



# Conching chocolate is a prototypical transition from frictionally jammed solid to flowable suspension with maximal solid content

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Edited by David A. Weitz, Harvard University, Cambridge, MA, and approved April 8, 2019 (received for review February 4, 2019)

The mixing of a powder of 10- to 50- $\mu\text{m}$  primary particles into a liquid to form a dispersion with the highest possible solid content is a common industrial operation. Building on recent advances in the rheology of such “granular dispersions,” we study a paradigmatic example of such powder incorporation: the conching of chocolate, in which a homogeneous, flowing suspension is prepared from an inhomogeneous mixture of particulates, triglyceride oil, and dispersants. Studying the rheology of a simplified formulation, we find that the input of mechanical energy and staged addition of surfactants combine to effect a considerable shift in the jamming volume fraction of the system, thus increasing the maximum flowable solid content. We discuss the possible microscopic origins of this shift, and suggest that chocolate conching exemplifies a ubiquitous class of powder–liquid mixing.

chocolate | rheology | jamming | incorporation

The incorporation of liquid into dry powder with primary particle size in the granular range ( $\sim 10 \mu\text{m}$  to  $50 \mu\text{m}$ ) to form a flowing suspension with solid volume fraction  $\phi \gtrsim 50\%$  is important in many industries (1). Often, maximizing solid content is a key goal. Cements for building or bone replacement and ceramic “green bodies” are important examples, where higher  $\phi$  improves material strength (2). Another example is chocolate manufacturing, where high solid content [= lower fat (3)] is achieved by “conching.”

Conching (4), invented by Rodolphe Lindt in 1879, is important for flavor development, but its major physical function is to turn an inhomogeneous mixture of particulates (including sugar, milk solids, and cocoa solids) and cocoa butter (a triglyceride mixture) into a homogeneous, flowing suspension (liquid chocolate) by prolonged mechanical action and the staged addition of dispersants. In this paper, we focus on this effect, and seek to understand how mechanical action and dispersants together transform a nonflowing, inhomogeneous mixture into a flowing suspension, a process that has analogs in, e.g., the ceramics and pharmaceuticals sectors (1).

We find that the key physical processes are friction-dominated flow and jamming. Specifically, two of the key rheological parameters in chocolate manufacturing, the yield stress,  $\sigma_y$ , and the high-shear viscosity,  $\eta_2$ , are controlled by how far the volume fraction of solids,  $\phi$ , of the chocolate formulation is situated from the jamming volume fraction,  $\phi_J$ . We demonstrate that the first part of the conche breaks apart particulate aggregates, thus increasing  $\phi_J$  relative to the fixed mass fraction. In the second part of the conche, the addition of a small amount of dispersant reduces the interparticle friction and further raises  $\phi_J$ , in turn reducing  $\sigma_y$  and  $\eta_2$ , resulting in fluidization of the suspension, i.e., a solid to liquid transition. Such “ $\phi_J$  engineering”

is common to diverse industries that rely on the production of high-solid-content dispersions.

## Shear Thickening Suspensions

We first review, briefly, recent advances in granular suspension rheology (5–14). The viscosity of a high- $\phi$  granular suspension increases from a low-stress Newtonian value when the applied stress,  $\sigma$ , exceeds some onset stress,  $\sigma^*$ , reaching a higher Newtonian plateau at  $\sigma \gg \sigma^*$ : The suspension shear thickens. The low- and high-stress viscosities,  $\eta_1$  and  $\eta_2$ , diverge as

$$\eta_r = A \left( 1 - \frac{\phi}{\phi_J^*(\sigma)} \right)^{-\lambda}, \quad [1]$$

where  $\eta_r = \eta_{1,2}/\eta_0$  with  $\eta_0$  as the solvent viscosity,  $A \simeq 1$ , and  $\lambda \simeq 2$  for spheres (15, 16). The jamming point,  $\phi_J$ , is a function of both the interparticle friction coefficient,  $\mu$ , and the applied stress,  $\sigma$ . The latter begins to press particles into contact when it exceeds  $\sigma^*$ . With  $\mu \rightarrow 0$ , no shear thickening is observed, and  $\eta_r$  diverges at random close packing,  $\phi_J = \phi_{\text{rcp}}$ . At finite  $\mu$ , the low-stress viscosity  $\eta_1(\phi)$  still diverges at  $\phi_{\text{rcp}}$ , but  $\eta_2(\phi)$ , the high-stress viscosity, now diverges at some  $\phi_J = \phi_m^\mu < \phi_{\text{rcp}}$ . For monodisperse hard spheres (Fig. 1A)  $\phi_{\text{rcp}} \approx 0.64$  and  $\phi_m^{\mu \rightarrow \infty} \approx 0.54$  (where “ $\infty$ ,” in practice, means  $\mu \gtrsim 1$ ) (8, 17).

## Significance

Chocolate conching is the process in which an inhomogeneous mixture of fat, sugar, and cocoa solids is transformed into a homogeneous flowing liquid. Despite the popularity of chocolate and the antiquity of the process, until now, there has been poor understanding of the physical mechanisms involved. Here, we show that two of the main roles of conching are the mechanical breakdown of aggregates and the reduction of interparticle friction through the addition of a dispersant. Intriguingly, the underlying physics we describe is related to the popular stunt of “running on cornstarch.”

Author contributions: M.H., P.M.C., M.E.C., I.V.D., and W.C.K.P. designed research; E.B., D.J.M.H., R.B., and G.L.H. performed research; E.B., D.J.M.H., M.H., R.B., and G.L.H. analyzed data; and D.J.M.H. and W.C.K.P. wrote the paper.

Conflict of interest statement: This work is, in part, funded by Mars Chocolate UK Ltd.

This article is a PNAS Direct Submission.

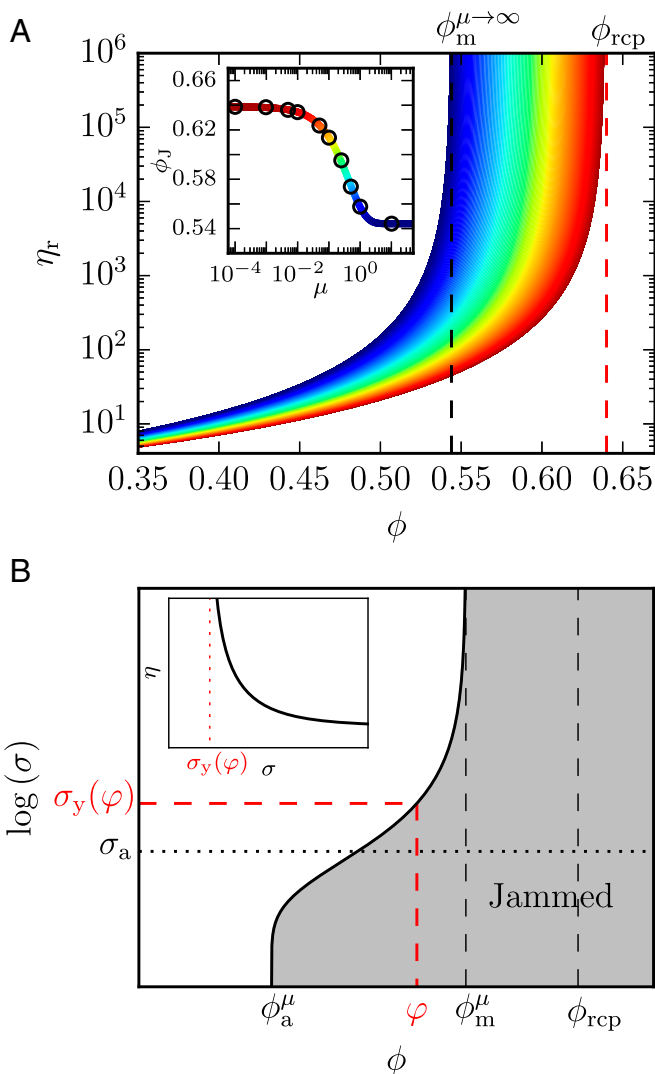
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Data Deposition: All data plotted in this work can be downloaded from Edinburgh DataShare (<https://datashare.is.ed.ac.uk/handle/10283/3281>).

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Published online May 7, 2019.



**Fig. 1.** (A) The high-shear viscosity of suspensions of granular hard spheres normalized by the solvent viscosity,  $\eta_r$ , plotted against the volume fraction  $\phi$ , with friction coefficient increasing from  $\mu = 0$  (red), diverging at  $\phi_{rcp}$ , to  $\mu \rightarrow \infty$  (blue), diverging at  $\phi_m^{\mu \rightarrow \infty}$ . (Inset) The jamming volume fraction,  $\phi_J$ , where  $\eta_r$  diverges, as a function of the coefficient of static friction  $\mu$  (replotted from ref. 17). (B) The jamming state diagram of a frictional granular suspension with interparticle adhesion. The adhesive strength is set by  $\sigma_a \gg \sigma^*$ . Shaded region is jammed. (Inset) The flow curve of a suspension with volume fraction  $\phi$ . It has a yield stress  $\sigma_y(\phi)$ .

(Below, we drop the “ $\mu$ ” in  $\phi_m^\mu$  unless it is needed.) A granular suspension at  $\phi > \phi_m$  cannot flow at high stress either steadily or homogeneously (12): It shear-jams (7). Instead, theory (7) and experiments (18) suggest that it granulates.

The onset stress,  $\sigma^*$ , correlates with the force to overcome an interparticle repulsive barrier; typically,  $\sigma^* \approx d^{-\nu}$  with  $\nu \lesssim 2$ , where  $d$  is the particle diameter (9). For granular suspensions,  $\sigma^*$  is far below stresses encountered in liquid-powder mixing processes, so that they always flow with viscosity  $\eta_2(\phi, \mu)$ , which diverges at  $\phi_m < \phi_{rcp}$ . To formulate a flowable granular suspension with maximum solid content is therefore a matter of maximizing  $\phi_m$ , e.g., by lowering  $\mu$  (Fig. 1A, Inset).

Interparticle adhesion introduces another stress scale,  $\sigma_a$ , characterizing the strength of adhesive interactions (19). A yield stress,  $\sigma_y$ , emerges above some  $\phi_a^\mu < \phi_m^\mu$  that is dependent on both adhesion and friction (19, 20) (hence the  $\mu$

superscript, which, again, we will drop unless needed), and diverges at  $\phi_m^\mu$ .

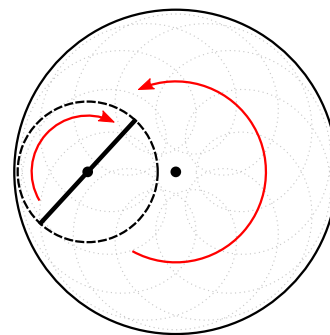
Competition between friction and adhesion gives rise to a range of rheologies (19). If  $\sigma^*/\sigma_a \ll 1$ , the suspension shear thins at  $\sigma > \sigma_y$  to the frictional viscosity,  $\eta_2$ . The state diagram of such a system is shown schematically in Fig. 1B; Fig. 1B, Inset shows a typical flow curve. However, a suspension with  $\sigma^*/\sigma_a \gg 1$  first shear-thins at  $\sigma > \sigma_y$ , and then shear-thickens as  $\sigma$  exceeds  $\sigma^*$ . Modifying system additives (e.g., removing polymeric depletants or adding surfactants) can increase  $\sigma^*/\sigma_a$  and change the first type of behavior to the second type (21, 22).

### Conching Phenomenology

We worked with a simplified chocolate formulation of “crumb powder” dispersed in sunflower oil with lecithin (23). For one experiment, we also added a second surfactant, polyglycerol polyricinoleate (PGPR). Crumb is manufactured by drying a water-based mixture of sucrose crystals, milk, and cocoa mass followed by milling (24). To perform a laboratory-scale conche, we used a planetary mixer (Fig. 2) to prepare 500-g batches. The total lecithin added was 0.83 wt%. In the first step, the “dry conche,” we mixed the solids with the oil and 0.166 wt% of lecithin (20% of the total) in the planetary mixer at  $\sim 100$  rpm until the material smears around the bowl right after it has cohered into a single lump around the blade. At  $\phi = 0.55$ , this took  $\sim 40$  min. Then, for the “wet conche,” the remaining lecithin was added and mixed for a further 20 min.

Conched samples were prepared with solid concentrations in the range  $0.4 \lesssim \phi \lesssim 0.6$ , with  $\phi$  calculated using measured densities (see *Materials and Methods*), so that a weight fraction of 74% converts to  $\phi = 0.55$  (assuming all of the fat contained in the crumb melts during conching). In each case, the flow curves of the as-conched sample as well as that of samples successively diluted with pure oil were measured using parallel-plate rheometry (see *Materials and Methods*).

Fig. 3 stages A through H show the phenomenology of conching a mixture with solid volume fraction  $\phi_0 = 0.55$  (or 74 wt.%) to which, initially, 20% of the final total of 0.83 wt.% of lecithin has been added; the accompanying plots show the power consumption of the planetary mixer as well as measured densities of the sample as conching proceeds. We define the solid volume fraction as the ratio of solid volume to total solid plus liquid volume, discounting any air that may be present; this differs from the granulation literature, where the air is typically taken into account. Almost immediately after addition of the sunflower oil to the crumb powder ( $t = 0$  min), all of the liquid appeared to have been absorbed. The sample then proceeded to granulate, with the granule size increasing with time. The first granules were visually matt and dry (Fig. 3, Bottom, stages A–C) and did not stick to each other during mixing.

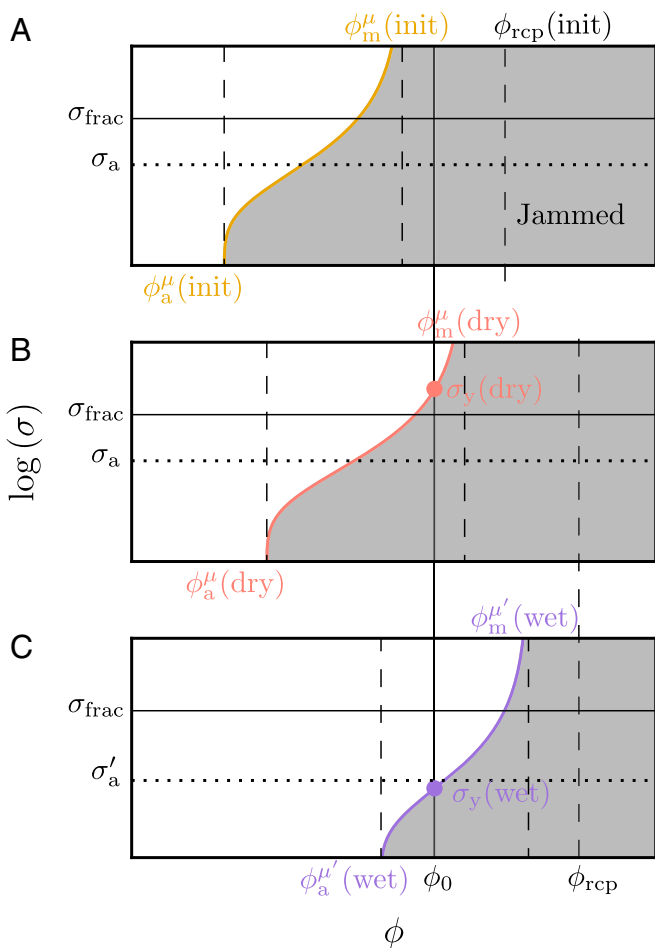


**Fig. 2.** Schematic of a planetary mixer. A blade (bold) rotates inside a bowl, full circle, which counterrotates. Shearing occurs in the gap between the blade and the bowl.









**Fig. 6.** The conching process represented as shifts in the jamming state diagram (compare Fig. 1B). (A) The formulation at  $\phi_0$  is considerably more concentrated than the jamming point of the unconched suspension,  $\phi_m^\mu(\text{init})$ . (B) It granulates under mechanical agitation, simultaneously breaking up aggregates and increasing both  $\phi_m^\mu$  and  $\phi_a^\mu$ , thus shifting the jamming boundary to the right. At the end of the dry conche, the suspension could, in principle, flow,  $\phi_0 < \phi_m^\mu(\text{dry})$ , but, in fact, cannot do so, because  $\sigma_{\text{frac}} < \sigma_y(\text{dry})$ . (C) Addition of the second shot of lecithin reduces the interparticle friction coefficient to  $\mu' < \mu$  and the adhesive interaction to  $\sigma'_a < \sigma_a$ , thereby shifting the jamming boundary farther to the right and down, dropping  $\sigma_y(\text{wet})$  below  $\sigma_{\text{frac}}$ . Since  $\phi_0$  is now considerably below  $\phi_m^{\mu'}(\text{wet})$ , the system is now a flowing suspension.

estimate, the  $y$  intercept of Fig. 5A, *Inset*, being 0.11). This initial mixture therefore cannot flow homogeneously. In state diagram terms, the starting system is deep inside the jammed region (Fig. 6A), where, under mechanical agitation, it granulates (7) (Fig. 3, stages B through D). These granules form as a result of there being insufficient liquid to saturate the entire system, and are held together by a combination of surface tension maintaining a jammed particle packing and interparticle adhesion. The granulation process is controlled by the kinetics of cluster–cluster collisions and the mechanical properties of the clusters (25, 33, 34). In parallel, aggregates are being broken up, increasing the free volume in the system, so that both  $\phi_m^\mu$  and  $\phi_a^\mu$  steadily increase, until  $\phi_m^\mu$  just exceeds  $\phi_0$ .

At this point, the system becomes fully saturated, and we may expect the system to turn into a flowable suspension, albeit with a very high viscosity, since there is no longer a shear jammed state for surface tension to maintain. This is, indeed, what happens in many systems: The power is observed to peak just as the material becomes (in granulation jargon) overwet (26), i.e.,

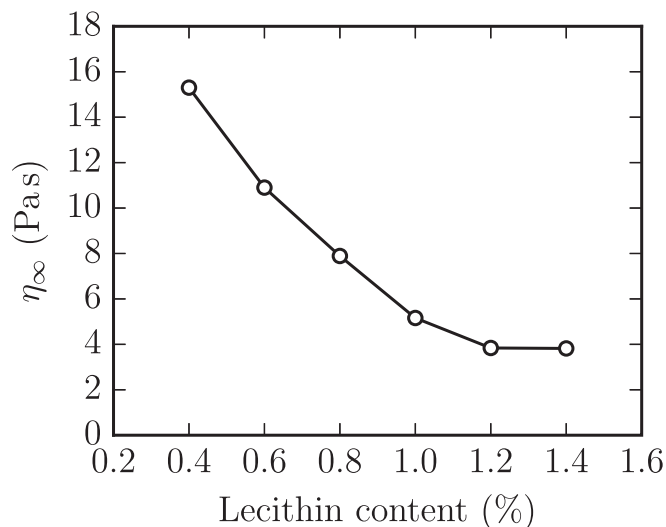
a flowing suspension with a shiny surface. In our case, at the power peak (stage F in Fig. 3), the suspension still does not flow easily and appears visually matt. This is probably because the sample fractures before it can yield to flow homogeneously, i.e.,  $\sigma_y > \sigma_{\text{frac}}$  (compare the earlier discussion of  $\sigma_{\text{frac}}$  associated with Fig. 4).

Further conching continues to increase  $\phi_m$  until, at the end of the dry conche, the yield stress,  $\sigma_y(\text{dry})$ , only just exceeds the fracture stress,  $\sigma_{\text{frac}}$  (Fig. 6B). Here, the addition of the second shot of lecithin has a dramatic effect. We suggest that this is because the additional lecithin lowers  $\mu$  and  $\sigma_a$  to  $\mu'$  and  $\sigma'_a$ . The jamming boundary abruptly shifts to the right and drops down (Fig. 6C). The resulting dramatic lowering of the yield stress to  $\sigma_y(\text{wet}) < \sigma_{\text{frac}}$  in a system where, now,  $\phi_0$  is considerably below  $\phi_m(\text{wet})$  immediately produces a flowing suspension, liquid chocolate.

**Lecithin as a Lubricant.** We have suggested that the lecithin added in the second shot lowers  $\mu$  and therefore increases both  $\phi_m^\mu$  and  $\phi_a^\mu$  by releasing constraints on the system (19). To provide direct experimental evidence for this role, we prepared a dry conche with the first shot of lecithin omitted, which again produced a nonflowing paste. Various amounts of lecithin were mixed into aliquots of this paste, which liquefied. The high-shear viscosity,  $\eta_2$ , of the resulting suspensions decreased with lecithin concentration (Fig. 7). To check that this was not due to the oils in lecithin lowering the sample volume fraction, we repeated the experiment and added an equivalent volume of oil corresponding to the maximum lecithin concentration (1.4%). This failed to liquefy the paste. We may therefore conclude that lecithin causes this effect by lowering  $\mu$  and so increasing the jamming point,  $\phi_J = \phi_m^\mu$  (Fig. 1A, *Inset*).

**Summary and Conclusions**

Creating flowable solid-in-liquid dispersions of maximal solid content is a generic goal across many industrial sectors. We have studied one such process in detail, the conching of crumb powder and sunflower oil into a flowing model chocolate. We interpreted our observations and measurements using existing knowledge from the granulation literature as well as an emerging understanding of shear thickening and jamming in granular dispersions. The resulting picture is summarized in Fig. 6. The essential idea is that conching, and, more generally, wet milling,



**Fig. 7.** High-shear viscosity of model chocolate at  $\phi_0 = 0.557$  dry-conched without lecithin as a function of subsequently added lecithin.

is about “jamming engineering”—manipulating  $\phi_m^u$  and  $\phi_a^u$  by changing the state of aggregation, and “tuning” the interparticle friction coefficient  $\mu$  and the strength of interparticle adhesion,  $\sigma_a$ . Importantly, many additives ostensibly acting as dispersants to reduce interparticle attraction and so lower  $\sigma_a$  may, in fact, function primarily as lubrications to lower  $\mu$  and so increase  $\phi_m$ . Our scheme (Fig. 6), with appropriate shifts in  $\sigma_{\text{frac}}$ , can be used to understand liquid incorporation into powders in many different specific applications.

Our proposed picture for conching/wet milling poses many questions. For example, the rheology of a suspension at the end of dry conche, in which flow is, in principle, possible ( $\phi_0 < \phi_m$ ) but, in practice, ruled out by surface fracture occurring before bulk yielding, has not yet been studied in any detail. Neither is the role of changing  $\phi_m$  during the granulation process understood. Our results therefore constitute only a first step toward a unified description of liquid incorporation, wet milling, and granulation.

## Materials and Methods

Our crumb powder (supplied by Mars Chocolate UK) consists mostly of faceted particles with mean radius  $a \approx 10 \mu\text{m}$  (polydispersity of  $\gtrsim 150\%$ ) according to laser diffraction (LS-13 320; Beckman-Coulter). It has a density of  $1.453 \text{ g}\cdot\text{cm}^{-3}$  and a specific (Brunauer–Emmett–Teller) surface area of  $2.02 \text{ m}^2\cdot\text{g}^{-1}$  (data provided by Mars Chocolate UK). We used sunflower oil as purchased (Flora) and soy lecithin as supplied (by Mars Chocolate

UK). The latter consists of a mixture of phospholipids ( $\sim 60\%$ ) with some residual soya oil. Our sunflower oil was Newtonian at  $20^\circ\text{C}$ , with viscosity  $\eta_0 = 54 \text{ mPa}\cdot\text{s}$ . Its density was measured by an Anton Paar DMA density meter to be  $0.917 \text{ g}\cdot\text{cm}^{-3}$ . Using sunflower oil rather than cocoa butter obviates the need for heating during rheological measurements. The rheology of the chocolate suspension obtained from conching our mixture resembles that of fresh liquid chocolate made using cocoa butter (23). Our PGPR was also supplied by Mars Chocolate UK.

Conching was performed using a Kenwood kMix planetary mixer with a K-blade attachment, adding the lecithin in two successive batches as described in *Conching Phenomenology*. We measured the skeletal density at various stages of conching by performing helium pycnometry (Quantachrome Ultrapyc). The envelope density was measured using a  $2.00 \pm 0.02\text{-mL}$  Pyrex microvolumetric flask, with sunflower oil as the liquid phase.

Rheometric measurements were performed using a stress-controlled rheometer (DHR-2; TA Instruments) in a cross-hatched plate–plate geometry (diameter  $40 \text{ mm}$ ,  $1 \times 1 \times 0.5 \text{ mm}$  serrated grid of truncated pyramids) to minimize wall slip, at a gap height of  $1 \text{ mm}$  and a temperature of  $20^\circ\text{C}$ .

All data plotted in this work can be downloaded from <https://datashare.is.ed.ac.uk/handle/10283/3281>.

**ACKNOWLEDGMENTS.** We thank Ben Guy, John Royer, and Jin Sun (Edinburgh) and Will Taylor (Mars Chocolate) for illuminating discussions. We thank Mars Chocolate UK Ltd. for initiating and funding part of this work. Other funding came from Engineering and Physical Sciences Research Council Grants EP/J007404/1 and EP/N025318/1. Research at New York University was supported partially by the Materials Research Science and Engineering Centers Program of the National Science Foundation under Award DMR-1420073.

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