NEUROLOGICAL UPDATE



Neurological update: consult the neurosurgical oracle for a standard operating procedure

Taco Goedemans¹ · Janneke D. M. Verberk² · Pepijn van den Munckhof¹ · Dennis R. Buis¹ · W. Peter Vandertop¹ · Antonius M. de Korte¹

Received: 20 December 2021 / Revised: 16 March 2022 / Accepted: 17 March 2022 / Published online: 5 April 2022 © The Author(s) 2022

Abstract

Standard operating procedures (SOPs) contain general instructions and principles to standardize care, to improve effective and safe healthcare. Developing new, or updating current, SOPs is, however, challenging in fields where high-level evidence is limited. Still, SOPs alone have been shown to result in less complications. In this narrative review, we describe the process of creating a consensus-based SOP that is pragmatic for clinical practice since it can be created regardless of the current level of evidence. Through live audience engagement platforms, a group of experts will be able to both anonymously respond to a created questionnaire, and (subsequently) discuss the results within the same meeting. This modified Digital Delphi method as described here can be used as a tool toward consensus-based healthcare.

Keywords Consensus \cdot Delivery of health care \cdot Delphi method \cdot Neurosurgical procedures \cdot Standard operating procedures \cdot Surveys and questionnaires

Introduction

In 2020, we presented a 13-year single-center observational cohort study including patients undergoing cranioplasty after decompressive craniectomy [1]. Similar to many published studies of comparably sized cohorts, post-operative complications occurred in 23% of patients, which makes this high rate of complications a serious point of attention [1–7].

As with many surgeries, the surgical method of cranioplasty is not standardized and surgeons perform their procedures in different ways. This makes it difficult to assess whether the way in which the surgery is performed affects the complication rate. Performing the surgery in a standardized way can help identify what parts of surgery improve or worsen the outcome and can serve as a benchmark for further changes. Uniform, standardized working procedures

Taco Goedemans t.goedemans@amsterdamumc.nl

¹ Department of Neurosurgery, Amsterdam UMC location University of Amsterdam, Meibergdreef 9, Amsterdam, The Netherlands

² Department of Medical Microbiology and Infection Prevention, University Medical Center Utrecht, Utrecht University, Heidelberglaan 100, Utrecht, The Netherlands alone have been shown to result in less complications [8–10]. Creating uniformity in surgical method can be done by implementing a Standard operating procedure (SOP), in which specific parts of a surgical procedure are standardized.

Reaching consensus on surgical methods can however prove to be very difficult, especially if the level of evidence about different (peri)operative strategies is limited. In this Update section, we describe how consensus may be reached within a group of experts/neurosurgeons and how to create (or update) a SOP, inspired by the Delphi method.

Delphi method

Neurosurgery is a medical specialty with ancient roots. For example, the medical application of trephination started in the Greek era where the neurosurgical oracle called Hippocrates described the procedure in detail [11]. Like many (neuro)surgical procedures, a rich history of passing on knowledge from oracle to student forms the base of nowadays common practice (expert opinion). Randomized controlled trials are often lacking, i.e. due to a low volume of cases within the neurosurgical field, making it difficult to reach adequate statistical power. With the passage of time more oracles arise, sometimes even spreading their different prophecies within the same institution. Considering this variety, how does one reach consensus and create uniformity?

To address this issue, the answer is, again, to be found in ancient Greece. In the 1950s, Norman Dalkey and Olaf Helmer developed a method to find consensus within a group of experts on health issues and topics where minimal information or agreement prevails [12, 13]. They called it the Delphi method, named after the ancient Greek Oracle of Delphi: using (typically) three rounds of questionnaires, with a moderator collecting and processing the output of multiple experts, until final consensus is reached within the group. Anonymity in combination with the ability of experts to respond to and revise their answer in view of the previous responses from other panel members, makes this method a successful tool to create consensus [14, 15]. However, in its original form, the method is a time-consuming procedure, lowering the adherence to questionnaire rounds and thus the chance on successful outcomes useful in healthcare. Fortunately, new technological tools can help overcome these problems.

Live audience engagement platforms: a modified Digital Delphi method

Through 'live audience engagement platforms', an online meeting can be arranged in which the moderator presents a questionnaire to a group of experts. Each expert registers his/ her presence at the start of the meeting using a smartphone or computer, after which they can anonymously fill in their answers and comments to the questionnaire. Once everyone has responded, the group results can directly be presented, for example in graphical form, and discussed with the group during the online session. This process can be repeated until consensus is reached. This platform type optimizes time management, while the anonymous and interactive characteristics of the Delphi Method are maintained. Examples of platforms are AhaSlides (AhaSlides PTE. LTD., Singapore), Glisser (Glisser LTD, London, United Kingdom), and Menti (Mentimeter, Stockholm, Sweden).

In the next subheadings, we give an overall, step-bystep explanation how to apply this modified Digital Delphi method, from collecting information on surgical methods to the actual implementation of the consent-based protocol. Table 1 shows the key phases and responsible persons for each step within this method. A real-life example is presented in the box below.

 Table 1
 Flow-diagram showing key phases involved in modified Digital Delphi method to create a consensus-based Standard Operating Procedure (SOP)

Creating a consensus-based SOP			
N	Personnel	Point of action	
Phase 1: preparatory	steps		
1.1	Staff member	State scope and extent of SOP, Appoint moderator	
1.2	Moderator	Create overview of procedure: review expert's practice and literature	
1.3	Moderator	Define criteria for participation and select and invite group of experts meeting these criteria	
1.4	Moderator	Develop questionnaire, send to group of experts	
	Group of experts	Review questionnaire, form opinion, revise questions if needed	
Phase 2: interactive se	ession		
2.1	Moderator	Define level of consensus, e.g., $\geq 80\%$	
2.2	Moderator	Lead interactive session, record answers and discussions	
	Group of experts	Answer question anonymously first, motivate answers anonymously second, repeat ques- tion again third, discuss results fourth	
Phase 3: consensus be	ased SOP		
3.1	Moderator	Create SOP based on expert's input during phase 2, send draft to group of experts, and organize interactive session to discuss SOP	
	Group of experts	Review SOP draft, attend interactive session to discuss and/or pledge adherence to SOP	
	Moderator	Implement SOP for clinical routine (if agreement exists)	
3.2	Moderator	Evaluate SOP effectiveness: record patient outcomes	
	Group of experts	Review executability of SOP, address any issues to moderator	
Follow-up			
	Moderator	Start next periodic SOP revision at point 1.2 after adequate follow-up (e.g., one-yearly)	

Phase 1: preparatory steps

1.1 State scope of SOP, appointment of moderator

First, the scope and extent of the SOP should be stated beforehand. Second, a moderator should be appointed. This person does not have to be an expert on the topic but preferably someone who has some experience with the surgical procedure. For example, this can be a resident in training, since a resident typically experiences and observes the variety in surgical methods between attending (neuro)surgeons.

1.2 Problem identification: determine current surgical methods, evaluate literature

The moderator creates an overview of the steps of the surgical procedure by assessing (the differences in) practice among the experts, and current literature. In case an SOP already exists, the SOP should be evaluated by the moderator and compared routinely with the newest insights as described in literature, for example every year.

1.3 Select group of experts

It is important to clearly define characteristics of those who will be part of the expert group. Since a heterogenic group is more likely to cover all aspects and opinions related to a certain topic, it is advised to include all stakeholders/experts who have knowledge and affinity with the topic. Afterward, or during the session, subgroup analysis can be performed, based on certain characteristics, such as the years of (surgical) expertise or function (i.e., type of physician, (scrub)nurse).

1.4 Develop questionnaire

Subsequently, based on the previous steps, a questionnaire is developed by the moderator. In this questionnaire, points of attention resulting from evaluation of current practice, the current protocol (if applicable) and literature are grouped in overarching themes, and translated into questions to investigate how to deal with them. This questionnaire and literature (if applicable) should be sent to the group of experts before starting the consensus meeting, so the experts will have time to form their opinion on the subject. Furthermore, they will be able to revise the questions or answer possibilities (if needed) to make them clinically more relevant. (Fig. 1, modified Digital Delphi round 1).



Fig. 1 Flow chart describing a modified Digital Delphi method to generate and implement a consensus-based SOP

Phase 2: answer questionnaire and discuss topic during an interactive session

2.1 Defining consensus

After the questionnaire is sent to the group of experts, the next phase is to try to reach consensus by collecting and discussing the experts' opinions during an interactive session. Before take-off, consensus criteria should be defined, for example an agreement of $\geq 80\%$ within the group of experts [16, 17]. In case no consensus can be reached during the meeting, answer options can be modified or made less detailed to explore whether consensus could be reached on a more general level. In addition, one may choose to repeat the topic (with adjustments made) in a next meeting. Ultimately, one may choose to retain a certain point from the current SOP if no consensus can be reached.

2.2 Live audience engagement meeting

An ideal method to gather audience responses quickly and to subsequently discuss the answers within one meeting, is the use of live audience engagement platforms. Using this platform type, each expert can give her/his answer to a question, for example using their smartphone. After the answers are given, the (anonymous) results can be shown directly, for example in graphical form. Written commentary can be added to the answers anonymously to aid the discussion. The following discussion can be recorded, and experts are able to explain and motivate their answer. After discussion, the same question is repeated until consensus is reached. (Fig. 1, modified Digital Delphi round 2) Questions can be answered and discussed one-by-one, or, if questions have a more consecutive order, one may choose to first answer all (or a subgroup of) questions before revealing the results. In addition, questionnaires and responses can be exported to datasheets for further analysis if needed.

Phase 3: presentation and implementation of the consensus-based protocol

3.1 Creating an SOP

After the live audience engagement meeting, results obtained from the questionnaire and discussions can be analyzed and agreements incorporated into a new SOP. The draft SOP is sent to the same group of experts and discussed in an online meeting to ensure expert group's agreement. If agreement still exist, the surgeons pledge to adhere to the (updated) SOP, after which the SOP can be used for clinical routine. (Fig. 1, modified Digital Delphi round 3).

3.2 Evaluation of the new SOP

Evaluating the newly implemented SOP is important (to assess effectiveness) and can be done at several levels. For example, patient data should be recorded prospectively to evaluate whether the applied changes result in less complications. In addition, stakeholders can be interviewed to assess whether the newly implemented SOP is executable. At the next periodic SOP revision, these data should be analyzed by the moderator in the preparatory phase.

Discussion

In this update, we explain how to reach consensus within a group of experts on healthcare-related problems where minimal evidence exists, using live audience engagement platforms. With this modified Digital Delphi method, one is able to create and implement SOP in clinical practice within a short period of time.

Standardization of surgical procedures is an important tool to increase efficacy and safety and has gained increased interest in recent years [18–20]. For example, implementation of SOP for cerebrospinal fluid shunt surgery reduced the rate of shunt infections significantly [8, 10]. The importance of creating and implementing SOPs has been explained in detail by Buis et al. [9]. They conclude that SOPs may lead to improved outcomes after surgery, at the price of some loss of individuality. Although we still agree with this statement, we think that this modified Digital Delphi technique retains the feeling of ownership, since the specialist's opinion forms an integral part in the process, probably increasing protocol compliance. Moreover, feeling of ownership improves performance and satisfaction [21, 22].

There are some points of discussion on the methods we have applied: first, how does one define consensus? In our approach, we choose $\geq 80\%$, but this is arbitrary [16, 17, 23, 24]. Ideally, one may perform a sensitivity analysis investigating the influence of the consensus threshold on medical decisions. Second, to increase the compliance and usefulness of the newly formed SOP, we think it is important not to make too many changes at once, and keep the text short and simple, as addressed by Buis et al. [9], and seen in other aspects of quality improvement in healthcare [25]. This may be challenging, since we think it is also important to form a complete instruction guide to make it interpretable for (less experienced) trainees as well. To solve this problem, one can choose to divide the SOP into a few short and straightforward agreements upon which consensus was reached, and add in a separate section a more detailed (perioperative)

guideline. Third, we modified the original Delphi technique since we think this a fast and pragmatic method to reach consensus, supported by readily available software. However, there are more described modifications to the original method, such as the 'real-time Delphi' or 'policy Delphi' [16, 26].

This method has not been described, studied or validated yet and some limitations should be addressed since discussions on the answers to the questionnaires are held directly, discussions could be dominated by verbally strong people or people higher in professional rank. This could influence other stakeholders to a greater extent compared to the original Delphi. This drawback is diminished by first writing arguments anonymously in the online environment, or repeating questions before discussion. Another drawback is that all stakeholders should be online at the same moment for the online discussions. Fourth, it is of great importance to register whether the implemented agreements are executable and lead to the desired outcomes, since only then the usefulness can be determined, and future improvements be made.

In the forthcoming years, with this method, we hope to contribute toward effective and safe consensus-based healthcare, despite lack of high-level evidence.

Conclusion

Standardized, evidence-based care results in less complications. However, it can be challenging to reach consensus on topics where no or minimal evidences exist. In this update, we describe a method how to reach consensus within a group of experts through live audience engagement platforms, consensus can be reached in a short time, while the anonymous and interactive characteristics of the Delphi method are largely maintained.

Box: Real-life example of a consensus process regarding duraplasty during decompressive craniectomy

Phase 1

1.1 State scope of SOP, appointment of moderator

The percentages of cerebrospinal fluid leakage, infections and epidural bleeding after cranioplasty are (too) high, which might be influenced by the decision whether to close the dura during the initial decompressive craniectomy or not. Therefore, as part of the cranioplasty and decompressive craniectomy SOPs, we aimed to reach consensus what surgical method to use during decompressive craniectomy considering dura closure. For this process, the first author (TG) was appointed as moderator.

1.2 Problem identification: determine current surgical methods, evaluate literature

We assessed surgical methods among our surgeons and residents and found different opinions and methods what to do with the opened dura during decompressive craniectomy. One option is to cover the cortical surface with the unapproximated dural flaps and absorbable hemostatic cellulose. Another option is to suture artificial dura or periosteum between the unapproximated flaps, thereby closing the dura without increasing intracranial pressure. The latter could be of importance to lower the chance on post-operative complications such as cerebrospinal fluid leakage. Furthermore, this possibly increases the successful use of tack-up sutures during subsequent cranioplasty at a later time, which is used to minimize epidural space. On the other hand, one may want to minimize surgery time in critically ill patients and extensive dura closure is time-consuming. Since there is no high-level evidence to support either of these approaches, a debate between neurosurgical experts could help to choose one approach.

1.3 Selection of group of experts

A group of experts was selected based on the following criteria: neurosurgeons and residents in training from our university hospital. The latter were considered as an expert too, since both decompressive craniectomy and cranioplasty are procedures performed often by neurosurgical residents, supervised by a neurosurgeon.

1.4 Develop questionnaire (example of one of the questions)

As part of the standardization process of both decompressive craniectomy and cranioplasty, one of the questions sent to the experts was whether the (enlarged) dura should (if possible) be closed during decompressive craniectomy. (Fig. 1, modified Digital Delphi round 1) Before the live audience engagement meeting, no further adjustments were made for this question.

Phase 2

2.1 Defining consensus

Before start of the interactive session, we set an agreement percentage of $\geq 80\%$ per question as consensus. **Fig. 2** Example of one of the questions and outputs during the live audience engagement meeting: What to do with the opened dura during decompressive craniectomy? *Closure of the enlarged dura with either autologous grafts or synthetic dura substitution. **Leave the dura open, covered with absorbable hemostatic cellulose



Discussion

Pros dura enlargement/closure	Cons dura enlargement/closure
Lowering the risk of post-operative CSF leakage (Neurosurgeon)	Time consuming procedure, sometimes in critical situation (Neurosurgeon)
Autologous grafts (e.g.) periost are effective and not expensive (Neurosurgeon)	Synthetic dura substitution is expensive (Neurosurgeon)
Other institutions also use synthetic dura substitution (Resident)	
During cranioplasty, preservation of dura is easier (Neurosurgeon)	
During cranioplasty, easier to operate in correct tissue layer (Resident)	



Questions that would reach this level of consensus were set to be implemented within the SOP. In case no consensus was reached, a modified question would be introduced in a second meeting. If no consensus was reached at the second meeting, the question would be excluded from the SOP at this moment.

2.2 Live audience engagement meeting

As an interactive session, we chose to use AhaSlides (AhaSlides PTE. LTD., Singapore) as a live audience engagement platform. Each panel member registered and (individually) used a computer or smartphone to participate. In total, 14 (52% of invited) experts joined

the meeting, of which eight neurosurgeons and six residents. Before discussion, 8/14 (57%) were in favor of dura closure, 3/14 (21%) had no preference, and 3/14 (21%) were in favor of leaving the dura open. After discussion, in which the possible merit of dura closure was discussed, the proportions changed to 11/11 (100%) voting for dura closure. (Fig. 2; NB All three experts who had no preference before discussion failed to respond to the question a second time for unknown reasons).

Phase 3

3.1 Creating an SOP

After analysis, the abovementioned results and implications for clinical practice were presented in a following meeting. (Fig. 1, modified Digital Delphi round 3) Since no further suggestions were made by the group of experts, we hereafter implemented the agreement to close the enlarged dura during decompressive craniectomy in our newly formed SOP.

3.2 Evaluation

In future, prospective patient data collection will reveal whether this has led to less complications during both decompressive craniectomy and cranioplasty.

Acknowledgements The authors would like to thank all neurosurgical experts from the Amsterdam UMC who participated in the consensus meeting, in particular Dr. S. Idema and Dr. M.B. Lequin for their help in processing the outcomes into a new cranioplasty SOP.

Declarations

Conflicts of interest The authors declare that they have no conflict of interest.

Ethical approval The manuscript does not contain clinical studies or patient data.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/licenses/by/4.0/.

References

- Goedemans T, Verbaan D, van der Veer O, Bot M, Post R, Hoogmoed J et al (2020) Complications in cranioplasty after decompressive craniectomy: timing of the intervention. J Neurol 267(5):1312–1320. https://doi.org/10.1007/s00415-020-09695-6
- Shiban E, Lange N, Hauser A, Jörger AK, Wagner A, Meyer B et al (2018) Cranioplasty following decompressive craniectomy: minor surgical complexity but still high periprocedural complication rates. Neurosurg Rev 43(1):217–222. https://doi.org/10. 1007/s10143-018-1038-x
- Chang V, Hartzfeld P, Langlois M, Mahmood A, Seyfried D (2010) Outcomes of cranial repair after craniectomy. J Neurosurg 112(5):1120–1124. https://doi.org/10.3171/2009.6.JNS09 133
- Krause-Titz UR, Warneke N, Freitag-Wolf S, Barth H, Mehdorn HM (2016) Factors influencing the outcome (GOS) in reconstructive cranioplasty. Neurosurg Rev 39(1):133–139. https://doi.org/ 10.1007/s10143-015-0678-3
- Walcott BP, Kwon CS, Sheth SA, Fehnel CR, Koffie RM, Asaad WF et al (2013) Predictors of cranioplasty complications in stroke and trauma patients. J Neurosurg 118(4):757–762. https://doi.org/ 10.3171/2013.1.JNS121626
- Morton RP, Abecassis IJ, Hanson JF, Barber JK, Chen M, Kelly CM et al (2018) Timing of cranioplasty: a 10.75-year singlecenter analysis of 754 patients. J Neurosurg 128(6):1648–1652. https://doi.org/10.3171/2016.11.JNS161917
- Zanaty M, Chalouhi N, Starke RM, Clark SW, Bovenzi CD, Saigh M et al (2015) Complications following cranioplasty: incidence and predictors in 348 cases. J Neurosurg 123(1):182–188. https:// doi.org/10.3171/2014.9.JNS14405
- Kestle JR, Riva-Cambrin J, Wellons JC 3rd, Kulkarni AV, Whitehead WE, Walker ML et al (2011) A standardized protocol to reduce cerebrospinal fluid shunt infection: the Hydrocephalus Clinical Research Network Quality Improvement Initiative. J Neurosurg Pediatr 8(1):22–29. https://doi.org/10.3171/2011.4. PEDS10551
- Buis DR, Idema S, Feller R, Vandertop WP (2014) Standardization of surgical procedures: beyond checklists? World Neurosurg 82(1–2):e376-377. https://doi.org/10.1016/j.wneu.2012.04.028
- Choux M, Genitori L, Lang D, Lena G (1992) Shunt implantation: reducing the incidence of shunt infection. J Neurosurg 77(6):875– 880. https://doi.org/10.3171/jns.1992.77.6.0875
- Panourias IG, Skiadas PK, Sakas DE, Marketos SG (2005) Hippocrates: a pioneer in the treatment of head injuries. Neurosurgery 57(1):181–189. https://doi.org/10.1227/01.neu.0000163416. 66374.48
- Gordon TJ, Helmer-Hirschberg O (1964) Report on a Long-Range Forecasting Study. RAND Corporation, Santa Monica. https:// www.rand.org/pubs/papers/P2982.html
- Dalkey N, Helmer O (1963) An experimental application of the DELPHI method to the use of experts. Manage Sci 9(3):458–467. https://doi.org/10.1287/mnsc.9.3.458
- Rowe G, Wright G (2011) The Delphi technique: past, present, and future prospects—introduction to the special issue. Technol Forecast Soc Chang 78(9):1487–1490. https://doi.org/10.1016/j. techfore.2011.09.002
- Mullen PM (2003) Delphi: myths and reality. J Health Organ Manag 17(1):37–52. https://doi.org/10.1108/14777260310469319
- Hasson F, Keeney S, McKenna H (2000) Research guidelines for the Delphi survey technique. J Adv Nurs 32(4):1008–1015. https:// doi.org/10.1046/j.1365-2648.2000.t01-1-01567.x
- 17. Eubank BH, Mohtadi NG, Lafave MR et al (2016) Using the modified Delphi method to establish clinical consensus for the diagnosis and treatment of patients with rotator cuff

pathology. BMC Med Res Methodol 16:56. https://doi.org/10. 1186/s12874-016-0165-8

- Stark M, Gerli S, Di Renzo GC (2009) The importance of analyzing and standardizing surgical methods. J Minim Invasive Gynecol 16(2):122–125. https://doi.org/10.1016/j.jmig.2008.11. 005
- Ergina PL, Cook JA, Blazeby JM, Boutron I, Clavien PA, Reeves BC et al (2009) Challenges in evaluating surgical innovation. Lancet 374(9695):1097–1104. https://doi.org/10.1016/S0140-6736(09)61086-2
- Blencowe NS, Mills N, Cook JA, Donovan JL, Rogers CA, Whiting P et al (2016) Standardizing and monitoring the delivery of surgical interventions in randomized clinical trials. Br J Surg 103(10):1377–1384. https://doi.org/10.1002/bjs.10254
- Lukomskaya A (2014) The Impact of Employee Ownership on Job Satisfaction. Thesis (M.B.A.), Eastern Mediterranean University, Institute of Graduate Studies and Research, Dept. of Business Administration, Famagusta: North Cyprus
- Kruse D (2016) Does employee ownership improve performance? IZA World Labor. https://doi.org/10.15185/izawol.311

- Hadizadeh M, Kawchuk G, French S (2021) A consensus approach toward the standardization of spinal stiffness measurement using a loaded rolling wheel device: results of a Delphi study. BMC Musculoskelet Disord 22(1):436. https://doi.org/10. 1186/s12891-021-04313-6
- van Buul LW, Vreeken HL, Bradley SF, Crnich CJ, Drinka PJ, Geerlings SE et al (2018) The development of a decision tool for the empiric treatment of suspected urinary tract infection in frail older adults: a Delphi consensus procedure. J Am Med Dir Assoc 19(9):757–764. https://doi.org/10.1016/j.jamda.2018.05.001
- 25. Haynes AB, Weiser TG, Berry WR, Lipsitz SR, Breizat AH, Dellinger EP et al (2009) Safe Surgery Saves Lives Study Group. A surgical safety checklist to reduce morbidity and mortality in a global population. N Engl J Med 360(5):491–499. https://doi.org/ 10.1056/NEJMsa0810119
- Varndell W, Fry M, Elliott D (2021) Applying real-time Delphi methods: development of a pain management survey in emergency nursing. BMC Nurs 20(1):149. https://doi.org/10.1186/ s12912-021-00661-9