

Anxiety and depression in bronchiectasis: Response to pulmonary rehabilitation and minimal clinically important difference of the Hospital **Anxiety and Depression Scale**

Chronic Respiratory Disease Volume 17: 1-9 © The Author(s) 2020 Article reuse guidelines: sagepub.com/journals-permissions DOI: 10.1177/1479973120933292 journals.sagepub.com/home/crd



Stephanie C Wynne¹, Suhani Patel^{1,2}, Ruth E Barker^{1,2,3}, Sarah E Jones^{1,2,3}, Jessica A Walsh^{1,2}, Samantha SC Kon^{1,4,5}, Julius Cairn⁴, Michael R Loebinger^{3,6}, Robert Wilson^{3,6}, William D-C Man^{1,2,3,4} and Claire M Nolan^{1,2}

Abstract

The aims of the study were to evaluate the responsiveness of Hospital Anxiety and Depression Scale-Anxiety (HADS-A) subscale and HADS-Depression (HADS-D) subscale to pulmonary rehabilitation (PR) in patients with bronchiectasis compared to a matched group of patients with chronic obstructive pulmonary disease (COPD) and provide estimates of the minimal clinically important difference (MCID) of HADS-A and HADS-D in bronchiectasis. Patients with bronchiectasis and at least mild anxiety or depression (HADS-A > 8 or/and HADS-D > 8), as well as a propensity score-matched control group of patients with COPD, underwent an 8week outpatient PR programme (two supervised sessions per week). Within- and between-group changes were calculated in response to PR. Anchor- and distribution-based methods were used to estimate the MCID. HADS-A and HADS-D improved in response to PR in both patients with bronchiectasis and those with COPD (median (25th, 75th centile)/mean (95% confidence interval) change: HADS-A change: bronchiectasis -2 (-5, 0), COPD-2(-4, 0); p = 0.43 and HADS-D change: bronchiectasis -2(-2 to - 1), COPD - 2(-3 to - 2); p = 0.16). Using 26 estimates, the MCID for HADS-A and HADS-D was -2 points. HADS-A and HADS-D are responsive to PR in patients with bronchiectasis and symptoms of mood disorder, with an MCID estimate of -2 points.

Keywords

Anxiety, bronchiectasis, depression, minimal clinically important difference, pulmonary rehabilitation

Date received: 3 January 2020; accepted: 16 May 2020

¹ Harefield Respiratory Research Group, Royal Brompton and Harefield NHS Foundation Trust, Harefield, UK

² Harefield Pulmonary Rehabilitation Unit, Royal Brompton and Harefield NHS Foundation Trust, Harefield, UK

³ National Heart and Lung Institute, Imperial College London, London, UK

⁴ Department of Respiratory Medicine, Harefield Hospital, Royal Brompton and Harefield NHS Foundation Trust, Harefield, UK

⁵ The Hillingdon Hospitals NHS Foundation Trust, Uxbridge, UK

⁶ Host Defence Unit, Royal Brompton and Harefield NHS Foundation Trust, Harefield, UK

Corresponding author:

Claire M Nolan, Harefield Pulmonary Rehabilitation Unit, Harefield Hospital, Royal Brompton and Harefield NHS Foundation Trust, Hill End Road, Harefield UB9 6|H, UK. Email: c.nolan@rbht.nhs.uk



Creative Commons Non Commercial CC BY-NC: This article is distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 License (https://creativecommons.org/licenses/by-nc/4.0/) which permits non-commercial use, reproduction and distribution of the work without further permission provided the original work is attributed as specified on the SAGE and Open Access pages (https://us.sagepub.com/en-us/nam/open-access-at-sage).

Introduction

Bronchiectasis is characterised by abnormal and permanent bronchial dilation and symptoms of dyspnoea, productive cough and recurrent chest infections.¹ Mental illness, such as anxiety and depression, affect a significant proportion of patients with bronchiectasis² with prevalence estimates ranging from 31% to 55% and 14% to 34% for anxiety and depression, respectively.^{2–5} Assessment and management is important in bronchiectasis as high levels of anxiety and depression are associated with lower healthrelated quality of life.⁵

Pulmonary rehabilitation (PR) is recommended for patients with this disease^{1,6,7} as it is associated with improvements in exercise capacity^{8–12} and healthrelated quality of life,^{8,10–12} reduced exacerbation rates and prolonged time to first exacerbation.⁸ In other chronic respiratory diseases, for example, chronic obstructive pulmonary disease (COPD), PR is also associated with a reduction in symptoms of anxiety and depression.¹³ However, to date, there is limited data on the effects of PR on anxiety and depression in patients with bronchiectasis, particularly in those with existing psychological comorbidity.⁸

The Hospital Anxiety and Depression Scale (HADS) is a self-administered questionnaire used to screen for the presence of mood disorder.¹⁴ It consists of two subscales: HADS-Anxiety (HADS-A) and HADS-Depression (HADS-D). For both subscales, a score of 0–7 is considered in the normal range, 8–10 is suggestive of mood disorder and a score ≥ 11 indicates probable mood disorder.¹⁵ The HADS is widely used as an outcome measure in PR studies.¹⁶ The minimal clinically important difference (MCID) of HADS in bronchiectasis has not been described.

The primary aim of this study was to determine the responsiveness of HADS-A and HADS-D to PR in patients with bronchiectasis and increased symptoms of anxiety and depression, and to compare these responses with those observed in a matched group of COPD patients undergoing the same PR programme. The secondary aim of the study was to provide MCID estimates for HADS subscales in patients with bronchiectasis.

Methods

Study participants

Participants with bronchiectasis were prospectively recruited from the Harefield PR Unit (UK) between September 2012 and April 2017 and were participating in studies approved by London-Camberwell St. Giles (11/LO/1780) and London-Central (13/LO/ 1161) Research Ethics Committees and registered on clinicaltrials.gov (NCT01649193, NCT02261337). All participants provided written informed consent to participate in these studies.

Inclusion criteria were: (1) a primary diagnosis of non-cystic fibrosis bronchiectasis, determined by a respiratory physician from the Royal Brompton and Harefield NHS Foundation Trust, with supporting evidence from thin-section computed tomography of bronchial dilatation (internal airway lumen greater than adjacent pulmonary artery; absence of tapering; airway visibility within 1 cm of pleural surface)¹⁷ (experimental group) or COPD, according to GOLD guidelines (control group); (2) HADS-A and/or HADS-D score ≥ 8 (this cut-off is suggestive of the presence of a mood disorder)¹⁵; (3) ability to walk 5 m; (4) referred for PR in line with the British Thoracic Society Quality Standards for PR¹⁸; and (5) ability to provide informed consent. Participants were excluded if they had significant co-morbidities that were the primary limitation to walking (e.g. lower limb amputation) or conditions that made exercise unsafe (e.g. unstable ischaemic heart disease). In the bronchiectasis group, to avoid possible contamination with coexisting COPD, patients with a coexisting diagnosis of COPD or a self-reported smoking history of >10pack-years were excluded.

Study design

In this matched observational cohort study, patients in the experimental and control groups were enrolled in an 8-week outpatient PR programme at Harefield Hospital as described previously.¹⁹ Of note, the education programme included a session on psychological wellbeing delivered by a clinical psychologist (described in the Online Supplement). Completion of PR was defined as attending a minimum of eight sessions (50%) and the post-PR assessment.

Anthropometry, spirometry, Medical Research Council (MRC) Dyspnoea score, Incremental Shuttle Walk (ISW) test, HADS and Chronic Respiratory Questionnaire (CRQ) were completed in the weeks before and after the PR programme. Participants were also asked to respond to the following question: 'How do you feel your overall condition has changed after rehabilitation?' using a five-point Global Rating of Change Questionnaire (GRCQ). The scale ranged from '1: I feel much better' to '5: I feel much worse'.

Patients with bronchiectasis were propensity scorematched $1:1^{20}$ with a control group of patients with COPD, accounting for age, gender, forced expiratory volume in one second (FEV₁) per cent predicted, MRC Dyspnoea score, ISW and HADS.

Statistical analysis

Responses to PR were analysed and presented separately for those with HADS-A ≥ 8 and HADS-D ≥ 8 with the same analysis methods used for both subscales. Estimation of the MCID was calculated in the bronchiectasis cohort only. Only data from patients that completed PR were included in the analyses.

The baseline characteristics were presented as mean (standard deviation) or median (25th, 75th centile) for continuous data and number (per cent) for categorical data. Paired *t*-test (or Wilcoxon Signed Rank test for non-parametric data) was used to compare within-group response to PR and two-sample *t*-test (or Mann–Whitney *U* test for non-parametric data) for between-group response to PR. The relationship between change in HADS subscale score and change in other outcome measures (ISW, CRQ, MRC) was assessed using Pearson's Correlation Coefficient (or Spearman's Rank-Order Correlation for non-parametric data).

MCID analysis

Multiple anchor- and distribution-based approaches were used to estimate the MCID of HADS subscales. The a priori criteria for determining the validity of an external anchor were a statistically significant correlation (p < 0.05) and a correlation coefficient >0.3 between the subscale and the external anchor.²¹ For external anchors fulfilling these criteria, linear regression was used to estimate a change in HADS subscale score based on the MCID for each anchor, for example, MRC: -1, ISW: 47.5 m, CRQ-Dyspnoea: 2.5, CRQ-Fatigue: 2, CRQ-Emotion: 3.5, CRQ-Mastery: 2 and CRQ-Total: 10. Additionally, receiver operating characteristic (ROC) curves were used to identify the change in HADS subscale cut-off with equal sensitivity and specificity that best discriminated between those who did and did not achieve the MCID of the external anchor.²² The MCID was also estimated using the mean change in HADS subscale in patients who reported '2: I feel a little better' on the GRCQ following PR.²³ The distribution-based methods used to estimate the MCID of HADS-A and HADS-D were Cohen's effect size (half of the standard deviation of change²⁴) and the Empirical rule effect size ($0.08 \times 6 \times$ standard deviation of change²⁴). Data analyses were performed using GraphPad Prism 7 (GraphPad Software, La Jolla, California, USA) and SPSS version 24 (IBM, New York, USA). Statistical significance was considered at p < 0.05.

Results

HADS-A: Baseline characteristics and response to PR

In total, 86 patients fulfilled the inclusion criteria for the HADS-A analysis, of which 62 (70%) completed PR. Only two were on existing medication for their mood disorder. Data was analysed from a matched control group of 62 patients with COPD and HADS-A \geq 8 that completed PR during the same period. Baseline characteristics are presented in Table 1. The two groups were successfully matched at baseline and the only between-group differences were a lower smoking prevalence and pack-year history as well as a higher FEV₁/FVC ratio and number of hospitalisations in the bronchiectasis cohort.

Table 2 presents the response to PR. Significant improvements in HADS, MRC, ISW and CRQ were observed in both groups. The magnitude of change observed were similar to or exceeded the commonly accepted MCID of HADS, ISW and CRQ in COPD. Of note, 45% of the bronchiectasis group shifted to a normal anxiety state (HADS-A < 8) after PR. No significant between-group differences were observed in change in MRC, ISW or CRQ. Furthermore, a similar proportion of patients in the bronchiectasis (97%) and COPD (94%) groups reported feeling 'much better' or 'a little better' following PR.

HADS-A: MCID estimation in bronchiectasis

The correlation between change in HADS-A and change in CRQ-Emotion (r value; p value: -0.42; <0.01), CRQ-Mastery (-0.40; <0.01) and CRQ-Total (-0.50; <0.001) met the a priori criteria for determining the validity of an external anchor (r > 0.3 and p < 0.05), and consequently these variables were used in the anchor-based methods to determine the HADS-A MCID. There was no significant correlation between change in HADS-A and change in MRC, ISW, CRQ-Dyspnoea or CRQ-Fatigue.

Variable	Bronchiectasis	COPD	þ Value
Gender (female, n (%))	38 (61)	36 (58)	0.71
Age (years)	70 (10)	70 (9)	0.97
BMI (kg/m ²)	26.7 (6.2)	28.1 (5.6)	0.18
FEV ₁ /FVC	0.70 (0.12)	0.64 (0.11)	<0.01
FEV ₁ (L)	1.61 (0.65)	1.60 (0.63)	0.84
FEV ₁ (% predicted)	69 (23)	66 (18)	0.42
MRC Dyspnoea score	3(1)	3 (1)	0.51
Smoking status (n (%))			
Current smoker	I (2)	8 (13)	<0.01
Former smoker	31 (50)	50 (80)	
Never smoked	30 (48)	4 (7)	
Smoking history (pack-years)	0 (0, 5)	35 (29)	<0.01
Mean no. of hospital bed days in previous year	2 (1, 2)	0 (0, 2)	<0.01
No. of chest infections in previous year	0 (0, 5)	I (0, 5)	0.15
ISW (m)	230 (100, 360)	220 (120, 330)	0.97
HADS-Anxiety	10 (9, 13)	10 (8, 13)	0.73
HADS-Depression	8 (4)	8 (4)	0.66
CRQ-Dyspnoea	13.9 (4.9)	13.9 (6.1)	0.99
CRQ-Fatigue	11.8 (3.9)	11.8 (4.3)	0.95
CRQ-Emotion	25.1 (7.2)	24.8 (7.1)	0.80
CRQ-Mastery	15.7 (4.6)	16.2 (4.7)	0.55
CRQ-Total	66.6 (16.0)	66.7 (17.9)	0.97

Table I. Baseline characteristics of patients with bronchiectasis (n = 62) and COPD (n = 62) with HADS-A ≥ 8 .^a

BMI: body mass index; CRQ: Chronic Respiratory Questionnaire; FEV₁: forced expiratory volume in one second; FVC: forced vital capacity; HADS: Hospital Anxiety and Depression Scale; ISW: Incremental Shuttle Walk test; MRC: Medical Research Council; COPD: chronic obstructive pulmonary disease; HADS-A: Hospital Anxiety and Depression Scale-Anxiety.

^aContinuous data reported as mean (standard deviation) or median (25th, 75th centile) and categorical data reported as number (per cent).

Table 2. Response to pulmonary rehabilitation in participants with bronchiectasis and HADS-A \geq 8 ($n = 62$) compared
to participants with COPD and HADS-A \geq 8 ($n = 62$). ^a

Variable	Bronchiectasis	COPD	Between group difference	p Value
BMI (kg/m ²)	0.2 (-0.2 to 0.2)	-0.2 (-0.4 to 0.1)	-0.2 (-0.5 to 0.2)	0.27
MRC Dyspnoea score	−l (−l to 0)	−1 (−1 to 0)	0 (0 to 0)	0.78
ISW (m)	50 (10, 100)	50 (20, 90)	_	0.89
HADS-Anxiety	-2 (-5, 0)	-2 (-4, 0)	(− to 2)	0.43
HADS-Depression	−1 (−2 to 0)	-2(-3 to -1)	−1 (−2 to 0)	0.25
CRQ-Dyspnoea	5.6 (3.9 to 7.3)	4.0 (2.4 to 5.6)	-1.6(-3.9 to 0.7)	0.17
CRQ-Fatigue	2.7 (1.6 to 3.8)	3.1 (2.0 to 4.2)	0.4 (-1.1 to 1.9)	0.60
CRQ-Emotion	4.7 (3.0 to 6.4)	5.8 (3.8 to 7.9)	1.2 (-1.5 to 3.8)	0.39
CRQ-Mastery	3.4 (2.3 to 4.6)	3.5 (2.0 to 4.9)	0.3 (-1.8 to 1.9)	0.97
CRQ-Total	16.4 (12.0 to 20.8)	16.4 (11.6 to 21.2)	0.0 (-6.4 to 6.5)	1.0
GRCQ (%)	· · · ·	. , ,	, , , , , , , , , , , , , , , , , , ,	
I = I feel much better'	60	52	- 18	0.39
2= 'l feel a little better'	34	45	11	
3–5 = 'I feel the same'/'A little worse'/ 'Much worse'	6	3	-3	

BMI: body mass index; CRQ: Chronic Respiratory Questionnaire; GRCQ: Global Rating of Change Questionnaire; HADS: Hospital Anxiety and Depression Scale; ISW: Incremental Shuttle Walk test; MRC: Medical Research Council; COPD: chronic obstructive pulmonary disease; HADS-A: Hospital Anxiety and Depression Scale-Anxiety.

^a Baseline data reported as mean (standard deviation) or median (25th, 75th centile) unless otherwise stated. Response to pulmonary rehabilitation data reported as mean (lower 95% confidence interval to upper 95% confidence interval) change or median (25th, 75th centile) change.

•	()	()	_
Variable	Bronchiectasis	COPD	p Value
Gender (female, n (%))	28 (56)	25 (50)	0.548
Age (years)	69 (10)	68 (II)	0.470
BMI (kg/m ²)	27.8 (6.5)	28.6 (6.6)	0.55
FEV ₁ /FVC	0.69 (0.13)	0.60 (0.15)	0.244
FEV ₁ (L)	1.48 (0.64)	1.49 (0.75)	0.438
FEV ₁ (% predicted)	63 (22)	60.9 (21.8)	0.992
MRC Dyspnoea score	3 (1)	3 (1)	0.964
Smoking Status (n (%))			
Current smoker	0 (0)	8 (17)	<0.001
Former smoker	23 (46)	36 (75)	
Never smoked	27 (54)	4 (8)	
Smoking history (pack-years)	0 (0, 7)	33 (12, 62)	<0.001
Median no. of hospital bed days in previous year	0 (0, 0)	0 (0, 7)	<0.001
No. of chest infections in previous year	2 (1, 5)	3 (0, 6)	0.683
ISW (m)	190 (100, 350)	200 (100, 350)	0.301
HADS-Ánxiety	10 (4)	9 (5)	0.449
HADS-Depression	II (2)	10 (2)	0.837
CRQ-Dyspnoea	14.0 (4.7)	12.3 (5.1)	0.639
CRQ-Fatigue	10.6 (3.3)	10.5 (4.1)	0.076
CRQ-Emotion	24.3 (7.5)	25.2 (7.9)	0.774
CRQ-Mastery	15.3 (4.8)	15.3 (5.2)	0.635
CRQ-Total	64.3 (15.8)	63.3 (18.3)	0.629

Table 3. Baseline characteristics of patients with bronchiectasis (n = 50) and COPD (n = 50) with HADS-D ≥ 8 .^a

BMI: body mass index; CRQ: Chronic Respiratory Questionnaire; FEV₁: forced expiratory volume in one second; FVC: forced vital capacity; HADS: Hospital Anxiety and Depression Scale; ISW: Incremental Shuttle Walk test; MRC: Medical Research Council; COPD: chronic obstructive pulmonary disease; HADS-D: Hospital Anxiety and Depression Scale-Depression.

^aContinuous data reported as mean (standard deviation) or median (25th, 75th centile) and categorical data reported as number (per cent).

The MCID estimates for HADS-A in patients with bronchiectasis and symptoms of anxiety calculated using linear regression and ROC plots ranged from -3 to -2 (see Table S1 in the Online Supplement). For those who reported feeling 'a little better' on the GRCQ, the mean change in HADS-A was -2. Using distribution-based methods the MCID estimate calculated with Cohen's effect size and the Empirical rule effect size was -2. Giving equal weighting to each method of MCID estimation, the mean (range) MCID for HADS-A was -2 (-4 to -1).

HADS-D: Baseline characteristics and response to PR

A total of 68 patients fulfilled the inclusion criteria for the HADS-D analysis, of which 50 (74%) completed PR. Only three were on existing medication for their mood disorder. Data were analysed from a matched control group of 50 patients with COPD and HADS-D \geq 8 that completed PR during the same period. Baseline characteristics are described in Table 3. Similar to HADS-A, the two groups were successfully matched and the only between-group differences at baseline were a lower smoking prevalence, pack-year history and number of hospitalisations in the bronchiectasis group.

Table 4 demonstrates the response to PR. Significant within-group improvements in HADS, MRC, ISW and CRQ were observed in both groups with the MCID of these variables achieved or exceeded in all cases. A total of 40% of the bronchiectasis group shifted to a normal depression state (HADS-D < 8) after PR. Furthermore, there were no significant between-group differences and 86% and 90% of bronchiectasis and COPD patients, respectively, reported feeling 'a little' or 'much better' following PR.

HADS-D: MCID estimation in bronchiectasis

The correlation between change in HADS-D and change in ISW (*r* value; *p* value: -0.38; 0.01), CRQ-Dyspnoea (-0.41; <0.01), CRQ-Fatigue (-0.41; 0.01), CRQ-Emotion (-0.42; <0.01), CRQ-

Variable	Bronchiectasis	COPD	Between group difference	p Value
BMI (kg/m ²)	-0.3 (-0.6 to 0.0)	-0.1 (-0.4 to 0.3)	0.2 (-0.3 to 0.7)	0.37
MRC Dysphoea score	-1 (-1 to -1)	−l (−l to 0)	0 (0 to 1)	0.09
ISW (m)	60 (30, 140)	50 (10, 100)	_	0.23
HADS-Anxiety	-2(-3 to -1)	−1 (−2 to 0)	I (−I to 2)	0.42
HADS-Depression	−2 (−2 to −1)	−2 (−3 to −2)	−1 (−2 to 0)	0.16
CRQ-Dysphoea	4.8 (3.2 to 6.4)	5.0 (2.7 to 7.0)	0.2 (-2.3 to 2.7)	0.88
CRQ-Fatigue	3.0 (1.6 to 4.4)	4.1 (2.8 to 5.3)	I.I (-0.8 to 2.9)	0.25
CRQ-Emotion	4.4 (2.4 to 6.4)	4.5 (2.7 to 6.4)	0.1 (-2.6 to 2.8)	0.94
CRQ-Mastery	3.0 (1.4 to 4.5)	3.2 (1.7 to 4.7)	0.3 (-1.8 to 2.4)	0.81
CRQ-Total	15.2 (10.0 to 20.4)	16.8 (11.7 to 22.0)	1.6 (-5.6 to 8.8)	0.66
GRCQ (%)				
I = I feel much better'	42	45	3	0.80
2= 'I feel a little better'	44	45	I	
3–5 = 'I feel the same'/'I feel a little worse'/'I feel much worse'	14	10	—4	

Table 4. Response to pulmonary rehabilitation in participants with bronchiectasis and HADS-D \ge 8 (n = 50) compared to participants with COPD and HADS-D \ge 8 (n = 50).^a

BMI: body mass index; CRQ: Chronic Respiratory Questionnaire; HADS: Hospital Anxiety and Depression Scale; ISW: Incremental Shuttle Walk test; MRC: Medical Research Council; COPD: chronic obstructive pulmonary disease; HADS-D: Hospital Anxiety and Depression Scale-Depression.

^aBaseline data reported as mean (standard deviation) or median (25th, 75th centile) unless otherwise stated. Response to pulmonary rehabilitation data reported as mean (lower 95% CI to upper 95% CI) change or median (25th, 75th centile) change.

Mastery (-0.40; <0.01) and CRQ-Total (-0.50; <0.001) met the a priori criteria for determining the validity of an external anchor (r > 0.3 and p < 0.05) and were used to determine the HADS-D MCID in the anchor-based analyses. There was no significant correlation between change in HADS-D and change in MRC Dyspnoea score.

The MCID estimates for HADS-D in patients with bronchiectasis, and symptoms of depression calculated using linear regression and ROC plots ranged from -2 to -1 (see Table S2 in the Online Supplement). For those who reported feeling '*a little better*' on the GRCQ, the mean change in HADS-D was -1. The MCID estimates were -2 and -1 for Cohen's effect size and Empirical rule effect size, respectively. Giving equal weighting to each method of MCID calculation, the mean (range) MCID estimate for HADS-D was -2 (-3 to 1).

Dual symptoms of mood disorder in bronchiectasis

In the HADS-A cohort, 36 (58%) of patients who scored \geq 8 HADS-A also scored \geq 8 HADS-D. Similarly, in the HADS-D group, 36 (72%) of patients who scored \geq 8 HADS-D also scored \geq 8 HADS-A. The response to PR in these patients with dual symptoms

of anxiety and depression was similar to those with symptoms of anxiety only (≥ 8 HADS-A and < 8 HADS-D; n = 26) or depression only (≥ 8 HADS-D and < 8 on HADS-A; n = 14). These data are outlined in Tables S3 and S4 in the Online Supplement. Furthermore, the MCID in the sub-groups of patients with dual symptoms of mood disorder was similar to the estimates in those with a single mood disorder symptom.

Discussion

This is the first study to establish that HADS is responsive to PR in patients with bronchiectasis and probable anxiety or depression. We also demonstrated that the magnitude of HADS response to PR in patients with bronchiectasis was similar to that observed in a matched COPD cohort. Mean MCID estimates of HADS-A and HADS-D in bronchiectasis were -2.

Comparison to previous studies

HADS is a self-administered questionnaire used to screen for mood disorder¹⁴ and is widely used in PR.^{16,25} Previous studies have shown that HADS is reliable,¹⁴ valid^{14,15} and responsive to intervention^{26–30} in other chronic respiratory diseases. In COPD, MCID

estimates range from -1.8 to -1.3 for HADS-A and -1.7 to -1.4 for HADS-D.^{26,28} However, limited data exist on the responsiveness of HADS in patients with bronchiectasis.

To the best of our knowledge, only one study has explored the effects of an exercise-training programme on HADS in bronchiectasis.⁸ Lee et al. compared the effects of an 8-week exercise programme and airway clearance technique review to airway clearance technique review alone.⁸ The authors were unable to show that symptoms of mood disorder improved with either intervention. However, the patients had low levels of psychological symptom burden at baseline (mean HADS-A < 5, HADS-D < 4).⁸ In contrast, we purposefully enriched our study with patients at risk of anxiety and depression (inclusion criteria: HADS \geq 8), and consequently demonstrated a more marked improvement with PR.

Another explanation for the contrasting HADS responses between the current study and the study by Lee et al. include differences between the interventions. Whereas Lee et al.⁸ used an intervention largely focused around exercise-training, our intervention incorporated an education/self-management component, in line with the recommendations of the British Thoracic Society guidelines.⁶ This included a structured education session on psychological wellbeing by a clinical psychologist. PR is a complex, multi-component intervention, and it is plausible that non-exercise components or a synergy between exercise and education had a more substantial effect upon psychological symptom burden than exercise-training alone.

The magnitude of improvement in HADS scores observed in our bronchiectasis and COPD cohorts were similar, and in turn, comparable to previously published data in PR interventions in patients with COPD and interstitial lung disease (ILD) (range of mean changes in HADS-A: COPD: -2.0 to $-1.7^{13,26,28}$, ILD: -2.0 to $-1.6^{27,29}$ and range of mean changes in HADS-D: COPD: -2.4 to $-1.7^{13,26,28}$, ILD: -2.0 to $-1.0^{27,29}$). This provides a degree of reassurance about the validity and generalisability of the results of our study.

Although HADS MCID has not been published in patients with bronchiectasis, MCID estimates have been reported in COPD undergoing PR^{26} and a 3-week inpatient respiratory rehabilitation programme.²⁸ These estimates were comparable to our study (MCID range: HADS-A: our study -2, COPD studies -1.8 to $-1.3^{26,28}$ and HADS-D: our study -2,

COPD studies -1.7 to -1.4).^{26,28} However, it was not possible to compare the uncertainty in the magnitude of MCID estimates of our data with previously published studies as confidence intervals were not available. The 95% confidence interval range for HADS-A and HADS-D MCID estimates was -4 to 1 and -3 to 1, respectively. This suggests some uncertainty in the precision of the MCID estimate and future larger studies are needed.

Strengths

There are several strengths to this prospective study. It provides new knowledge on the psychometric properties of HADS in patients with bronchiectasis and symptoms of mood disorder by demonstrating its responsiveness to intervention and providing MCID estimates. The capacity of an outcome measure to detect improvement is an important aspect of concurrent validity and is necessary for data interpretation in clinical and research settings.²¹ This is facilitated by the MCID which enables the assessor to understand the clinical significance of data and forms an important part of the evidence required by regulatory agencies for approval for use in clinical trials. These properties are essential traits in an outcome measure and accordingly HADS has potential as a measure of mood disorder in patients with bronchiectasis.

Another strength was the careful identification of patients with bronchiectasis alone, with exclusion of coexisting COPD or those with significant smoking pack-year history. The control group were carefully matched patients with COPD using a formal validated statistical technique (propensity score matching) to minimise imbalance in key covariates between the groups.

Limitations

There were some limitations to our study. First, as participants were symptomatic outpatients referred to PR, our findings require corroboration in other settings and bronchiectasis populations. Second, due to the nature of the study investigating change in HADS, we performed a completer analysis (i.e. those completing PR with both before and after PR data). We are unable to generalise our findings to patients with bronchiectasis who fail to be referred for or fail to complete PR as well as those with bronchiectasis and other coexisting respiratory diseases. Third, because we enriched our population with a fixed inclusion cutoff in HADS score, there is a risk that we overestimated the effect size due to regression to the mean. However, we had a control group of patients with COPD and the changes in HADS, and our MICD estimates were similar to previously published values in COPD,^{26,28} providing some reassurance on the validity of our results. Furthermore, for the calculation of the MCID, we used anchors that have a wellestablished MCID and so we believe that the risk of regression to the mean is partly mitigated. We intentionally chose to only include patients with probable anxiety or depression as our estimates might be underestimated otherwise (i.e. someone without depression is unlikely to become less depressed with pulmonary rehabilitation). It felt more logical and relevant to clinicians to report the effects of PR in patients with probable anxiety or depression.

Fourth, very few patients reported that they deteriorated; accordingly, these data estimate the MCID for improvement only. Further studies are required to assess whether patients perceive deterioration to a similar degree as improvement. Finally, as this was a short-term study, we were unable to comment on the long-term impact of PR on anxiety and depression, and we were unable to quantify whether participants received other treatments for mood disorder (e.g. medications and cognitive behavioural therapy) outside of the PR programme.

Significance of findings

This study demonstrates that the HADS is responsive to PR in patients with bronchiectasis and symptoms of anxiety or depression. We also provide the first estimates of the MCID for HADS-A and HADS-D in patients with bronchiectasis. This may be particularly useful in future clinical trials in patients with bronchiectasis, especially where a measure of anxiety or depression is being considered as an endpoint. For example, HADS was the primary endpoint in a recent randomised controlled trial of cognitive behavioural therapy versus self-help leaflets in patients with COPD.³¹

In conclusion, HADS is responsive to PR in patients with bronchiectasis and symptoms of mood disorder, with a mean MCID estimate of -2 points for each subscale.

Acknowledgements

The authors would like to express their gratitude to the patients who participated in this research and to Harefield Pulmonary Rehabilitation Unit for their role in delivering the interventions and help with data collection.

Declaration of conflicting interests

The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

Funding

The author(s) disclosed receipt of the following financial support for the research, authorship, and/or publication of this article: CMN and JAW are supported by a NIHR Research for Patient Benefit Grant (PB-PG-0816-20022); SP is supported by a British Lung Foundation IPF Project Grant (IPFPG17-15); REB is supported by a NIHR Clinical Doctoral Research Fellowship (CDRF-2017-03-018); SJ is supported by a NIHR Doctoral Research Fellowship (DRF-2015-08-004); ML has received personal fees outside of this work from Bayer, Griffols, Astra Zeneca, Polyphor and Raptor; WM reports grants from National Institute for Health Research, during the conduct of the study; grants from Pfizer, non-financial support from GSK, personal fees from Mundipharma, personal fees from Novartis, personal fees from Jazz Pharmaceuticals, outside the submitted work.

ORCID iD

Claire M Nolan b https://orcid.org/0000-0001-9067-599X

Supplemental material

Supplemental material for this article is available online.

References

- Polverino E, Goeminne PC, McDonnell MJ, et al. European Respiratory Society guidelines for the management of adult bronchiectasis. *Eur Respir J* 2017; 50(3): 1700629.
- Gao YH, Guan WJ, Zhu YN, et al. Anxiety and depression in adult outpatients with bronchiectasis: associations with disease severity and health-related quality of life. *Clin Respir J* 2018; 12(4): 1485–1494.
- O'leary C, Wilson C, Hansell D, et al. Relationship between psychological well-being and lung health status in patients with bronchiectasis. *Respir Med* 2002; 96(9): 686–692.
- Moreno RMG, Vasconcelos GF, Cisneros C, et al. Presence of anxiety and depression in patients with bronchiectasis unrelated to cystic fibrosis. *Arch Bronconeumol (English Edition)* 2013; 49(10): 415–420.
- 5. Olveira C, Olveira G, Gaspar I, et al. Depression and anxiety symptoms in bronchiectasis: associations with

health-related quality of life. *Qual Life Res* 2013; 22(3): 597–605.

- Bolton CE, Bevan-Smith EF, Blakey JD, et al. British Thoracic Society guideline on pulmonary rehabilitation in adults: accredited by NICE. *Thorax* 2013; 68(Suppl 2): ii1–ii30.
- Spruit MA, Singh SJ, Garvey C, et al. An Official American Thoracic Society/European Respiratory society Statement: key concepts and advances in pulmonary rehabilitation. *Am J Respir Crit Care Med* 2013; 188(8): e13–e64.
- Lee AL, Hill CJ, Cecins N, et al. The short and long term effects of exercise training in non-cystic fibrosis bronchiectasis – a randomised controlled trial. *Respir Res* 2014; 15(1): 44.
- 9. Newall C, Stockley RA and Hill SL. Exercise training and inspiratory muscle training in patients with bronchiectasis. *Thorax* 2005; 60(11): 943–948.
- Mandal P, Sidhu M, Kope L, et al. A pilot study of pulmonary rehabilitation and chest physiotherapy versus chest physiotherapy alone in bronchiectasis. *Respir Med* 2012; 106(12): 1647–1654.
- Ong H, Lee A, Hill C, et al. Effects of pulmonary rehabilitation in bronchiectasis: a retrospective study. *Chron Respir Dis* 2011; 8(1): 21–30.
- Zanini A, Aiello M, Adamo D, et al. Effects of pulmonary rehabilitation in patients with non-cystic fibrosis bronchiectasis: a retrospective analysis of clinical and functional predictors of efficacy. *Respiration* 2015; 89(6): 525–533.
- Harrison S, Greening N, Williams J, et al. Have we underestimated the efficacy of pulmonary rehabilitation in improving mood? *Respir Med* 2012; 106(6): 838–844.
- Zigmond AS and Snaith RP. The hospital anxiety and depression scale. *Acta Psychiatr Scand* 1983; 67(6): 361–370.
- 15. Snaith RP. The hospital anxiety and depression scale. *Health Qual Life Outcomes* 2003; 1(1): 29.
- Maddocks M, Kon SS, Canavan JL, et al. Physical frailty and pulmonary rehabilitation in COPD: a prospective cohort study. *Thorax* 2016; 71: 988–995.
- Hill AT, Sullivan AL, Chalmers JD, et al. British Thoracic Society guideline for bronchiectasis in adults. *Thorax* 2019; 74(Suppl 1): 1–69.
- BTS. Quality Standards for Pulmonary Rehabilitation 2014, https://www.brit-thoracic.org.uk/qualityimprovement/quality-standards/pulmonary-rehabilita tion/ (2014, accessed 1 April 2020).
- 19. Nolan CM, Maddocks M, Canavan JL, et al. Pedometer step count targets during pulmonary rehabilitation in

chronic obstructive pulmonary disease. A randomized controlled trial. *Am J Respir Crit Care Med* 2017; 195(10): 1344–1352.

- 20. Rosenbaum PR and Rubin DB. The central role of the propensity score in observational studies for causal effects. *Biometrika* 1983; 70(1): 41–55.
- Revicki D, Hays RD, Cella D, et al. Recommended methods for determining responsiveness and minimally important differences for patient-reported outcomes. J Clin Epidem 2008; 61(2): 102–109.
- Kon SS, Canavan JL, Jones SE, et al. Minimum clinically important difference for the COPD assessment test: a prospective analysis. *Lancet Respir Med* 2014; 2(3): 195–203.
- Nolan CM, Longworth L, Lord J, et al. The EQ-5D-5L health status questionnaire in COPD: validity, responsiveness and minimum important difference. *Thorax* 2016; 71(6): 493–500.
- Demeyer H, Burtin C, Hornikx M, et al. The minimal important difference in physical activity in patients with COPD. *PloS One* 2016; 11(4): e0154587.
- 25. McCarthy B, Casey D, Devane D, et al. Pulmonary rehabilitation for chronic obstructive pulmonary disease. *Cochrane Database Syst Rev* 2015; 2.
- 26. Smid DE, Franssen FM, Houben-Wilke S, et al. Responsiveness and MCID estimates for CAT, CCQ, and HADS in patients with COPD undergoing pulmonary rehabilitation: a prospective analysis. J Am Med Dir Assoc 2017; 18(1): 53–58.
- Peasey M, Kon S, Dilaver D, et al. P99 pulmonary rehabilitation in interstitial lung disease patients: effects on maximum exercise capacity, anxiety and depression. *Thorax* 2012; 67(Suppl 2): A106.
- Puhan MA, Frey M, Büchi S, et al. The minimal important difference of the hospital anxiety and depression scale in patients with chronic obstructive pulmonary disease. *Health Qual Life Outcomes* 2008; 6(1): 46.
- Naji NA, Connor MC, Donnelly SC, et al. Effectiveness of pulmonary rehabilitation in restrictive lung disease. *J Cardiopulm Rehabil* 2006; 26(4): 237–243.
- Turner S, Eastwood P, Cook A, et al. Improvements in symptoms and quality of life following exercise training in older adults with moderate/severe persistent asthma. *Respiration* 2011; 81(4): 302–310.
- Heslop-Marshall K, Baker C, Carrick-Sen D, et al. Randomised controlled trial of cognitive behavioural therapy in COPD. *ERJ Open Res* 2018; 4(4): 00094–2018.