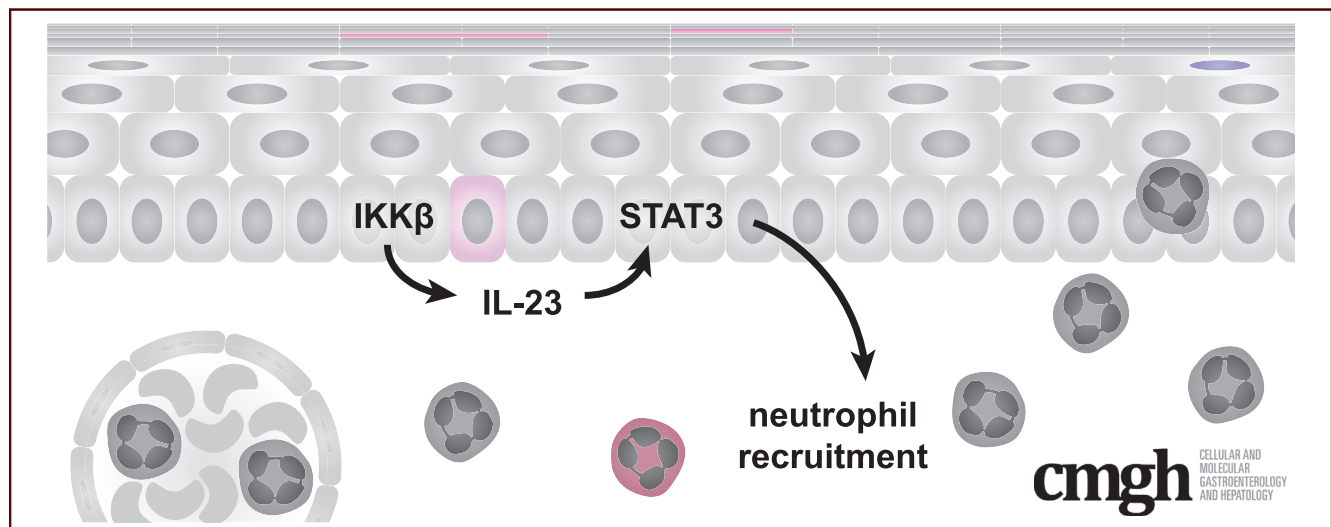


## ORIGINAL RESEARCH

**I $\kappa$ B Kinase- $\beta$  Regulates Neutrophil Recruitment Through Activation of STAT3 Signaling in the Esophagus**Kelsey Nicole Wiles,<sup>1</sup> Cara Maria Alioto,<sup>1</sup> Nathan Bruce Hodge,<sup>1</sup> Margarette Helen Clevenger,<sup>1</sup> Lia Elyse Tsikretsis,<sup>1</sup> Frederick T. J. Lin,<sup>1</sup> and Marie-Pier Tétreault<sup>1</sup><sup>1</sup>Gastroenterology and Hepatology Division, Department of Medicine, Northwestern University Feinberg School of Medicine, Chicago, Illinois**SUMMARY**

We show that I $\kappa$ B kinase  $\beta$  activation induces interleukin 23 expression, leading to the activation of epithelial signal transducer and activator of transcription 3 signaling, which then results in the release of neutrophil chemoattractants and the subsequent recruitment of neutrophils to the esophagus.

**BACKGROUND & AIMS:** The epithelial barrier is the host's first line of defense against damage to the underlying tissue. Upon injury, the epithelium plays a critical role in inflammation. The I $\kappa$ B kinase  $\beta$  (IKK $\beta$ )/nuclear factor- $\kappa$ B pathway was shown to be active in the esophageal epithelium of patients with esophageal disease. However, the complex mechanisms by which IKK $\beta$  signaling regulates esophageal disease pathogenesis remain unknown. Our prior work has shown that expression of a constitutively active form of IKK $\beta$  specifically in esophageal epithelia of mice (*Ikk $\beta$ ca<sup>EEC-KI</sup>*) is sufficient to cause esophagitis.

**METHODS:** We generated *ED-L2/Cre;Rosa26-Ikk $\beta$ ca<sup>+/-</sup>;Stat3<sup>L/L</sup>* (*Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>*) mice, in which the *ED-L2* promoter activates *Cre* recombinase in the esophageal epithelium, leading to constitutive activation of IKK $\beta$  and loss of *Stat3*. Esophageal epithelial tissues were collected and analyzed by immunostaining, RNA sequencing,

quantitative real-time polymerase chain reaction assays, flow cytometry, and Western blot. *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice were treated with neutralizing antibodies against interleukin (IL)23p19 and IL12p40.

**RESULTS:** Here, we report that *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice have increased activation of epithelial Janus kinase 2/STAT3 signaling. *Stat3* deletion in *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice attenuated the neutrophil infiltration observed in *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice and resulted in decreased expression of genes related to immune cell recruitment and activity. Blocking experiments in *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice showed that STAT3 activation and subsequent neutrophil recruitment are dependent on IL23 secretion.

**CONCLUSIONS:** Our study establishes a novel interplay between IKK $\beta$  and STAT3 signaling in epithelial cells of the esophagus, where IKK $\beta$ /IL23/STAT3 signaling controls neutrophil recruitment during the onset of inflammation. GEO accession number: GSE154129. (*Cell Mol Gastroenterol Hepatol* 2021;12:1743–1759; <https://doi.org/10.1016/j.jcmgh.2021.07.007>)

**Keywords:** Gene Regulation; Inflammation; Immune Regulation; Transcription Factor.

Diseases of the esophagus are a significant health burden in the United States and throughout the world.<sup>1</sup> Most esophageal diseases arise in the context of inflammation and injury.<sup>2–4</sup> For example, esophageal

squamous cell cancer (ESCC) accounts for more than 90% of esophageal cancer and develops progressively from inflammation, hyperplasia, dysplasia, carcinoma in situ, to invasive carcinoma.<sup>5,6</sup> Epithelial cells are the first line of defense for the esophagus, which is constantly challenged by exposure to irritants (alcohol, tobacco, food antigens, and gastric acid reflux). In response to injuries, the cross-talk between epithelial cells and immune cells is essential to re-establish homeostasis. Epithelial cells can initiate inflammation through production of cytokines and chemokines, promoting the recruitment and amplification of immune cells. Furthermore, epithelial cells can respond to and amplify signals from infiltrating immune cells. However, the molecular mechanisms by which epithelial cells initiate inflammation and wound repair in the esophagus are not fully understood.

I $\kappa$ B kinase  $\beta$  (IKK $\beta$ )/nuclear factor- $\kappa$ B (NF- $\kappa$ B) is a central signaling hub in inflammatory-mediated responses.<sup>7</sup> Members of the IKK $\beta$ /NF- $\kappa$ B family are pivotal for the maintenance of homeostasis by controlling diverse biological processes, such as inflammation, immunity, cell survival, and cell growth.<sup>8</sup> The IKK $\beta$ /NF- $\kappa$ B pathway is activated through physiological mechanisms such as local tissue damage or inflammatory cytokine signaling.<sup>9,10</sup> In addition to regulating transcription and secretion of cytokines and chemokines, IKK $\beta$  signaling also modulates classic inflammatory mediators such as signal transducer and activator of transcription 3 (STAT3).<sup>7</sup> STAT3 signaling is activated by the Janus kinases (JAKs) in response to growth factors and cytokines, such as interleukin (IL)6, IL10, IL22, IL23, and epidermal growth factor, and controls diverse biological processes.<sup>7,11–13</sup> Different models of inflammation and cancer have shown the existence of a complex interplay between IKK $\beta$  and STAT3 signaling pathways.<sup>7,10</sup> This interplay can occur in either an autocrine or paracrine manner, and depends on the cellular context and target gene investigated.<sup>14,15</sup> For example, in colitis, IKK $\beta$ /NF- $\kappa$ B controls the expression of chemokines that are essential for STAT3 activation in intestinal epithelial cells.<sup>16</sup> Both transactivation and repression of target genes have been reported to result from direct interaction or cooperative binding between STAT3 and different NF- $\kappa$ B subunits, varying based on the tissue context and specific output genes studied.<sup>17–19</sup>

We recently showed that expression of a constitutively active form of IKK $\beta$  in esophageal epithelia of mice is sufficient to cause esophageal inflammation and epithelial hyperplasia in vivo.<sup>20</sup> This model permits the unique opportunity to study the interactions between the esophageal epithelium and immune cells during the early stages of inflammation and hyperplasia, which are precursor conditions leading to ESCC development. In this study, we show that epithelial IKK $\beta$  signaling modulates the activation of the key inflammatory mediator STAT3 in esophageal epithelia of mice in vivo. The goal of this study was to delineate the complex interplay between IKK $\beta$  and STAT3 signaling in the context of esophagitis. Elucidating mechanisms underlying this complex interplay may lead to the identification of new therapeutic targets for esophagitis.

## Results


### Activation of Epithelial IKK $\beta$ Signaling Leads to STAT3 Activation in Esophageal Epithelial Cells

We recently showed that activation of IKK $\beta$  in esophageal epithelia of mice using the Epstein–Barr virus *ED-L2* promoter leads to esophagitis and epithelial hyperplasia.<sup>20</sup> In addition to the IKK $\beta$ /NF- $\kappa$ B pathway, STAT3 is another central mediator of inflammatory-mediated responses that is pivotal for the maintenance of homeostasis.<sup>12,13</sup> A complex cross-talk between IKK $\beta$ /NF- $\kappa$ B and STAT3 signaling has been observed in numerous inflammatory diseases.<sup>7,10</sup> We first analyzed STAT3 phosphorylation levels in esophageal epithelia of mice with constitutive activation of IKK $\beta$  (*ED-L2/Cre; Rosa26-Ikk $\beta$ ca<sup>+/loxP</sup> (L)*, further referred to as *Ikk $\beta$ ca<sup>EEC-KI</sup> Esophageal Epithelial Cell-Knockin (EEC-KI)*). As shown in **Figure 1A**, increased STAT3 phosphorylation levels were observed in esophageal epithelia of *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice compared with littermate controls. STAT3 is activated primarily through phosphorylation of the Y705 residue by JAK proteins, which are activated to transduce signals after association with membrane-bound receptors.<sup>12</sup> Increased activation of JAK2 was observed in esophageal epithelial enrichments from *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice compared with control mice by Western blot (**Figure 1B**). To confirm this STAT3 activation was epithelial in origin, we performed immunohistochemical staining for phosphorylated STAT3 on Y705 (p-STAT3). Strong nuclear staining for p-STAT3 was seen in epithelial cells of *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice (**Figure 1D**) compared with littermate control mice (**Figure 1C**).

### STAT3 Loss Attenuates the Phenotype of IKK $\beta$ ca<sup>EEC-KI</sup> Mice

To determine the role of epithelial STAT3 signaling after IKK $\beta$  activation, we generated mice with both IKK $\beta$  constitutive activation and *Stat3* deletion in esophageal epithelial cells. To produce specific loss of *Stat3* in esophageal epithelial cells, *Stat3* floxed mice<sup>21</sup> were crossed with *ED-L2/Cre* mice (**Figure 2A**). Mice expressing both the *Rosa26-STOP<sup>Flxed</sup> (FL)-Ikk $\beta$ ca* construct and floxed *Stat3* were crossed with *ED-L2/Cre*-expressing mice, generating mice with both activation of IKK $\beta$  and loss of *Stat3* in esophageal epithelial cells (*ED-L2/Cre; Rosa26-Ikk $\beta$ ca<sup>+/L</sup>; Stat3<sup>Loxp/Loxp<sup>L/L</sup></sup>*, further referred to as *Ikk $\beta$ ca<sup>EEC-KI</sup>; Stat3<sup>EEC-KO</sup>*).

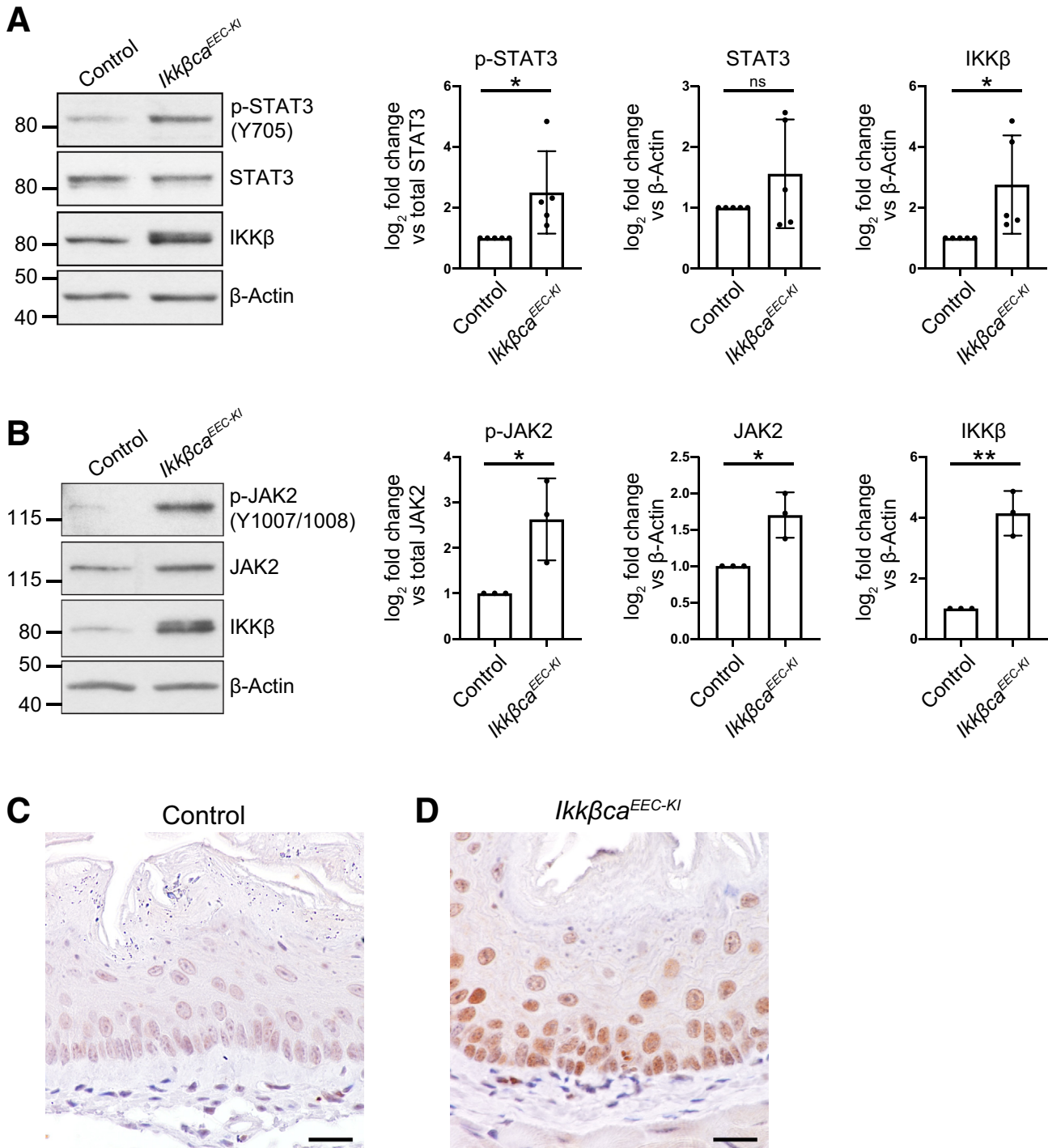
**Abbreviations used in this paper:** CXCL5, C-X-C motif chemokine ligand 5; ESCC, esophageal squamous cell cancer; EGFP, enhanced green fluorescent protein; GAPDH, glyceraldehyde 3-phosphate dehydrogenase; IKK $\beta$ , I $\kappa$ B kinase  $\beta$ ; JAK, Janus kinase; IL, interleukin; KEGG, Kyoto Encyclopedia Genes and Genomes; MPO, myeloperoxidase; mRNA, messenger RNA; NF- $\kappa$ B, nuclear factor- $\kappa$ B; PCR, polymerase chain reaction; p-STAT3, phosphorylated signal transducer and activator of transcription 3; STAT3, signal transducer and activator of transcription 3.

 Most current article

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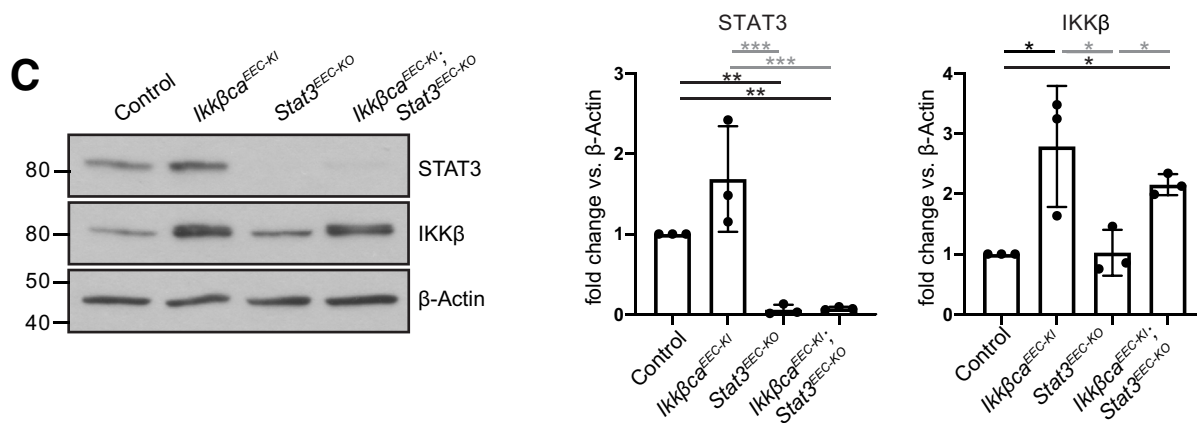
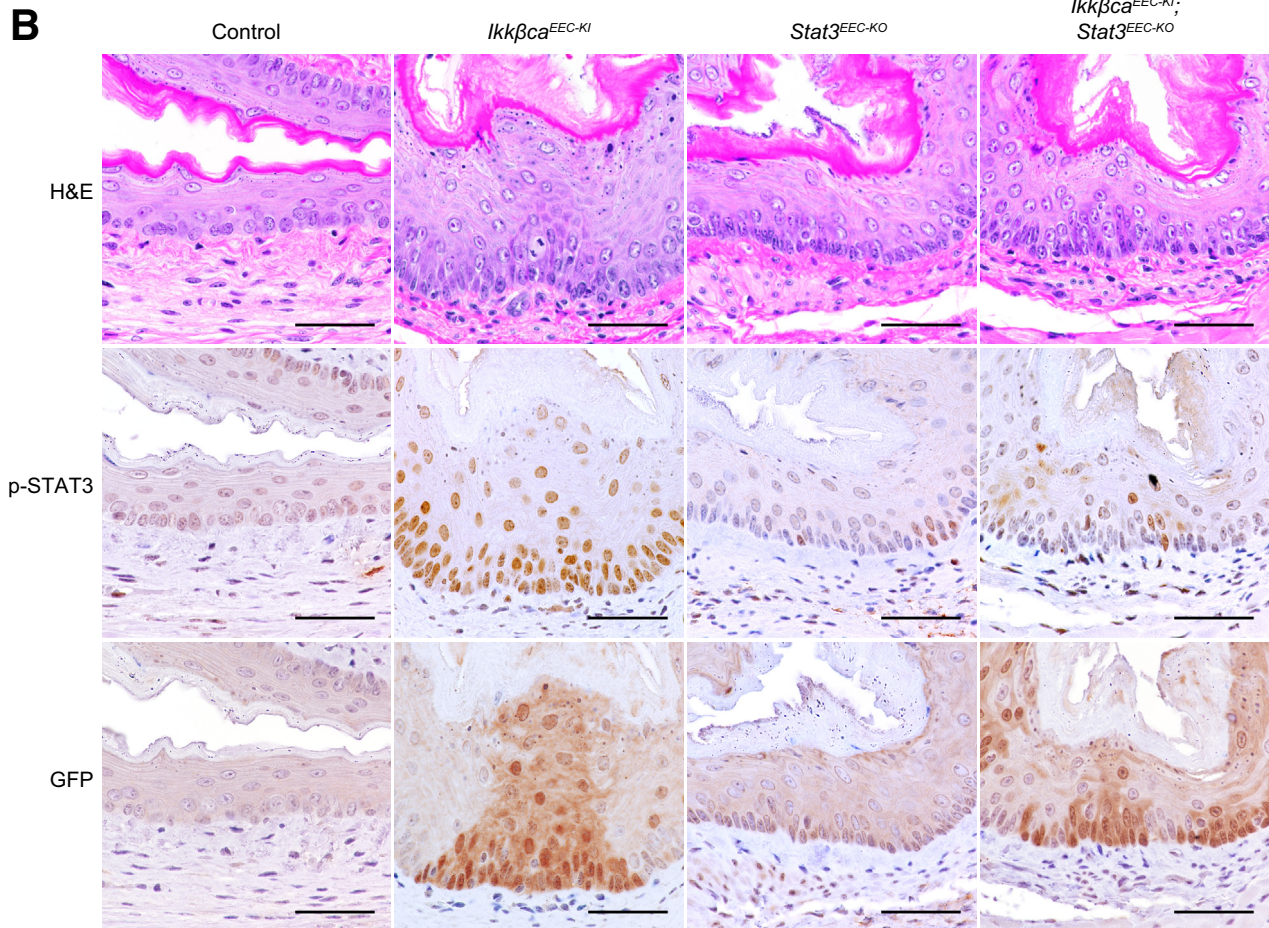
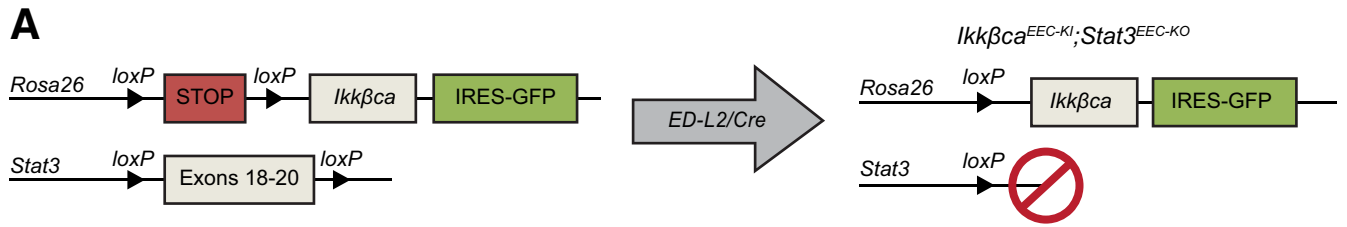
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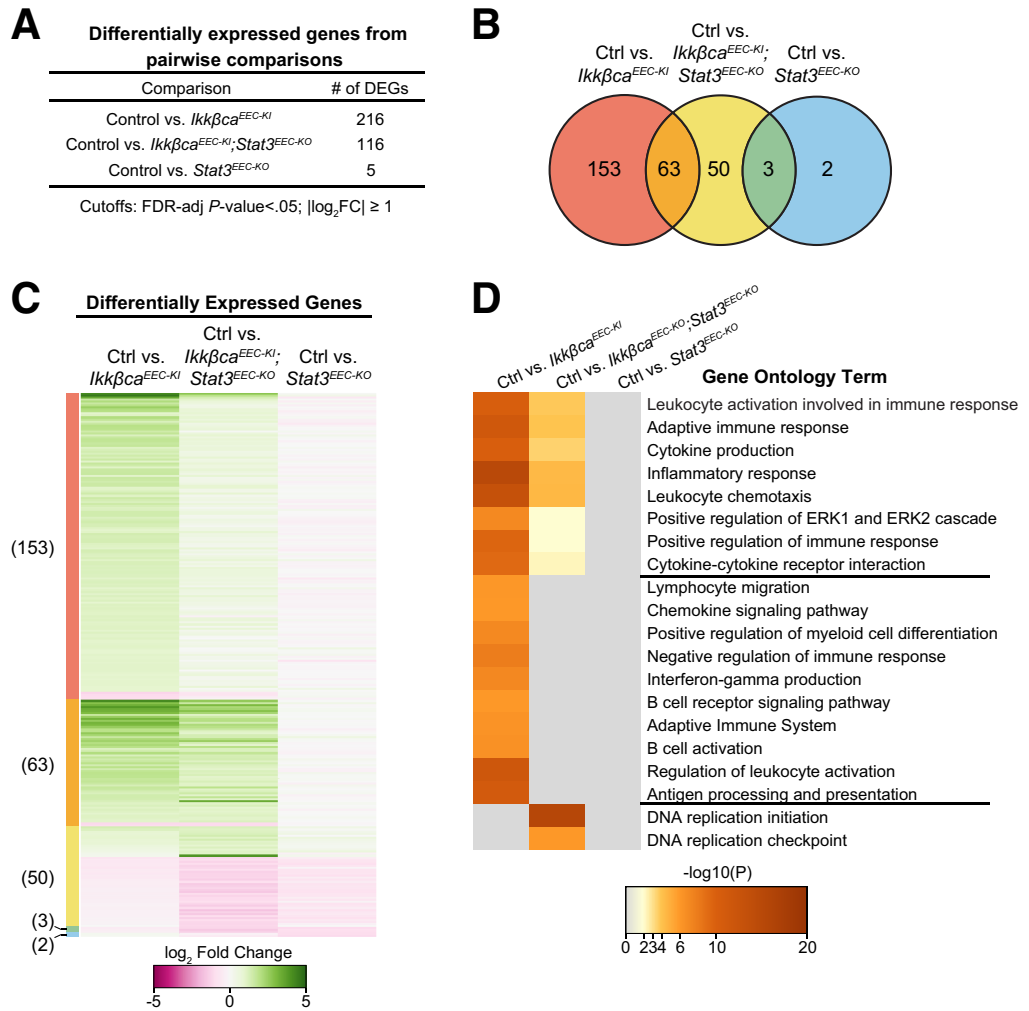
**Figure 1. Activation of epithelial IKK $\beta$  signaling leads to increased epithelial STAT3 activation in mouse esophagus.** (A and B) Western blot determining (A) STAT3 or (B) JAK2 phosphorylation levels in esophageal epithelia of mice with constitutive IKK $\beta$  activation. *Ikkβca<sup>EEC-KI</sup>* mice have increased levels of (A) p-STAT3 (Y705), (B) phospho-JAK2 (Y1007/8), and (A and B) IKK $\beta$  compared with littermate controls.  $\beta$ -actin was used as a loading control. (A and B) Right: Densitometric analysis of Western blot. p-STAT3 and phospho-JAK2 values were normalized to total protein expression, and total protein values were normalized to loading control. Fold change was calculated based on experimental control. (A)  $n = 5$  mice per experimental group,  $*P < .05$ . (B)  $n = 3$  mice per experimental group,  $*P < .05$ ,  $**P < .01$ . Bar graphs represent means  $\pm$  SD. All statistics were determined by a 2-tailed Student  $t$  test. (C and D) Immunohistochemical staining for p-STAT3 (Y705) in esophageal sections of (C) control and (D) *Ikkβca<sup>EEC-KI</sup>* mice. Immunostaining shows increased nuclear expression of p-STAT3 (Y705) in esophageal epithelial cells from (D) *Ikkβca<sup>EEC-KI</sup>* mice compared with (C) controls.  $n = 6$  mice per experimental group. Scale bar: 50  $\mu$ m.

(Figure 2A). Mice with loss of *Stat3* in esophageal epithelial cells (*ED-L2/Cre;Stat3<sup>L/L</sup>*, further referred to as *Stat3<sup>EEC-KO</sup>*) were of normal size and weight and had no overt phenotype

compared with controls (Figure 2B). *Ikkβca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice had an attenuated phenotype compared with *Ikkβca<sup>EEC-KI</sup>* mice (Figure 2B), with less apparent esophageal



**Figure 3. Transcriptomic analysis identifies pathways differentially regulated by STAT3 downstream of activation of IKK $\beta$ .** (A) RNA sequencing was performed on samples enriched for esophageal epithelial cells from mice. The number of differentially expressed genes (DEGs) from *Ikk $\beta$ ca<sup>EEC-KI</sup>*, *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>*, and *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice as compared with control are shown. n = 3 mice per experimental group. (B) Venn diagrams showing overlap of DEGs from each comparison. (C) Heat map representing the log<sub>2</sub> fold change for all DEGs identified from RNA sequencing across all comparisons. (D) DEGs from each comparison were used to identify enrichment of functional pathways by Gene Ontology analysis. A heat-map of the top enriched pathways is shown. Ctrl, control; ERK, extracellular signal-regulated kinase; FDR, false-discovery rate, log<sub>2</sub>FC, log<sub>2</sub> fold change.



epithelial hyperplasia and immune cell infiltration. As shown in Figure 2A, an enhanced green fluorescent protein (EGFP) reporter gene was expressed downstream of the *Rosa26-STOP<sup>FL</sup>-Ikk $\beta$ ca* construct in *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice<sup>22</sup>; thus, immunohistochemical staining for GFP was used to detect the expression of the *Ikk $\beta$ ca* transgene in mice. Serial sections of GFP and H&E stain confirmed that changes in inflammation and hyperplasia occurred in epithelial areas expressing the *Ikk $\beta$ ca* transgene, with attenuation seen in

*Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice compared with *Ikk $\beta$ ca<sup>EEC-KI</sup>* (Figure 2B). Immunohistochemical staining for p-STAT3 further showed that increased STAT3 activation was seen in *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice and that the attenuated phenotype observed in *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice occurred in epithelial areas expressing the *Ikk $\beta$ ca* construct with no to little STAT3 activation (Figure 2B). Loss of *Stat3* and increased expression of IKK $\beta$  in esophageal epithelia of mice were confirmed by Western blot (Figure 2C).

**Figure 2. (See previous page). Loss of epithelial *Stat3* attenuates the phenotype of *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice.** (A) Schematic representation of the generation of *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice. *ED-L2/Cre* mice were crossed with *Rosa26-STOP<sup>FL</sup>-Ikk $\beta$ ca-EGFP* mice and with *Stat3* floxed mice. (B) H&E staining of esophageal sections show that basal cell hyperplasia and immune cell infiltration are attenuated in *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice when compared with *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice. *Stat3<sup>EEC-KO</sup>* mice show no significant histologic changes compared with controls. Serial sections were used to confirm expression of GFP and p-STAT3 (Y705), along with H&E staining. Immunostaining shows GFP expression in esophageal epithelial cells of *Ikk $\beta$ ca<sup>EEC-KI</sup>* and *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice compared with control and *Stat3<sup>EEC-KO</sup>* mice with no GFP expression. Increased nuclear expression of p-STAT3 (Y705) is seen in esophageal epithelial cells from *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice compared with controls, *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* and *Stat3<sup>EEC-KI</sup>* mice. n = 6 mice per experimental group. Scale bar: 100  $\mu$ m. (C) Expression levels of IKK $\beta$  and STAT3 in protein lysates enriched for esophageal epithelial cells by immunoblot. Immunoblot analyses confirm increased IKK $\beta$  expression levels in *Ikk $\beta$ ca<sup>EEC-KI</sup>* and *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice and decreased STAT3 expression in *Stat3<sup>EEC-KO</sup>* and *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice.  $\beta$ -actin is used as a loading control. Right: Densitometric analysis of Western blot. All values were normalized to loading control. Fold change was calculated based on experimental control. n = 3 mice per experimental group. \**P* < .05, \*\**P* < .01, and \*\*\**P* < .001. Bar graphs represent means  $\pm$  SD. All statistics were determined by 1-way analysis of variance (ANOVA) with the post hoc Tukey multiple comparison test. IRES, Internal Ribosome Entry Site; loxP, locus of X-over P1.

### IKK $\beta$ and STAT3 Control Both Similar and Distinct Processes in Esophageal Epithelial Cells

Both the IKK $\beta$ /NF- $\kappa$ B and STAT3 pathways can converge to regulate transcription of a number of common genes, although these are known to vary based on tissue type, cell type, and disease context. To identify potential targets of both STAT3 and IKK $\beta$  in esophageal epithelia, we performed messenger RNA (mRNA) sequencing on esophageal epithelial enrichments from *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice, *Stat3<sup>EEC-KO</sup>* mice, *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice, and controls. To compare all of these groups, differential gene expression was determined in each group compared with control mice, resulting in 3 separate pairwise comparisons (Figure 3A). Overall, 271 genes across all comparisons were significantly differentially regulated (false-discovery rate-adjusted  $P$  value < .05,  $|\log_2$  fold change|  $\geq$  1). *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice accounted for 216 of these genes (Figure 3A). Of these 216 genes, 153 were not differentially regulated in *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice compared with controls (Figure 3B), indicating that they are activated by IKK $\beta$  and also are dependent on STAT3 because the loss of *Stat3* abolished differential expression. As seen in Figure 3C, the  $\log_2$  fold changes of these 153 differentially expressed genes showed inverse expression patterns in *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice compared with *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice. The remaining 63 genes were differentially expressed in both comparisons of *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice and *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice against controls (Figure 3B). Thus, these 63 genes are dependent on IKK $\beta$  but not dependent on STAT3 because they remained differentially expressed even in mice lacking *Stat3* expression. Expression of these 63 genes was directionally consistent across both groups as either up-regulated or down-regulated (Figure 3C). Loss of *Stat3* alone from esophageal epithelial cells did little to change the mouse transcriptome, resulting in only 5 total significantly regulated genes (Figure 3A). Lists of differentially expressed genes from *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice, *Stat3<sup>EEC-KO</sup>* mice, and *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice compared with controls were compiled and used for Gene Ontology pathway enrichment analysis (Figure 3D, Supplementary Tables 1–3). *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice showed increased gene regulation in 18 pathways related to cytokine signaling, immune cell recruitment, and immune cell activation when compared with control. This effect was either partially or completely attenuated in *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice (Figure 3D), which suggests that cooperative IKK $\beta$  and STAT3 signaling in the esophageal epithelium is an important regulator of immune cell activation and recruitment to the esophagus.

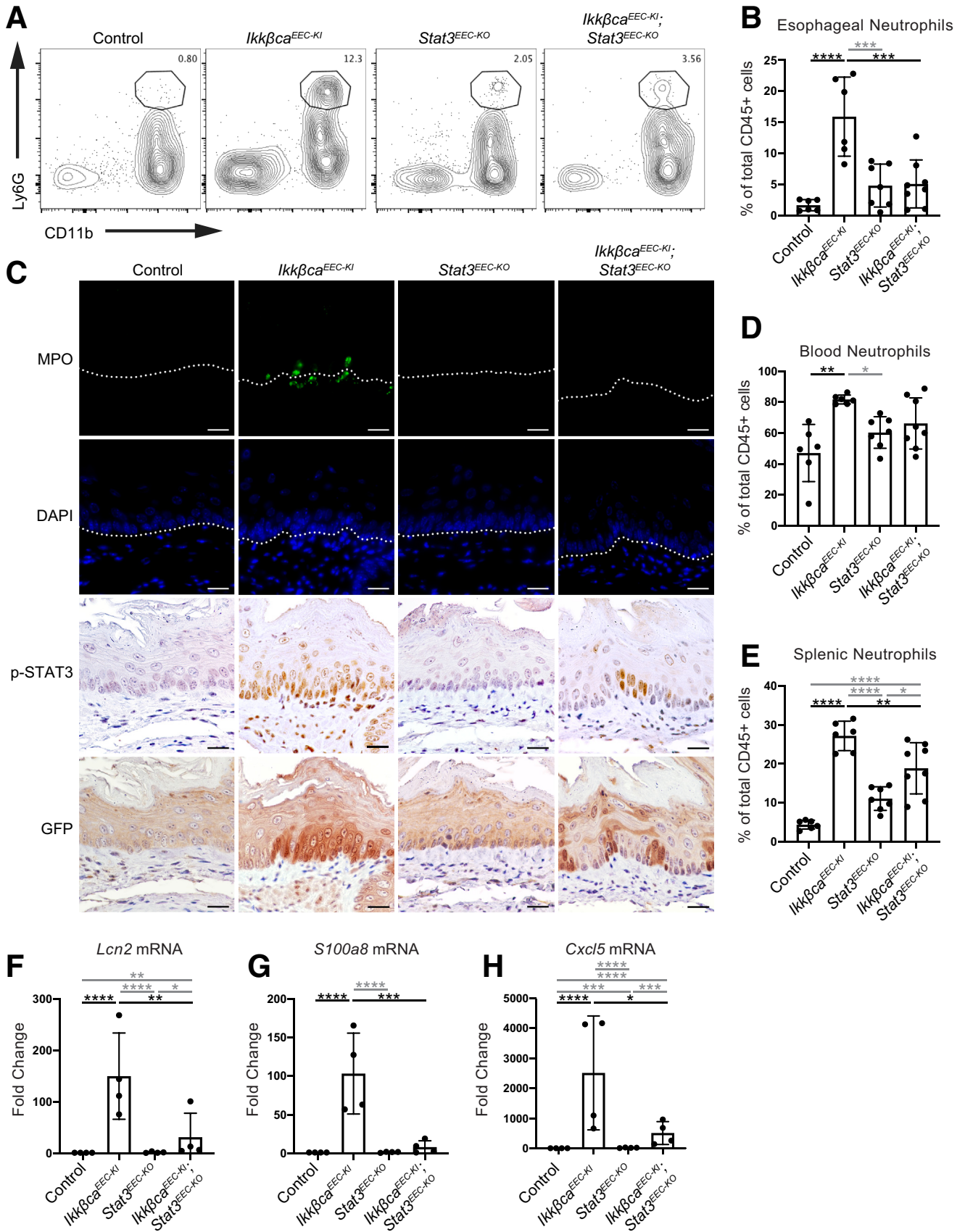
### STAT3 Regulates the Esophageal Recruitment of Neutrophils Downstream of IKK $\beta$ Activation

As seen in Figure 3, our RNA sequencing analyses indicate global changes in immune cell recruitment in the esophagus of *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice and *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice. We next sought to determine the precise composition of immune cells enriched within the esophagus. We developed a flow cytometry-based immunophenotyping panel to analyze the live, CD45+ fraction of esophageal cells for T cells (CD3+),

helper T cells (CD3+, CD4+), regulatory T cells (CD3+ CD4+ Forkhead Box P3 (FoxP3) +), cytotoxic T lymphocytes (CD3+, CD8+), B cells (CD19+), macrophages (F4/80+), dendritic cells (CD11c+), NK cells (CD49b+ Natural killer (NK) 1.1+), eosinophils (CD11b+ Sialic acid binding immunoglobulin-like lectin (Siglec)-F+), and neutrophils (CD11b+ Lymphocyte antigen 6 complex locus G (Ly6G)<sup>hi</sup>). Of these subsets, neutrophils were enriched significantly in the esophagus of *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice compared with controls (Figure 4A and B). This response was attenuated in *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice (Figure 4A and B). No enrichment of neutrophils was detected in *Stat3<sup>EEC-KO</sup>* mice (Figure 4A and B). Immunostaining for myeloperoxidase (MPO), a neutrophil marker, confirmed the presence of neutrophils in both the lamina propria and epithelium of the esophagus of *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice (Figure 4C). In *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice, fewer numbers of intraepithelial neutrophils were detected, and no neutrophils were found in esophageal tissue sections of control or *Stat3<sup>EEC-KO</sup>* mice (Figure 4C). GFP and p-STAT3 immunostaining along with MPO confirmed that recruitment of neutrophils occurred in epithelial areas with GFP expression and increased STAT3 activation in *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice and that decreased neutrophil infiltration was observed in epithelial areas with increased GFP and decreased STAT3 activation in *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice (Figure 4C). Neutrophils additionally were enriched in peripheral blood and spleens of *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice compared with controls by flow cytometry (Figure 4D and E), a change that was attenuated in spleens of *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice (Figure 4E). Further supporting the observation of neutrophil recruitment after activation of epithelial IKK $\beta$  signaling, increased mRNA expression levels of the proinflammatory genes *Lcn2* and *S100a8* were detected in esophageal epithelial enrichments from *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice (Figure 4F and G). Both the expression of *Lcn2* and *S100a8* indicate the accumulation of intraepithelial neutrophils,<sup>23,24</sup> and increased expression of both transcripts were reduced in *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice (Figure 4F and G). Furthermore, expression of the potent neutrophil chemoattractant *Cxcl5*<sup>25</sup> also was up-regulated in esophageal epithelial enrichments from *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice and was reduced in *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice (Figure 4H). Overall, this indicates that STAT3 is a key player downstream of IKK $\beta$  in the induction of a proinflammatory response and neutrophil recruitment.

### IKK $\beta$ Activates STAT3 Through Increased Expression/Secretion of IL23

We next sought to determine whether STAT3 activation occurred in a cell-autonomous or non-cell-autonomous manner downstream of activated IKK $\beta$  signaling. When performing immunohistochemistry on serial sections for GFP to detect expression of the *Ikk $\beta$ ca* transgene in control and *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice, we observed that GFP was detected in distinct clonal populations of esophageal epithelial cells in *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice (Figure 5B), but was absent in control mice (Figure 5A). Interestingly, GFP staining did not co-localize with p-STAT3 staining in *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice other than in a few cells (Figure 5B and D). This suggests mostly



non-cell-autonomous activation of STAT3 by epithelial IKK $\beta$  signaling, with the possibility of some cell-autonomous activation to a lesser extent.

JAK/STAT signaling is activated by secreted factors, including cytokines and growth factors.<sup>12</sup> To determine if IKK $\beta$  activates STAT3 through the regulation of secreted factors, we looked back at our RNA sequencing data to establish if known STAT3 activators were up-regulated in *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice compared with controls. Kyoto Encyclopedia Genes and Genomes (KEGG) pathway analyses showed enrichment of the JAK/STAT signaling pathway in *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice compared with controls, along with the key genes responsible for this enrichment (Figure 5E). Only 2 of these genes, *Il23a* and *Il7r*, also were up-regulated significantly in *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice compared with controls (Figure 5E). Notably, the IL23 signaling cascade is known to activate STAT3 signaling.<sup>26,27</sup> We found a 2.4 log<sub>2</sub> fold change in mRNA expression levels of *Il23a* in *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice compared with controls, an increase unaffected by the loss of *Stat3* (Figure 5F). We confirmed these differences in *Il23a* expression levels by quantitative polymerase chain reaction (PCR). As shown in Figure 5G, *Il23a* was up-regulated in esophageal mucosa from *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice and in *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice compared with controls. IL23 is a heterodimeric cytokine composed of the IL23p19 subunit (encoded by *Il23a*) and the IL12p40 subunit (encoded by *Il12b*).<sup>27</sup> Although IL23p19 is specific for IL23, IL12p40 is shared between IL23 and IL12.<sup>28</sup> Interestingly, we previously reported increased *Il12b* mRNA expression levels in *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice compared with controls before the onset of inflammation.<sup>20</sup> To show that IL23 was required for STAT3 activation downstream of IKK $\beta$  activation, we treated *Ikk $\beta$ ca<sup>EEC-KI</sup>* and control mice with a neutralizing antibody against IL23p19, IL12p40, or IgG controls. Increased STAT3 phosphorylation was detected by Western blot in *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice treated with IgG compared with controls treated with IgG (Figure 5H and I). IL23p19 and IL12p40 neutralization attenuated STAT3 phosphorylation levels in *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice compared with *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice treated with IgG controls

by 40% and 33%, respectively (Figure 5H and I). To show that IL23 operates in the same signaling axis upstream of STAT3 and downstream of epithelial IKK $\beta$  activation, we next treated *Ikk $\beta$ ca<sup>EEC-KI</sup>* and control mice with in vivo neutralizing anti-IL23p19 or IgG1 antibodies and determined neutrophil counts by flow cytometry. As shown in Figure 5J and K, neutrophil recruitment was increased significantly in the esophagus of *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice treated with IgG1 compared with controls treated with IgG1. IL23p19 neutralization significantly decreased this enrichment of neutrophils in the esophageal mucosa of *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice compared with *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice treated with IgG1 (Figure 5J and K). Taken together, this shows that IL23 secretion promotes the activation of STAT3 in esophageal epithelial cells and the subsequent recruitment of neutrophils to the esophagus downstream of epithelial IKK $\beta$  activation in vivo.

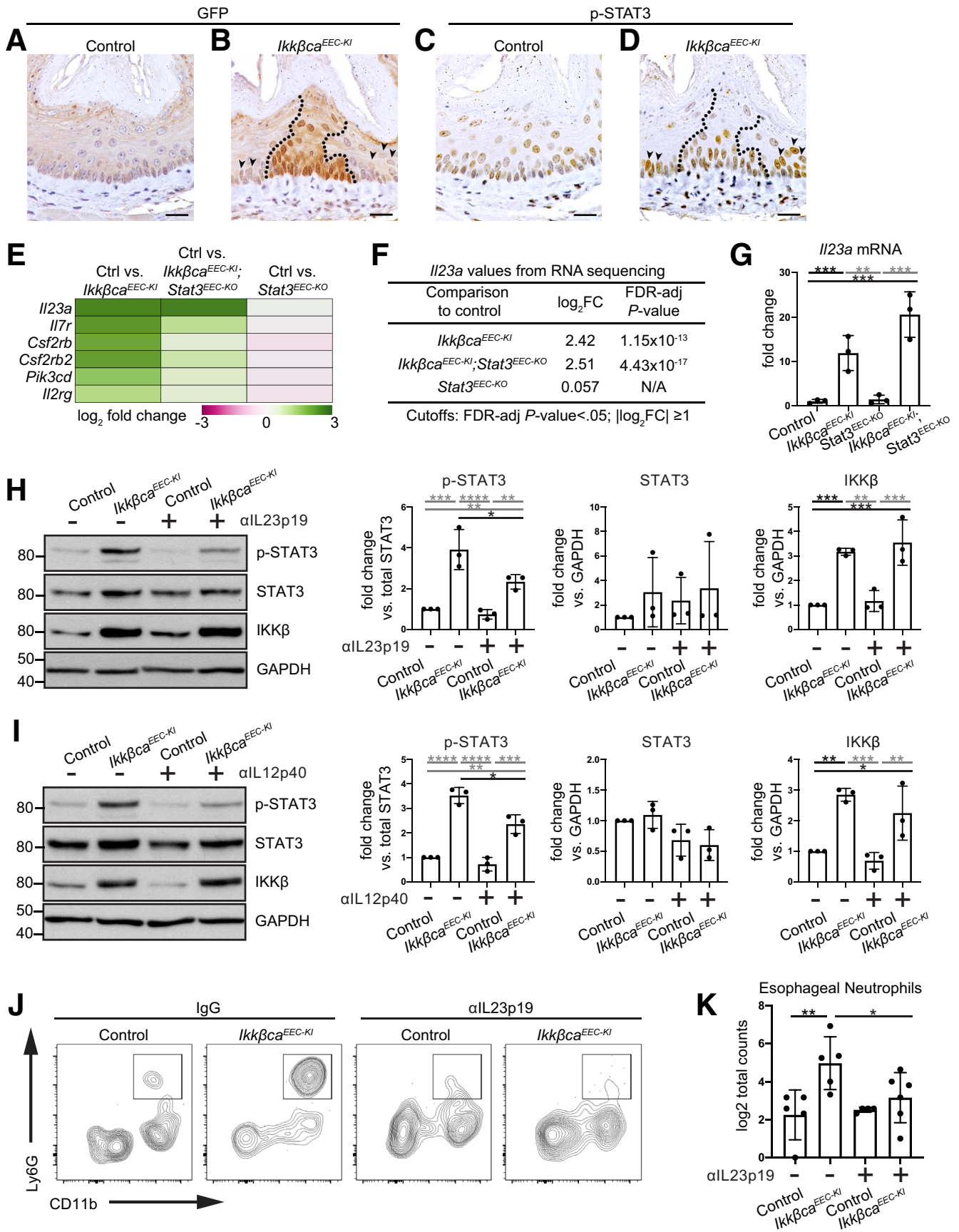
Inflammation is strongly linked to the development of esophageal cancer.<sup>6</sup> ESCC develops sequentially, progressing through stages of inflammation, hyperplasia, dysplasia, carcinoma in situ, to invasive carcinoma.<sup>5,6</sup> Given that our mice with constitutive activation of IKK $\beta$  develop inflammation, hyperplasia, neutrophilia, and have increased epithelial STAT3 signaling, we sought to determine if our findings could be relevant to understanding the development of precursor lesions leading to ESCC, an urgently needed area of research. Using human tissue microarrays, we examined Phosphorylated (P)-p65 NF- $\kappa$ B, p-STAT3, and MPO expression levels in human esophageal biopsy specimens from patients with healthy esophagus, chronic inflammation, and hyperplasia. As shown in Figure 6, P-p65 NF- $\kappa$ B, p-STAT3, and MPO all increased progressively from normal esophagus to chronic inflammation to hyperplasia. Thus, the IKK $\beta$ /NF- $\kappa$ B-STAT3–neutrophil axis observed in mice may additionally play an important role in the onset of human disease.

## Discussion

Communication between epithelial cells and immune cells is crucial in the initiation of the inflammatory response

**Figure 4. (See previous page). Loss of epithelial *Stat3* reduces the recruitment of neutrophils in the esophagus of *IKK $\beta$ ca<sup>EEC-KI</sup>* mice.** (A and B) Flow cytometry was used to quantify immune cells isolated from mouse esophagi. (A) Live CD45<sup>+</sup>/CD11b<sup>+</sup>/Ly6G<sup>hi</sup> cells were identified as neutrophils, as seen by representative contour plots. (B) The percentage of CD11b<sup>+</sup>/Ly6G<sup>hi</sup> neutrophils per total CD45<sup>+</sup> cells show that loss of *Stat3* significantly diminishes the presence of neutrophils in the esophagus of *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice. n = 6 mice per experimental group. \*\*\*P < .001, \*\*\*\*P < .0001. Bar graphs represent means  $\pm$  SD. Statistics were determined by 1-way analysis of variance with the post hoc Tukey multiple comparison test. (C) Representative immunofluorescence staining for MPO is shown in mouse esophageal sections. Infiltration of MPO-positive cells into the esophagus is diminished in *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice compared with *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice. Serial sections were used to examine MPO, GFP, and p-STAT3 expression. Dotted white line indicates the location of the basement membrane. n = 3 mice per experimental group. Scale bars: 100  $\mu$ m. (D and E) Bar graphs showing the fraction of neutrophils per total CD45<sup>+</sup> cells isolated from mouse (D) peripheral blood and (E) spleen. n = 6 mice per experimental group. Bar graphs represent means  $\pm$  SD. Statistics were determined by 1-way analysis of variance with the post hoc Tukey multiple comparison test. \*P < .05, \*\*P < .01, and \*\*\*\*P < .0001. (F–H) Using quantitative PCR, mRNA expression levels of the neutrophil-expressed genes (F) *Lcn2* and (G) *S100a8*, and of the neutrophil chemoattractant gene (H) *Cxcl5* in enriched mouse esophageal epithelia were decreased in *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice compared with *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice. Bar graphs represent means  $\pm$  SD. Statistics were determined by 1-way analysis of variance with the post hoc Tukey multiple comparison test. (F) n = 3 mice per experimental group, \*P < .05, \*\*P < .01, and \*\*\*\*P < .0001. (G) n = 3 mice per experimental group, \*\*\*P < .001 and \*\*\*\*P < .0001. (H) n = 4 mice per experimental group, \*P < .05, \*\*\*P < .001, and \*\*\*\*P < .0001. DAPI, 4',6-diamidino-2-phenylindole.



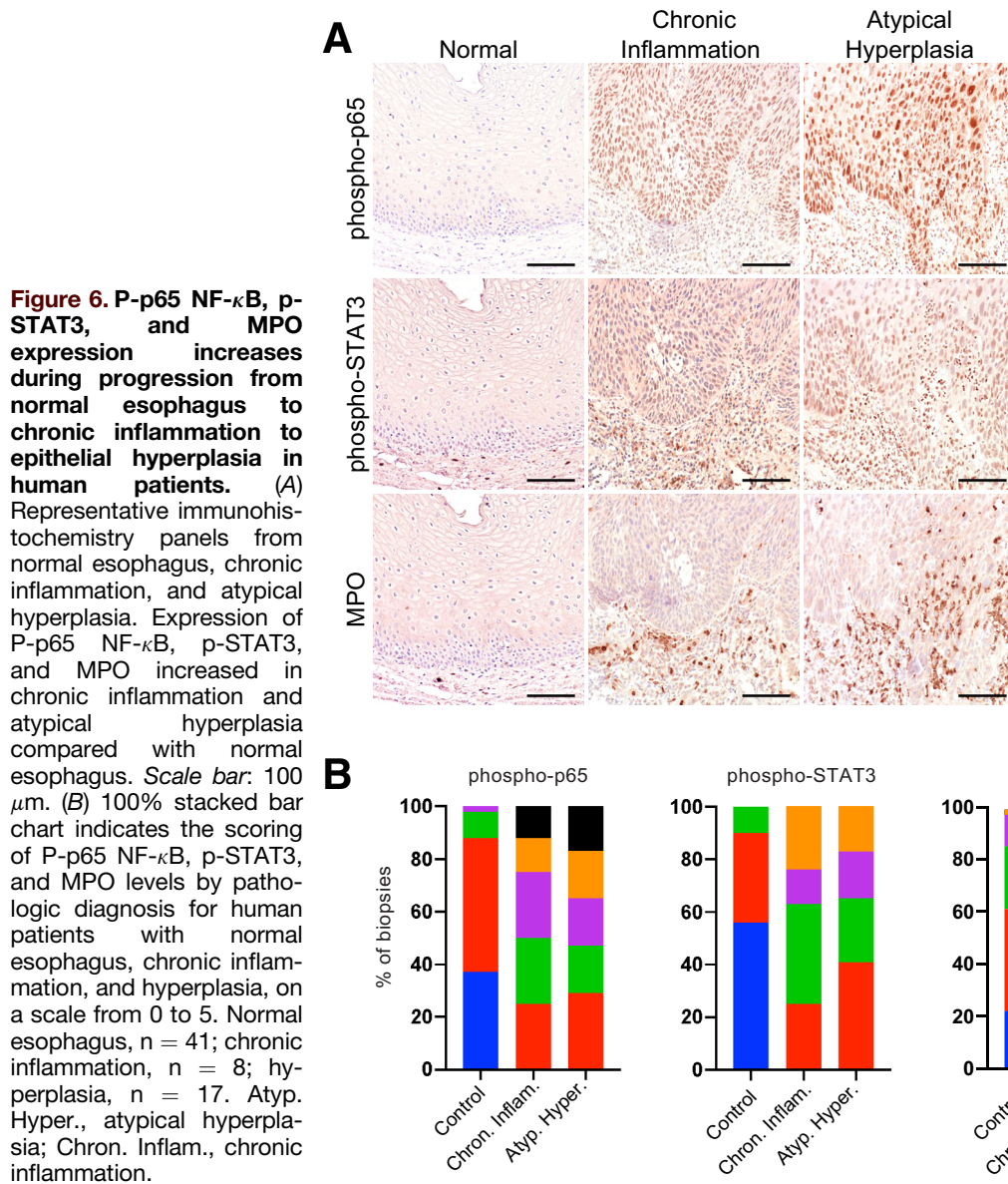


and occurs through complex signaling networks. Within the esophagus, epithelial cells sense injury and initiate inflammation through the production of inflammatory molecules that attract and communicate with immune cells. The IKK $\beta$ /NF- $\kappa$ B pathway is central to directing the inflammatory response, but the function of this pathway depends greatly on the context, tissue, and cell type studied.<sup>29</sup> Recently, we showed that expression of an active form of IKK $\beta$  specifically in esophageal epithelia of mice leads to the development of esophagitis and hyperplasia.<sup>20</sup> In this study, we show that activation of epithelial IKK $\beta$  signaling regulates the recruitment of neutrophils through the activation of epithelial STAT3 signaling in the esophagus.

A functional interplay between IKK $\beta$ /NF- $\kappa$ B and STAT3 signaling has been described in numerous inflammatory conditions and cancers.<sup>7,10,30,31</sup> Different types of interactions between NF- $\kappa$ B and STAT3 have been reported, including physical association, increased transcription or secretion of activators of the other pathway, overlapping sets of target genes, and competition or cooperation at gene promoters.<sup>7,10</sup> Given the complexity of the interaction between these 2 pathways, we were interested in understanding the mechanism after IKK $\beta$  activation in the context of esophagitis. We found that in esophageal epithelial cells, STAT3 activity in the presence of IKK $\beta$  activation was mostly due to paracrine signaling mediated by the release of secreted factors, specifically through the expression/secretion of IL23.

How does epithelial IKK $\beta$  signaling regulate IL23? The epithelial IKK $\beta$ /NF- $\kappa$ B pathway promotes immune cell infiltration into the esophagus,<sup>18</sup> and the cellular source of IL23 most commonly is recognized to consist of dendritic cells, macrophages, and monocytes.<sup>32</sup> Interestingly, our RNA sequencing analyses showed increased mRNA expression levels of the dendritic cell marker *Itgax* (CD11c) in *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice, raising the possibility that activation of epithelial IKK $\beta$  signaling could lead to the recruitment of dendritic cells, which subsequently may secrete IL23. Alternatively, recent studies also have reported direct *Il23a* expression by epithelial cells in multiple contexts.<sup>33–35</sup> The NF- $\kappa$ B subunit RelA associates with the *Il23a* promoter and is necessary for *Il23a* transcription,<sup>36</sup> suggesting that increased epithelial IKK $\beta$ /NF- $\kappa$ B signaling could produce IL23 directly in esophageal epithelial cells. Because of this complex interplay between cell types, precisely how epithelial IKK $\beta$  signaling up-regulates esophageal IL23 remains to be determined. Our findings also show that IL23 blockade in *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice prevents the activation of STAT3 and reduces subsequent neutrophilia, which may be owing to a similarly complex set of direct and indirect interactions. Because infiltrating immune cells introduce a host of additional cytokines into a tissue, many of which activate STAT3, the epithelial STAT3 activation seen in *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice may have an indirect source.<sup>37–39</sup> In colorectal adenocarcinoma, IL23 stimulates T-helper 17 cells to produce cytokines IL6, IL17A, and IL22, which then

**Figure 5.** (See previous page). **Increased IL23 expression/secretion regulates epithelial STAT3 activation and the recruitment of neutrophils to the esophagus.** (A–D) Immunohistochemical staining for (A and B) GFP or (C and D) p-STAT3 (Y705) in esophageal serial sections of (A and C) control and (B and D) *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice. Immunostaining shows GFP expression (dotted lines) specifically in esophageal epithelial cells of (B) *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice, compared with (A) control mice with no GFP expression. Increased nuclear expression of p-STAT3 (Y705) is seen in esophageal epithelial cells from (D) *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice compared with (C) controls. (D) In addition, increased intensity of epithelial p-STAT3 is seen outside of GFP-positive regions (black arrowheads) in *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice. n = 4 mice per experimental group. Scale bar: 50  $\mu$ m. (E) Heat map representing the log<sub>2</sub> fold change for the JAK-STAT pathway-associated differentially expressed genes from RNA sequencing. (F) Table summarizing the log<sub>2</sub> fold change for *Il23a* expression levels from RNA sequencing. (G) By quantitative PCR, mRNA expression levels of the cytokine *Il23a* in mouse esophageal mucosa are increased in *Ikk $\beta$ ca<sup>EEC-KI</sup>* and *Ikk $\beta$ ca<sup>EEC-KI</sup>,Stat3<sup>EEC-KO</sup>* mice compared with control mice. n = 3 mice per experimental group. Bar graphs represent means  $\pm$  SD. Statistics were determined by 1-way analysis of variance with the post hoc Tukey multiple comparison test. \*\**P* < .01 and \*\*\**P* < .001. (H) *Ikk $\beta$ ca<sup>EEC-KI</sup>* and control mice were treated with either an IgG1 control or an IL23p19 neutralizing antibody every Monday and Thursday for 2 weeks and analyzed by immunoblot for p-STAT3 (Y705) levels. Blocking IL23p19 decreases p-STAT3 (Y705) levels in *Ikk $\beta$ ca* mice compared with IgG treatment. Increased IKK $\beta$  expression was observed in *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice compared with controls, and GAPDH was used as a loading control. Right: Densitometric analysis of Western blot. p-STAT3 values were normalized to total protein expression, and total protein values were normalized to loading control. Fold change was calculated based on experimental control. n = 3 mice per experimental group. \**P* < .05, \*\**P* < .01, \*\*\**P* < .001, and \*\*\*\**P* < .0001. Bar graphs represent means  $\pm$  SD. All statistics were determined by 1-way analysis of variance with the post hoc Tukey multiple comparison test. (I) *Ikk $\beta$ ca<sup>EEC-KI</sup>* and control mice were treated with either an IgG2a control or an IL12p40 neutralizing antibody every Monday and Thursday for 2 weeks and analyzed by immunoblot for p-STAT3 (Y705) levels. Blocking IL12p40 decreases p-STAT3 (Y705) levels in *Ikk $\beta$ ca* mice compared with IgG treatment. Increased IKK $\beta$  expression was observed in *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice compared with controls, and GAPDH was used as a loading control. Right: Densitometric analysis of Western blot. p-STAT3 values were normalized to total protein expression, and total protein values were normalized to loading control. Fold change was calculated based on experimental control. n = 3 mice per experimental group. \**P* < .05, \*\**P* < .01, \*\*\**P* < .001, and \*\*\*\**P* < .0001. Bar graphs represent means  $\pm$  SD. All statistics were determined by 1-way analysis of variance with the post hoc Tukey multiple comparison test. (J and K) Flow cytometry was used to quantify neutrophils isolated from mouse esophagi. (J) Live CD45<sup>+</sup>/CD11b<sup>+</sup>/Ly6G<sup>hi</sup> cells were identified as neutrophils, as seen by representative contour plots. (K) The total counts of CD11b<sup>+</sup>/Ly6G<sup>hi</sup> neutrophils show that IL23 p19 neutralization significantly diminishes the presence of neutrophils in the esophagus of *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice. Because the cell counts were not normally distributed, these were log-transformed for statistical analyses. n = 5 mice per experimental group. Bar graphs represent means  $\pm$  SD. Statistics were determined by 1-way analysis of variance with the Sidak multiple comparison test. \**P* < .05, \*\**P* < .01. Ctrl, control; FDR, false-discovery rate; log<sub>2</sub>FC, log<sub>2</sub> fold change.



either directly or indirectly stimulate epithelial cells.<sup>39</sup> Because the role for IL23 to stimulate T-helper 17 cells is well established,<sup>40–42</sup> this may be occurring in our system as well. Alternatively, IL23 itself can directly activate JAK2/STAT3 signaling after association with its receptor, IL23R.<sup>43</sup> Although the function of IL23R is best established in immune cells, epithelial expression of IL23R also has been observed in the intestine, where it contributes to intestinal homeostasis<sup>44</sup>; thus, esophageal epithelial signaling through IL23/IL23R interactions also may be possible. Given the complexity of this interplay between IKK $\beta$ , IL23, and STAT3, expanding the knowledge of the IL23 signaling cascade in our model is an area of future investigation.

Our study further shows that activation of epithelial STAT3 signaling by IKK $\beta$  contributes to neutrophil infiltration into the esophagus. Neutrophils are primary responders to tissue injury or infection and are key

potentiators of the inflammatory response.<sup>45</sup> After an injury, tissue-produced chemokines promote neutrophil migration from the circulation into damaged tissue, where they stimulate epithelial repair and secrete cytotoxic effectors to eliminate invading pathogens.<sup>46</sup> In cases of severe inflammation, critically high numbers of neutrophils can be found adjacent to epithelium that has been ulcerated or eroded.<sup>47</sup> The potent neutrophil chemoattractant and activator, C-X-C motif chemokine ligand 5 (CXCL5), is a chemokine produced by epithelial cells in many organs.<sup>48,49</sup> In a mouse model of chronic esophageal inflammation, epithelial NF- $\kappa$ B/CXCL5 signaling and neutrophil infiltration both were increased.<sup>50</sup> Epithelial STAT3 also has been shown to contribute to maximal CXCL5 induction in the lung, leading to neutrophil recruitment.<sup>51</sup> In this study, we show strong induction of *Cxcl5* mRNA transcripts in *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice, as well as milder induction in *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice

(Figure 4H), indicating that epithelial IKK $\beta$  is sufficient to promote CXCL5, with epithelial STAT3 required for maximal production. Further blockade experiments will be needed to show the role of CXCL5 in neutrophil recruitment downstream of IKK $\beta$  and STAT3 in our model.

Our study also provides important insights into the development of precursor lesions leading to ESCC, which are characterized by the presence of chronic inflammation and hyperplasia.<sup>52</sup> Here, we show that phosphorylated p65 NF- $\kappa$ B, p-STAT3, and the neutrophil marker MPO increase progressively from normal esophagus to esophageal chronic inflammation to hyperplasia in human patients. This supports recent findings from a study reporting substantial neutrophilia and dysregulated NF- $\kappa$ B and JAK/STAT signaling in precursor lesions (chronic inflammation and hyperplasia) of ESCC in mice.<sup>52</sup> Interestingly, this increase of neutrophils also was observed along the different stages of ESCC pathogenesis in human patients.<sup>52</sup> Moreover, we previously showed an increase in p65 NF- $\kappa$ B signaling and neutrophil recruitment in the early stages of chronic inflammation in a mouse model of ESCC.<sup>50</sup> An important limitation to our scoring analyses of patient esophageal biopsy specimens is the limited availability of patient samples with precursor lesions of ESCC. Because most ESCC diagnoses occur at a late disease stage, few patients with early precursor lesions are diagnosed in the clinic, and thus few biopsy specimens are collected.<sup>6</sup> High levels of neutrophilic infiltration also can be observed in many other esophageal diseases, including infectious esophagitis caused by candidiasis,<sup>53,54</sup> nonerosive reflux disease,<sup>55,56</sup> and severe cases of gastroesophageal reflux disease.<sup>57–59</sup> Because our results show that cooperative IKK $\beta$  and STAT3 signaling regulates neutrophil trafficking to the esophagus, our study underscores the importance of evaluating the interplay between IKK $\beta$  and STAT3 in these different etiologies of esophagitis with tissue neutrophilia.

Another implication of our data is that it provides insights for the development of therapeutics to specifically target esophageal neutrophilia and inflammation. As such, IKK $\beta$  and STAT3 theoretically could be therapeutic targets. However, because a careful balance of IKK $\beta$  and STAT3 activation is required for the maintenance of normal epithelial and immune homeostasis,<sup>60,61</sup> targeting IKK $\beta$  or STAT3 might not be ideal. To avoid potential toxicity, it is worth considering targeting specific cytokines/chemokines regulated by IKK $\beta$  and/or STAT3. Based on our findings, IL23 could be a good therapeutic target worth exploring for the treatment of chronic esophagitis, hyperplasia, and esophageal neutrophilia. The recent discovery of the importance of IL23 in the pathogenesis of numerous inflammatory diseases, such as psoriasis, inflammatory bowel diseases, multiple sclerosis, and rheumatoid arthritis has led to the emergence of novel biologic agents targeting IL23.<sup>62</sup> The results from clinical trials have been impressive, offering promises for the treatment of other diseases in which IL23 plays a central role. In addition, JAK2 also could be a therapeutic target worth exploring because the emergence of a new class of therapeutics targeting JAKs showed promises for therapeutic

interventions for rheumatoid arthritis, psoriatic arthritis, and inflammatory bowel disease.<sup>60</sup>

In sum, we propose a model in which epithelial IKK $\beta$  signaling activates epithelial STAT3 through IL23 and other secreted factors, with subsequent infiltration of neutrophils into the esophagus through the epithelial expression of neutrophil chemoattractants (graphical abstract).

## Materials and Methods

All authors had access to the study data and reviewed and approved the final manuscript.

### Generation of *ED-L2/Cre;Rosa26-Ikk $\beta$ ca<sup>+L</sup>;Stat3<sup>L/L</sup>* Mice

All animal studies were approved by the Institutional Animal Care and Use Committee at Northwestern University. *ED-L2/Cre;Rosa26-Ikk $\beta$ ca<sup>+L</sup>;Stat3<sup>L/L</sup>* (*Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>*) mice were generated by crossing *Rosa26-STOP<sup>FL</sup>-Ikk $\beta$ ca* mice (Jackson Laboratory, Bar Harbor, ME)<sup>22</sup> and *Stat3<sup>L/L</sup>* mice (Jackson Laboratory)<sup>21</sup> with mice harboring *Cre* recombinase under the control of the Epstein-Barr Virus (*EBV*)-*ED-L2* promoter.<sup>63</sup> An EGFP reporter gene is expressed downstream of the IKK $\beta$ ca construct in *Rosa26-STOP<sup>FL</sup>-Ikk $\beta$ ca* mice.<sup>22</sup> Four groups of mice were analyzed: *Rosa26-STOP<sup>FL</sup>-Ikk $\beta$ ca<sup>SFL</sup>* (control) mice, *EBV-ED-L2/Cre;Rosa26-Ikk $\beta$ ca<sup>+L</sup>* (*Ikk $\beta$ ca<sup>EEC-KI</sup>*) mice, *EBV-ED-L2/Cre;Rosa26-Ikk $\beta$ ca<sup>+L</sup>;Stat3<sup>L/L</sup>* (*Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>*) mice, and *EBV-ED-L2/Cre;Stat3<sup>L/L</sup>* (*Stat3<sup>EEC-KO</sup>*) mice. All mice used for experiments were maintained on a pure c57BL/6 background, were 6 weeks of age, and were sex-matched. Both male and female experimental groups were used. Mice were examined grossly and processed for histology. Briefly, tissue was fixed in zinc formalin (Sigma Aldrich, St. Louis, MO) for 4 days and embedded in paraffin, and 4- $\mu$ m sections were applied to charged plus slides. Slides were stained with H&E, and images were captured on a Nikon Eclipse Ci-E microscope with a Nikon DS-Ri2 camera and NIS Elements software (Melville, NY). The following numbers of age-matched mice were examined histologically: 6 controls, 6 *Ikk $\beta$ ca<sup>EEC-KI</sup>* mice, 6 *Ikk $\beta$ ca<sup>EEC-KI</sup>;Stat3<sup>EEC-KO</sup>* mice, and 6 *Stat3<sup>EEC-KO</sup>* mice.

### Immunohistochemistry and Immunofluorescence

For paraffin-embedded esophageal sections, heat antigen retrieval (2100 Antigen Retriever; Electron Microscopy Sciences, Hatfield, PA) was performed and slides were incubated with the following antibodies: 1:50 rabbit anti-phospho-Stat3 (Tyr705) (9145; Cell Signaling, Danvers, MA), 1:50 goat anti-GFP (ab6673; Abcam, Cambridge, MA), and 1:50 rabbit anti-MPO (RB-373-A0; Thermo Fisher Scientific, Pittsburgh, PA). Species-specific secondary antibodies were added, and detection was performed as previously described.<sup>64</sup> For fluorescent labeling, Alexa Fluor 488 (A32814; Thermo Fisher Scientific) was used. 4',6-diamidino-2-phenylindole was used as a nuclear stain.

**Table 1.** Antibodies for Flow Cytometry

Antibody	Clone	Fluorophore	Company	Catalog number
CD115	T38-320	BV421	BD Biosciences	743638
CD117	2B8	BV711	BD Biosciences	563160
CD11b	M1/70	BUV737	BD Biosciences	564443
CD11c	HL3	BUV395	BD Biosciences	564080
CD170	E50-2440	PE-CF594	BD Biosciences	562757
CD19	1D3	APC-Cy7	BD Biosciences	557655
CD31	390	AF488	BD Biosciences	563607
CD3e	500A2	AF700	BD Biosciences	557984
CD4	GK1.5	BUV496	BD Biosciences	564667
CD44	1M7	BV786	BD Biosciences	563736
CD45.2	104	BV650	BD Biosciences	740490
CD49b	Hma2	BV605	BD Biosciences	740363
CD8a	53-6.7	BB700	BD Biosciences	566409
F4/80	T45-2342	A647	BD Biosciences	565854
FoxP3	FJK-16s	PE	eBioscience	12-5773-80
Live/Dead	N/A	506e	eBioscience	65-0866-14
Ly6G	1A8	PE-Cy7	BD Biosciences	560601
NK 1.1	PK136	BB630	BD Biosciences	624294

### Western Blot

Mouse esophageal mucosa were harvested in Triton lysis buffer (1% Triton X-100, 50 mmol/L Tris-HCl pH 7.5, 100 mmol/L NaCl, 5 mmol/L EDTA, 40 mmol/L  $\beta$ -glycerophosphate, 5% glycerol, 50 mmol/L NaF) plus protease (Pierce, Rockford, IL) and phosphatase inhibitors (Sigma-Aldrich). The protein concentration was determined using the Pierce BCA protein assay (Thermo Fisher Scientific). Proteins were separated on NuPage 4%–12% Bis-Tris gels (Thermo Fisher Scientific) and transferred onto polyvinylidene difluoride membrane (EMD Millipore, Billerica, MA). After blocking, membranes were incubated overnight at 4°C with the following antibodies: 1:500 rabbit anti-phospho-Jak2 (Tyr1007/1008) (3771; Cell Signaling), 1:2000 rabbit anti-Jak2 (3230; Cell Signaling), 1:4000 rabbit anti-phospho-Stat3 (Tyr705) (9145; Cell Signaling), 1:2000 rabbit anti-phospho-Stat3 (Ser727) (9134; Cell Signaling), 1:4000 rabbit anti-Stat3 (9132; Cell Signaling), 1:6000 rabbit anti-IKK $\beta$  (8943; Cell Signaling), 1:10,000 mouse anti- $\beta$ -actin (A5441; Sigma-Aldrich), and 1:10,000 rabbit anti-glyceraldehyde 3-phosphate dehydrogenase (GAPDH) (G9545; Sigma-Aldrich). Quantitation of bands was performed with FIJI (version 2.0.0).<sup>65</sup> The mean gray value was measured for each protein of interest. All values were normalized to loading control (GAPDH or  $\beta$ -actin) or to total protein in the case of phosphorylated proteins. Control bands were adjusted to 1 and fold change was calculated.

### RNA Analyses

Mouse esophagi were harvested and the esophageal mucosa was mechanically separated from whole esophagus; tissue fractions were flash-frozen and stored at -80°C. After

homogenization, RNA was extracted and purified using the RNeasy kit (Qiagen, Germantown, MD). Reverse transcription was performed with the Maxima First-Strand complementary DNA Synthesis for Reverse-Transcription quantitative PCR kit (Thermo Fisher Scientific). Quantitative real-time PCR was performed using TaqMan Universal Master Mix (Thermo Fisher Scientific). TATA box binding protein or GAPDH genes were used as the internal control. The RT<sup>2</sup> Profiler PCR Array Mouse Cytokines and Chemokines (Qiagen) was used to examine *Il23a* expression in mouse esophageal mucosa.

### RNA Sequencing

Esophageal mucosa were harvested from 6-week-old mice. RNA was extracted and purified using the RNeasy Mini kit (Qiagen). Complementary DNA libraries were generated using the Tru-Seq Stranded mRNA sequencing library prep (Illumina, San Diego, CA) following the manufacturer's instructions. Sequencing was performed using Illumina HiSeq 4000 (Northwestern University NuSeq Core Facility). The quality of DNA reads, in fastq format, was evaluated using FastQC.<sup>66</sup> Adapters were trimmed and reads of poor quality or aligning to ribosomal RNA sequences were filtered. The cleaned reads were aligned to the *Mus musculus* genome (mm10) using STAR.<sup>67</sup> Read counts for each gene were calculated using htseq-count<sup>68</sup> in conjunction with a gene annotation file for mm10 obtained from the University of California Santa Cruz (<http://genome.ucsc.edu>). Normalization and differential expression were determined using DESeq2.<sup>69</sup> The cut-off value for determining significantly differentially expressed genes was a false-discovery rate-adjusted *P* value of less than .05. RNA sequencing was deposited in Gene Expression

Omnibus (GSE154129) and can be accessed at <http://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE154129>. Gene ontology terms were identified using the Metascape online platform (<http://metascape.org>).<sup>70</sup> KEGG terms were identified using the gseKEGG function within the clusterProfiler R package.<sup>71</sup>

### Flow Cytometry

For flow cytometric analysis of *in vivo* experiments, mice were killed at 6 weeks of age and spleen, blood, and esophagus were harvested. Forceps were used to separate esophageal mucosa from whole esophageal tissue, and each tissue fraction was minced. Esophageal mucosa was digested in 0.05% trypsin-EDTA (Thermo Fisher Scientific) for 2 minutes, with shaking at 37°C before neutralization with fetal bovine serum (Corning) and filtration through 70- $\mu$ m nylon filters (Fisher Scientific, Hampton, NH). The remaining esophageal tissue was digested in collagenase V (Sigma-Aldrich) for 15 minutes, with shaking at 37°C before filtration through 70- $\mu$ m nylon filters. Blood was obtained via cardiac puncture and red blood cells were lysed with ACK lysis buffer (Thermo Fisher Scientific). Whole spleen was harvested and filtered through 70- $\mu$ m nylon filters, after which red blood cells were lysed with ACK lysis buffer (Thermo Fisher Scientific). Spleen suspensions were filtered again through 70- $\mu$ m nylon filters. Up to 1 million cells were stained per sample. Live/dead cell discrimination was performed using eBioscience (Thermo Fisher Scientific) Fixable Viability Dye eFluor 506 (Thermo Fisher Scientific) according to the manufacturer's instructions. Cell surface staining for the indicated antibodies was performed for 30 minutes. Intracellular staining was performed using the FoxP3 fixation/permeabilization kit (BioLegend, San Diego, CA) according to the manufacturer's instructions. T cells were phenotyped as CD45<sup>+</sup>CD11b<sup>-</sup>CD3<sup>+</sup>, T-helper cells as CD45<sup>+</sup>CD11b<sup>-</sup>CD3<sup>+</sup>CD4<sup>+</sup>CD8<sup>-</sup>, regulatory T cells as CD45<sup>+</sup>CD11b<sup>-</sup>CD3<sup>+</sup>CD4<sup>+</sup>CD8<sup>-</sup>Foxp3<sup>+</sup>, cytotoxic T lymphocytes as CD45<sup>+</sup>CD11b<sup>-</sup>CD3<sup>+</sup>CD8<sup>+</sup>CD4<sup>-</sup>, B cells as CD45<sup>+</sup>CD19<sup>+</sup>CD3<sup>-</sup>, macrophages as CD45<sup>+</sup>CD19<sup>-</sup>CD3<sup>-</sup>F4/80<sup>+</sup>CD11b<sup>+</sup>, dendritic cells as CD45<sup>+</sup>CD19<sup>-</sup>CD3<sup>-</sup>CD11c<sup>+</sup>, neutrophils as CD45<sup>+</sup>CD19<sup>-</sup>CD3<sup>-</sup>CD11b<sup>+</sup>Ly6G<sup>hi</sup>, natural killer cells as CD45<sup>+</sup>CD19<sup>-</sup>CD3<sup>-</sup>CD49b<sup>+</sup>NK1.1<sup>+</sup>, eosinophils as CD45<sup>+</sup>CD19<sup>-</sup>CD3<sup>-</sup>SiglecF<sup>+</sup>, and monocytes as CD45<sup>+</sup>CD19<sup>-</sup>CD3<sup>-</sup>CD115<sup>+</sup>. All flow cytometric analyses were performed using a BD FACSymphony A5 (BD Biosciences, San Jose, CA) and analyzed using FlowJo software (BD Biosciences). Antibodies for flow cytometry are provided in Table 1.

### *In Vivo* Anti-IL23p19 and Anti-IL12p40 Treatment

Starting at 4 weeks of age, *Ilk $\beta$ ca<sup>EEC-KI</sup>* mice and littermate controls were administered intraperitoneal injections of IL23p19 neutralizing antibody G23-8 or rat IgG1 isotype control at doses of 100  $\mu$ g per dose, or of IL12p40 neutralizing antibody C17.8 or rat IgG2a at doses of 200  $\mu$ g per dose (BioXCell, West Hanover, NH). Mice were treated every Monday and Thursday until they reached 6 weeks of age. The following numbers of mice were injected with neutralizing antibody or control: IgG1, 8 pairs; G23-8, 8 pairs; IgG2a, 3 pairs; and C17.8, 3 pairs.

### Tissue Microarray

Tissue microarrays from human biopsy specimens of patients with chronic inflammation (n = 8), hyperplasia (n = 17), and normal esophagus (n = 41) (US Biomax, Inc, Rockville, MD) were stained with P-p65 NF- $\kappa$ B, p-STAT3, and MPO. Staining intensity was scored in a blinded fashion on a scale from 0 to 5 (0, none; 1, weak; 2, mild; 3, moderate; 4, intense; and 5, very intense).

### Statistical Analyses

Results are expressed as means  $\pm$  SD, with statistical significance of differences performed on normalized values between experimental conditions established at 95%. The Student *t* test was used to indicate statistical difference between pairwise comparisons. One-way analysis of variance was used to analyze the statistical difference between groups followed by the Tukey post hoc test, and the Sidak multiple comparisons test was used to compare preselected groups of means. All statistics were performed using GraphPad Prism version 8.4.1 (GraphPad Software, San Diego, CA).

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The authors disclose no conflicts.

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