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META-ANALYSIS

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Diagnostic Value and Clinical Significance of Methylated SEPT9 for Colorectal Cancer: A Meta-Analysis

Statis Data II anuscrip Lite	ata Collection B tical Analysis C nterpretation D th Preparation E rature Search F ds Collection G	DF 1	Yu-chang Gui Zhi-biao Tan Jian-wen Xu	2 Department of Research, Affiliated Tumor Hospital of Guangxi Medical University, Nanning, Guangxi, P.R. China						
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Background: Material/Methods:			This meta-analysis aimed to clarify the diagnostic role of plasma methylated SEPT9 (mSEPT9) in colorectal can- cer (CRC) and examined its association with CRC. A systematic review was conducted prior to July 2018. Summary sensitivity, specificity, and positive and neg- ative likelihood ratio (PLR/NLR) were calculated for the diagnostic value of mSEPT9 for CRC. The areas under							
	Con	Results: clusions:	and AUC of the overall analysis of mSEPT9 were 0.69 meta-regression analyses demonstrated that the dia Asian ethnicity, and mSEPT9 test combined with fec. (FIT) than for other test methods, white ethnicity, an higher in advanced CRC cases compared with early-s enoma cases. No significant difference in mSEPT9 po Plasma mSEPT9 has a high diagnostic value for CRC, method. The diagnostic sensitivity is superior amon	Immarize the overall test performance. olled. The summary sensitivity, specificity, PLR, NLR, DOR, , 0.92, 8.1, 0.34, 24, and 0.89, respectively. Subgroup and agnostic value was higher for the Epi proColon 2.0 assay, al occult blood test (FOBT) or fecal immunochemical test ad mSEPT9 test alone. The rate of mSEPT9 positivity was tage CRC cases, and was higher in CRC cases than in ad- sitivity rate was found between left- and right-sided CRC. especially on the newly developed Epi proColon test 2.0 ng Asians compared to whites, and the combination of n mSEPT9 alone. Finally, the expression of mSEPT9 is as-						
MeSH Keywords:			Colorectal Neoplasms • Meta-Analysis as Topic • O(6)-Methylguanine-DNA Methyltransferase							
	Abbre	viations:	CRC – colorectal cancer; mSEPT9 – methylated SEPT9; PLR – likelihood ratio; NLR – negative likelihood ratio; DOR – diagnostic odds ratio; OR – odds ratio; FOBT – fecal occult blood test; FIT – fecal immuno-chemical test							
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Background

Colorectal cancer (CRC) is the third most frequent malignancy worldwide, and its prognosis is significantly dependent on the staging at diagnosis [1]. Therefore, early diagnosis of CRC is crucial to improve patients' outcomes. Currently, several noninvasive methods are available to screen for CRC, the most common of which are fecal occult blood test (FOBT) and fecal immunochemical test (FIT). However, the inadequate sensitivity and specificity of these methods limit their application in the detection of CRC [2]. Although colonoscopy is the criterion standard for CRC screening, with a high diagnostic value, it is an invasive method that requires bowel preparation and experienced operators [3]. To date, several other biomarkers have also used to screen for CRC, including CPNE3 [4], CNPY2 isoform 2 [5], and SATB2 [6]; however, few have sufficiently satisfactory performance for clinical use. Therefore, the search for more patient-friendly and less-invasive approaches with high sensitivity and specificity is imperative to improve CRC patients' outcome.

Septin 9 is a member of the conserved Septin family of GTPbinding proteins [7]. Aberrant methylation of v2 transcript of SEPT9 gene has been observed in almost 100% of CRC tissues, leading to significantly decreased SEPT9 expression in CRC [8,9]. Recently, emerging evidence has demonstrated cellfree circulating methylated SEPT9 (mSEPT9) to be a promising biomarker for CRC detection. However, the reported sensitivity and specificity values of plasma mSEPT9 have been highly variable across studies, with the sensitivity ranging from 50.9% to 93.1%, and specificity from 62.2% to 93.8% [10-13]. Previously, Yan et al. [14] performed a meta-analysis to assess the diagnostic value of mSEPT9 for CRC; however, their study had several limitations, including a limited number of studies recruited, inclusion of only English articles, and the fact that they did not analyze the diagnostic value for different stages of CRC. In the present study, we conducted a comprehensive meta-analysis using all eligible published articles, and analyzed the diagnostic value of mSEPT9 for CRC, as well as its association with CRC.

Material and Methods

Search strategy

This meta-analysis was performed in accordance with the guidelines of the Preferred Reporting Items for Systematic Review and Meta-Analyses statement (PRISMA). Using the electronic databases PubMed, Cochrane Library, Web of Science, Google Scholar, and Chinese National Knowledge Infrastructure (CNKI), a comprehensive search was performed to identify eligible articles that were published before July 2018. The following search terms were used: "colorectal cancer" or "CRC," "methylated SEPT9" or "mSEPT9," and "diagnosis" or "diagnose." Included articles were limited to human studies, but not limited by language. Relevant articles were also searched using the related articles function in PubMed. In addition, references within the identified articles were also searched manually. The study was approved by the Review Boards of the First Affiliated Hospital of Guangxi Medical University.

Inclusion and exclusion criteria

The inclusion criteria were as follows: (1) CRC was pathologically diagnosed and none of the patients received chemotherapy, radiotherapy, or surgical intervention before colonoscopy examination; (2) studies that analyzed the diagnostic value of plasma mSEPT9 for CRC using a clear test method; and (3) the sensitivity and specificity data of mSEPT9 for CRC were provided. The exclusion criteria were as follows: review articles, letters, case reports and studies on cell lines, non-plasma/serum samples, unknown detection methods, and animal experiments. When the same patient cohort was reported in several articles, the most recent study was selected.

Data extraction and quality assessment

Data extraction included the name of the first author, year of publication, study region (country), mean age of patients, cut-off of plasma mSEPT9, number of CRC and controls, sensitivity and specificity value of mSEPT9, and test method for plasma mSEPT9. The Quality Assessment of Diagnostic Accuracy Studies (QUADAS), an evidence-based quality assessment tool developed for systematic reviews of studies of diagnostic accuracy (maximum score of 14, in which a study with a score of over 9 is viewed as high quality), was applied to assess the quality of individual studies [15]. Two reviewers independently judged the eligibility of the studies. Disagreements between reviewers were resolved by consensus.

Statistical analysis

Summary measures, including sensitivity and specificity, positive likelihood ratio (PLR), negative likelihood ratio (NLR), and diagnostic odds ratio (DOR) with the corresponding 95% Cls, were calculated for each study. A publication bias of diagnostic studies was assessed using Deek's test [16]. Pooled odds ratios (ORs) with the corresponding 95% Cls were used to estimate the association between mSEPT9 positivity rate and different stages or sites of CRC, while the publication bias was estimated using Egger's and Begg's tests.

The Cochran Q test and inconsistency index (I²) were used to assess the threshold effect as an important component of the source of variation of the studies. An I² <25% indicates mild

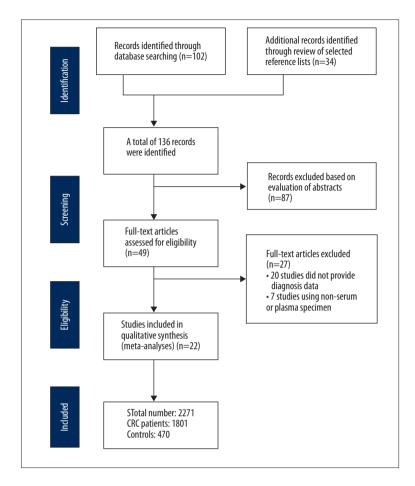


Figure 1. Flow diagram of the study selection process.

heterogeneity, while I² values from 25% to 50% indicate moderate heterogeneity, and I² >50% indicates significant heterogeneity. A fixed-effects model (Mantel-Haenszel method) was used when there was mild heterogeneity; otherwise, a random-effects model (DerSimonian and Laird) was used. Metaregression analyses were employed to compare the diagnostic value among different studies. All statistical tests in this metaanalysis were performed using the Stata 11.2 software (Stata Corp, College Station, TX) with 2-tailed p-values. A p-value <0.05 was considered statistically significant.

Results

Study selection process

The primary retrieval based on the search terms obtained 136 articles. By screening the titles or abstracts of the articles, 87 articles were excluded because they were either reviews, animal studies, case reports, or irrelevant to the current metaanalysis. Next, the 49 remaining articles were evaluated further by screening the full text. Then, 27 articles were excluded for the following reasons: 20 articles did not provide the sensitivity and specificity data for mSEPT9, and 7 studies used non-serum or non-plasma specimens. Finally, 22 studies [10–13,17–34] with 2271 subjects (1801 CRC patients and 470 controls) were included in this meta-analysis. A flow chart of the article selection process is shown in Figure 1.

Characteristics of included studies

The characteristics of included studies are shown in Table 1. All included CRC patients were confirmed by pathological diagnosis. Tumor stages were defined according to the TNM staging system. Most of the studies did not provided the cut-off value. Testing methods for mSEPT9 included the Epi proColon test 1.0, Epi proColon test 2.0, and Reverse transcriptionpolymerase chain reaction (RT-PCR) assay. All studies were prospective in nature. Five studies provided data for FIT or FOBT in the diagnosis of CRC. Twelve studies analyzed the mSEPT9 positivity rate in different stages of CRC, and 6 studies compared the mSEPT9 positivity rate for left- and right-sided CRC. The QUADAS scores ranged between 12 and 14 across the studies, indicating that they were of high quality. Table 1. Characteristic of included studies.

First author	Country/year	Mean age	Test method	Cut-off	Index test	Sensitivity	Specificity	QUADAS scores
Lofton-Day C Germany/2008		56	RT-PCR	NA	Septin9	69%	86%	11
Grützmann R	USA/2008	59	RT-PCR	2/3rule	Septin9	58%	90%	11
deVos T	Germany/2009	62.5	Epi proColon test 1.0	2/3 rule	Septin9	57%	98%	12
He Q	le Q China/2010		RT-PCR	NA	Septin9	75%	96.47%	10
Tänzer M	USA/2010	67	RT-PCR	2/3 rule	Septin9	73%	91%	11
Herbst A	Germany/2011	63	RT-PCR	NA	Septin9	46.6%	81.3%	13
Warren JD	USA/2011	62	Epi proColon test 1.0	NA	Septin9	90%	88%	13
		67.8	RT-PCR	2/3 rule	Septin9	79.3%	99%	12
Tóth K	Germany/2012				FOBT	68.2%	29.4%	
Lee HS	Korea/2013	65.76	RT-PCR	NA	Septin9	36.6%	90.6%	12
Church TR	USA/2014	60	Epi proColon test 1.0	1/3 rule	Septin9	48.2%	91.5%	13
Johnson DA	Germany/2014	66	Epi proColon test 1.0	NA	Septin9	73.3%	81.5%	13
		~~~~	Epi proColon test 2.0	NA	Septin9	75%	98.1%	13
Kang Q	China/2014	61.2			FIT	53.8%	93.8%	
Potter NT	USA/2014	60	Epi proColon test 1.0	NA	Septin9	68%	78%	12
Tóth K	Germany/2014	67.8	Epi proColon test 2.0	NA	Septin9	82.8%	91.7%	12
		60.9	Epi proColon test 2.0	2/3 rule	Septin9	74.8%	87.4%	12
Jin P	China/2015				FIT	58%	82.4%	
Li SJ	China/2015	56.4	Epi proColon test 2.0	NA	Septin9	72.5%	91.1%	13
Ding QQ	China/2015	71	Epi proColon test 2.0	NA	Septin9	73.2%	95.6%	13
Ørntoft MB	Denmark/2015	59	Epi proColon test 1.0	2/3 rule	Septin9	59%	82%	13
Wu D	China/2016	52.5	Epi proColon test 2.0	NA	Septin9	76.6%	95.9%	12
Fu B	China/2018	60	Epi proColon test 2.0	2/3 rule	Septin9	61.22%	93.7%	13
		62	Epi proColon test 2.0	NA	Septin9	81.94%	83.61%	12
Li Y	China/2018				FOBT	52.78%	81.97%	
		66.07	RT-PCR	NA	Septin9	61.8%	89.6%	13
Xie L	China/2018				FOBT	61.4%	70.3%	

NA – not available; QUADAS – Quality Assessment of Diagnostic Accuracy Studies; FIT – fecal immunochemical test; FOBT – fecal occult blood test.

#### Overall result for mSEPT9 in the diagnosis of CRC

Twenty-two studies analyzed the diagnostic value of mSEPT9 for CRC. The pooled results demonstrated that the summary sensitivity, specificity, PLR, NLR, and DOR were 0.69 (range, 0.63–0.74), 0.92 (range, 0.89–0.94), 8.1 (range, 5.9–11.2), 0.34 (range, 0.28–0.40), and 24 (range, 16–37), respectively.

There was significant heterogeneity in the summary sensitivity ( $l^2$ =84.5%, p<0.001) and specificity ( $l^2$ =88.8%, p<0.001) (Figure 2A). The summary area under the receiver operating curve was 0.89 (range, 0.86–0.91) (Figure 2B). No published bias was found across the studies using Deek's test (p=0.653) (Figure 2C).

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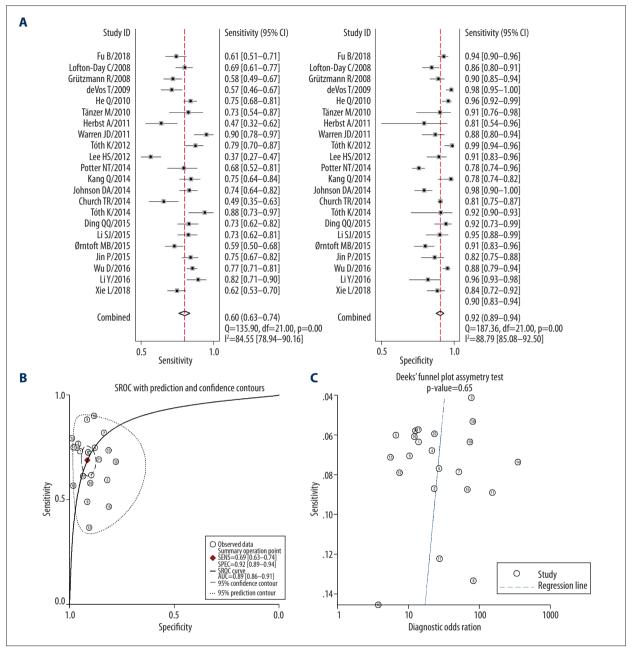


Figure 2. Diagnostic value of plasma mSEPT9 in diagnosis for CRC. (A) Summary sensitivity and specificity plotted on forest graphs for plasma mSEPT9 in diagnosis for CRC. (B) SROC curve graph for plasma mSEPT9 in diagnosis of CRC. (C) Deek's funnel plot asymmetry test of plasma mSEPT9 in diagnosis for CRC.

# Subgroup analysis of different parameters in diagnosis of CRC

Using the meta-regression method, we found that mSEPT9 has a higher diagnostic sensitivity and specificity than FOBT, with p-values of 0.54 and 0.51, respectively. This suggests that mSEPT9 has a higher diagnostic value for CRC compared with FOBT. We also compared the diagnostic value of mSEPT9 for CRC located in different regions. We divided patients into Asian

and white ethnicities, and found that the diagnostic sensitivity increased in patients of Asian ethnicity compared to those of white ethnicity (p=0.04), indicating that the diagnostic value of mSEPT9 varies by ethnicity.

However, we failed to demonstrate that the different controls (i.e., none-CRC diseases *vs.* healthy controls) of included studies affected the diagnostic value of mSEPT9 in CRC. Three studies presented the results of mSEPT9 or mSEPT9 combined

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	N	S	ensitivity	P1	Specificity		P2	AUC
Septin9 test	22	0.69	(0.64–0.74)	0.54	0.92	(0.89–0.94)	0.01	0.88
FIT/FOBT test	5	0.59	(0.48–0.70)		0.88	(0.81–0.96)		0.64
Asian ethnicity	12	0.70	(0.63–0.76)	0.04	0.91	(0.87–0.95)	0.10	0.93
White ethnicity	15	0.65	(0.59–0.71)		0.91	(0.88–0.95)		0.87
None-CRC diseases	21	0.72	(0.58–0.83)	0.72	0.90	(0.81–0.95)	0.69	0.88
Healthy controls	6	0.68	(0.55–0.79)		0.92	(0.87–0.95)		0.91
Septin9 test alone	3	0.74	(0.675–0.789	0.01	0.79 (	(0.741–0.839)	0.24	0.92
Septin9+FOBT/FIT	3	0.89	(0.839–0.923		0.75 (	(0.691–0.796)		0.98

 Table 2. Different methods and regions in diagnosis of CRC.

N - number; P1 - p-value of sensitivity comparison; P2 - p-value of specificity comparison; AUC - area under the curve.

Table 3. Comparison of diagnostic value of different methods on CRC.

	N	Sensitivity		P1	Specificity		P2	AUC
Epi proColon test 1.0	8	0.68	(0.59–0.76)	<0.01	0.91	(0.86–0.95)	0.15	0.89
Epi proColon test 2.0	10	0.74	(0.66–0.82)		0.91	(0.87–0.95)		0.92
Epi proColon test 1.0	8	0.73	(0.68–0.77)	0.04	0.91	(0.86–0.96)	0.10	0.89
RT-PCR	9	0.60	(0.56–0.65)		0.91	(0.86–0.95)		0.66
Epi proColon test 2.0	10	0.74	(0.66–0.82)	0.02	0.92	(0.87–0.96)	0.43	0.92
RT-PCR	9	0.60	(0.52–0.68)		0.91	(0.86–0.96)		0.66

N – number; P1 – p-value of sensitivity comparison; P2 – p-value of specificity comparison; AUC – area under the curve.

with FOBT/FIT test for the diagnosis of CRC. When we compared their diagnostic values, we found that the sensitivity of mSEPT9 combined with FOBT was higher than that of mSEPT9 alone, although there was no significant difference (Table 2).

# Comparison of diagnostic value of different methods for CRC

In this meta-analysis, 3 methods were used to determine the diagnostic value for CRC: the Epi proColon test 1.0, the Epi proColon test 2.0, and RT-PCR. As shown in Table 3, the diagnostic sensitivity of the Epi proColon test 2.0 was higher than that of the Epi proColon test 1.0 and RT-PCR. The diagnostic sensitivity of the Epi proColon test 1.0 was also higher than that of RT-PCR method, suggesting that these newly developed methods have a higher diagnostic value.

# Comparison of the mSEPT9 positivity rate in different stages of CRC

Twelve studies provided data on mSEPT9 positivity rates in different stages of CRC. We divided the CRC patients into

early-stage (stage I+II) and advanced-stage (stage III+IV), and compared the mSEPT9 positivity rates of different stages. As illustrated in Figure 3A, the mSEPT9 positivity rate was much higher in advanced-stage cases of CRC than in early-stage cases (OR=0.79, 95% CI=0.66-0.94, p=0.010). There was no significant heterogeneity among the studies (I²=0, p=0.999), and no published bias was found by Egger's test (p=0.599) and Begg's test (p=0.537) (Figure 3B).

# Comparison of the mSEPT9 positivity rate in different sides of CRC

Six studies analyzed the mSEPT9 positivity rate in both left- and right-sided CRC. We found no significant difference in mSEPT9 positivity rates between left- and right-sided CRC (OR=1.17, 95% CI=0.84-1.62, p=0.352), and no significant heterogeneity among the studies ( $I^2$ =0, p=0.881) (Figure 4A). Egger's (p=0.470) and Begg's tests (p=0.707) indicated limited publication bias across the studies (Figure 4B).

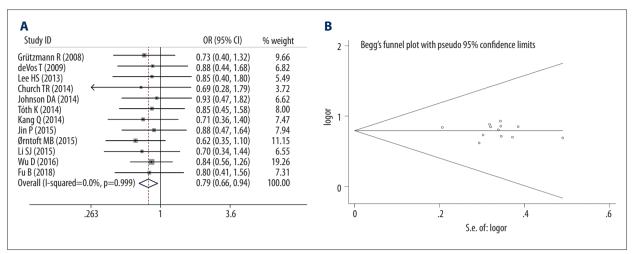


Figure 3. (A) Meta-analysis of plasma mSEPT9 positive rate in early or advanced stage of CRC. (B) Funnel plots of plasma mSEPT9positive rate in early- or advanced-stage CRC.

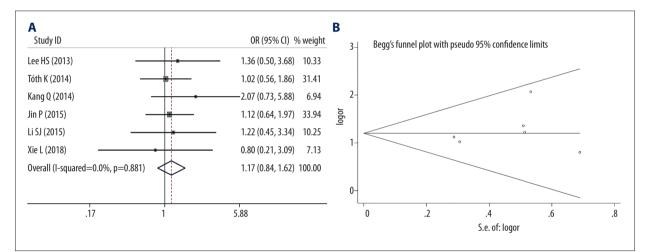


Figure 4. (A) Meta-analysis of plasma mSEPT9-positive rate in left side or right side of CRC. (B) Funnel plots of plasma mSEPT9 positive rate in left side or right side of CRC.

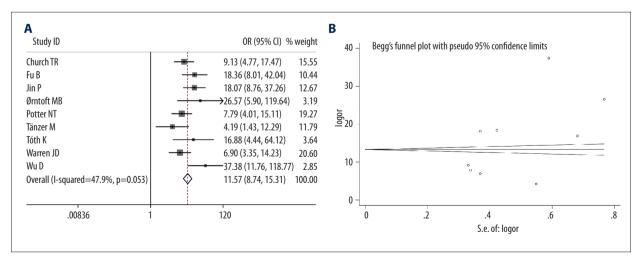


Figure 5. (A) Meta-analysis of plasma mSEPT9-positive rate in CRC and adenoma cases. (B) Funnel plots of plasma mSEPT9-positive rate in CRC and adenoma cases.

# Comparison of the mSEPT9 positivity rate in CRC and adenoma cases

Nine studies included data of mSEPT9 positivity rates in CRC and adenoma cases. We found that the mSEPT9-positivity rate was significantly higher in CRC cases than in adenoma cases (OR=11.57, 95% CI=8.74–15.31, p<0.001); however, there was moderate heterogeneity among the studies ( $I^2=0$ , p=47.9%) (Figure 5A). Egger's (p=0.124) and Begg's tests (p=0.251) indicated limited publication bias across the studies (Figure 5B).

## Discussion

In this meta-analysis, by pooling the data of a large number of studies, we found that plasma mSEPT9 has a higher specificity and a moderate sensitivity in the diagnosis of CRC. Furthermore, the diagnostic value was much higher than that of FOBT/FIT. After dividing the studies into subgroups, we observed that the more recently developed method, the Epi pro-Colon 2.0 assay, has a much higher diagnostic value than the other methods. We also observed that the diagnostic value of mSEPT9 was higher in Asians than in whites, and that mSEPT9 combined with FOBT/FIT had higher diagnostic value than did the mSEPT9 test alone. Moreover, we estimated the association between mSEPT9 and stage as well as location of CRC, and found that the mSEPT9 positivity rate was remarkably increased in advanced stages compared with early stages of CRC, and was much higher in CRC cases compared with adenoma cases. However, no significant difference was observed for mSEPT9 positivity rate between left- and right-sided CRC.

A growing number of studies indicate that alteration of DNA methylation is one of the most common aberrant epigenetic modifications, which play essential roles in CRC initiation and progression [35,36]. In recent years, mSEPT9 has been used for early-stage CRC screening; however, its diagnostic value was variable among studies. In the present study, the sensitivity and specificity of mSEPT9 was 0.69 and 0.92, respectively, indicating that the sensitivity was moderate; however, after dividing the studies based on the test method and region, we found that the sensitivity increased. Currently, the plasma-based Epi proColon test is used to detect the expression of mSEPT9 in CRC, and the Epi proColon 2.0 assay was approved by the US FDA as the first blood-based CRC screening test. The sensitivity and specificity of Epi proColon 2.0 assay is much higher than the Epi proColon 1.0 assay and traditional RT-PCR method [37], perhaps because each assay used a different algorithm. It has been reported that the 2/3 algorithm provided the best balance between sensitivity and specificity, as it detected 3/4 of cancer patients with a less than 3% false-positive rate [38]. Of note, 2 studies [12, 23] using RT-PCR to detect mSEPT9 reported sensitivities of 36.6% and 46.6%, which were much lower than both the Epi proColon 1.0 and 2.0 assays. This suggests that RT-PCR might not be suitable as a screening tool for CRC.

The association between mSEPT9 and CRC clinicopathological parameters was another issue that we investigated; by pooling the available data, we found that the mSEPT9-positivity rate increased in later stages of CRC, suggesting that increased mSEPT9 positivity may represent high malignancy and possibly be associated with poor prognosis. As evidence has demonstrated that left- and right-sided CRC have different epidemiology, clinical presentation, pathology, genetic mutations, and survival rate [39], we further combined the available data; however, we were unable to show any difference in mSEPT9 positivity rates between the left- and right-sided CRC, indicating that tumor location does not influence the expression of plasma mSEPT9.

Previously, Yan et al. [14], Li et al. [40], and Nian et al. [41] reported the diagnostic value of mSEPT9 in colorectal cancer using meta-analyses, which included 14, 25, and 12 studies, respectively. Compared with previous meta-analyses, our study has several advantages. First, this meta-analysis included more studies, and we included English and Chinese studies, thus enlarging the sample size and reducing selection bias. Second, this meta-analysis compared more indexes compared with previous studies, including the comparison of Septin 9 test alone and a combination of Septin 9 test with other methods, Epi proColon test 1.0 and Epi proColon test 2.0, and Asians and whites. Third, we also examined the association between mSEPT9 and different stages and location of CRC by combing the available data. Our analysis verified that the mSEPT9positivity rate was much higher in advanced-stage cases compared to early-stage cases of CRC, which was not previously reported. Fourth, the minimal heterogeneity across the studies in our analysis of the association between mSEPT9 and different stages and location of CRC enhances the reliability of the results. Fifth, the high quality of included studies and minimal publication bias indicate the robustness of the results. Taken together, this meta-analysis provided more information than previous meta-analyses, with more reliable results. However, further studies are required to verify that mSEPT9 could serve as a reliable biomarker to diagnose CRC.

The present study has several limitations. First, some included studies did not provide the cut-off value when analyzing the diagnostic value; thus, we could not exclude that different cutoff values could significantly influence the diagnostic value. Second, the controls of some included studies were variable, with some using non-CRC patients, some using healthy persons, and some using both. Although we have divided the included studies into non-CRC diseases and healthy controls, we could not further divide them into specific colorectal diseases; this might decrease the robustness of the resulting diagnostic value. Third, there was significant heterogeneity across the studies in terms of sensitivity and specificity, which might also undermine the reliability of our results. Fourth, this meta-analysis only selected English and Chinese articles; the exclusion of other languages might also induce selected bias. Fifth, the included studies did not account for the effects of risk factors for CRC, such as age, sex, smoking, diet, and genetic factors on their findings, which may undermine the robustness of their results. Thus, these results require cautious interpretation.

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## Conclusions

This meta-analysis demonstrated that plasma mSEPT9 has a high diagnostic value for CRC, especially with newer diagnostic methods. The diagnostic sensitivity of mSEPT9 is superior in Asians than in whites, while the combination of mSEPT9 with FOBT/FIT demonstrated better performance than mSEPT9 alone. The expression of mSEPT9 was also found to be associated with CRC stage, but not with the location. Further studies are required to verify that mSEPT9 could serve as a reliable biomarker to diagnose CRC.

#### **Conflict of interest**

None.

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