

# Transfusion strategies for neonates: current perspectives

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**Background:** Blood transfusion intervention has been proven to be a crucial therapeutic aid for preterm infants with serious morbidities such as sepsis, intraventricular hemorrhage, and cardiopulmonary insufficiencies. However, blood transfusion practices have also been shown to cause significant adverse outcomes, which may negate the therapeutic effect of the intervention. To address the varying policies regarding the administration of blood products, healthcare professionals have adopted a consensus-based approach. The absence of a standard protocol has resulted in conflicting outcomes in previous clinical studies.

**Objective:** This study aimed to evaluate the effectiveness of blood transfusion practices in preterm infants by analyzing past clinical research and identifying the current trends that have emerged as a result of recent trials.

**Results:** Recent trials have demonstrated comparable trends in mortality rates and other primary outcomes, including retinopathy of prematurity, intraventricular hemorrhage, bronchopulmonary dysplasia, and brain injury, following transfusion of blood products in both groups. Nevertheless, employing restrictive thresholds rather than adopting a liberal approach can reduce these outcomes. **Conclusion:** The current literature does not provide clear support for either technique as opposing and contradictory results are evident. However, there is a slight inclination toward the restrictive transfusion threshold due to recent trials, which warrants further indepth investigation into this issue.

Keywords: guidelines, neonatal physiology, preterm infants, thresholds, transfusion practices

#### Introduction

The neonatal phase is distinguished by fast growth and development, as well as distinct physiological and hematological attributes that distinguish newborn babies from adults.<sup>[1]</sup> As a result, newborn transfusions require a specialized strategy that considers the complexities of the physiological and immunological systems<sup>[2]</sup>. Ensuring safe and successful transfusions requires careful balancing of criteria, such as gestational age, birth weight, hematological parameters, and possible hazards associated with transfusion-related problems<sup>[3]</sup>. Newborn transfusions may be required because of a variety of problems, including preterm birth difficulties, newborn anemia, hemolytic diseases, and congenital abnormalities. Each case necessitates a

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# HIGHLIGHTS

- There has been a contentious debate regarding transfusion approaches for neonates, particularly preterm ones. Over time, transfusion practices within this demographic have witnessed the application of both lenient strategies and corresponding guidelines.
- Nevertheless, recent trials have revealed that adopting higher thresholds for initiating transfusions is linked to increased mortality and morbidity. The most extensive recent trial conducted in the UK has reported similar findings.
- This article initially explores the distinctions in physiology between neonates and adults before delving into various types of transfusions and associated guidelines.

customized therapeutic strategy that maximizes possible benefits while minimizing the inherent hazards of blood transfusions<sup>[4]</sup>.

Our objective was to investigate the diverse landscape of neonatal transfusions, encompassing challenges, advancements, and optimal strategies that underpin this critical aspect of neonatal care. By conducting an exhaustive analysis of the relevant literature, we aspire to offer valuable insights and evidence-based guidance to clinicians, researchers, and healthcare professionals, as they confront the intricacies of neonatal transfusion therapy.

#### Neonatal physiology

Understanding the fundamental physiology of newborns is crucial before discussing the various transfusion tactics used in this

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population, as these differences in physiology account for the variations in transfusion patterns between neonates and adults. Because their bodies are still developing and it takes time for them to adjust from the intrauterine to the extrauterine environment, newborns are particularly susceptible to the effects of even small environmental changes. This paper will briefly discuss the physiology related to transfusion, specifically focusing on the physiological variations in newborn systems that make transfusion more challenging. However, it is beyond the scope of this paper to provide a comprehensive explanation of all physiology.

#### **Cardiovascular system**

The cardiovascular system of newborns develops swiftly, reaching adult levels within a few days of birth<sup>[5]</sup>. Shunts such as the ductus venosus and foramen ovale serve critical functions during fetal circulation but automatically shut down shortly after birth<sup>[6]</sup>. Fetal shunting lowers pulmonary blood flow, resulting in a reduction in oxygen delivery and the possibility of hypoxia<sup>[7]</sup>. As babies grow, their myocardium expands and their autonomic nervous system of the heart increases. Neonates have greater heart rates than adults, ranging from 120 to 160 beats per minute<sup>[8]</sup>. Their blood pressure is likewise lower, with an average systolic blood pressure of 60–70 mmHg<sup>[9]</sup>. Clotting factors do not cross the placenta in newborns. Vitamin K-dependent clotting factors are initially inadequate due to limited vitamin K reserves and undeveloped hepatocyte function<sup>[10]</sup>.

#### **Respiratory system**

The respiratory system of newborns is characterized by unique features, including immature breathing control that results in sporadic breathing. Their airways are smaller, highly compliant, and prone to collapse, resulting in higher airway resistance<sup>[11]</sup>. Additionally, they have a higher metabolic oxygen demand and a smaller Functional Residual Capacity (FRC), making them more susceptible to rapid desaturation. Unfavorable rib configuration and inefficient respiratory muscles further contribute to this rapid desaturation<sup>[12]</sup>. Newborns have a considerably faster breathing rate compared to adults, typically ranging between 30 and 60 breaths per minute<sup>[13]</sup>. Surfactant plays a crucial role in the maturation of the neonatal respiratory system<sup>[14]</sup>. It reduces surface tension, stabilizes small alveoli, improves overall alveolar inflation, and lessens the hydrostatic force that can cause pulmonary edema. Respiratory distress syndrome is a clinical disorder that arises due to inadequate surfactant production in newborns<sup>[15]</sup>.

#### **Renal system**

The renal system of newborns undergoes significant changes within the first 2–3 days after birth, entering a diuretic phase characterized by relatively high urine production. During this period, newborns generally have lower body weight and total body water compared to other stages<sup>[16]</sup>. Newborns experience a doubling of glomerular filtration rate during the first 2 weeks of life. The rapid increase in mean arterial pressure, renal blood flow, glomerular permeability, and filtration surface area contribute to this surge in glomerular filtration rate<sup>[17]</sup>. In terms of renal concentration and dilution, at birth, the maximum

concentrating ability is relatively low, although term neonates can produce urine with a dilution similar to that of adults (25–35 mOsm/L). For preterm neonates, the maximum concentrating capacity is limited to 500 mOsm/L for a longer duration<sup>[18]</sup>. Concerning acid–base metabolism, neonates have a lower threshold for proximal tubular bicarbonate reabsorption compared to adults.<sup>[19]</sup> As the glomerular filtration rate increases, there is a gradual rise in bicarbonate reabsorption<sup>[20]</sup>. However, in neonates with congenital conditions, these typical physiological trends may be disrupted. In such cases, it becomes essential to closely monitor and measure urine flow, as well as urine and serum electrolyte concentrations, to manage fluid and electrolyte balance effectively<sup>[21]</sup>.

#### **Gastrointestinal system**

Newborns do not have a completely formed gastrointestinal system. It is more difficult for them to digest and absorb nutrients because of their reduced release of digestive enzymes<sup>[22]</sup>. Additionally, they have decreased intestinal motility, which might make it harder for them to eat and cause digestive problems<sup>[23]</sup>. The trophic effect of enteral nutrition, which lasts for the first 24 h after birth, predominantly causes a growth spurt in the gastrointestinal tract<sup>[24]</sup>. Nursing newborns may be challenging; they could also have reflux, in which the stomach contents return to the esophagus<sup>[25]</sup>. Another gastrointestinal condition that typically affects premature infants is necrotizing enterocolitis (NEC). It is characterized by tissue death and intestinal inflammation<sup>[26]</sup>.

#### Immune system

Neonates, being born with an underdeveloped immune system, are more vulnerable to infections<sup>[27]</sup>. The process of birth itself exposes them to a wide range of diseases they have never encountered before, making them particularly susceptible to infections compared to adults<sup>[28]</sup>. Early in life, their immune response is somewhat restrained, partly due to the immunosuppressive environment of the womb. The susceptibility of neonates to infectious pathogens can be attributed to several factors. Their immune system is still growing, and they lack immunological memory, which means they have not encountered and built defenses against various pathogens<sup>[29]</sup>. Their exposure to a microbial-rich environment further increases the risk of infections. Both the innate and adaptive immune responses in newborns depend on factors such as the frequency of precursor cells, the amount of antigen exposure, and the mode of exposure<sup>[30]</sup>. Within the first 3 months of life, the cellular immune system of newborns undergoes rapid development, influenced by a multitude of factors<sup>[31]</sup>. Maternal cytokines, exposure to antigens, and the frequency of precursor lymphocytes and antigen-presenting cells all play vital roles in shaping the development of neonatal immunity<sup>[32]</sup>.

Keeping in mind the aforementioned distinctions between the physiologies of a newborn and an adult, the transfusion of red blood cells (RBCs), white blood cells (WBCs), and platelets in the neonatal population and the complications associated with them have been briefly described in the following sections.

# Table 1

# Overview of platelet trials.

References	Objective	Methodology	Selection criteria	Threshold	Patient population	Result
PlaNeT-1 <sup>(52)</sup>	To investigate the causes of bleeding and to describe the patterns of clinical bleeding in infants with severe thrombocytopenia.	The research admission criterion for severe newborn thrombocytopenia was a platelet count of $<60 \times 10^9$ /L. Platelet count, GA, birth weight, gender, postnatal age, clinical diseases linked to thrombocytopenia, and the degree of any prior intraventricular hemorrhage (IVH; Papile grading 1–4) were among the baseline data collected. Data on platelet count, hemorrhage, platelet administration, and cause for transfusion were prospectively recorded over the course of the trial and for at least 7 days following enrollment. Data gathering persisted until the platelet count reached $\geq 60 \times 10^9$ /L and remained at that level for a minimum of 2 days in the absence of transfusion assistance.	<ol> <li>Inclusion criteria: severe newborn thrombocytopenia (SNT) is defined as a platelet count below 60 × 10<sup>9</sup> platelets per liter of blood.</li> <li>Exclusion criteria: newborns receiving a surgery, those receiving an exchange transfusion, those lacking clinical data, and those with abnormal platelet counts.</li> </ol>	(1) Neonatal thrombocytopenia (platelet count <150 × 10 <sup>9</sup> /L) (2) Severe thrombocytopenia (ST and platelet count <60 × 10 <sup>9</sup> / L).	<i>n</i> = 169 neonates with severe thrombocytopenia.	<ul> <li>Bleeding was observed in the majority of newborns with ST (138/169; 82%).</li> <li>(1) n = 123 neonates with minor bleeding.</li> <li>(2) n = 15 with major bleeding.</li> </ul>
PlaNeT-2 <sup>[79]</sup>	Analyzing the treatment effect heterogeneity to see whether any particular groups of neonates benefit from a low- platelet-count threshold for transfusion.	Neonates with severe thrombocytopenia, defined as a platelet count of 50 × 10 <sup>9</sup> /L, were compared to a prophylactic platelet transfusion threshold of 25 × 10 <sup>9</sup> /L. A composite of significant bleeding and/or death within 28 days following randomization was the main outcome. From June 2011 to August 2017, 43 neonatal intensive care centers in the UK, the Netherlands, and Ireland randomly assigned newborns.	(1) Inclusion criteria: preterm neonates with severe thrombocytopenia.		<ol> <li>(1) n = 653</li> <li>(2) Avg GA: 26.7 weeks and</li> <li>(3) Median postnatal age: 7.5 days.</li> </ol>	The $25 \times 10^9$ /L barrier was advantageous compared to the $50 \times 10^9$ /L criterion in all categories of predicted baseline risk.
Andrew et al. <sup>[97]</sup>	Investigating if administering platelet concentrates early would decrease the occurrence, the extent, or both of cerebral bleeding.	<ol> <li>Patient population.</li> <li>Patient population.</li> <li>Clinical information gathering: a daily hemoglobin level, precise infusion quantities for packed red blood cells, fresh frozen plasma, and platelet concentrates, and blood withdrawal for testing were all crucial.</li> <li>Platelet transfusion: blood group-matched platelet concentrates were given to infants randomly allocated to the treatment group for about an hour at a dosage of 10 ml/kg.</li> <li>Laboratory monitoring: using an automated newborn equipment that had previously been verified, a bleeding-time study was conducted.</li> </ol>	<ol> <li>Inclusion criteria: prematurity, birth weight between 500 and 1500 g, gestational age &lt;33 weeks, and a platelet count &lt;150 × 10<sup>9</sup>/L in the first 72 h of life.</li> <li>Exclusion criteria: included the attending neonatologist's evaluation that the newborn would most certainly die within the first 72 h, an initial platelet count of 50 × 10<sup>9</sup>/L, parental denial of permission, and the presence of periventricular leukomalacia at the initial examination.</li> </ol>	<ol> <li>Severe thrombocytopenia (ST and platelet count &lt;50 × 10<sup>9</sup>/L).</li> <li>Moderate thrombocytopenia (platelet counts 50 to 100 × 10<sup>9</sup>/L).</li> </ol>	platelets <150 × 10 <sup>9</sup> /L.	Prophylactic platelet concentrate infusions do not reduce the incidence or duration of ICH by more than 25% in preterm newborns with moderate thrombocytopenia. (No difference 28% vs. 26%, $P$ =0.73).

Curley Comparison c et al. <sup>[38]</sup> bleeding rates low prophylac transfusion th neonates.	Comparison of mortality and 1 bleeding rates between high and t low prophylactic platelet c transfusion thresholds in ( neonates.	Comparison of mortality and In this multicenter trial, infants with severe (1) Inclusion criteria: a gestational age at delivery of (1) High threshold (1) $n = 660$ . Worse with higher platelet transfusion bleeding rates between high and thrombocytopenia who were born before 34 weeks a platelet count of <50 000/ group: 50 000/mm <sup>3</sup> (2) 6A <34 weeks and threshold. No severe intraventricular hemorrhage(2) Low-threshold (1) $n = 660$ . Worse with higher platelet transfusion to for pregnancy were randomized to receive a platelet mm <sup>3</sup> , and no severe intraventricular hemorrhage(2) Low-threshold platelets (7 (95% CI 1.06–2.32), $P = 0.02$ . transfusion at either the high-threshold group (2) Exclusion criteria: a serious or life-threatening group: 25 000/mm <sup>3</sup> . L (50 000 platelets/mm <sup>3</sup> ) or the low-threshold group congenital deformity, substantial bleeding within (3) Median birth weight, (25 000 platelets/mm <sup>3</sup> ). Using availdated bleeding the preceding 72 h, fetal cerebral hemorrhage, method as assessment instrument, bleeding was immune thrombocytopenia. prospectively recorded.	with severe (1) Inclusion criteria: a gestational age at delivery of (1) High threshold (1) $n = 660$ . n before 34 weeks fewer than 34 weeks, a platelet count of <50 000/ group: 50 000/mm <sup>3</sup> (2) GA <34 week 3 receive a platelet mm <sup>3</sup> , and no severe intraventricular hemorrhage(2) Low-threshold platelets <50 × reshold group (2) Exclusion criteria: a serious or life-threatening group: 25 000/mm <sup>3</sup> . L w-threshold group congenital deformity, substantial bleeding within (3) median birth w validated bleeding the preceding 72 h, fetal cerebral hemorrhage, (3) Median birth w validated bleeding the preceding 72 h, fetal cerebral hemorrhage, (3) we have w validated bleeding the preceding 72 h, fetal cerebral hemorrhage, (3) we have w validated bleeding the preceding 72 h, fetal cerebral hemorrhage, (3) we have w validated bleeding the preceding 72 h, fetal cerebral hemorrhage, (3) we have w validated bleeding the preceding 72 h, fetal cerebral hemorrhage, (3) we have w validated bleeding the preceding 72 h, fetal cerebral hemorrhage, (3) we have w validated bleeding the preceding 72 h, fetal cerebral hemorrhage, (3) we have w validated bleeding the preceding 72 h, fetal cerebral hemorrhage, (3) we have w validated bleeding the preceding 72 h, fetal cerebral hemorrhage, (3) we have w validated bleeding the preceding 72 h, fetal cerebral hemorrhage, (3) we have w validated bleeding the preceding 72 h, fetal cerebral hemorrhage, (3) we have w validated bleeding the preceding 72 h, fetal cerebral hemorrhage, (3) we have w validated bleeding the we have thrombocytopenia.	(1) High threshold (1) $n = 660$ . group: 50 000/mm <sup>3</sup> (2) GA <34 weeks and platelets <50 × 10 <sup>9</sup> D group: 25 000/mm <sup>3</sup> . L (3) Median birth weight, 740 g.	Worse with higher platelet transfusion d threshold. DR 1.57 (95% CI 1.06–2.32), <i>P</i> = 0.02. t,
Cl, confidence interval; GA, ges	stational age; IVH, intrav	I, confidence interval; GA, gestational age; NH, intraventricular hemorrhage; OR, odds ratio; SNT, severe newborn thrombocytopenia; ST, severe thrombocytopenia.	n thrombocytopenia; ST, severe thrombocytopenia.		

#### **RBC transfusion**

RBCs are beneficial for anemia, extremely low birth weight (ELBW), preterm infants, and those who have experienced acute blood loss from placental abruption or fetal–maternal hemorrhage. It effectively increases blood hemoglobin (Hb) levels and enhances tissue oxygenation<sup>[33]</sup>. Neonatal patients, characterized by underdeveloped hematopoietic systems and small blood volumes, constitute one of the most frequently transfused populations<sup>[1]</sup>.

Notably, 90% of ELBW newborns and 58% of preterm infants born before 32 weeks of gestation are expected to undergo RBC transfusions<sup>[34]</sup>. While accurately estimating an infant's blood transfusion requirement is imperative, relying solely on isolated Hb readings can be challenging. Transfusion decisions currently consider clinical symptoms, Hb/hematocrit (Htc) values, and the infant's cardiorespiratory condition<sup>[35]</sup>. For optimization, researchers have explored non-invasive technologies, such as near-infrared spectroscopy (NIRS). NIRS exhibits potential in tracking oxygen saturation in the intestines and splanchnic regions, aiding in the determination of the proper transfusion threshold<sup>[36]</sup>. Moreover, both NIRS and sonographic perfusion tests have demonstrated promising results in identifying preterm neonates at risk of anemia<sup>[37]</sup>.

Over time, various approaches to RBC transfusions have been developed. Common strategies include iron supplements, ery-thropoietin, darbepoetin, delayed cord clamping, and minimizing phlebotomy losses<sup>[38–40]</sup>. Despite numerous attempts to establish clinical guidelines for RBC transfusion in preterm infants, challenges persist, including concerns regarding long-term consequences. Ongoing research aims to clarify the ideal transfusion protocol in neonatal critical care units<sup>[41]</sup>.

### **Platelet transfusion**

Thrombocytopenia, characterized by low platelet counts  $(150\ 000\ \text{platelets/L})^{[42]}$ , is prevalent in neonates, with a frequency of 1–2% in healthy infants and 20–35% in those with health problems. Thrombocytopenia becomes more likely as gestational age decreases, reaching as high as 70% in extremely preterm babies<sup>[43]</sup>. Intrauterine infection, placental insufficiency, neonatal sepsis, severe medication effects, or immune-related issues are all possible causes. Premature newborns have lower normal platelet counts, ranging from 100 000 to 150 000/L<sup>[44,45]</sup>.

The incidence of neonatal bleeding increases with decreasing gestational age<sup>[46]</sup>. Intraventricular hemorrhage (IVH) is the most common and severe type of bleeding, typically occurring during the first 3 days following delivery<sup>[47]</sup>. IVH is now associated with cardiorespiratory alterations rather than hematological abnormalities<sup>[48,49]</sup>. Platelet transfusion is an essential component of the suggested therapy<sup>[50]</sup>. Consequently, despite minimal evidence of usefulness, prophylactic platelet transfusions are widely used to avoid bleeding in cases of isolated thrombocytopenia<sup>[51]</sup>. In this study, we provide an overview of four trials related to platelet transfusions in preterm neonates, which are all listed in Table 1.

sepsis received polymorphonuclear leukocyte infusions (PMNs), with a mortality rate of 50%; however, the causes were unrelated

It is worth noting that in both studies, neutropenia and leu-

kopenia were corrected, and the patients that survived made full

recovery and suffered from no side effects or recurrence of sepsis

in the following year. While the current thresholds may not seem

to fully treat other complications of neonatal sepsis, the primary

objective of raising the WBC count without posing any additional

threat to the patient's life validates the continuous use of such

The appropriate volume of RBC transfusion in newborns remains

a topic of ongoing investigation. Current guidelines in the United

Kingdom recommend a cautious approach, suggesting volumes

of 15 ml/kg for newborns without bleeding<sup>[57]</sup>. However, recent

studies propose that higher transfusion volumes, around 20 ml/ kg, may be beneficial without causing respiratory issues and

could potentially reduce the need for frequent transfusions<sup>[1]</sup>.

Nevertheless, caution is warranted, as volumes exceeding 20 ml/

kg could lead to volume overload in patients without active

bleeding<sup>[58]</sup>. A brief overview of the current guidelines is shown in

universally recommended to minimize the risk of adverse reactions<sup>[59]</sup>. In the case of preterm newborns weighing less than

1500 g, additional precautionary measures such as irradiation of

For all neonatal patients, the use of leukodepleted RBCs is

to the intervention<sup>[56]</sup>.

interventions.

Tables 2 and 3.

Volume and threshold

#### Insights from the recent trials

#### PlaNeT-1

This study examined the clinical bleeding patterns in neonates with severe thrombocytopenia, defined as a platelet count  $<60 \times 10^{-9}$  and analyzed the associated factors. The study included 169 neonates from seven tertiary-level neonatal units and recorded instances of hemorrhage. The study employed regression analysis to evaluate the relationship between bleeding, platelet count, and baseline characteristics. The findings indicated that 82% of neonates with severe thrombocytopenia experienced bleeding, with 123 minor and 15 major cases. Most minor bleeding events occurred in the renal tract (40% hematuria), endotracheal tube (21%), nasogastric tube (10%), and skin (15%). The study identified gestational age below 34 weeks, onset of severe thrombocytopenia within 10 days of birth, and NEC as strong predictors of increased bleeding events. Interestingly, lower platelet count was not found to be a robust predictor of increased bleeding. This underscores the importance of considering clinical factors in assessing the risk of bleeding<sup>[52]</sup>.

#### PlaNeT-2

The PlaNeT-2 trial, which is the most recent and compelling evidence to date, focused on prophylactic platelet transfusion thresholds for preterm neonates and yielded surprising results. This study found that a threshold of  $25 \times 10^{9}$ /L was associated with a significant benefit over a threshold of  $50 \times 10^{9}$ /L for major bleeding and/or mortality, with a 7% absolute risk reduction. Researchers developed a predictive model for the baseline risk in all 653 neonates and established quartiles based on the predicted baseline risk. The absolute risk difference between the  $50 \times 10^{9}$ /L and  $25 \times 10^{9}$ /L threshold groups was evaluated within these quartiles. The results showed that the  $25 \times 10^{9}$ /L threshold was associated with absolute risk reduction in all risk groups, ranging from 4.9% in the lowest risk group to 12.3% in the highest risk group. These findings suggest that a prophylactic platelet count threshold of  $25 \times 10^{9}$ /L can be beneficial for all preterm neonates regardless of their predicted baseline risk of major bleeding and/ or mortality.

#### WBC transfusion

The incidence of leukopenia and neutropenia in preterm neonates approximates to 1.3%, and with the predominance of sepsis as the major causative agent, the mortality rate rises to 70% in cases with ELBW infants  $(1000 \text{ g})^{[53]}$ . The situation is aggravated by an inherently lower total leukocyte count in preterm neonates relative to their full-term counterparts, thereby necessitating the application of transfusion practices in many clinical institutions<sup>[54]</sup>; however, the current thresholds being employed are proving to be futile in other capacities. In a randomized controlled trial involving 101 preterm neonates, the mortality rate was 43.5%, of which the exchange transfusion (ET) group contributed 36%, whereas the control group had a mortality rate of 51%. The ET group failed to effectively treat neonatal sepsis and its associated tachycardia, neurodevelopmental anomalies, respiratory insufficiency, and fever. Even though the leukocyte count was raised from 4750/mm<sup>3</sup> to the 'standard' 10 630/mm<sup>3</sup>, the desired outcomes were not achieved<sup>[55]</sup>. Similarly, a retrospective study with six infants suffering from antibiotic-resistant

RBCs are advised<sup>[60]</sup>. Research findings from the age of RBCs in premature Infants Trial indicate that using fresher RBCs, as opposed to RBCs stored for longer durations, does not significantly improve outcomes in preterm newborns<sup>[61]</sup>. As a result, hospitals should implement policies to limit exposure to multiple blood donors, whenever possible. One approach to achieve this is the use of 'paedipacks', which are multiple aliquots for pediatric transfusions derived from a single adult blood donation, effectively reducing the number of donors and potential risks associated with multiple exposures<sup>[62]</sup>.

In summary, the quest to determine the optimal RBC transfusion volume for newborns continues, and guidelines are evolving accordingly to ensure the safest and most effective approach for neonatal patients. Combining the use of leukodepleted RBCs and implementing strategies to minimize donor exposure can contribute to enhanced transfusion practices in this vulnerable population.

#### Table 2

Overview of the international guidelines and thresholds for hemoglobin transfusion.

	British Committee for Standards in Haematology (2016) <sup>[99]</sup>	Australian National Blood Authority (2016) <sup>[100]</sup>	Canadian Blood Services (2017) <sup>[101]</sup>
24 h	< 10 g/dl		
1 Week	< 10 g/dl	10–12 g/dl	10 g/dl
2 Weeks	<7.5 g/dl	8.5–11 g/dl	8.5 g/dl
3 Weeks	<7.5 g/dl	7–10 g/dl	7.5 g/dl

	British Committee for Standards in Haematology (2016)	Australian National Blood Authority (2016)	Canadian Blood Services (2017)	Dutch Guidelines Quality Council (2019) <sup>[102]</sup>
Prophylactic in stable infant	$25 \times 10^{9}$ /L	10-20×10 <sup>9</sup> /L	20×10 <sup>9</sup> /L	25×10 <sup>9</sup> /L
Bleeding or invasive procedure	$50 \times 10^{9}$ /L	$50 \times 10^{9}$ /L	$50 \times 10^{9}$ /L	50 × 10 <sup>9</sup> /L

#### Complications

Table 3

Distinct physiological variations in this population result in unique metabolic challenges that are not commonly encountered in other groups. The administration of blood products in terms of type, dosage, infusion rate, monitoring, triggers, and the complexity of the processes involved, such as warming and infusion through rate monitoring devices, can introduce a new set of potential errors and complications. Furthermore, the specific blood components and indications for transfusion may differ significantly in this particular group of patients.

#### Hyperkalemia

Plasma potassium (K<sup>+</sup>) levels rise during whole blood (WB) and RBC storage due to intracellular K<sup>+</sup> leakage produced by the suppression of the membrane Na<sup>+</sup>/K<sup>+</sup> ATPase pump. At day 35, a unit of CPDA-1 WB had ~25 mEq/L of K<sup>+</sup>, whereas RBCs contain roughly 75–100 mEq/L, resulting in ~8 mEq of K<sup>+</sup> per unit. This higher K<sup>+</sup> level would not be a problem for low-volume transfusions (10–20 ml/kg), given the infant's daily K<sup>+</sup> need is typically ~1–3 mEq/kg/day<sup>[63]</sup>. However, serious hyperkalemia can arise with large-volume transfusions or if the kidneys of infants are unable to eliminate K<sup>+</sup> properly<sup>[64]</sup>. A faster rate or use of central lines that deliver a high K<sup>+</sup> load directly to the heart can potentially lead to arrhythmias, including cardiac arrest<sup>[55]</sup>, making it essential to monitor K<sup>+</sup> levels and cautiously administer transfusions in such situations.

In neonatal settings, it is advisable to refrain from utilizing irradiated blood beyond 24 h of storage<sup>[56]</sup>. Particular attention must be paid to prevent mechanical lysis of cells during the infusion process. To mitigate the potential risks associated with radiant warmers or phototherapy lights, it is recommended to shield blood transfusion tubing with aluminum foil. While inline potassium adsorption filters or washing have demonstrated efficacy in removing extracellular K<sup>+</sup> from RBC units, their routine implementation may not always be feasible in practical clinical scenarios<sup>[53,65]</sup>. Thus, careful consideration should be exercised when determining their application.

#### Hypothermia

The increased vulnerability of infants to hypothermia can be attributed to several factors, including reduced body fat, underdeveloped epidermal barrier, and higher surface area-to-weight ratio. Certain groups of infants are at a higher risk, such as those undergoing extracorporeal membrane oxygenation (ECMO), ETs, cardiac surgery, or trauma. Additionally, it has been observed that transfusing blood products at room temperature can further contribute to a decrease in the infant's core body temperature by ~ $0.7-2.5^{\circ}C^{[54,66]}$ .

It is highly recommended to use blood warmers specifically designed for blood administration purposes<sup>[67]</sup>. Among the available technologies, counter-current technology for warming has been identified as the most effective method for ensuring safe and efficient blood warming during transfusions<sup>[68]</sup>.

#### Glucose homeostasis

Both hypoglycemia and hyperglycemia are associated with blood transfusions in neonates. It is possible that the infants at risk of developing these conditions are also the ones more likely to receive a transfusion<sup>[69]</sup>. Neonates exhibit reduced glycogen stores and mucosal G-6-phosphatase levels, which contribute to glucose fluctuations. Additionally, glucose concentrations in stored blood units are known to decline over time<sup>[70]</sup>. Following transfusion, glucose levels have been reported to decrease, often without noticeable symptoms. However, mild symptoms can be observed in infants, particularly in those undergoing ET<sup>[71]</sup>. Studies have shown the incidence of hypoglycemia in infants with erythroblastosis fetalis to range from 2% to 20%<sup>[66]</sup>.

It is imperative to identify and monitor infants who are at a risk of developing complications during blood transfusion. To ensure safe administration, blood products should be transfused through a separate intravenous line while being cautious to administer maintenance fluids at a slower rate to prevent fluid overload. If the infusion rate is reduced, it may be necessary to adjust the glucose concentration of the fluid to maintain appropriate glucose levels in the infant's bloodstream<sup>[1]</sup>.

#### 2,3-Diphosphoglycerate

During storage of RBCs, the concentration of 2,3-diphosphoglycerate rapidly decreases. This leads to a leftward shift in the Hb–oxygen dissociation curve, resulting in reduced oxygen release from RBCs to the tissues<sup>[72]</sup>. Regeneration of 2,3-diphosphoglycerate typically takes between 3 and 8 h after the transfusion of one unit of RBCs<sup>[73]</sup>. Infants under the age of 4 months cannot compensate as efficiently as older patients, who can respond to the resulting hypoxia by increasing their heart rate<sup>[74]</sup>. This highlights the importance of careful monitoring and consideration of oxygenation in young infants after RBC transfusion.

#### Advantages of current guidelines

Preterm infants often suffer from anemia and thrombocytopenia, and the majority of them require at least one blood transfusion within the first few weeks of life. To prevent these conditions, it is essential to implement effective measures such as delayed cord clamping and minimizing iatrogenic blood loss. Although older guidelines were developed based on available trials at the time, they have some advantages. A liberal transfusion threshold can significantly increase Hb levels and reduce the time on ventilator or CPAP for preterm infants. Additionally, it may decrease the time on supplemental oxygen and improve Htc levels. Both liberal and restrictive transfusion thresholds are safe and effective strategies for RBC transfusion in anemic preterm infants, but the liberal strategy may be more effective in reducing the need for respiratory support. However, liberal thresholds are also associated with greater morbidity and mortality, despite being more efficacious. At that time, the major concern was the safety profile rather than the efficacy.

#### Guidelines for RBC transfusion

RBC transfusions are expected to be administered to 90% of ELBW newborns and 58% of preterm children born before 32 weeks of gestation<sup>[75]</sup> for medical reasons. RBC transfusions may benefit these populations in the management of anemia, enhancement of oxygenation, reinforcement of cardiovascular stability (premature infants frequently have immature cardiovascular systems, making them more vulnerable to variations in blood pressure and cardiac output), enhanced weight gain and growth, avoidance of apneas, and enhancement of growth parameters<sup>[33]</sup>.

The choice between restrictive and liberal blood transfusion methods for preterm infants has been a topic of investigation and debate within the medical profession, with the decision between the two options depending on the specific clinical circumstances and desired outcomes. Restrictive transfusion practices may be harmful to preterm infants, as evidenced by the higher incidence of periventricular leukomalacia and frequent episodes of apnea in the restrictive group than in the liberal group, according to the results of a randomized control trial mentioned in Table 4<sup>[76]</sup>. Similar rates of patent ductus arteriosus (PDA), IVH, and retinopathy of prematurity (ROP) were observed in both the liberal and restrictive transfusion groups in a different study. To prevent chronic liver disease in very low birth weight (VLBW) infants, the study recommended stringent criteria for limiting the total volume of transfusion to less than 30 ml<sup>[77]</sup>. In a different randomized control trial, the low-threshold group had rates of 74.0%, and the high-threshold group had rates of 69.7% for the primary outcome [ROP, BPD (bronchopulmonary dysplasia), brain injury]; however, there were no significant differences in the secondary outcome (Hb level, number of RBC transfusions, serum ferritin) between the two groups<sup>[78]</sup>. Table 4 provides an overview of trials related to RBC transfusions.

#### Platelet transfusion guidelines

Recent studies suggest that newborns with elevated predicted baseline risks are at a similar risk of harm at a higher transfusion threshold than those with lower predicted baseline risks, despite recommendations in certain protocols that suggest a platelet transfusion threshold of  $> 25 \times 10^9$ /L for neonates with higher baseline risks. It is important to note that other factors such as gestational age, past significant bleeding incidents, and assigned treatment were independently associated with the outcomes. Several prediction models have consistently demonstrated the predictive role of gestational age in bleeding and mortality, which aligns with the findings of the PlaNeT-2 trial. This study revealed

a surprising overall advantage of a preventative platelet transfusion threshold of  $25 \times 10^{9}$ /L compared to  $50 \times 10^{9}$ /L in reducing the risk of major bleeding and/or death in preterm newborns. Additionally, there is a noticeable decrease in risk across all risk categories<sup>[79]</sup>. Premature infants are often prone to developing isolated thrombocytopenia, a condition characterized by low platelet levels in the blood that can result in bleeding. Prophylactic platelet transfusions are frequently performed to prevent this complication. This approach has several benefits, including supporting hemostasis, reducing the likelihood of transfusion triggers, and lowering the risk of bleeding and/or mortality, particularly when a low platelet transfusion threshold is used. Recent studies, including the PlaNeT trial, have reported similar results, challenging the current outdated guidelines and recommendations governing the use of platelet transfusions in this vulnerable population. Therefore, it is imperative to conduct immediate and innovative trials to address this issue, while controlling for other confounding factors. The results of these trials warrant a thorough re-evaluation of the current guidelines and recommendations to ensure optimal patient care.

#### WBC transfusion guidelines

Previous medical research has not demonstrated significant advantages of using leukocyte concentrates in neonatal transfusion procedures. Conversely, current guidelines recommend leukodepletion of all cellular products, except for those containing granulocytes (WBCs  $<1 \times 10^6$ /unit), as they effectively prevent non-hemolytic fever reactions, reduce the risk of alloimmunization, and decrease the likelihood of cytomegalovirus (CMV) transmission<sup>[41]</sup>. While granulocyte concentrates have been suggested as a potential therapeutic option for neonates suffering from severe neutropenia resulting from antibiotic-resistant sepsis, the optimal dose remains unclear. However, some studies have recommended administering  $1-2 \times 10^9$  granulocytes per kilogram of body weight. It is important to ensure that the granulocyte concentrate is ABO-compatible with the recipient, as it is heavily contaminated with RBCs. Additionally, the concentrate must be RhD-compatible (i.e. RhD-negative for RhD-negative females) and irradiated to a minimum dose of 25 Gy before administration. Ideally, the concentrate should also be CMV seronegative. Although the optimal duration of therapy is not well established, multiple daily infusions of the appropriate dose have been associated with improved outcomes<sup>[80]</sup>.

In the early 2000s, a meta-analysis was conducted, which revealed that there were no statistically significant differences in terms of morbidity or mortality between neonates who were treated with granulocyte concentrates and those who were treated with the 'standard' method of care<sup>[81]</sup>. Thus, considering the potential serious side effects (transmission of infections), it is current practice to use recombinant granulocyte growth factors (recombinant granulocyte colony-stimulating factor and recombinant granulocyte–monocyte colony-stimulating factor) as an alternative<sup>[80]</sup>.

#### Conclusion

Newborn infants possess unique physiological and hematological characteristics that require specialized approaches for blood transfusions. This study highlights the importance of individualized therapeutic strategies that consider factors such as

# Table 4

Overview of RBC trials.

References	Objective	Sample size	Inclusion criteria	Primary outcome	Results
Bell <i>et al.</i> <sup>[76]</sup>	To ascertain whether restrictive guidelines regarding red blood cell (RBC) transfusions for preterm infants can decrease the quantity of transfusions without causing negative effects.	100	100 hospitalized preterm infants with birth weights of 500–1300 g were enrolled in a randomized clinical trial. Infants were excluded if they had alloimmune hemolytic disease, congenital heart disease (including significant patent ductus arteriosus), other major birth defect requiring surgery, or a chromosomal abnormality.	,	Although both transfusion programs were well tolerated, the finding of more frequent major adverse neurologic events in the restrictive RBC transfusion group suggests that the practice of restrictive transfusions may be harmful to preterm infants.
Chen <i>et al</i> . <sup>[82]</sup>	To determine the impact of blood transfusions on the outcomes of preterm infants with extremely low body weights using two distinct transfusion criteria.	36	NICU-admitted premature newborns weighing <1500 g who did not have a major birth defect or chromosomal abnormality were included in the study.	Infants in the liberal group received a larger PRBC	Both criteria of PRBC transfusion had similar clinical outcomes, although liberal transfusion resulted in a greater amount of blood transfused and a low reticulocyte count at 30 days of age. We suggest restrictive criteria for minimizing the overall amount of transfusion to <30 ml may be a better way of preventing CLD in VLBW infants.
Kirpalani <i>et al.</i> <sup>[78]</sup>	To determine whether extremely low birth weight infants (ELBW) transfused at lower hemoglobin thresholds versus higher thresholds have different rates of survival or morbidity at discharge.	451	At the time of enrollment, the babies had to be 48 h old, have a gestational age of 31 weeks, and weigh 1000 g at birth. Exclusion criteria included having cyanotic heart disease, congenital anemia, acute shock, transfusion after 6 h of life, known parental resistance to transfusions, a family history of hemolytic illness and anemia, or a situation in which the attending physician planned to use erythropoietin.	injury)were 74.0% in the low-threshold group and 69.7% in the high. There were no statistically significant differences between groups in any	In extremely low birth weight infants, maintaining a higher hemoglobin level results in more infants receiving transfusions but confers little evidence of benefit.
Liao <i>et al</i> . <sup>[83]</sup>	To analyze the related risk factors in blood transfusions for extremely low birth weight infants and extreme preterm infants.	36/24		Higher rates of blood transfusion would result from a smaller birth weight, a longer hospital stay, a younger gestational age, and a larger blood collection within 2 weeks of delivery.	Blood transfusion in extremely low birth weight infants and extreme preterm infants is associated with an increased risk of apnea, neonatal respiratory distress syndrome, bronchopulmonary dysplasia, and patent ductus arteriosus.
Duan <i>et al.</i> <sup>[84]</sup>	To investigate the association of anemia with the development of bronchopulmonary dysplasia (BPD) in preterm infants.	71/1721	Gestational age (GA) <32 weeks.	The rate of early anemia in BPD patients was higher than non-BPD patients. Low Hct levels were closely related to BPD in preterm infants.	
Ghirardello <i>et al.</i> <sup>[85]</sup>	To evaluate the association between red blood cell (RBC) transfusions on the risk of death, retinopathy of prematurity (ROP), bronchopulmonary dysplasia (BPD), and necrotizing enterocolitis (NEC) in very low birth weight (VLBW) infants.	269/372	Birth weight <100 g.	Transfusions were associated with the risk of developing the composite outcome, independently from other conditions; this risk correlated with several transfusions > 3.	An association was observed between RBC transfusions in the first 28 days of life and the risk of developing the composite outcome of death, ROP, BPD, or NEC in a cohort of VLBW infants.
Cai <i>et al</i> . <sup>[86]</sup>	To create and validate a BPD risk prediction model, as well as to assess the risk factors for bronchopulmonary dysplasia (BPD) in very low birth weight infants (VLBWIs).	63/388	Gestational age <32 weeks; birth weight <1500 g.	Neonatal asphyxia, the positive rate of sputum culture, neonatal sepsis, neonatal respiratory distress syndrome (NRDS), blood transfusions ( $\geq$ 3), patent ductus arteriosus (PDA), the time of invasive mechanical ventilation, the duration of	The risk prediction model has a good predictive effect for the risk of BPD in VLBWIs, and can provide a reference for preventive treatment and nursing intervention.

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Table 4

(Continued)

References	Objective	Sample size	Inclusion criteria	Primary outcome	Results
				oxygen therapy, and the time of parenteral nutrition were the independent risk factors of BPD. Verification of prediction model showed a sensitivity of 92.9% and a specificity of 76%, demonstrating that the effects of this model were satisfactory.	
Gao <i>et al</i> . <sup>[87]</sup>	To investigate the association between ultrasound findings and preterm infants with bronchopulmonary dysplasia (BPD).	32/49	Gestational age $\leq 28$ weeks or birth weight $\leq 1500$ g.	Between June 2018 and June 2019, the incidence of BPD was 39.5% among the 81 preterm newborns recruited. There were 46 male and 35 female newborns, with a gestational age of $29.71 \pm 2.27$ weeks and a birth weight of $1189.5 \pm 184.5$ g on average. The average hospitalization day for BPD patients was 58.8 days.	Lung ultrasonography scores enable monitoring of lung aeration and function in extremely preterm newborns, and gestational age-adjusted values, beginning on the seventh day of life, strongly predict the likelihood of BPD.
Park <i>et al</i> . <sup>[88]</sup>	The iron status of extremely low birth weight newborns receiving numerous erythrocyte transfusions during hospitalization in the neonatal intensive care unit (NICU) was investigated.	10/36	Gestational age (weeks): 30 $\pm$ 2 and birth weight (g) ${<}1500$ g.		Very low birth weight neonates that received several erythrocyte transfusions had high iron reserves, and non-transfused newborns may also be at risk of iron overload during NICU hospitalization.
Lardon- Fernandez <i>et al.</i> <sup>[89]</sup>	To investigate the epidemiological variables and morbidity related to the development of BPD in a group of VLBW premature babies.	60/69	Preterm infants with birth weight $\leq$ 1500 g.	The BPD prevalence in the sample was most frequent for the mild grade (28.4%) followed by moderate (11.2%) and severe (6%).	Respiratory assistance, feeding, and various forms of medication were all risk factors for the development of BPD. Furthermore, individuals with BPD experienced more related morbidity than those who did not acquire BPD.
Go <i>et al.</i> <sup>[90]</sup>	To investigate the prenatal variables that influence RDW and to determine whether RDW might be a possible biomarker for BPD.	85/91	GA <30 weeks.	Small for gestational age (SGA), chorioamnionitis (CAM), hypertensive disorders of pregnancy (HDP), gestational age, and birth weight were significantly associated with RDW at birth. SGA, BPD, and red blood cell (RBC) transfusion before days of life (DOL 14) were associated with RDW at DOL 14. BPD and RBC transfusion before DOL 14 were associated with RDW at DOL 28. Compared with non-BPD infants, mean RDW at birth DOL 14 and DOL 28 were significantly higher in BPD infants. Multivariate analysis revealed that RDW at DOL 28 was significantly higher in BPD infants.	We conclude that RDW at DOL 28 might be used as a biomarker to predict BPD severity. The mechanism by which RDW at DOL 28 is linked to the pathophysiology of BPD requires more investigation.
Sharma <i>et al.</i> <sup>[91]</sup>	To identify risk factors for moderate/severe BPD in an era of widespread availability of NIV in the DR.	155/108	Gestational age 23–27 weeks.		Early failures of non-invasive ventilation (NIV) represent opportunities for improvement of NIV techniques and of non-invasive surfactant to avoid intubation in the first 48 h. Furthermore, these risk

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Lee <i>et al.</i> <sup>[92]</sup>	To analyze the relationship between RBC transfusion and short-term outcomes in very low birth weight (VLBW) infants.	109/141	Birth weight <1500 g.	<ul> <li>were shown to be the most important predictors for moderate/severe BPD.</li> <li>Univariate analysis revealed that all short-term outcomes except early-onset sepsis and patent ductus arteriosus were associated with RBC transfusion. In multivariate analysis adjusted for gestational age, birth weight and Apgar score at 1 min, RBC transfusion was significantly correlated with BPD.</li> </ul>	factors may allow earlier identification of infants most likely to benefit from interventions to prevent or decrease severity of BPD. RBC transfusion is significantly associated with adverse clinical outcomes such as necrotizing enterocolitis (NEC) and bronchopulmonary dysplasia (BPD) in VLBW infants.
	To evaluate the association between the cumulative dose of enteral iron supplementation, total volume of RBCs transfused, and risk of bronchopulmonary dysplasia (BPD) in VLBW infants.	240/358	Birth weight <1500 g.	In multivariable analyses, a greater cumulative dose of supplemental enteral iron exposure was associated with an increased risk of BPD. Similarly, a greater volume of RBCs transfused was associated with a higher risk of BPD.	The cumulative dose of supplemental enteral iron exposure and total volume of RBC transfusion are both independently associated with an increased risk of BPD in VLBW infants.
Raffa <i>et al</i> . <sup>[94]</sup>	Association between blood transfusions and the development and severity of retinopathy of prematurity (ROP).	5/106	Gestational age <30 weeks; birth weight <1500 g.	Compared to non-transfused infants, those who were transfused had a lower GA, a lower BW, a longer stay in the NICU, and received significantly more artificial ventilation. These infants also had a higher number of comorbidities, including sepsis and intraventricular hemorrhage. The number and volume of RBCs at day 30 were significantly higher in infants with any stage of ROP than in those without ROP.	A higher frequency and volume of RBC transfusion were associated with an increased risk of ROP development.
Jassem- Bobowicz <i>et al.</i> <sup>[95]</sup>	To develop a multifactorial model that allows the prediction of bronchopulmonary dysplasia (BPD) in preterm newborns.	127/151	Gestational age <32 weeks.	The significant risk factors for BPD in the multivariate analysis were gestational age, number of red blood cell concentrate transfusions, number of surfactant administrations, and hemodynamically significant patent ductus arteriosus. The combination of these factors determined the risk of developing BPD, with an AUC value of 0.932. A multifactorial predictive model based on these factors, weighted by their odds ratios, identified four categories of newborns with mean BPD risks of 9%, 59%, 82%, and 100%.	A multifactorial model based on easily available clinical factors can predict BPD risk in preterm newborns and inform potential preventive measures.
Tao <i>et al</i> . <sup>[96]</sup>	To investigate the predictors of bronchopulmonary dysplasia in neonates with respiratory distress syndrome (RDS).	102/523	Neonates with respiratory distress syndrome (RDS).	Bivariate analysis and multivariate logistic-regression analyses revealed that birth weight, gestational age under 32 weeks, duration of oxygen therapy over 10 days, asphyxia, patent ductus arteriosus, transfusion of red blood cells (packed red blood cells), and surfactant use were significantly associated with the development of BPD.	Birth weight, gestational age <32 weeks, total duration of oxygen therapy > 10 days, asphyxia, patent ductus arteriosus, need for red blood cell infusion, and the use of pulmonary surfactant were important predictors of BPD in neonates with RDS.

CLD, chronic liver disease.

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gestational age, birth weight, and hematological parameters. The distinct physiology of newborns, including their cardiovascular, respiratory, and renal systems, highlights the differences between neonates and adults that affect transfusion practices. Recent trials with rigorous designs and control of confounding factors have raised questions regarding the effectiveness of liberal transfusion strategies for preterm infants. These findings call for further investigation and the development of appropriate guidelines for this population group.

#### **Ethical approval**

As we are submitting a review article, we do not require ethical approval from any department.

# Consent

This study does not include any individual patient, so no consent will be required.

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# Author contribution

M.A.S.: supervision and analysis; A.M.C., U.H., S.Q.A., and T. K.F.A.: literature search and screening; A.M.: data extraction. The initial draft was prepared by S.Q.A. and U.H. The final revision of the draft was done by M.A.S.

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The authors declare no potential conflicts of interest concerning the research, authorship, and/or publication of this article.

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