 2.10 (1.39–3.18) | < 0.001 | 2.02 (1.36–2.99) | < 0.001 | |
| Poorly differentiated | 2.38 (1.38-4.14) | 0.002 | 2.46 (1.45-4.12) | 0.001 | |
| Pancreaticoduodenectomy | 1.00 (0.65–1.53) | 0.999 | | _ | |
| R1 resection | 1.34 (0.90-2.01) | 0.150 | 1.44 (0.98–2.14) | 0.067 | |
| Major grade III–IV complication | 1.44 (0.75–2.76) | 0.275 | | _ | |
| Hospitalization period (days) | 0.99 (0.97–1.01) | 0.993 | — | — | |

Table 6 Cox proportional hazards models for overall survival after resection of pancreatic adenocarcinoma

HR, hazard ratio; CI, confidence interval.

backward selection method, preoperative sarcopenia (HR, 1.79; 95% CI, 1.20–2.65), post-operative muscle change (HR, 0.94; 95% CI, 0.92–0.96), adjuvant chemotherapy (HR, 0.36; 95% CI, 0.22–0.62), nodal metastasis (HR, 2.02; 95% CI, 1.36–2.99), and poor histological differentiation (HR, 2.46; 95% CI, 1.45–4.12) remained as significant risk factors for poorer overall survival after pancreatic cancer surgery.

Discussion

In this study, preoperative sarcopenia was associated with poor overall survival in patients with pancreatic cancer following surgery. Additionally, accelerated muscle loss after surgery negatively impacted survival in pancreatic cancer patients.

In our present study, CT scans were used to quantify skeletal muscle mass. All patients with pancreatic cancer routinely undergo CT scanning for staging prior to surgery and chemotherapy; therefore, no additional radiation exposure or extra cost was incurred in our evaluation of sarcopenia. CT has a high degree of validity in determining body composition analysis and is the current gold standard method for estimating fat or muscle volumes.²⁴

Among many definitions of sarcopenia, the cut-off values for sex-specific skeletal muscle index published by Prado *et al.*¹¹ have been the most widely used in recent studies.^{25,26} However, these cut-offs were derived from Canadian patients with respiratory or gastrointestinal tract cancer. These cut-off values of sarcopenia for male and female are 52.4 and 38.5 cm²/m², respectively. Compared with our own cutoff values based on sex-specific tertiles (45.3 cm²/m² in male and 39.3 cm²/m² in female), the cut-off value from Canadian Cohort is significantly higher in male. If the cut-off value by Prado *et al.* is applied to our patient population, more than two-thirds of males are classified as sarcopenia. On the other hand, in the Dutch cohort of patients with resectable pancreatic head cancer,¹⁶ the cut-off values of sarcopenia (45.1 cm²/ m² in male and 36.9 cm²/m² in female) were similar to those of our patients. However, the means of VAT and SAT index in male were significantly higher in the Dutch cohort (68.2 and 49.8 cm²/m²) than those in our cohort (48.2 and 33.3 cm²/ m²). Because various body compositions vary widely in sex, race, and underlying disease, it is important to identify and set appropriate cut-off values for their own patient population.

The adverse effect of sarcopenia on survival was patients more pronounced in who underwent pancreaticoduodenectomy than those who underwent distal pancreatectomy. Patients with pancreaticoduodenectomy who had relatively more complications and longer hospital stay might be more vulnerable to the negative effects of sarcopenia. Meanwhile, visceral obesity had little effect on survival and complications in our study, presumably because VAT index in Korean patients was significantly lower than that of Western patients. In Korean patients with pancreatic cancer, severe accumulation of visceral fat that adversely affects prognosis may be not common.

Two recent studies evaluated the association between sarcopenia and long-term survival in pancreatic cancer patients undergoing resection and showed contradictory results.^{14,27} One study showed that patients with sarcopenia had increased risk of long-term mortality, whereas the other study found no association between sarcopenia and poor survival. In these previous studies, only the psoas muscle area was measured in assessing the presence or absence of sarcopenia. Psoas muscle is not symmetrical in shape and includes only low proportion of total trunk muscles (<10%). Psoas-only approach showed high measurement error with a large variance and failed to predict the clinical outcome of overall survival in cancer patients.^{28,29} We measured the total muscle area at the L3 vertebral level including the paraspinal and abdominal wall muscles, as well as the psoas muscle. Our

method is more validated and widely used for patients with cancer.^{26,30,31} Recently, it has been reported that measuring not only trunk muscles but also appendicular muscles by ultrasound helps to predict whole lean body mass.^{32,33} It is expected that the method of measuring whole muscles through CT scan will be realized soon.³⁴

The other strength of our study is that muscle areas were measured before and after surgery to identify muscle change following surgery. Hospitalization itself is associated with reduced caloric intake, lack of exercise, or prolonged bed rest and can lead to decrease in muscle mass. In a recent study, among general elderly patients without sarcopenia at admission, 14.7% developed sarcopenia during hospitalization.³⁵ Pancreatic surgery is a complicated procedure that leaves an altered gastrointestinal anatomy. Therefore, muscle loss after surgery for pancreatic cancer was expected to be greater than for general hospitalized patients. In our study, 28.6% of patients showed significant muscle loss of more than 10% over 60 days. Consequently, accelerated muscle loss after surgery led to poor long-term survival.

The mechanism that links sarcopenia with poor survival in pancreatic cancer patients has not been clarified. In our study, preoperative sarcopenia only affected overall survival, not recurrence-free survival, which suggests that sarcopenia does not have a direct impact on tumour biology, but rather influences survival as a patient-related factor. Reduced muscle mass can cause aberrant energy homeostasis, impaired cell growth, and immune dysfunction, leading to decreased survival.^{36,37} Another disadvantage of sarcopenia is that chemotherapy may be insufficiently administrated due to toxicity. Most patients with pancreatic cancer receive chemotherapy in the adjuvant or palliative setting. Because the dose of anticancer drugs is generally determined by body weight or body surface area, chemotherapy toxicities can occur more frequently in patients with sarcopenia.^{30,38}

The factors associated with post-operative accelerated muscle loss were length of hospital stay and ICU care and preoperative CA19-9 levels. The fact that periods of hospital stay and ICU care were associated with muscle loss after surgery was consistent with a previous study conducted in general hospitalized patients.³⁵ Physical inactivity and malnutrition during hospital stay may have a negative synergic effect on muscle protein synthesis. Interestingly, preoperative CA19-9 also was associated with muscle loss after surgery. High preoperative CA19-9 may reflect aggressiveness of tumour biology or microscopic residual tumour burden after

surgery. The change in skeletal muscle after surgery for pancreatic cancer is thought to be influenced by both patientrelated and tumour-related factors.

According to a recent phase II trial, a multimodal intervention including polyunsaturated fatty acid nutritional supplements, exercise, and anti-inflammatory medication was feasible and safe in patient with inoperable pancreatic and lung cancer.³⁹ In addition, the positive effect of multimodal intervention on weight suggested that cachexia is not an inevitable consequence and can be prevented in cancer patients. Nutritional counselling and oral nutritional supplements or non-steroidal anti-inflammatory also can be interventional options for cachexia.^{40,41} The effects of multimodal interventions on cancer cachexia will be demonstrated in an ongoing large phase III trial.

There were some limitations to our study. First, given its retrospective nature, we were unable to identify a causal relationship between sarcopenia and poor survival, and only revealed an association between them. Second, our study included only East Asian (Korean) individuals. Further studies on muscle change after surgery for pancreatic cancer in Western patients would be helpful to elaborate and generalize the findings of our study.

We have demonstrated that preoperative sarcopenia and post-operative accelerated muscle loss are major prognostic factors for overall survival in patients undergoing pancreatic cancer surgery. As with tumour-specific prognostic factors, assessment of these patient-related factors may be important in informing clinical decision-making and helping to risk stratify patients with surgically treated pancreatic cancer.

Acknowledgements

This work was supported by a grant from the National Research Foundation of Korea funded by the Korean Government (grant number NRF-2015R1C1A1A02037568). The authors certify that they comply with the ethical guide-lines for authorship and publishing of the Journal of Cachexia, Sarcopenia and Muscle.⁴²

Declaration of interest

The authors have no conflicts of interest.

References

- Torre LA, Bray F, Siegel RL, Ferlay J, Lortet-Tieulent J, Jemal A. Global cancer statistics, 2012. CA Cancer J Clin 2015;65:87–108.
- 2. Kleeff J, Korc M, Apte M, La Vecchia C, Johnson CD, Biankin AV, et al.

Pancreatic cancer. *Nat Rev Dis Primers* 2016;**2**:16022.

 Shaib Y, Davila J, Naumann C, El-Serag H. The impact of curative intent surgery on the survival of pancreatic cancer patients: A U.S. Population-based study. Am J Gastroenterol 2007;**102**:1377–1382.

 Winter JM, Brennan MF, Tang LH, D'Angelica MI, Dematteo RP, Fong Y, et al. Survival after resection of pancreatic adenocarcinoma: Results from a single institution over three decades. *Ann Surg Oncol* 2012;**19**:169–175.

- Cameron JL, Riall TS, Coleman J, Belcher KA. One thousand consecutive pancreaticoduodenectomies. *Ann Surg* 2006;244:10–15.
- Oettle H, Post S, Neuhaus P, Gellert K, Langrehr J, Ridwelski K, et al. Adjuvant chemotherapy with gemcitabine vs observation in patients undergoing curative-intent resection of pancreatic cancer: A randomized controlled trial. JAMA 2007;297:267–277.
- Ueno H, Kosuge T, Matsuyama Y, Yamamoto J, Nakao A, Egawa S, et al. A randomised phase III trial comparing gemcitabine with surgery-only in patients with resected pancreatic cancer: Japanese Study Group of Adjuvant Therapy for Pancreatic Cancer. Br J Cancer 2009;101:908–915.
- Pawlik TM, Gleisner AL, Cameron JL, Winter JM, Assumpcao L, Lillemoe KD, et al. Prognostic relevance of lymph node ratio following pancreaticoduodenectomy for pancreatic cancer. *Surgery* 2007;**141**:610–618.
- Slidell MB, Chang DC, Cameron JL, Wolfgang C, Herman JM, Schulick RD, et al. Impact of total lymph node count and lymph node ratio on staging and survival after pancreatectomy for pancreatic adenocarcinoma: A large, population-based analysis. Ann Surg Oncol 2008;15:165–174.
- Asiyanbola B, Gleisner A, Herman JM, Choti MA, Wolfgang CL, Swartz M, et al. Determining pattern of recurrence following pancreaticoduodenectomy and adjuvant 5-flurouracil-based chemoradiation therapy: Effect of number of metastatic lymph nodes and lymph node ratio. J Gastrointest Surg 2009;13:752–759.
- Prado CM, Lieffers JR, McCargar LJ, Reiman T, Sawyer MB, Martin L, et al. Prevalence and clinical implications of sarcopenic obesity in patients with solid tumours of the respiratory and gastrointestinal tracts: A population-based study. *Lancet Oncol* 2008;9:629–635.
- Mir O, Coriat R, Blanchet B, Durand JP, Boudou-Rouquette P, Michels J, et al. Sarcopenia predicts early dose-limiting toxicities and pharmacokinetics of sorafenib in patients with hepatocellular carcinoma. *PLoS One* 2012;**7**:e37563.
- Sheetz KH, Zhao L, Holcombe SA, Wang SC, Reddy RM, Lin J, et al. Decreased core muscle size is associated with worse patient survival following esophagectomy for cancer. Dis Esophagus 2013;26:716–722.
- Peng P, Hyder O, Firoozmand A, Kneuertz P, Schulick RD, Huang D, et al. Impact of sarcopenia on outcomes following resection of pancreatic adenocarcinoma. J Gastrointest Surg 2012;16:1478–1486.
- Okumura S, Kaido T, Hamaguchi Y, Fujimoto Y, Masui T, Mizumoto M, et al. Impact of preoperative quality as well as quantity of skeletal muscle on survival after resection of pancreatic cancer. *Surgery* 2015;**157**:1088–1098.
- van Dijk DP, Bakens MJ, Coolsen MM, Rensen SS, van Dam RM, Bours MJ, et al. Low skeletal muscle radiation attenuation and visceral adiposity are associated with

overall survival and surgical site infections in patients with pancreatic cancer. J Cachexia Sarcopenia Muscle 2017;8:317–326.

- Rollins KE, Tewari N, Ackner A, Awwad A, Madhusudan S, Macdonald IA, et al. The impact of sarcopenia and myosteatosis on outcomes of unresectable pancreatic cancer or distal cholangiocarcinoma. *Clin Nutr* 2016;**35**:1103–1109.
- Sandini M, Bernasconi DP, Fior D, Molinelli M, Ippolito D, Nespoli L, et al. A high visceral adipose tissue-to-skeletal muscle ratio as a determinant of major after pancreatoduodenectomy for cancer. *Nutrition* 2016;**32**:1231–1237.
- Berry AJ. Pancreatic surgery: Indications, complications, and implications for nutrition intervention. *Nutr Clin Pract* 2013;28:330–357.
- Carey S, Storey D, Biankin AV, Martin D, Young J, Allman-Farinelli M. Long term nutritional status and quality of life following major upper gastrointestinal surgery—A crosssectional study. *Clin Nutr* 2011;**30**:774–779.
- Dindo D, Demartines N, Clavien PA. Classification of surgical complications: A new proposal with evaluation in a cohort of 6336 patients and results of a survey. Ann Surg 2004;240:205–213.
- 22. Brewster DJ, Strauss BJ, Crozier TM. Measuring visceral fat, subcutaneous fat and skeletal muscle area changes by computed tomography in acute pancreatitis: A retrospective, single-centre study. *Crit Care Resusc* 2014;**16**:42–47.
- Yip C, Goh V, Davies A, Gossage J, Mitchell-Hay R, Hynes O, et al. Assessment of sarcopenia and changes in body composition after neoadjuvant chemotherapy and associations with clinical outcomes in oesophageal cancer. *Eur Radiol* 2014;**24**:998–1005.
- Yip C, Dinkel C, Mahajan A, Siddique M, Cook GJ, Goh V. Imaging body composition in cancer patients: Visceral obesity, sarcopenia and sarcopenic obesity may impact on clinical outcome. *Insights Imaging* 2015;6:489–497.
- Voron T, Tselikas L, Pietrasz D, Pigneur F, Laurent A, Compagnon P, et al. Sarcopenia impacts on short- and long-term results of hepatectomy for hepatocellular carcinoma. *Ann Surg* 2015;**261**:1173–1183.
- Reisinger KW, van Vugt JL, Tegels JJ, Snijders C, Hulsewe KW, Hoofwijk AG, et al. Functional compromise reflected by sarcopenia, frailty, and nutritional depletion predicts adverse postoperative outcome after colorectal cancer surgery. *Ann Surg* 2015;261:345–352.
- Onesti JK, Wright GP, Kenning SE, Tierney MT, Davis AT, Doherty MG, et al. Sarcopenia and survival in patients undergoing pancreatic resection. *Pancreatology* 2016;16:284–289.
- Baracos VE. Psoas as a sentinel muscle for sarcopenia: A flawed premise. J Cachexia Sarcopenia Muscle 2017;8:527–528.
- Rutten IJG, Ubachs J, Kruitwagen R, Beets-Tan RGH, Olde Damink SWM, Van Gorp T. Psoas muscle area is not representative of total skeletal muscle area in the assessment of sarcopenia in ovarian cancer. J Cachexia Sarcopenia Muscle 2017;8:630–638.

- Prado CM, Baracos VE, McCargar LJ, Reiman T, Mourtzakis M, Tonkin K, et al. Sarcopenia as a determinant of chemotherapy toxicity and time to tumor progression in metastatic breast cancer patients receiving capecitabine treatment. *Clin Cancer Res* 2009;15:2920–2926.
- Baracos VE, Reiman T, Mourtzakis M, Gioulbasanis I, Antoun S. Body composition in patients with non-small cell lung cancer: A contemporary view of cancer cachexia with the use of computed tomography image analysis. *Am J Clin Nutr* 2010;**91**:1133s–1137s.
- Nijholt W, Scafoglieri A, Jager-Wittenaar H, Hobbelen JSM, van der Schans CP. The reliability and validity of ultrasound to quantify muscles in older adults: A systematic review. J Cachexia Sarcopenia Muscle 2017;8:702–712.
- Paris MT, Lafleur B, Dubin JA, Mourtzakis M. Development of a bedside viable ultrasound protocol to quantify appendicular lean tissue mass. J Cachexia Sarcopenia Muscle 2017;8:713–726.
- Popuri K, Cobzas D, Esfandiari N, Baracos V, Jagersand M. Body composition assessment in axial CT images using FEM-based automatic segmentation of skeletal muscle. *IEEE Trans Med Imaging* 2016;35:512–520.
- Martone AM, Bianchi L, Abete P, Bellelli G, Bo M, Cherubini A, et al. The incidence of sarcopenia among hospitalized older patients: Results from the Glisten study. J Cachexia Sarcopenia Muscle 2017;8:907–914.
- Cosqueric G, Sebag A, Ducolombier C, Thomas C, Piette F, Weill-Engerer S. Sarcopenia is predictive of nosocomial infection in care of the elderly. *Br J Nutr* 2006;**96**:895–901.
- Biolo G, Cederholm T, Muscaritoli M. Muscle contractile and metabolic dysfunction is a common feature of sarcopenia of aging and chronic diseases: From sarcopenic obesity to cachexia. *Clin Nutr* 2014;**33**:737–748.
- Huillard O, Mir O, Peyromaure M, Tlemsani C, Giroux J, Boudou-Rouquette P, et al. Sarcopenia and body mass index predict sunitinib-induced early dose-limiting toxicities in renal cancer patients. Br J Cancer 2013;108:1034–1041.
- Solheim TS, Laird BJA, Balstad TR, Stene GB, Bye A, Johns N, et al. A randomized phase II feasibility trial of a multimodal intervention for the management of cachexia in lung and pancreatic cancer. J Cachexia Sarcopenia Muscle 2017;8:778–788.
- Solheim TS, Fearon KC, Blum D, Kaasa S. Non-steroidal anti-inflammatory treatment in cancer cachexia: A systematic literature review. Acta Oncol 2013;52:6–17.
- Balstad TR, Solheim TS, Strasser F, Kaasa S, Bye A. Dietary treatment of weight loss in patients with advanced cancer and cachexia: A systematic literature review. Crit Rev Oncol Hematol 2014;91:210–221.
- von Haehling S, Morley JE, Coats AJS, Anker SD. Ethical guidelines for publishing in the Journal of Cachexia, Sarcopenia and Muscle: update 2015. J Cachexia Sarcopenia Muscle 2015;6:315–316.