

● IMAGING IN NEURAL REGENERATION

Improvement of ataxia in a patient with cerebellar infarction by recovery of injured cortico-ponto-cerebellar tract and dentato-rubro-thalamic tract: a diffusion tensor tractography study

Coordinated movement is generated by communication between the cerebrum and cerebellum *via* the cerebellar peduncles (CPs). The CPs are classified into three types (superior, middle, and inferior), and each includes a variety of neural tracts. Among those tracts, the cortico-ponto-cerebellar tract (CPCT), a middle CP, is involved in motor planning and initiation of movement, while the dentato-rubro-thalamic tract (DRTT), a superior CP, is involved in motor coordination, movement timing, verbal fluency, and working memory (Kase et al., 1993; Middleton and Strick, 1998; Afifi and Bergman, 2005; Mendoza and Foundas, 2007; Datar and Rabinstein, 2014; Javalkar et al., 2014; Meola et al., 2016). Injuries of these two neural tracts in various brain pathologies are commonly accompanied by ataxia (Kitamura et al., 2008; Min et al., 2012; Akhlaghi et al., 2014; Jang and Kwon, 2015, 2017; Marek et al., 2015; Jang et al., 2016; Schulz et al., 2017). In particular, cerebellar infarction results in inevitable injuries of the CPCT and the DRTT, and over 50% of patients with cerebellar infarction experience ataxia (Kase et al., 1993; Afifi and Bergman, 2005; Mendoza and Foundas, 2007; Datar and Rabinstein, 2014; Javalkar et al., 2014).

CPCTs and DRTTs cannot be fully evaluated due to their anatomical features, including tract length, presence of multiple synapses, poor discrimination from adjacent neural tracts, and decussation to the opposite hemisphere (Middleton and Strick, 1998; Meola et al., 2016). Regardless, the recently developed diffusion tensor tractography (DTT) method, which is derived from diffusion tensor imaging (DTI), allows estimation and visualization of the microstructural integrity of various tracts, including the CPCT and the DRTT (Hong and Jang, 2011; Kwon et al., 2011). Since the introduction of DTI, several studies have used DTT to describe injury and recovery of the CPCT or the DRTT in patients with brain injuries, including those resulting from neurodegenerative disease, cerebellar infarction, pontine hemorrhage, and trauma (Kitamura et al., 2008; Min et al., 2012; Akhlaghi et al., 2014; Jang and Kwon, 2015, 2017; Marek et al., 2015; Jang et al., 2016; Schulz et al., 2017). However, no previous study has reported on the concurrent recovery of the CPCT and DRTT injuries and ataxia after a cerebellar infarct.

In this study, by applying serial DTT, we described the recovery of CPCT and DRTT injuries in a cerebellar infarct patient.

At the neurology department of a university hospital, a 52-year-old male patient underwent conservative manage-

ment for an infarct in the right cerebellum (**Figure 1A**). At 1 month after onset, he was transferred to the rehabilitation department of the same university hospital. At that time, he presented mild motor weakness (Medical Research Council scale (1976): full score = 5 points; patient's score = 4 points on the upper and lower extremities), moderate resting and intentional tremor on both hands, and truncal ataxia. The patient's Scale for Assessment and Rating of Ataxia (SARA, range 0–40 points with a higher score indicating a poorer state) and Functional Ambulation Category (FAC, full score 5 points) scores were determined as previously described (Cunha et al., 2002; Weyer et al., 2007). At the beginning of rehabilitation (1 month after onset), the patient's SARA and FAC scores were 18 and 1.5 points, respectively, and he was unable to walk independently. However, with rehabilitation, his motor function improved. At 3 months after onset, his scores were 10 points for SARA and 3.5 points for FAC. Moreover, at 14 months after onset, his motor function was further improved (SARA = 7 points and FAC = 4.5 points), and he was able to walk independently on an even floor. The patient provided written informed consent for participation in this study, and the study protocol was approved by the Institutional Review Board of Yeungnam University Hospital (YUMC 2015-07-065-011) on August 28, 2015.

DTT data were acquired twice (at 1 and 14 months after onset) using a 1.5 T Philips Gyroscan Intera (Philips, Amsterdam, the Netherlands) with 32 gradients. Imaging parameters were as follows: acquisition matrix = 96×96 ; reconstructed to matrix = 192×192 ; field of view = $240 \text{ mm} \times 240 \text{ mm}$; echo time = 10,398 ms; repetition time = 72 ms; $b = 1000 \text{ s/mm}^2$; and slice thickness = 2.5 mm. Prior to fiber tracking, to correct for head motion effects and image distortion, eddy current correction was performed using the Oxford Centre for Functional Magnetic Resonance Imaging of the Brain (FMRIB) software library (FSL; www.fmrib.ox.ac.uk/fsl) as previously described (Smith et al., 2004; Behrens et al., 2007). For fiber tracking, we used FMRIB software with the results based on probability tracking with a threshold of two streamlines as previously described (Smith et al., 2004; Behrens et al., 2007). For CPCT and DRTT reconstruction, regions of interest (ROIs) were selected as previously described (Hong and Jang, 2011; Kwon et al., 2011). In each tract, there were three ROIs. For the CPCT: ROI1 was the primary sensorimotor cortex on the axial image; ROI2, the anterior pons on the axial image; and ROI3, the contralateral cerebellar hemisphere on the coronal image. For the DRTT, ROI1 was the dentate nucleus on the coronal image; ROI2, the junction of the superior cerebellar peduncle on the coronal image; and ROI3, the contralateral red nucleus of the upper midbrain on the axial image.

In the DTT results obtained at 1-month after onset, the CPCTs appeared thin in both hemispheres whereas the DRTTs were not reconstructed in either hemisphere compared to a normal subject (51-year-old male) recruited from the same University hospital. In contrast, the 14-month post-onset DTT results showed the CPCTs in both hemispheres had thickened and reconstruction of the right DRTT had occurred (**Figure 1B**).

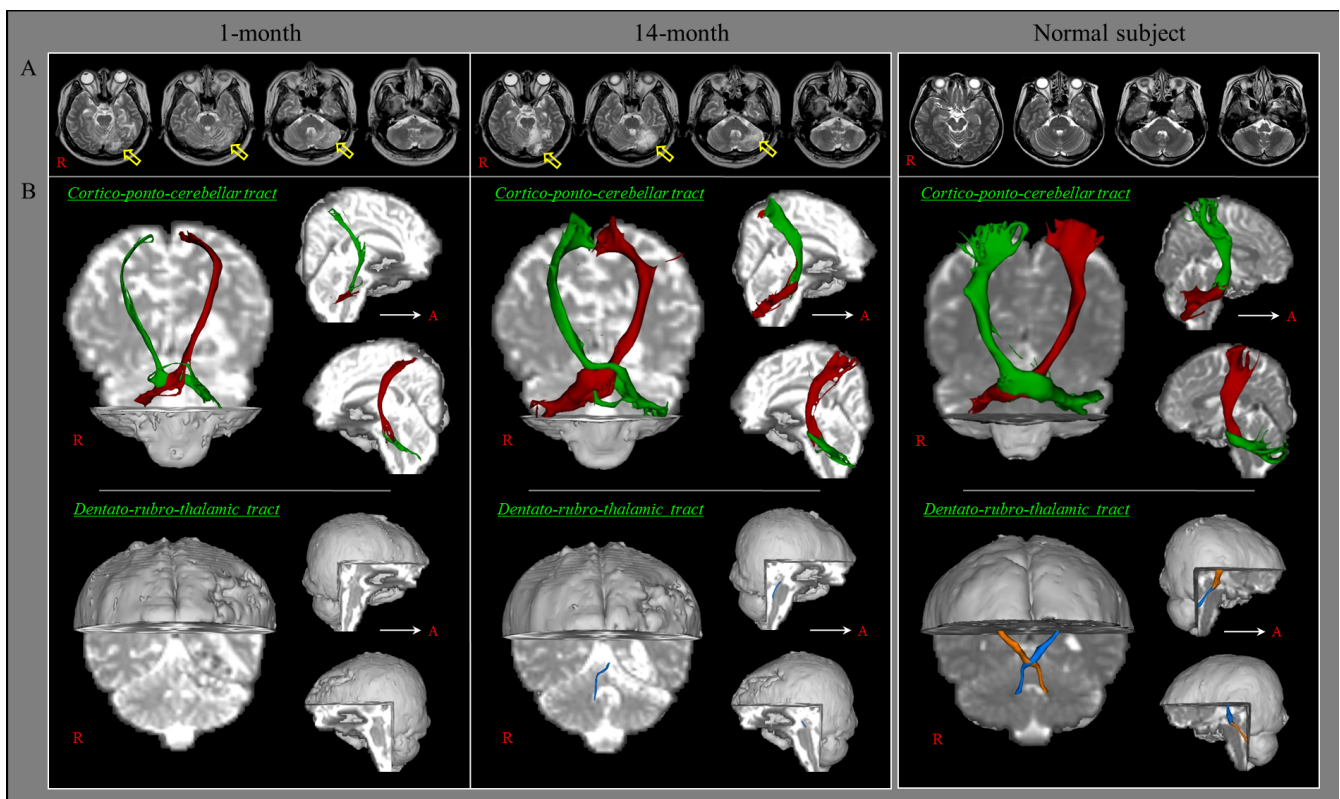


Figure 1 Results of diffusion tensor tractography for the cortico-ponto-cerebellar tract and dentato-rubro-thalamic tract of a patient at 1 and 14 months after onset.

(A) T2-weighted magnetic resonance images of the study patient at 1 and 14 months after onset showing evidence of an infarct in the right cerebellum (yellow arrows) and images of a normal subject (51-year-old male). (B) Results of diffusion tensor tractography for the cortico-ponto-cerebellar tract (CPCT) and dentato-rubro-thalamic tract (DRTT). Compared to the normal subject, on 1-month DTT, the CPCTs appeared thin in both hemispheres whereas the DRTTs in both hemispheres were not reconstructed. In contrast, on 14-month DTT, the CPCTs in both hemispheres were thicker and the right DRTT was reconstructed (blue). R: Right; A: anterior; red: right CPCT; green: left CPCT; blue: right DRTT; orange: left DRTT.

In the current study, we observed changes in injured CPCTs and DRTTs by performing serial DTT and monitored clinical improvement of ataxia in a patient with cerebellar infarction. On 1-month DTT, the CPCTs (thin) and DRTTs (not reconstructed) in hemispheres were injured; in contrast, on 14-month DTT, both CPCTs (thickened) and the right DRTT (partially reconstructed) exhibited injury recovery. During the same period, the patient's clinical scores for ataxia (SARA) and gait (FAC) were also increased. As a result, at 14 months post-onset, he was able to walk independently on an even floor.

It has been reported that substantial spontaneous recovery occurs in the weeks to months following brain injury, with the remaining amount of recovery usually occurring as a result of rehabilitation (Nudo, 2013). In this study, the patient underwent rehabilitation for 13 months, during which his motor functions gradually improved. During that same period, the status of the patient's CPCTs and the right DRTT improved. Therefore, we believe that improvement of the patient's clinical function can be ascribed, at least in part, to the observed CPCT and DRTT recoveries.

Several studies have used DTI to report on CPCT and DRTT injuries in patients following various brain injuries (Kitamura et al., 2008; Min et al., 2012; Akhlaghi et al., 2014; Jang and Kwon, 2015, 2017; Marek et al., 2015; Jang et al.,

2016), and one study reported concurrent CPCT and DRTT injuries in patients with brain injury. In 2017, Schulz et al. reported that concurrent CPCT and DRTT injuries were associated with residual motor function in 26 patients with chronic ischemic stroke. To the best of our knowledge, the present case study is the first to demonstrate, by using serial DTT, concurrent recovery of motor function and injured CPCTs and DRTTs in a patient with cerebellar infarction. However, this study has limitations, mainly because it is a single case report. In addition, we were unable to investigate the relationships between the degree of ataxia and the status of the neural tract. The other limitation is that we could not obtain DTT data at onset. Compared to the features of DTI, DTT is unique as it provides three-dimensional visualization and quantification data, such as volume and thickness of neural tracts, which are useful in the analysis of specific neural tracts. Regardless, both DTI and DTT have limitations. First, both are operator-dependent. Second, neither method can reflect functional or synaptic connections. Third, DTT can produce false-positive and false-negative results due to the presence of multiple fiber directions within a voxel or a result of a partial volume effect present in the white matter of the brain (Yamada et al., 2009; Fillard et al., 2011). Therefore, we suggest that further studies that include large numbers of patients should be undertaken to overcome these limitations.

In conclusion, using DTT, we demonstrated recovery of injured CPCTs and DRTTs in a patient who concurrently showed clinical recovery of ataxia following a cerebellar infarct. Our results suggest that evaluation of the CPCTs and DRTTs would be helpful in the evaluation of patients who have movement disorders such as ataxia and tremor following cerebellar infarction.

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