

1 **UV-C light completely blocks highly contagious Delta SARS-CoV-2 aerosol transmission in**
2 **hamsters**

3
4 Robert J. Fischer¹, Julia R. Port¹, Myndi G. Holbrook¹, Kwe Claude Yinda¹, Martin Creusen²,
5 Jeroen ter Stege³, Marc de Samber², Vincent J. Munster¹
6

7 1. Laboratory of Virology, National Institute of Allergy and Infectious Diseases, National
8 Institutes of Health, Hamilton, MT, USA.

9 2. Signify, High Tech Campus 48, 5656 AE, Eindhoven, The Netherlands

10 3. UVConsult BV, Hoofdstraat 249, 1611AG Bovenkarspel, The Netherlands
11
12
13
14
15
16
17
18
19
20
21
22

23 **Keywords:** SARS-CoV-2, COVID19, UV-C, transmission, aerosol, hamster

24 **Abstract:**

25 Behavioral and medical control measures are not effective in containing the spread of SARS-

26 CoV-2. Here we report on the effectiveness of a preemptive environmental strategy using UV-C

27 light to prevent airborne transmission of the virus in a hamster model and show that UV-C

28 exposure completely prevents airborne transmission between individuals

29

30

31 **Introduction**

32 The COVID-19 pandemic has officially caused more than 5.4 million deaths worldwide as of
33 December 28, 2021.¹ Epidemiological and experimental data suggests that the primary mode of
34 transmission of the virus is through airborne particles.²⁻⁴ Medical countermeasures, such as
35 vaccines and monoclonals antibody therapies were rapidly developed, but have had limited
36 impact on the overall control of the pandemic. While the developed vaccines are highly
37 effective against preventing severe COVID-19 and hospitalization, their transmission-blocking
38 potential on population level appears limited. Currently, 44.65% of the global population are
39 fully vaccinated and an estimated 285 million people have been infected with SARS-CoV-2.¹
40 This has drastically changed SARS-CoV-2 immune landscape and likely promoted the
41 emergence of Variants of Concern (VoC) escaping antibody immunity, fueling the current
42 global spikes in infection rates.⁵ These rapid increases in SARS-CoV-2 prevalence prompt crude
43 control measures such as: travel restrictions, large-scale quarantining and “lock downs” of entire
44 populations leading to economic and public health burden.⁶ The inability to control the ongoing
45 SARS-CoV-2 pandemic has put the focus on the development of pathogen agnostic non-medical
46 intervention strategies. These non-medical intervention strategies should ideally be practical,
47 effective under multiple conditions, not depend on the cooperation of individuals, not contribute
48 to virus evolution and prove efficacious for multiple epidemic and pandemic pathogens. One
49 measure that has the potential to decrease the concentration of infectious airborne pathogens in
50 enclosed spaces is ultraviolet (UV) light. Ultraviolet light, in particular UV-C light (wavelengths
51 in the range of 200 nm – 280 nm) has germicidal properties. Several studies have shown that
52 UV-C light can be used to inactivate SARS-CoV-2 on surfaces using a UV-C germicidal lamp.⁷⁻⁹

53 Here we report on the effectiveness of UV-C light in blocking transmission of airborne SARS-
54 CoV-2 in a hamster model.

55 **Results**

56 To test the ability of UV-C light to prevent infection of naïve hamsters by naturally aspirated
57 aerosols we employed a modified version of an aerosol transmission system described
58 previously.⁴ In this system two cages are separated by a 1250 mm X 73 mm tube resulting in a
59 size exclusion of airborne particles $\geq 10 \mu\text{m}$. The central portion of the tube is quartz enclosed in
60 a HDPE box containing a UV-C light source (Figure 1). The length of the tube inside the box is
61 66.2 cm and the air traveling from the infected animals to the naïve animals had a residence time
62 of 10.7 seconds in the tube. A 934.5 L/hr airflow, approximately 30 cage air exchanges per hour,
63 is maintained throughout the experiment resulting in a UV-C dose exposure of the pathogen-
64 containing airborne particles of approximately 21.4 mJ/cm^2 .

65 Briefly, for each trial, 2 hamsters were inoculated intranasally (IN) with 8×10^4 TCID₅₀ SARS-
66 CoV-2 strain nCoV-WA1-2020 (EPI_ISL_404895) (prototype lineage A SARS-CoV-2) or
67 hCoV-19/USA/KY-CDC-2-4242084/2021 (EPI_ISL_1823618) (VoC Delta). At 1 day post
68 infection (dpi) 2 infected hamsters were placed in the upstream (donor) cage and 2 naïve
69 hamsters were placed in the downstream (naïve) cage. After a 4-hour exposure the exposed
70 naïve hamsters were moved to individual cages and the donor hamsters were euthanized after an
71 oropharyngeal swab was collected.

72 To determine whether the naïve exposed sentinel hamsters became infected, oropharyngeal
73 swabs were collected on days 1, 2 and 3 post exposure (DPE) and analyzed for the presence of
74 subgenomic viral RNA (sgRNA, marker for active SARS-CoV-2 replication) and genomic viral
75 RNA (gRNA) by qRT-PCR. The experiment was repeated 4 times for the following conditions:

76 UV-C light treatment, no UV-C light treatment with variant nCoV-WA1-2020 or hCoV-
77 19/USA/KY-CDC-2-4242084/2021 (Delta). When testing under UV-C conditions, the light was
78 turned on 1 hour prior to introducing the animals to the system.
79 All the animals in the no UV-C treatment groups became infected as early as 1 DPE. gRNA was
80 detected in all animals as early as 1 DPE for both the lineage A and the Delta VOC and
81 continued through DPE 3 (Figure 2, A & C). No gRNA was detected in either of the UV-C
82 groups (Figure 2, A & C). sgRNA was also detected on DPE 1 – 3 in the no UV-C treatment
83 groups, but not in any of the animals in the UV-C groups (Figure 2, B & D). To conclusively
84 demonstrate absence of transmission of SARS-CoV-2 in both UV-C treatment groups the
85 binding antibody titers against the SARS-CoV-2 spike protein (S) were determined on sera
86 obtained at 14 DPE. Both no UV-C light treatment groups had high antibody titers ($\geq 52,000$ in
87 all animals, $n = 16$), but both no UV-C light treatment groups displayed a complete lack of
88 binding antibody titers against SARS-CoV-2 S (< 400 in all animals, $n = 16$).

89 **Discussion**

90 As the SARS-CoV-2 pandemic approaches its third-year, additional non-medical intervention
91 strategies are urgently needed. Especially in areas and locations where there is a higher risk of
92 SARS-CoV-2 transmission, such as hospitals, COVID-19 testing centers, schools and other
93 indoor areas effective preemptive environmental intervention measures are needed to protect
94 health care workers and people at risk of developing severe COVID19. Non-medical
95 intervention such as social distancing rely on the assumption that small airborne respiration
96 droplets will settle to the ground within about 2 meters from the source. However, true aerosols
97 ($< 10 \mu\text{m}$) in diameter will remain suspended, floating on air currents for an extended amount of
98 time, can travel more than 2 meters and remain suspended for minutes to hours. In addition,

99 other non-medical countermeasures, such as mask wearing, are highly dependent on compliance
100 and as such have had varying levels of effectiveness across different cultural, political, and
101 religious environments.

102 Here we have demonstrated that a preemptive environmental intervention measure, using UV-C
103 irradiation, can prevent the aerosol transmission of SARS-CoV-2 between hamsters. This work
104 suggests that UV-C could be used to decrease the concentration of viable air-borne virus in
105 various environments used in conjunction with existing control measures and where other
106 methods are less likely to work. Extensive literature is available for pathogen inactivation, using
107 either bacterial spore inactivation tests, bacteria or respiratory viruses by UV-C treatment.^{10,11}
108 There are several UV-C systems that have been developed and are already being employed.^{12,13}
109 The experiments described here recapitulate a system in which ducted air is treated and returned
110 to the room; the efficiency of this type of system is dependent on the number of room-air
111 exchanges per hour and the ventilation system processes. Another UV-C system that has been
112 employed in areas with a high incidence of tuberculosis (TB) is upper-room ultraviolet
113 germicidal irradiation.¹⁴ Upper-room ultraviolet germicidal irradiation has the potential to treat
114 up to 24 room air changes per hour equivalents where comfort level ventilation systems handle
115 between 1 and 2 room air exchanges per hour.¹⁵

116 Preemptive environmental interventions in public spaces, that are not dependent on the
117 compliance of the at-risk population, would potentially be a highly cost-effective non-medical
118 countermeasure to help control the current pandemic. In addition, given the pathogen agnostic
119 nature of UV-C germicidal irradiation it has the potential to curb airborne transmission of fungal,
120 bacterial, and viral pathogens and even everyday maladies like the common cold.

121

122 **References**

- 123 1. WHO. WHO Coronavirus (COVID-19) Dashboard. 12/28/2021. Accessed 12/28/2021.
124 <https://covid19.who.int/>
- 125 2. Kutter JS, de Meulder D, Bestebroer TM, et al. SARS-CoV and SARS-CoV-2 are transmitted through
126 the air between ferrets over more than one meter distance. *Nat Commun*. Mar 12
127 2021;12(1)doi:ARTN 1653
- 128 3. Zhang RY, Li YX, Zhang ANL, Wang Y, Molina MJ. Identifying airborne transmission as the dominant
129 route for the spread of COVID-19 (vol 117, pg 14857, 2020). *P Natl Acad Sci USA*. Oct 13
130 2020;117(41):25942-25943. doi:10.1073/pnas.2018637117
- 131 4. Port JR, Yinda CK, Avanzato VA, et al. Increased aerosol transmission for B.1.1.7 (alpha variant)
132 over lineage A variant of SARS-CoV-2. *bioRxiv*. Jul 26 2021;doi:10.1101/2021.07.26.453518
- 133 5. Eguia RT, Crawford KHD, Stevens-Ayers T, et al. A human coronavirus evolves antigenically to
134 escape antibody immunity. *Plos Pathogens*. Apr 2021;17(4)e1009453.
135 doi:10.1371/journal.ppat.1009453
- 136 6. Tisdell CA. Economic, social and political issues raised by the COVID-19 pandemic. *Economic*
137 *Analysis and Policy*. Dec 2020;68:17-28. doi:10.1016/j.eap.2020.08.002
- 138 7. Fischer R, Morris D, van Doremalen N, et al. Effectiveness of N95 Respirator Decontamination and
139 Reuse against SARS-CoV-2 Virus. *Emerging Infectious Disease journal*. 2020;26(9):2253.
140 doi:10.3201/eid2609.201524
- 141 8. Storm N, McKay LGA, Downs SN, et al. Rapid and complete inactivation of SARS-CoV-2 by
142 ultraviolet-C irradiation. *Sci Rep-Uk*. Dec 30 2020;10(1)doi:ARTN 22421 10.1038/s41598-020-
143 79600-8
- 144 9. Ruetalo N, Businger R, Schindler M. Rapid, dose-dependent and efficient inactivation of surface
145 dried SARS-CoV-2 by 254 nm UV-C irradiation. *Eurosurveillance*. Oct 21 2021;26(42)doi:Artn
146 2001718 10.2807/1560-7917.Es.2021.26.42.2001718
- 147 10. Browne K. Brought to Light: How Ultraviolet Disinfection Can Prevent the Nosocomial
148 Transmission of COVID-19 and Other Infectious Diseases. *Applied Microbiology*. 2021;1(3):537-
149 556.
- 150 11. Xu P, Peccia J, Fabian P, et al. Efficacy of ultraviolet germicidal irradiation of upper-room air in
151 inactivating airborne bacterial spores and mycobacteria in full-scale studies. *Atmospheric*
152 *Environment*. Jan 2003;37(3):405-419. doi:Pii S1352-2310(02)00825-7 Doi 10.1016/S1352-
153 2310(02)00825-7
- 154 12. Ethington T, Newsome S, Waugh J, Lee LD. Cleaning the air with ultraviolet germicidal irradiation
155 lessened contact infections in a long-term acute care hospital. *Am J Infect Control*. May
156 2018;46(5):482-486. doi:10.1016/j.ajic.2017.11.008
- 157 13. Qiao YC, Yang M, Marabella IA, et al. Greater than 3-Log Reduction in Viable Coronavirus Aerosol
158 Concentration in Ducted Ultraviolet-C (UV-C) Systems. *Environmental Science & Technology*. Apr 6
159 2021;55(7):4174-4182. doi:10.1021/acs.est.0c05763
- 160 14. First M, Rudnick SN, Banahan KF, Vincent RL, Brickner PW. Fundamental factors affecting upper-
161 room ultraviolet germicidal irradiation - part I. Experimental. *J Occup Environ Hyg*. May
162 2007;4(5):321-31. doi:10.1080/15459620701271693
- 163 15. Nardell EA. Air Disinfection for Airborne Infection Control with a Focus on COVID-19: Why
164 Germicidal UV is Essential(dagger). *Photochem Photobiol*. May 2021;97(3):493-497.
165 doi:10.1111/php.13421
- 166

167 **Acknowledgements**

168 We would like to thank Neeltje van Doremalen, Jonathan Schulz, Emmie de Wit, Brandi
169 Williamson, Natalie Thornburg, Bin Zhou, Sue Tong, Sujatha Rashid, Ranjan Mukul, Kimberly
170 Stemple, Craig Martens, Kent Barbian, Stacey Ricklefs, Sarah Anzick, Rose Perry and the
171 animal care takers for their assistance during the study. Maarten de Jager, Noud Fleuren, Kevin
172 Clark for their support in the design, construction and calibration of the UV-C apparatus. The
173 following reagent was obtained through BEI Resources, NIAID, NIH: SARS-Related
174 Coronavirus 2, Isolate hCoV-19/England/204820464/2020, NR282 54000, contributed by CDC.

175

176 **Funding**

177 This work was supported by the Intramural Research Program of the National Institute of
178 Allergy and Infectious Diseases (NIAID), National Institutes of Health (NIH) (1ZIAAI001179-
179 01).

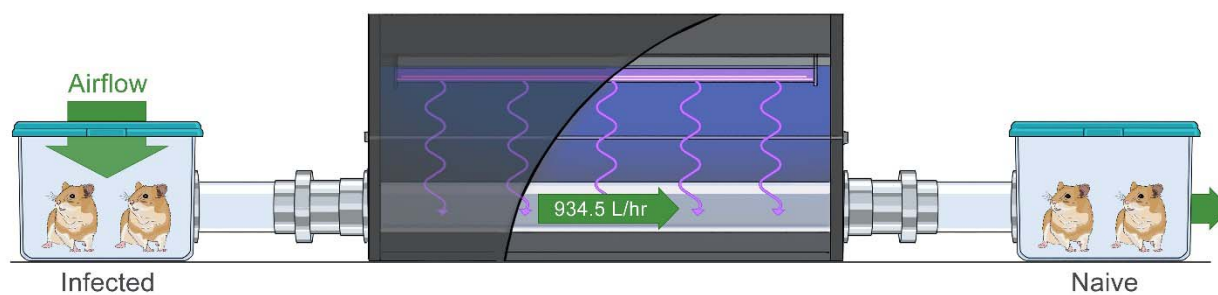
180 **Figure 1. Experimental aerosol transmission with UV-C irradiation setup.** Two cages are separated
181 with a 1250 mm X 73 mm i.d. tube. The center portion of the tube is 662 mm of UV transparent quartz
182 surrounded by a HDPE box housing a UV-C light source. Two donor hamsters, infected intranasally with
183 8×10^4 TCID₅₀ SARS-CoV-2 of either lineage A or the Delta variant one day prior to the experiment,
184 were placed in the upstream cage and two naïve sentinel hamsters were placed in the downstream cage
185 with a 934.5 L/hr airflow for 4 hours. The arrow indicates the direction of the airflow.

186

187

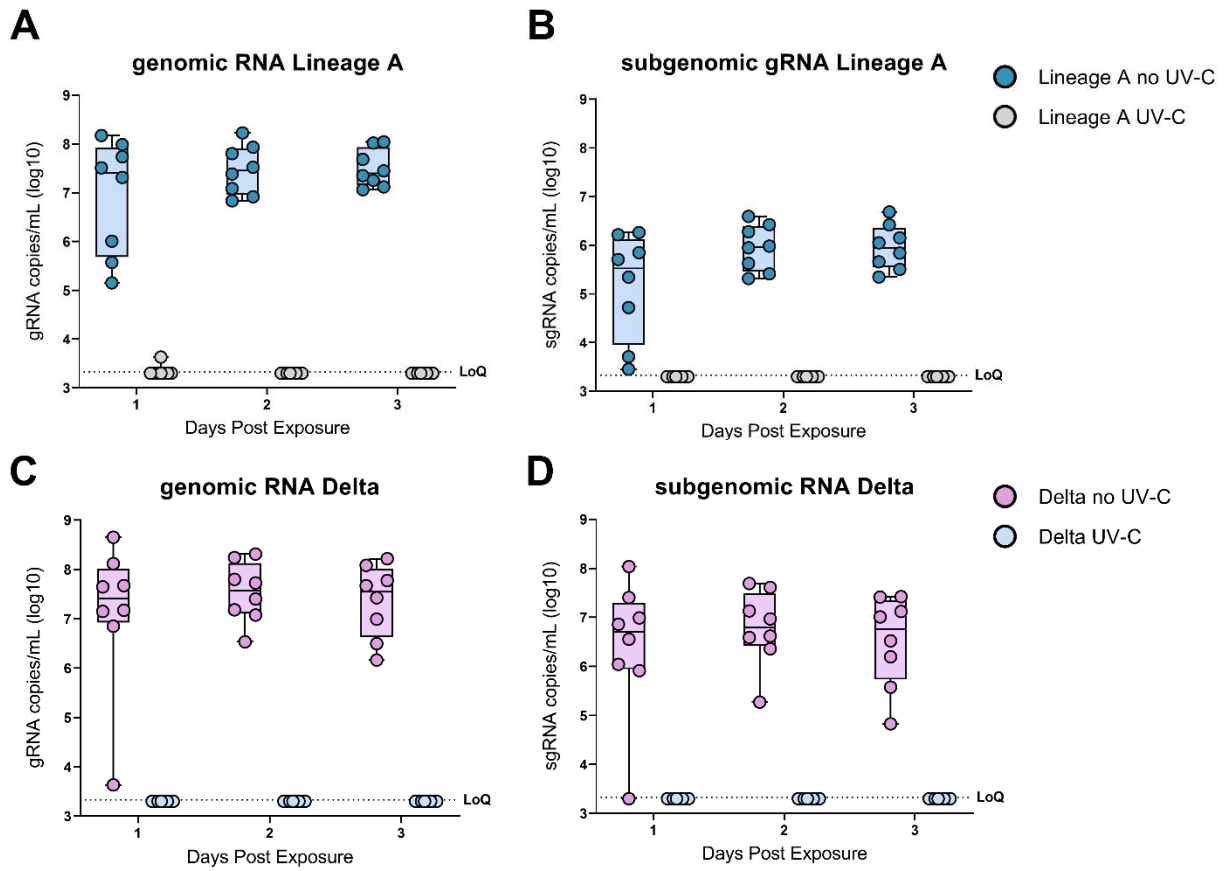
188 **Figure 2. UV-C irradiation blocks SARS-CoV-2 aerosol transmission in hamsters.** A & B) Boxplot
189 (minimum to maximum) of genomicRNA and subgenomicRNA Lineage A SARS-CoV-2 RNA in
190 oropharyngeal swabs collected on 1-, 2- and 3-days post exposure. Blue dots represent the no UV-C
191 treatment group (n = 8) and grey dots represent the UV-C treatment group (N=8). C & D) Boxplot
192 (minimum to maximum) of genomicRNA and subgenomicRNA Delta SARS-CoV-2 RNA in
193 oropharyngeal swabs collected on 1-, 2- and 3-days post exposure. Pink dots represent the no UV-C
194 treatment group (n = 8) and light-blue dots represent the represent the UV-C treatment group (N=8).
195 Dotted line = limit of detection.

196 **Figure 1.**



197

198 **Figure 2.**



199