

## ORIGINAL ARTICLE

## The family partners for health study: a cluster randomized controlled trial for child and parent weight management

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**OBJECTIVE:** The purpose of this study was to test a two-phased nutrition and exercise education, coping skills training, and exercise intervention program for overweight or obese low-income ethnic minority 2nd to 4th grade children and their parents in rural North Carolina, USA.

**METHODS:** A cluster randomized controlled trial was carried out with 358 children (7–10 years) and a parent for each child ( $n = 358$ ). General linear mixed models were used to determine the effects of the intervention on weight, adiposity, health behaviors, and eating and exercise self-efficacy by examining changes in children and parents from baseline to completion of the study (18 months).

**RESULTS:** At 18 months, children in the experimental group did not have a significantly decreased body mass index (BMI) percentile ( $P = 0.470$ ); however, they showed a reduction in the growth rate of their triceps ( $P = 0.001$ ) and subscapular skinfolds ( $P < 0.001$ ) and an improvement in dietary knowledge ( $P = 0.018$ ) and drank less than one glass of soda per day ( $P = 0.052$ ) compared with the control group. Parents in the experimental group had decreased BMI ( $P = 0.001$ ), triceps ( $P < 0.001$ ) and subscapular skinfolds ( $P < 0.001$ ) and increased nutrition ( $P = 0.003$ ) and exercise ( $P < 0.001$ ) knowledge and more often drank water or unsweetened drinks ( $P = 0.029$ ). At 18 months, children in the experimental group did not show significant improvement in eating ( $P = 0.956$ ) or exercise self-efficacy ( $P = 0.976$ ). Experimental parents demonstrated improved socially acceptable eating self-efficacy ( $P = 0.013$ ); however, they did not show significant improvement in self-efficacy pertaining to emotional eating ( $P = 0.155$ ) and exercise ( $P = 0.680$ ).

**CONCLUSION:** The results suggest that inclusion of children and parents in the same intervention program is an effective way to decrease adiposity and improve nutrition behaviors in both children and parents and improve weight and eating self-efficacy in parents.

*Nutrition & Diabetes* (2014) 4, e101; doi:10.1038/nutd.2013.42; published online 13 January 2014

**Keywords:** overweight; obesity; children; parents; intervention

## INTRODUCTION

Overweight and obesity have increased markedly in both children and adults over the past three decades.<sup>1</sup> In the United States, currently 36% of children and 68% of adults are overweight or obese.<sup>1</sup> Medical expenditures related to overweight and obesity now exceed \$300 billion per year.<sup>2</sup> Overweight and obesity are major contributors to premature morbidity and mortality due to type 2 diabetes and cardiovascular disease.<sup>3,4</sup>

The majority of children and adults in the United States consume excessive energy-dense foods and drink sweetened beverages,<sup>5,6</sup> do not engage in recommended amounts of physical activity<sup>7</sup> and engage in high rates of sedentary behavior.<sup>8,9</sup> Treatments for overweight and obese children are designed to slow down the rate of weight gain while achieving normal growth and development.<sup>10,11</sup> Management of overweight and obesity in adults focuses on weight loss and maintenance.<sup>1</sup> Nutrition, exercise and behavioral interventions targeting the child alone, or with a parent, have been conducted primarily with non-Hispanic white, middle-income 8–16-year-old children in clinic settings with varying sample sizes ( $n = 31–190$ ).<sup>12–20</sup> Few studies

have focused on overweight or obese children and parents belonging to an ethnic minority population in order to manage their weight,<sup>21–23</sup> and even fewer studies have been conducted with overweight or obese children and parents of an ethnic minority in rural settings.<sup>24,25</sup>

The study reported here examined the effects of a two-phase community-based intervention program on weight, adiposity, health behaviors and self-efficacy in overweight or obese children and parents. The primary outcome for children was stabilization of percentile growth of body mass index (BMI) from baseline to 18 months. The primary outcome for parents was a decrease in BMI from baseline to 18 months. Secondary outcomes in both children and parents included a decrease in adiposity and an improvement in health behaviors and self-efficacy from baseline to 18 months. It was hypothesized that children and parents in the experimental group would show significantly greater reductions in weight and adiposity and significantly greater improvements in health behaviors and self-efficacy compared with children and parents in the control group from baseline to 18 months.

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Received 6 November 2013; accepted 26 November 2013

## MATERIALS AND METHODS

### Study design

The Family Partners for Health study was a 5-year, cluster randomized controlled trial in which an overweight or obese parent was partnered with his/her overweight or obese 2nd–4th grade child, and the efficacy of an innovative nutrition and exercise education, coping skills training and exercise intervention program was tested on them. The institutional review board at the University of North Carolina at Chapel Hill approved the study. Detailed descriptions of the study design and recruitment and retention procedures have been published elsewhere.<sup>26,27</sup>

### Sites

Eight rural elementary schools in two counties were used as sites for recruitment and delivery of the intervention after school hours. The schools were similar in ethnic and racial mix, in structure and size, were in rural settings and were 5–10 miles from each other. There were eight enrollment periods, from August 2007 to April 2010, with an average of 44–45 children and 44–45 parents enrolled into either the experimental or the control group in two schools in each period. Schools were randomized to either the experimental or the control group for the first enrollment and exchanged conditions for the second enrollment. The sequence of each school was randomized before the start of the study and was stratified by county. A total of 18 months had passed and the first group had completed their time in the study prior to the second enrollment in each school. This design preserved a balance of treatment groups within each site to avoid confounding site effects with intervention effects. Participants and staff were blinded to group assignment from enrollment until implementation.

### Sample

Inclusion criteria for children included the ability to speak, write and read English; enrollment in the 2nd–4th grade; age 7–10 years; BMI  $\geq$  85th percentile for age and gender; at least one biological parent with a BMI  $\geq$  25 kg/m<sup>2</sup>; self-consent and their parent's consent for their participation. Children in the 2nd–4th grade were chosen because they were in the concrete operational stage and parents have more influence on their nutrition and exercise behaviors during this stage of development.<sup>13,14</sup> Inclusion criteria for parents were ability to speak, write and read English; BMI  $\geq$  25 kg/m<sup>2</sup>; a 2nd–4th-grade child with a BMI  $\geq$  85th percentile for age and gender; residence with the child; and consent to participate. Dyads were excluded if either the parent or the child had a heart murmur, congenital heart disease, family history of sudden death, claustrophobia or if they were participating in another weight management program. Those of Asian descent were excluded because of lower BMI cutoffs for overweight and obesity.<sup>28</sup> If the parent and child met the inclusion criteria, a research assistant reviewed the child's and parent's consent with them.

### Intervention

The nutrition and exercise education and coping skills intervention was based on social cognitive theory and was designed to increase self-efficacy and improve health behaviors.<sup>29</sup> Parents' cognitive-affective processes were viewed as driving their role modeling of health behaviors.<sup>30</sup> Parents and children who developed skills in goal setting, problem solving and conflict resolution were expected to be more capable of making healthy nutrition and exercise behavior change and to better manage their weight.<sup>31</sup> Practicing coping skills was expected to improve health behaviors and eating and exercise self-efficacy.<sup>29,32</sup> By improving health behaviors and self-efficacy, the experimental children and parents were expected to show a decrease in weight and adiposity.

The children and parents in the experimental group received a two-phase intervention.<sup>26</sup> In Phase I, the children and parents attended all classes together and received 60 min of nutrition and exercise education and coping skills training, followed by 45 min of exercise once a week for 12 weeks (Table 1),<sup>10,33,34</sup> These education classes were taught by the same nurse practitioner or registered dietitian, and the exercise classes were taught by the same certified exercise trainer. At the start of each class, the parents and children reported progress on their nutrition or exercise goal from the week before, and at the end of the class the parents and children indicated a nutrition or exercise goal they were going to work on in the coming week.

Parents and children attended exercise sessions together, which included basketball, soccer, walking, cardio kickboxing, Dance Dance

Revolution (Konomi, Tokyo, Japan), strength training and information on how to increase their exercise at home. Both children and parents received a pedometer as a source of motivation and were encouraged to incrementally increase their activity to 10 000 steps per day.

In Phase II, the children and parents met once a month for 9 months for 60 min of class and 45 min of exercise. They met in small groups with the same interventionist to problem-solve issues they were having with nutrition and exercise (Table 1). The parents and children were asked to share a nutrition or exercise goal that they wanted to work on during the month. The experimental children and parents thus received 21 contacts over 12 months. They were then followed up for 6 more months after the completion of Phase II.

### Data collection

Data collection procedures have been published elsewhere.<sup>26</sup> Data were collected at the time of enrollment into the study, at 0 months; post Phase I, at 3 months; post Phase II, at 12 months; and after 6 months of no contact with the study staff, at 18 months. A control group of children and parents received usual care and had data collected at the same time points as the experimental children and parents. After completion of the final data collection, they were offered the Phase I classes.

### Weight outcomes

All measurements were taken in a private room with two research assistants. Height was measured twice while wearing street clothes without shoes, using a stadiometer, calibrated at 1/8-centimeter (cm) intervals. Weight was measured twice to the nearest 0.1 kg in street clothes without shoes, using a Tanita WB110A Digital Scale (Tanita, Arlington Heights, IL, USA), and averaged. For children, BMI percentiles were calculated with a computer using height, weight, age and gender, and for parents BMI was calculated with a computer using height and weight (kg/m<sup>2</sup>).<sup>35</sup>

### Adiposity outcomes

Waist circumference was measured three times and averaged using a Figure Finder measuring tape with a lock (Novel Products Inc., Rockton, IL, USA).<sup>36</sup> Triceps and subscapular skinfolds were measured according to the National Health and Nutrition Examination Survey Procedures on the right side of the body, three times, and averaged.<sup>37,38</sup>

### Health behavior outcomes

The Child Health Behavior Survey (20 items)<sup>39</sup> and the Adult Health Behavior Survey (23 items)<sup>39</sup> were used to collect information on usual food and beverage intake. Responses were scored from zero (none) to 5 (all or most days of the week). All answers in each question were rescored as either a healthy choice (1) or an unhealthy choice (2) according to national nutritional guidelines.<sup>39</sup> For example, the first question asks: on a typical day, how much soda do you drink? The answer choices were none, less than one glass, one glass, two glasses, three glasses or four or more glasses. The healthier choices included none, less than one glass and one glass and were assigned a score of 1. The healthier choices included two glasses, three glasses or four or more glasses and were assigned a score of 2. Mean values and percentages were then calculated for each group across the time points.

The Health Promoting Lifestyle Profile II,<sup>40</sup> with four subscales, was used to measure nutrition, exercise, health responsibility and stress management in parents. The 48 items have four responses (never, sometimes, often or routinely) on a four-point Likert scale. Mean subscale scores were calculated. Alpha coefficients have ranged from 0.78 to 0.93 for the subscales.<sup>40</sup> Internal consistency values for the current study were as follows: nutrition  $\alpha = 0.80$ ; exercise  $\alpha = 0.85$ ; health responsibility  $\alpha = 0.82$ ; and stress  $\alpha = 0.76$ .

The Child and Adolescent Health (CATCH) questionnaire was used to measure health behaviors in children.<sup>55</sup> The CATCH contains 130 items in seven subscales using a three-point Likert scale. Reliability and validity of the instrument was previously determined in 5000 children.<sup>41</sup> Internal consistency values for the current study were as follows: dietary intention  $\alpha = 0.80$ ; usual food choices  $\alpha = 0.77$ ; dietary knowledge  $\alpha = 0.75$ ; dietary habits  $\alpha = 0.16$ ; support for physical activity  $\alpha = 0.68$ ; parent reinforcement for food choices  $\alpha = 0.69$ ; teacher reinforcement for food choices  $\alpha = 0.83$ ; friend reinforcement for food choices  $\alpha = 0.81$ ; diet self-efficacy  $\alpha = 0.73$ ; and exercise self-efficacy  $\alpha = 0.49$ .

**Table 1.** Phase I and Phase II intervention classes

Class topics	Interval	Time
<i>Phase I classes (intensive intervention)</i>		
1. NE—understanding calories, proteins, carbohydrates and fats and goal setting	Weekly	60 min
2. NE—how portion control can make a difference and goal setting	Weekly	60 min
3. NE—how to make healthy substitutes with food and goal setting	Weekly	60 min
4. NE—choosing healthy food when eating out and goal setting	Weekly	60 min
5. EE—the importance of exercise and goal setting	Weekly	60 min
6. CST—increasing exercise and cognitive restructuring and goal setting	Weekly	60 min
7. CST—improving nutrition and exercise behaviors and social problem solving and goal setting	Weekly	60 min
8. CST—motivating each other in a positive manner and assertiveness training and goal setting	Weekly	60 min
9. CST—understanding barriers to healthy choices and social problem solving and goal setting	Weekly	60 min
10. CST—getting back on track after relapse and assertiveness training and goal setting	Weekly	60 min
11. CST—working through conflict and conflict resolution and goal setting	Weekly	60 min
12. Final class—putting it all together and goal setting	Weekly	60 min
<i>Phase II classes (continued support)</i>		
1. Nutrition and exercise problem solving and goal setting	Monthly	60 min
2. Nutrition and exercise problem solving and goal setting	Monthly	60 min
3. Nutrition and exercise problem solving and goal setting	Monthly	60 min
4. Nutrition and exercise problem solving and goal setting	Monthly	60 min
5. Nutrition and exercise problem solving and goal setting	Monthly	60 min
6. Nutrition and exercise problem solving and goal setting	Monthly	60 min
7. Nutrition and exercise problem solving and goal setting	Monthly	60 min
8. Nutrition and exercise problem solving and goal setting	Monthly	60 min
9. Nutrition and exercise problem solving and goal setting	Monthly	60 min

Abbreviations: CST, coping skills training; EE, exercise education; NE, nutrition education.

Physical activity of the children and parents was measured using the Actical Omni-directional accelerometer (Phillips Respironics, Bend, OR, USA). Participants were instructed to wear the accelerometer on their right hip from the time they woke up on Wednesday morning through midnight on Saturday and remove it only during bathing and sleep during each of the four data collection periods. For parents, epochs were defined per 60 s and for children per 30 s.

#### Self-efficacy outcomes

The eating self-efficacy scale<sup>42</sup> was used on parents to measure the effects of negative affect (emotional eating) and socially acceptable circumstances (holidays) on eating. This 25-item scale asks respondents to rate their difficulty in controlling their eating from 1 (no difficulty) to 7 (a lot of difficulty). Alpha coefficients were 0.94 for the negative affect subscale and 0.85 for the socially acceptable circumstances subscale in previous studies.<sup>31,43</sup> Internal consistency values for the current study were as follows: positive affect scale  $\alpha = 0.93$  and negative affect scale  $\alpha = 0.97$ .

Bandura's Exercise Self-Efficacy Scale<sup>32</sup> was used to measure exercise self-efficacy in parents. This instrument contains 18 questions rated on a 100-point scale with a 10-unit range from zero (cannot do at all) to 50 (moderately certain can do) to 100 (certain can do).<sup>32</sup> A mean score was calculated by summing the questions and dividing by 18. The alpha coefficient of the total scale was 0.94 in adult men and women.<sup>44</sup> Internal consistency in the current study was  $\alpha = 0.95$ . The last two subscales of the CATCH<sup>45</sup> were used to measure eating and exercise self-efficacy in children. Internal consistency values in the current study were as follows: dietary self-efficacy  $\alpha = 0.73$  and exercise self-efficacy  $\alpha = 0.49$ .

Parents completed a baseline demographic questionnaire and an update questionnaire at each subsequent data collection to obtain data on whether their child or they had been diagnosed with asthma or diabetes, had started steroids or psychiatric medications, had joined another weight management program or if they had stopped smoking.

#### Data analysis

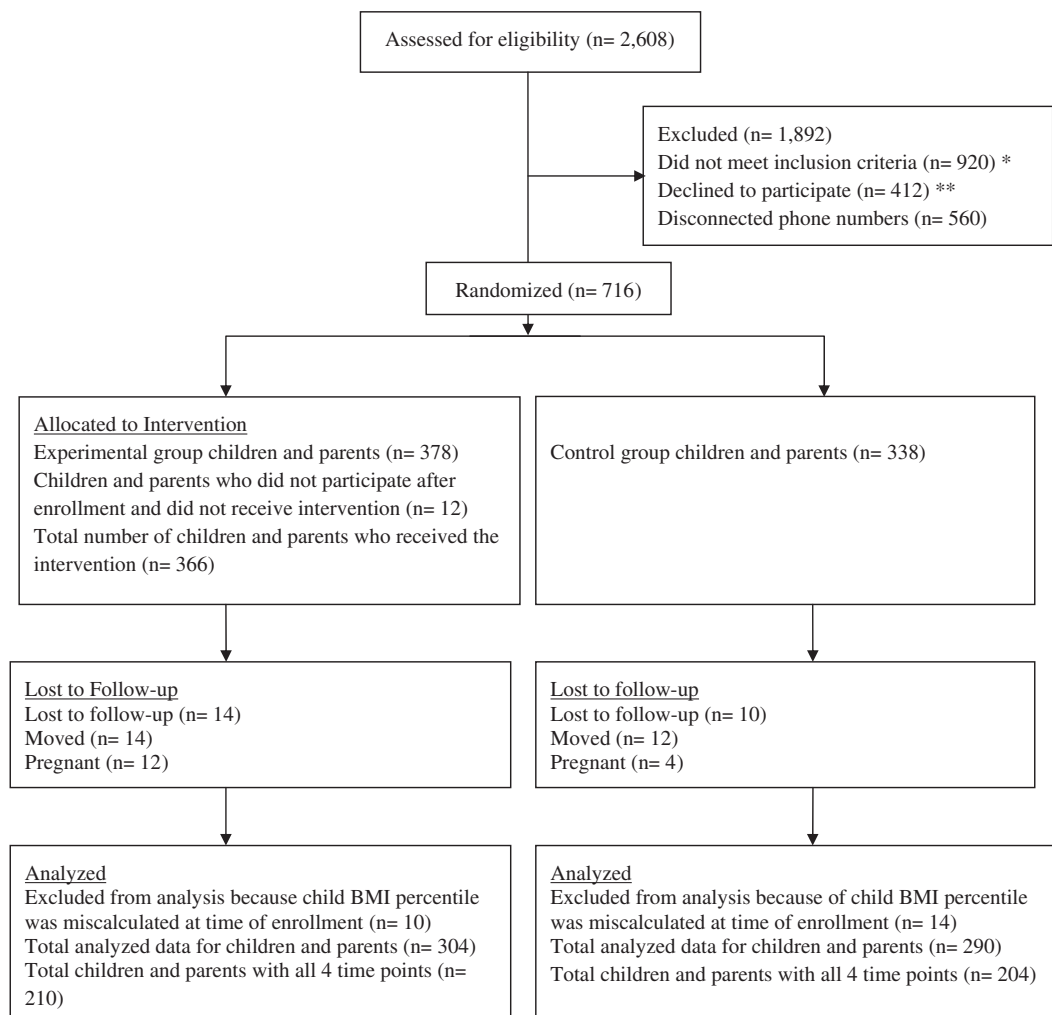
All analyses were performed using SAS, version 9 (SAS, Cary, NC, USA). An intent-to-treat approach was used. Separate general linear mixed models were used to determine the effects of the intervention on weight status, adiposity, health behaviors and self-efficacy of children and parents. These random intercept models were used to compare each outcome difference between the intervention and control groups at each post-baseline time point.<sup>46</sup> Fixed effects included indicators for intervention, post-baseline

time, and pairs of simultaneous intervention and control groups, as well as baseline value for the corresponding measure, which adjusted for any imbalances at baseline, and the intervention-by-time interaction. Random effects included a subject-specific intercept, and the enrollment cohort to account for cluster randomization, where estimable. Planned contrasts between the experimental and control groups addressed pairwise change at each post-baseline time point. The Hochberg correction controlled the overall error rate at 0.05 for multiple comparisons across the follow-up times within each outcome.<sup>47</sup> Within the experimental group and at each post-baseline time point, Pearson correlation coefficients were computed for parents' changes (from baseline) in weight status, adiposity, health behaviors and self-efficacy, along with their children's corresponding changes from baseline, to determine how strongly the dyads were associated.

For analysis of the accelerometry data, a duration of more than 60 consecutive minutes of zero counts was considered noncompliant and removed.<sup>7</sup> The hours from midnight to 5:00 am were removed because participants did not wear their accelerometer during sleep, and night workers were removed from the analyses. A day was included if at least 10 h of data remained for weekdays and 8 h for Saturdays. The participant had to have data for at least 2 weekdays to be included in weekday results. Three measures were analyzed: mean counts per hour proportion of sedentary time and proportion of time spent in moderate-to-vigorous activity. These measures were computed separately for weekdays and for Saturday for each participant. They were compared between the experimental and control groups at the four time points and for parents and children separately. In accordance with Colley and Tremblay<sup>48</sup> and Puyau *et al.*,<sup>49</sup> <100 counts per minute were considered 'sedentary.' Time spent in moderate-to-vigorous activity was determined using cutoff points we had previously developed for obese adults<sup>50</sup> and those developed by Colley for children.<sup>48</sup>

#### RESULTS

Cluster randomization resulted in 53% ( $n = 378$ ) of the children and parents being assigned to the experimental group and 47% ( $n = 338$ ) to the control group (Figure 1). Table 2 shows demographics overall and by group. There were significant differences in baseline characteristics with respect to parents' BMI (experimental 36.41 kg/m<sup>2</sup> (0.61); control 39.13 kg/m<sup>2</sup> (0.65);  $P = 0.003$ ), waist circumference (experimental 106.91 cm (1.32);



**Figure 1.** \*Reasons for not meeting the inclusion criteria were as follows: non-English speaker ( $n = 258$ ); parent, child or both did not meet the BMI criteria (BMI of  $25 \text{ kg/m}^2$  and above for parent and BMI  $\geq 85$ th percentile for child) ( $n = 618$ ); currently pregnant ( $n = 18$ ); or family had previously enrolled in the program ( $n = 26$ ). \*\*Reasons for declining to participate included the following: conflicting work schedules; evening church activities; or child was participating in sports/scouts/or other extracurricular activities during the scheduled intervention times. \*\*\*Reasons parents gave when requesting to drop out of the study included the following: conflicting work schedule or change in work hours from day shift to evening or night shift; cancer diagnosis; incarceration; or death of a spouse.

control  $114.17 \text{ cm}$  ( $1.40$ );  $P = 0.002$ ) and subscapular skinfolds (experimental  $35.33 \text{ mm}$  ( $1.15$ ); control  $38.61 \text{ mm}$  ( $1.19$ );  $P = 0.048$ ). There were also significant differences in baseline characteristics with respect to children's BMI percentiles (experimental  $95.22\%$  ( $0.37$ ); control  $96.59\%$  ( $0.39$ );  $P = 0.011$ ), waist circumference (experimental  $76.07 \text{ cm}$  ( $1.28$ ); control  $80.62 \text{ cm}$  ( $1.33$ );  $P = 0.014$ ) and subscapular skinfolds (experimental  $21.30 \text{ mm}$  ( $0.91$ ); control  $24.07 \text{ mm}$  ( $0.95$ );  $P = 0.036$ ) (See Supplementary Table). These differences may have been the result of the cluster approach and were accounted for in the analyses. To assess the extent of selection bias owing to attrition, the mean values for parent BMI and for child BMI percentiles were compared between those participants who did not contribute data beyond the Phase I intervention and those who did. There were no significant differences between these groups, either overall or by experimental group ( $P > 0.35$ ).

There were no significant differences in BMI percentiles in the experimental group children compared with the control group children at any time point (Table 3). Parents in the experimental group did not show a significantly greater decrease in BMI compared with control parents at the end of Phase I, but their BMI

decreased significantly more at the end of Phase II and this difference was maintained at 18 months.

The effects of the intervention on slowing down the growth of waist circumference in the experimental group children were not significant after Phase I; however, these children showed significantly less growth in waist circumference compared with the control group children after Phase II, but the difference was no longer significant at 18 months (Table 3). Parents in the experimental group showed a decrease in waist circumference after Phase I and Phase II, and an increase at 18 months.

Children in the experimental group did not significantly slow down the growth of or decrease their triceps skinfolds at the end of Phases I and II; however, by 18 months they had decreased their skinfolds significantly more compared with the control group (Table 3). The experimental group children slowed down the growth of their subscapular skinfolds significantly more than did the control group at all post-intervention time points. The experimental group parents did not significantly decrease their triceps or subscapular skinfolds at the end of Phase I; however, by the end of Phase II they had decreased their skinfolds significantly

**Table 2.** Baseline Demographic Characteristics of Experimental and Control Groups

Variable	Total		Group				P
	N	Percent	Experimental		Control		
			N	Percent	N	Percent	
<i>Parent</i>							
Age <sup>a</sup>	36.9 (± 8.1)		36.9 (± 8.1)		36.8 (± 8.1)		0.864
<i>Gender</i>							
Male	25	7.23	13	7.07	12	7.41	0.532
Female	321	92.77	171	92.93	150	92.59	
<i>Marital status</i>							
Married	156	45.09	86	46.74	70	43.21	0.685
Divorced/separated	67	19.37	31	16.85	36	22.22	
Never married	95	27.46	50	27.17	45	27.78	
Living with someone	28	8.08	17	9.24	11	6.79	
<i>Employment</i>							
Full-Time	212	61.27	111	60.32	101	62.35	0.972
Part-Time	36	10.41	20	10.87	16	9.88	
Homemaker	43	12.43	25	13.59	18	11.11	
Unemployed	55	15.89	28	15.22	27	16.66	
<i>Occupation</i>							
Professional	75	21.67	39	21.20	36	22.22	0.351
Technical	271	78.33	145	78.80	126	77.78	
<i>Education level</i>							
Less than high school	32	9.25	14	7.61	18	11.03	0.752
High school diploma	118	34.10	65	35.33	53	32.71	
College degree	196	56.65	105	57.06	91	56.18	
<i>Race</i>							
African American	218	63.01	117	63.59	101	62.60	0.968
White	106	30.64	55	29.89	51	31.25	
Other	22	6.35	12	6.52	10	6.15	
<i>Ethnicity</i>							
Hispanic	17	4.91	10	5.43	7	4.32	0.412
Not hispanic	329	95.09	174	94.57	155	95.68	
<i>Income</i>							
< \$20 000	115	33.24	65	35.33	50	30.86	0.268
\$20 000–\$39 999	132	38.15	60	32.61	72	44.44	
≥ \$40 000	60	17.35	37	20.1	23	14.21	
Do not wish to respond	39	11.26	22	11.96	17	10.49	
<i>Biological parent</i>							
Yes	301	87.50	157	85.33	144	90.00	0.126
No	43	12.50	27	14.67	16	10.00	
<i>Children</i>							
Age <sup>a</sup>	9.1 (± 0.95)		9.2 (± 0.96)		9.0 (± 0.93)		0.203
<i>Gender</i>							
Male	154	44.50	83	45.10	71	43.80	0.448
Female	192	55.50	101	54.90	91	56.20	
<i>Education level</i>							
2nd grade	66	19.10	35	19.00	31	19.10	0.467
3rd grade	145	41.90	72	39.10	73	45.10	
4th grade	135	39.00	77	41.90	58	35.80	
<i>Race</i>							
African American	222	64.20	117	63.60	105	64.80	0.957
White	93	26.90	50	27.20	43	26.50	
Other	31	8.90	17	9.20	14	8.70	
<i>Ethnicity</i>							
Hispanic	26	7.51	13	7.07	13	8.02	0.446
Not hispanic	320	92.49	171	92.93	149	91.98	

<sup>a</sup>values denoted as mean (± s.d.).



**Table 3.** Children's and parent's weight, adiposity and health behavior outcomes

Variable	Post Phase I intervention		P	Post Phase II intervention		P	Completion of study		P
	Experimental	Control		Experimental	Control		Experimental	Control	
	Adjusted mean (s.e.) <sup>a</sup>		Adjusted mean (s.e.) <sup>a</sup>		Adjusted mean (s.e.) <sup>a</sup>				
Child BMI percentile	95.2 (0.40)	95.9 (0.42)	0.288	94.6 (0.42)	95.6 (0.43)	0.287	94.6 (0.44)	95.1 (0.43)	0.470
Child waist circumference <sup>b</sup>	78.7 (0.43)	79.5 (0.46)	0.236	82.0 (0.48)	84.3 (0.49)	<b>0.003</b>	84.9 (0.49)	86.4 (0.48)	0.060
Child triceps skinfolds <sup>c</sup>	23.7 (0.44)	24.8 (0.46)	0.104	24.2 (0.48)	25.4 (0.48)	0.104	22.9 (0.49)	25.4 (0.48)	<b>0.001</b>
Child subscapular skinfolds <sup>c</sup>	20.8 (0.46)	23.1 (0.48)	<b>0.002</b>	22.7 (0.49)	24.4 (0.50)	<b>0.018</b>	22.1 (0.51)	25.2 (0.50)	< <b>0.001</b>
Parent BMI	37.6 (0.20)	37.9 (0.20)	0.117	37.3 (0.21)	38.2 (0.21)	<b>0.004</b>	37.3 (0.21)	38.5 (0.21)	<b>0.001</b>
Parent waist circumference <sup>b</sup>	108.0 (0.65)	109.9 (0.67)	<b>0.033</b>	107.6 (0.69)	112.2 (0.70)	< <b>0.001</b>	109.9 (0.70)	112.9 (0.69)	<b>0.005</b>
Parent triceps skinfolds <sup>c</sup>	34.8 (0.55)	36.1 (0.58)	0.101	33.8 (0.61)	36.3 (0.62)	<b>0.009</b>	31.8 (0.63)	35.5 (0.61)	< <b>0.001</b>
Parent subscapular skinfolds <sup>c</sup>	33.8 (0.55)	35.2 (0.57)	0.068	34.2 (0.60)	36.5 (0.60)	<b>0.013</b>	32.9 (0.61)	36.3 (0.60)	< <b>0.001</b>
<i>Child health behaviors</i>									
Dietary intention scale range (-13 to +13) <sup>d</sup>	3.13 (0.49)	1.88 (0.52)	0.080	4.19 (0.54)	1.68 (0.55)	<b>0.003</b>	3.20 (0.55)	1.82 (0.54)	0.080
Usual food choices scale range (-14 to +14) <sup>d</sup>	0.65 (0.56)	-0.45 (0.59)	0.176	2.18 (0.60)	-0.28 (0.61)	<b>0.012</b>	1.50 (0.61)	0.08 (0.61)	0.176
Dietary knowledge scale range (-14 to +14) <sup>d</sup>	7.51 (0.34)	6.90 (0.36)	0.417	8.23 (0.37)	8.06 (0.37)	0.755	9.97 (0.38)	8.51 (0.37)	<b>0.018</b>
Dietary habits scale range (-9 to +9) <sup>d</sup>	1.19 (0.24)	0.77 (0.26)	0.280	1.20 (0.27)	0.58 (0.27)	0.309	1.18 (0.28)	0.84 (0.27)	0.280
Physical activity support scale range (-18 to +18) <sup>d</sup>	11.19 (0.38)	10.20 (0.40)	0.139	12.89 (0.41)	11.38 (0.42)	<b>0.030</b>	13.45 (0.42)	12.63 (0.42)	0.163
Parent reinforcement (food) scale range (-7 to +7) <sup>d</sup>	2.74 (0.28)	1.96 (0.30)	0.119	3.25 (0.31)	2.65 (0.32)	0.174	3.53 (0.32)	2.57 (0.32)	0.097
Teacher reinforcement (food) scale range (-7 to +7) <sup>d</sup>	-0.92 (0.37)	-0.67 (0.39)	0.771	-0.34 (0.40)	0.25 (0.41)	0.771	0.98 (0.42)	0.81 (0.41)	0.771
Friend reinforcement (food) scale range (-7 to +7) <sup>d</sup>	-1.60 (0.37)	-1.54 (0.40)	0.900	-0.98 (0.41)	-0.15 (0.42)	0.900	-0.52 (0.43)	-0.94 (0.42)	0.900
<i>Parent health behaviors</i>									
Nutrition knowledge scale range (1 to 4) <sup>d</sup>	2.70 (0.04)	2.39 (0.57)	< <b>0.001</b>	2.58 (0.04)	2.37 (0.40)	<b>0.002</b>	2.62 (0.04)	2.43 (0.04)	<b>0.003</b>
Exercise knowledge scale range (1 to 4) <sup>d</sup>	2.46 (0.05)	2.05 (0.05)	< <b>0.001</b>	2.33 (0.05)	2.03 (0.05)	< <b>0.001</b>	2.27 (0.05)	1.96 (0.05)	< <b>0.001</b>
Health responsibility scale range (1 to 4) <sup>d</sup>	2.67 (0.04)	2.46 (0.05)	<b>0.003</b>	2.65 (0.05)	2.52 (0.05)	<b>0.055</b>	2.67 (0.05)	2.54 (0.05)	<b>0.055</b>
Stress management scale range (1 to 4) <sup>d</sup>	2.52 (0.05)	2.36 (0.05)	<b>0.038</b>	2.45 (0.05)	2.34 (0.05)	0.135	2.41 (0.05)	2.31 (0.05)	0.135

Abbreviation: BMI, body mass index. The scale range scores were predetermined by the instrument scoring instructions for all subscales above. The *P*-value for experimental versus control comparison was Hochberg-corrected. Bold values indicate *P* < 0.05. <sup>a</sup>Fixed effects included indicators for intervention, post-baseline time and pairs of simultaneous intervention and control groups, as well as baseline value for the corresponding measure, which adjusted for any imbalances at baseline, and the intervention-by-time interaction. <sup>b</sup>Expressed in centimeters. <sup>c</sup>Expressed in millimeters. <sup>d</sup>A higher positive score is better.

more than did control parents, and they maintained that difference at 18 months.

Children in the experimental group reported significantly greater improvement in dietary knowledge compared with the control group at 18 months (Table 3). The experimental group parents reported significantly greater improvements in nutrition and exercise knowledge and health responsibility compared with the control group at all post-intervention time points. The experimental group children and parents did not report significant differences from the control group on accelerometer measurements at any post-intervention time points.

Following the Phase II intervention and at 18 months, children in the experimental group reported that they were marginally significantly more likely than the control group to drink less than one glass of soda per day (Table 4). Parents in the experimental group reported that they increased the consumption of drinking water or unsweetened drinks significantly more compared with the control group after the Phase I intervention and at 18 months. Parents in the experimental group reported that they were significantly more likely to eat unsweetened breakfast cereal at all post-intervention time points.

The experimental group children did not report any significant differences from the control group in eating or exercise self-efficacy at the completion of the study (Table 4). At 18 months, experimental group parents did report significantly more improvement in eating self-efficacy in social circumstances, but they did not report any significant differences in either emotional eating or exercise self-efficacy at the completion of the study.

There was no significant relationship between the BMI percentiles of parents and children of the experimental group at any post-intervention time point (Table 5). There were significant relationships between experimental parents' and experimental

children's waist circumference, triceps and subscapular skinfolds at most post-intervention time points.

## DISCUSSION

Obesity in ethnically diverse low-income children and adults continues to increase.<sup>3,4,51</sup> Interventions that improve children's and parents' nutrition and exercise knowledge and teach coping skills are needed. This study was designed to provide ethnically diverse low-income children and parents with a strong foundation in nutrition and exercise knowledge and help them learn problem solving.

There was no statistically significant difference between the experimental and control groups in terms of the primary outcome, BMI percentile in children. The goal of management of obesity in children is to improve nutrition and exercise behaviors and slow down the rate of weight and adiposity gain while maintaining normal growth and development.<sup>52</sup> However, recent evidence suggests that BMI alone may not be sufficient for evaluating interventions for child obesity and that neglecting other outcomes such as adiposity and health behaviors may be restrictive.<sup>53</sup> Parents in the experimental group demonstrated a significant decrease in their primary outcome, BMI, whereas the control group parents showed an increase in BMI. Weight loss and maintenance are difficult with high rates of recidivism; therefore, treating the entire family may be a more successful approach and should be tested in future studies.<sup>54,55</sup>

Children in the experimental group did not significantly decrease or slow down the growth of their waist circumference; however, they showed a significant decrease in triceps skinfolds and slowed down the growth of their subscapular skinfolds. These changes may be one of the initial parameters of weight control, occurring before BMI percentile change in this population.<sup>56</sup>

**Table 4.** Children's and parent's nutritional intake and self-efficacy outcomes

Variable	Post Phase I intervention			Post Phase II intervention			Completion of study		
	Experimental (%)	Control (%)	P	Experimental (%)	Control (%)	P	Experimental (%)	Control (%)	P
<i>Children's nutrition</i>									
Drink ≤1 glass of soda per day	66	56	0.103	69	57	<b>0.059</b>	71	58	<b>0.052</b>
Drink ≤1 glass of sweet drinks per day	48	45	0.658	50	46	0.511	51	46	0.478
Drink ≤4–6 ounces of soda or sweet drinks per day	47	46	0.953	39	45	0.352	42	45	0.611
Drink 3 glasses of milk a day	79	69	<b>0.043</b>	76	76	0.908	76	78	0.776
Drink skimmed, nonfat, 1/2% or 1% milk	58	55	0.600	54	49	0.466	48	40	0.256
Drink ≥4 glasses of water per day	34	37	0.618	38	37	0.907	39	45	0.400
Drink water or unsweetened drinks when thirsty	50	44	0.303	52	55	0.559	44	54	0.149
Eat ≥4 servings of vegetables per day	9	12	0.358	8	9	0.781	8	7	0.645
Eat 1–2 servings of fruit per day	52	50	0.775	52	55	0.589	61	52	0.168
Eat ≤1 serving of french fries or chips per day	47	40	0.286	39	50	0.093	44	40	0.559
Eat fast food ≤1 time per week	34	41	0.225	37	38	0.771	37	41	0.577
Supersize drinks or food never or sometimes	22	20	0.630	9	16	0.143	9	11	0.644
Eat fruit, vegetables, low-fat cheese for a snack	56	43	<b>0.032</b>	51	40	0.108	48	37	0.613
Buy extra food/drink ≥3 times per week at school	71	78	0.200	75	83	0.129	88	81	0.296
Eat breakfast 5–7 times a week	70	62	0.132	78	58	< <b>0.001</b>	70	69	0.896
Eat whole wheat or dark bread	38	41	0.606	46	44	0.744	42	42	0.920
Eat unsweetened breakfast cereal	27	32	0.448	43	33	0.137	43	32	0.284
Do not prepare fried foods at home	18	15	0.448	20	17	0.082	13	12	0.703
Eat fried foods at home ≤1 time per week	39	35	0.513	42	38	0.523	49	38	0.132
Eat baked goods at home ≤1 time per week	41	43	0.705	43	37	0.323	46	41	0.444
<i>Parent's nutrition</i>									
Drink ≤1 glass of soda per day	79	60	< <b>0.001</b>	73	63	0.096	72	70	0.661
Drink ≤1 glass of sweet drinks per day	68	54	<b>0.020</b>	64	51	<b>0.039</b>	69	60	0.175
Drink ≤4–6 ounces of soda or sweet drinks per day	45	28	<b>0.003</b>	47	32	<b>0.020</b>	40	31	0.150
Drink 3 glasses of milk a day	3	1	0.312	2	3	0.710	4	3	0.650
Drink skimmed, nonfat, 1/2% or 1% milk	31	19	<b>0.016</b>	26	21	0.343	29	21	0.128
Drink ≥4 glasses of water per day	56	36	< <b>0.001</b>	52	42	0.124	53	48	0.437
Drink water or unsweetened drinks when thirsty	75	62	<b>0.020</b>	76	68	0.190	83	71	<b>0.029</b>
Eat ≥4 servings of vegetables per day	9	4	0.103	11	5	0.094	12	10	0.665
Eat 1–2 servings of fruit per day	76	68	0.169	70	77	0.289	72	71	0.861
Eat ≤1 serving of french fries or chips per day	93	76	< <b>0.001</b>	82	83	0.929	85	83	0.609
Eat fast food ≤1 time per week	64	43	< <b>0.001</b>	57	40	<b>0.012</b>	56	51	0.421
Supersize drinks or food never or sometimes	99	94	<b>0.036</b>	98	98	0.999	98	98	0.954
Eat fruit, vegetables, low-fat cheese for a snack	48	38	0.109	51	38	0.080	48	37	0.107
Eat breakfast 5–7 times a week	58	48	0.115	54	49	0.475	43	48	0.379
Eat whole wheat or dark bread	72	51	< <b>0.001</b>	66	60	0.354	66	56	0.107
Eat unsweetened breakfast cereal	64	49	<b>0.014</b>	66	53	<b>0.046</b>	63	45	<b>0.009</b>
Do not prepare fried foods at home	28	17	<b>0.028</b>	32	17	<b>0.007</b>	31	21	0.089
Eat fried foods at home ≤1 time per week	44	28	<b>0.013</b>	40	31	0.165	30	32	0.791
Fry with corn, canola, vegetable or olive oil	87	85	0.655	88	87	0.694	84	78	0.241
Bake cookies or pies at home	34	38	0.463	38	33	0.421	36	35	0.879
Eat baked goods at home ≤1 time per week	81	81	0.997	85	83	0.705	80	79	0.846
Bake with corn, canola, vegetable or olive oil	56	51	0.484	58	41	<b>0.018</b>	48	43	0.470
Use artificial sweeteners 4–6 times per week	24	17	0.196	20	20	0.873	22	18	0.482
<i>Eating and exercise self-efficacy (Adjusted mean (s.e.))</i>									
<i>Parent's eating self-efficacy</i>									
Social circumstances scale range (1 to 7) <sup>b</sup>	3.28 (0.08)	3.48 (0.08)	0.073	3.15 (0.09)	3.41 (0.09)	0.068	3.11 (0.09)	3.46 (0.09)	0.013
Emotional eating scale range (1 to 7) <sup>b</sup>	2.52 (0.08)	2.66 (0.08)	0.240	2.40 (0.09)	2.63 (0.09)	0.155	2.42 (0.09)	2.64 (0.09)	0.155
Parent exercise self-efficacy scale range (0–100) <sup>c</sup>	45.46 (1.46)	44.50 (1.54)	0.680	43.82 (1.58)	42.89 (1.62)	0.680	41.19 (1.60)	43.00 (1.60)	0.680
Child eating self-efficacy scale range (–15 to +15) <sup>d</sup>	–12.02 (0.24)	–12.32 (0.25)	0.956	–12.02 (0.26)	–12.00 (0.26)	0.956	–12.21 (0.27)	–12.05 (0.26)	0.956
Child exercise self-efficacy scale range (–5 to +5) <sup>d</sup>	–3.96 (0.11)	–3.92 (0.11)	0.976	–3.98 (0.12)	–3.89 (0.12)	0.976	–4.00 (0.13)	–4.01 (0.12)	0.976

The scale range scores were predetermined by the instrument scoring instructions for all subscales above. The *P*-value for experimental versus control comparison was Hochberg-corrected. Bold values indicate *P*<0.05. <sup>a</sup>Fixed effects included indicators for intervention, post-baseline time and pairs of simultaneous intervention and control groups, as well as a baseline value for the corresponding measure, which adjusted for any imbalances at baseline, and the intervention-by-time interaction. <sup>b</sup>A lower score is better with 1 = no difficulty controlling eating and 7 = much difficulty controlling eating. <sup>c</sup>A higher score is better with 0 = certain cannot do; 40–60 = moderately certain can do; 100 = certain can do. <sup>d</sup>A higher positive score is better.

Parents in the experimental group showed an increase in waist circumference, although not as much as the control group and, similar to the children, they showed a significant decrease in skinfolds.

Children in the experimental group increased their dietary knowledge and decreased their soda intake to less than one glass a day. These findings are similar to those of previous studies.<sup>57,58</sup> However, our children were younger and more ethnically diverse, suggesting that younger children may benefit from simple messages on nutrition. Parents in the experimental group increased their nutrition and exercise knowledge and drank

more water and unsweetened beverages and more often chose unsweetened cereal for breakfast.

The intervention had little effect on the exercise habits of children or parents in the experimental group. These results could be related to the fact that the intervention provided supervised exercise only once a week. Exercise behaviors appear to be hard to change, particularly in low-income households and single-parent families and for adults working multiple jobs.<sup>59</sup> A number of factors may influence children's activity, such as being a 'latch-key' child, neighborhood safety, lack of facilities or opportunities, or lack of parental support.<sup>60</sup>

**Table 5.** Correlations between children's and parent's outcomes in the experimental group

Variable	Post Phase I intervention		Post Phase II intervention		Completion of study	
	r	P	r	P	r	P
Parent BMI and child BMI percentile	0.007	0.932	0.047	0.615	-0.114	0.235
Parent and child waist circumference	0.328	< <b>0.001</b>	0.259	<b>0.005</b>	0.409	< <b>0.001</b>
Parent and child triceps skinfolds	0.429	< <b>0.001</b>	0.533	< <b>0.001</b>	0.332	< <b>0.001</b>
Parent and child subscapular skinfolds	0.151	0.065	0.368	< <b>0.001</b>	0.292	<b>0.002</b>
Parent and child nutrition knowledge	0.203	<b>0.012</b>	0.002	0.984	0.024	0.802
Parent and child eating self-efficacy	-0.067	0.411	-0.131	0.152	-0.111	0.239
Parent and child exercise self-efficacy	0.121	0.139	0.162	0.075	-0.098	0.297

Abbreviation: BMI, body mass index. Bold values indicate  $P < 0.05$ .

Children in the experimental group did not significantly improve their eating or exercise self-efficacy at 18 months. In this age group, children frequently do not have a choice of what they eat and when they exercise. At 18 months, the experimental group parents did show significant improvement in eating self-efficacy in social circumstances, but there was no improvement in emotional eating or in exercise self-efficacy. Changing self-efficacy may take more time, and eating self-efficacy may be easier to change than exercise self-efficacy.<sup>29</sup>

At 18 months, waist circumference, triceps skinfolds and subscapular skinfolds were significantly related in the experimental children and parents. The children slowed down their increase in waist circumference, triceps and subscapular skinfolds and the parents decreased their waist circumference and triceps and subscapular skinfolds. The relationship between parent and child adiposity has been previously noted, and the data from this study confirm that changes in adiposity in parents and children are closely linked.<sup>12-15</sup>

Limitations include the fact that the data do not reflect a representative sample of all African-American, non-Hispanic white and bilingual Hispanic children and parents. Data were self-reported, except for weight, adiposity and physical activity measurements, and potential bias is always possible with self-reported data. Some of the measures were imbalanced between groups at baseline, presumably owing to the nature of the cluster randomization, and were accounted for in the analyses. It is unclear why the alpha coefficient on the dietary habits of children was so low. Our study was funded to evaluate self-efficacy measures and behaviors as outcomes; however, we also recognize that others may evaluate them as potential mediators.

Despite these limitations, the study provides important information on weight, adiposity, health behaviors and self-efficacy in a large group of overweight and obese low-income multiethnic children and parents in rural North Carolina. Our results suggest that it is possible to affect weight in parents as well as adiposity and some health behaviors in children and parents when they participate together in a community-based program. After the Phase I intervention, children and parents in the experimental group made many significant changes in health behaviors; however, when the intervention decreased in intensity, both children and parents lost many of those gains. Children and parents may need more intensive intervention, booster sessions and longer monitoring. This study suggests that partnering overweight parents and children may be a beneficial approach to managing weight and making healthy nutrition and exercise choices.

#### CONFLICT OF INTEREST

The authors declare no conflict of interest.

#### ACKNOWLEDGEMENTS

The trial was funded by the National Institute of Health and the National Institute of Nursing Research (1R01NR010254-05). Deep gratitude and appreciation is expressed to Dr Margaret Grey and Dr Kathleen Knafel as consultants and to Ms Siying Li and Ms Diana Lam for their work on the accelerometry data; we also thank the school superintendents, teachers, parents and children for their generosity and time.

#### AUTHOR CONTRIBUTIONS

DCB, TAS, RGM, AHS and GM had a role in designing and planning of the study, the analyses, and drafting the article. DCB, TAS, RGM, AHS, MN, EGH, NA, DJA and GM had a role in implementing the study, critically reviewing the study results and revising the article. All the authors approved this version for publication.

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