

RESEARCH ARTICLE

Diabetes risks and outcomes in chronic obstructive pulmonary disease patients: Two nationwide population-based retrospective cohort studies

Chao-Shun Lin^{1,2,3}, Chih-Chung Liu^{1,2,3}, Chun-Chieh Yeh^{4,5}, Yi-Cheng Chang⁶, Chi-Li Chung⁷, Hsin-Long Lane⁸, Chun-Chuan Shih⁸, Ta-Liang Chen^{1,2,3}, Chien-Chang Liao^{1,2,3,9,10}✉*



1 Department of Anesthesiology, School of Medicine, College of Medicine, Taipei Medical University Hospital, Taipei, Taiwan, **2** Anesthesiology and Health Policy Research Center, Taipei Medical University Hospital, Taipei, Taiwan, **3** Department of Anesthesiology, Taipei Medical University, Taipei, Taiwan, **4** Department of Surgery, China Medical University Hospital, Taichung, Taiwan, **5** Department of Surgery, University of Illinois, Chicago, United States of America, **6** Division of Endocrinology, Department of Medicine, National Taiwan University Hospital, Taipei, Taiwan, **7** Division of Pulmonary Medicine, Department of Internal Medicine, Taipei Medical University Hospital, Taipei, Taiwan, **8** School of Chinese Medicine for Post-Baccalaureate, College of Medicine, I-Shou University, Kaohsiung, Taiwan, **9** School of Chinese Medicine, College of Chinese Medicine, China Medical University, Taichung, Taiwan, **10** Department of Anesthesiology, Shuan Ho Hospital, Taipei Medical University, New Taipei City, Taiwan

✉ These authors contributed equally to this work.

* jacky48863027@yahoo.com.tw

OPEN ACCESS

Citation: Lin C-S, Liu C-C, Yeh C-C, Chang Y-C, Chung C-L, Lane H-L, et al. (2017) Diabetes risks and outcomes in chronic obstructive pulmonary disease patients: Two nationwide population-based retrospective cohort studies. PLoS ONE 12(8): e0181815. <https://doi.org/10.1371/journal.pone.0181815>

Editor: Yu Ru Kou, National Yang-Ming University, TAIWAN

Received: January 24, 2017

Accepted: July 5, 2017

Published: August 16, 2017

Copyright: © 2017 Lin et al. This is an open access article distributed under the terms of the [Creative Commons Attribution License](https://creativecommons.org/licenses/by/4.0/), which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Data Availability Statement: The data are from the National Health Insurance Research Database (NHIRD) Committee and can be contacted at the following email: nhird@nhri.org.tw.

Funding: This research was supported in part by Shuang Ho Hospital, Taipei Medical University (104TMU-SHH-23), Taipei Medical University (TMU101-AE1-B33), and Taiwan's Ministry of Science and Technology (MOST106-2221-E-038-003; 106-2314-B-038-036-MY3; MOST105-2629-

Abstract

Objective

The relationship between chronic obstructive pulmonary disease (COPD) and diabetes remains incompletely understood. This study evaluated diabetes risk and post-diabetes outcomes in COPD patients with and without exacerbations.

Methods

We identified 4671 adults newly diagnosed with COPD exacerbations and 9342 adults newly diagnosed with COPD without exacerbations during 2000–2008 using Taiwan's National Health Insurance Research Database. A comparison cohort of 18684 adults without COPD, matched by age and sex, was randomly selected from the same dataset for the control group. Diabetes events during 2000–2013 were ascertained from medical claims during the follow-up period. Adjusted hazard ratios (HRs) and 95% confidence intervals (CIs) of diabetes associated with COPD with or without exacerbations were calculated. We conducted another nested cohort study of 395516 patients with diabetes hospitalization during 2002–2013 and calculated adjusted odds ratios (ORs) and 95% CIs of histories of COPD and COPD exacerbations associated with adverse events after diabetes admission.

B-038-001; MOST105-2314-B-038-025; MOST105-2221-E-038-014; MOST104-2221-E-038-015; MOST104-2314-B-038-027-MY2; NSC102-2314-B-038-021-MY3). The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

Competing interests: The authors have declared that no competing interests exist.

Abbreviations: CI, confidence interval; COPD, chronic obstructive pulmonary disease; HR, hazard ratio; *ICD-9-CM*, *International Classification of Diseases, 9th Revision, Clinical Modification*; OR, odds ratio.

Results

During the follow-up period, the incidences of diabetes for patients without COPD and for patients with COPD without or with exacerbations were 3.4, 4.1 and 7.4 per 1000 person-years, respectively ($P < 0.0001$). Increased risk of diabetes for patients with COPD without exacerbations (HR 1.09, 95% CI 1.02–1.17) and COPD with exacerbations (HR 2.18, 95% CI 1.88–2.52) was noted. Post-diabetes pneumonia (OR 3.28, 95% CI 3.13–3.43), intensive care admission (OR 1.32, 95% CI 1.26–1.39) and mortality (OR 2.06, 95% CI 1.88–2.25) were associated with COPD exacerbations.

Conclusion

Prevention and intervention strategies for diabetes and post-diabetes outcomes are needed for this susceptible population.

Introduction

In the United States, diabetes is one of the leading causes of adult death and disability. It also represents a large and rapidly growing economic burden, with an estimated cost of US\$245 billion in 2012 [1,2]. Although diabetes' epidemiology, pathogenesis, treatment guidelines and prevention programs have been well established over 204 years [3], it remains a pandemic disease that will reach an estimated global prevalence of 4.4% by 2030 [4].

Type 2 diabetes is considered a common comorbidity for patients with chronic obstructive pulmonary disease (COPD) and reduced lung function [5–10]. Epidemiological investigations show that diabetes is much more likely in patients with COPD than in control subjects [11,12]. A controversial population-based study using an Italian database found patients with COPD had an increased risk for diabetes compared to non-COPD subjects [13,14].

Although the association between COPD and diabetes risk was reported in previous studies [11–16], the risk of diabetes for COPD patients in previous studies were limited by cross-sectional study design [11–14], lack of adequate control subjects [11–14], poor adjustment for potential confounders [15,16], short follow-up and incorrect selection of COPD cases [15]. The impact of COPD exacerbations on diabetic patients' risk and outcomes is also unclear.

Based on the context described above, we hypothesized that patients with COPD may have increased the risk and adverse outcomes of diabetes. Using claims data from Taiwan's National Health Research Database [6,7], we conducted a nationwide longitudinal cohort study to assess the risks of diabetes, post-diabetes mortality and complications in patients with COPD.

Methods

Source of data

Reimbursement claims used in this study were collected from the National Health Insurance Research Database. This insurance program was implemented in March 1995 and covers more than 99% of Taiwan's 23 million residents. The National Health Research Institutes established this database to record beneficiaries' medical services, including inpatient and outpatient demographic characteristics, physicians' primary and secondary diagnoses, treatment procedures, prescriptions and medical expenditures. Research articles based on this database have been accepted in prominent scientific journals worldwide [6,7]. To protect personal privacy,

the database was decoded and patient identifications were scrambled for further public access for this research. This study was evaluated and approved by the Joint Institutional Review Board of Taipei Medical University (TMU-JIRB-201605049) and E-DA Hospital (EDA-JIRB-2017004).

Study design and population

We used the National Health Insurance Research Database to perform two nationwide, population-based retrospective cohort studies. Using the database's representative sample of one million beneficiaries, we conducted a retrospective cohort study of 9,342 COPD patients without exacerbations and 4671 patients with newly diagnosed COPD exacerbations with frequency matching by age and sex (COPD: COPDe = 2:1). We defined COPD patients as follows: people had at least two medical visits for outpatient care with physician's primary diagnosis of COPD within one year. We defined patients with COPD exacerbations as follows: people received physician's care due to COPD in the hospitalization ward or emergency room. These definitions of COPD and COPD exacerbations were based on previous reports [17–19,20,21]. For comparison, 18,684 frequency-matched individuals without COPD were selected (controls: COPDe = 4:1). These three cohorts, with subjects aged ≥ 40 years, were established between January 1, 2000, and December 31, 2005, and then followed until December 31, 2013. We calculated person-years during the follow-up period for each participant until diagnosis of diabetes or until censored because of death, withdrawal from the insurance system, or loss to follow-up. The non-COPD group included the remaining people who did not develop COPD during the follow-up period.

Using a diabetes cohort consisting of all incident diabetes patients among the total population of 23 million people from the National Health Insurance Research Database, we identified 395,516 new-onset diabetes patients hospitalized during 2000–2013. We compared sociodemographics, co-morbidities and medications for diabetes patients with no COPD, COPD, and COPDe. Risks of pneumonia, intensive care and mortality during diabetes admission were also estimated.

Measures and definition

We identified income status and defined low-income patients as those qualifying for waived medical copayment, which was verified by the Bureau of National Health Insurance. *The International Classification of Diseases, Ninth Revision, Clinical Modification* (ICD-9-CM) was used to define chronic obstructive pulmonary disease (ICD-9-CM 491, 492, 496) [17,18], diabetes (ICD-9-CM 250), co-morbidities and post-diabetes complications. Co-morbidities included hypertension (ICD-9-CM 401–405), mental disorders (ICD-9-CM 290–319), ischemic heart disease (ICD-9-CM 410–414), stroke (ICD-9-CM 430–438), liver cirrhosis (ICD-9-CM 571), hyperlipidemia (ICD-9-CM 272.0, 272.1, and 272.2), heart failure (ICD-9-CM 428), anemia (ICD-9-CM 280–285), Parkinson's disease (ICD-9-CM 332), atrial fibrillation (ICD-9-CM 427.31), and peripheral vascular disease (ICD-9-CM 443). Renal dialysis was identified by administration code (D8, D9). In-hospital 30-day mortality after the index diabetes admission was considered the primary outcome, and post-diabetes pneumonia (ICD-9-CM 480–486), intensive care, length of hospital stay and medical expenditure were considered secondary outcomes in the nested cohort study. We also considered the impact of invasive respiratory treatments on diabetes risk and outcomes, and these treatments included endotracheal tube insertion, tracheal stent insertion, tracheostomy, laryngotracheal reconstruction, repair of tracheobronchial tree, and endobronchial dilatation.

Statistical analyses

Using the analysis of chi-square tests, we compared sociodemographic factors (such as age, sex and low income), co-morbidities (such as hypertension, mental disorders, liver cirrhosis, stroke, hyperlipidemia, heart failure, anemia, atrial fibrillation, peripheral vascular disease and renal dialysis), and medications (such as anticoagulant, anti-platelet agents and lipid-lowering agents) for people with no COPD, with COPD or with COPDe. The adjusted hazard ratios (HRs) and 95% confidence intervals (CIs) of diabetes associated with COPDe were calculated using multivariate Cox proportional hazard models. In the further stratified analysis, the adjusted HRs and 95% CIs of diabetes associated with COPD or COPDe were also calculated in both sexes and all age groups.

In the nested cohort study, analysis of chi-square tests compared differences in sociodemographics, co-morbidities and medications for diabetes patients in the three groups. By using multivariate logistic regressions, we calculated adjusted odds ratios (ORs) and 95% CIs for risks of pneumonia, intensive care and mortality after diabetes. The mean length of stay and medical expenditure were also compared by analysis of variance for diabetic patients without COPD or with COPD or COPDe.

Results

After matching by age and sex among cohorts without COPD, with COPD and COPDe, proportionately more patients with COPDe had low-income status, hypertension, mental disorders, ischemic heart disease, stroke, liver cirrhosis, hyperlipidemia, heart failure, anemia, Parkinson's disease, atrial fibrillation, peripheral vascular disease and renal dialysis, compared with people without COPD ($p < 0.0001$). Use of medications such as anticoagulants, anti-platelet agents and lipid-lowering agents was also higher in patients with COPDe than in those without COPD (Table 1) ($p < 0.0001$).

Table 2 shows a higher incidence of diabetes in patients with previous COPD and COPDe than those without COPD (4.1 and 7.4 vs. 3.4 per 1000 person-years, $p < 0.0001$) during the follow-up period. The corresponding HRs for diabetes associated with COPD or COPDe were 1.09 (95% CI, 1.02–1.17) and 2.18 (95% CI, 1.88–2.52), respectively. The association between COPDe and diabetes risk was significant in females (HR, 2.11; 95% CI, 1.72–2.58), males (HR, 2.27; 95% CI, 1.83–2.82) and people in all age groups, specifically 40–49 years (HR, 3.73; 95% CI, 2.41–5.79), 50–59 years (HR, 2.88; 95% CI, 2.16–3.86), 60–69 years (HR, 1.71; 95% CI, 1.35–2.16) and 70–79 years (HR, 1.73; 95% CI, 1.23–2.42). HRs for diabetes risk associated with COPDe for people with 0, 1, 2, ≥ 3 co-morbidities were 2.68 (95% CI 1.74–4.11), 2.52 (95% CI 1.86–3.42), 2.19 (95% CI 1.65–2.90) and 1.81 (95% CI 1.45–2.26) respectively. Compared with the non-COPD cohort or the COPD cohort (Fig 1), patients with COPDe showed a significantly increased probability of developing diabetes during the follow-up years (log-rank test, $p < 0.0001$). The diabetes risk associated with respiratory invasive treatment for patients with COPDe (HR 1.47, 95% CI 0.95–2.52) was not significant.

Compared with patients without COPD, diabetic patients with previous COPDe more frequently were male, were older, had low income status, and had higher proportions of hypertension, mental disorders, ischemic heart disease, stroke, heart failure, dementia, hyperlipidemia, anemia, Parkinson's disease, atrial fibrillation, liver cirrhosis, peripheral vascular disease, and renal dialysis (Table 3) (all $p < 0.001$).

Concerning adverse outcomes during admissions due to diabetes (Table 4), patients with COPDe had a higher risk of pneumonia (OR 3.28, 95% CI 3.13–3.43) and admission to intensive care (OR 1.32, 95% CI 1.26–1.39). Diabetic patients with COPDe had longer length of hospital stays (16.8 ± 66.8 vs. 10.5 ± 48.1 days, $p < 0.0001$) and higher medical expenditures (2838 ± 8983 vs.

Table 1. Baseline characteristics of people with and without COPD.

	No COPD N = 18684		COPD N = 9342		COPDe N = 4671		P value
	n	(%)	n	(%)	n	(%)	
Sex							1.0000
Female	9256	(49.5)	4628	(49.5)	2314	(49.5)	
Male	9428	(50.5)	4714	(50.5)	2357	(50.5)	
Age, years							1.0000
40–49	5064	(27.1)	2532	(27.1)	1266	(27.1)	
50–59	5292	(28.3)	2646	(28.3)	1323	(28.3)	
60–69	5744	(30.7)	2872	(30.7)	1436	(30.7)	
70–79	2584	(13.8)	1292	(13.8)	646	(13.8)	
Low income	289	(1.6)	295	(3.2)	231	(5.0)	<0.0001
Co-morbidities							
Hypertension	8667	(46.4)	5405	(57.9)	2803	(60.0)	<0.0001
Mental disorder	5296	(28.4)	4225	(45.2)	2213	(47.4)	<0.0001
Ischemic heart disease.	3140	(16.8)	2900	(31.0)	1621	(34.7)	<0.0001
Stroke	2941	(15.7)	2095	(22.4)	1324	(28.4)	<0.0001
Liver cirrhosis	3316	(17.8)	2628	(28.1)	1151	(24.6)	<0.0001
Hyperlipidemia	3948	(21.1)	2841	(30.4)	1142	(24.5)	<0.0001
Heart failure	511	(2.7)	612	(6.6)	555	(11.9)	<0.0001
Anemia	1139	(6.1)	858	(9.2)	416	(8.9)	<0.0001
Parkinson's disease	355	(1.9)	245	(2.6)	166	(3.6)	<0.0001
Atrial fibrillation	209	(1.1)	236	(2.5)	161	(3.5)	<0.0001
Peripheral vascular disease	399	(2.1)	331	(3.5)	156	(3.3)	<0.0001
Renal dialysis	232	(1.2)	131	(1.4)	117	(2.5)	<0.0001
Anticoagulants	540	(2.9)	450	(4.8)	307	(6.6)	<0.0001
Anti-platelet agents	7884	(42.2)	5461	(58.5)	2965	(64.5)	<0.0001
Lipid-lowering agents	5660	(30.3)	3732	(40.0)	1877	(40.2)	<0.0001

COPD = chronic obstructive pulmonary disease; COPDe = chronic obstructive pulmonary disease with exacerbations.

<https://doi.org/10.1371/journal.pone.0181815.t001>

2157±5243 US dollars, $p < 0.0001$) than those without COPD. Mortality after diabetes hospitalization was also significantly associated with history of COPDe (OR 2.06, 95% CI 1.88–2.25).

The adjusted ORs for COPD patients developing pneumonia after pre-admission for diabetes at 3 months, 6 months, 12 months, and 18 months were 2.77 (95% CI 2.67–2.86), 2.49 (95% CI 2.41–2.57), 2.26 (95% CI 2.20–2.33), and 2.12 (95% CI 2.06–2.18), respectively (Table 5). The risks of mortality and ICU stay associated with COPD also decreased with the time course of COPDe occurrence. Similar results regarding risk of post-diabetes pneumonia, mortality and ICU stay were also found in patients with COPDe. The risk of post-diabetes pneumonia was associated with the occurrences of COPDe within pre-admission for diabetes at 3 months (OR 3.78, 95% CI 3.56–4.02), 6 months (OR 3.46, 95% CI 3.28–3.66), 12 months (OR 3.37, 95% CI 3.21–3.54), and 18 months (OR 3.29, 95% CI 3.13–3.44). The ORs of post-diabetes pneumonia, mortality and ICU stay associated with respiratory invasive treatment in patients with COPDe were 3.30 (95% CI 3.10–3.53), 0.92 (95% CI 0.77–1.10), and 1.52 (95% CI 1.41–1.63), respectively.

Discussion

Our retrospective cohort study showed that COPD patients with and without exacerbations showed significantly increased risk of developing diabetes compared with those without

Table 2. Risk of diabetes for patients with and without COPD by sex and age.

		n	Events	Person-years	Incidence ^b	HR	(95% CI) ^a
No COPD		18684	563	167874	3.4	1.00	(reference)
COPD		9342	360	87209	4.1	1.09	(1.02–1.17)
COPDe		4671	308	41658	7.4	2.18	(1.88–2.52)
COPDe treatment ^c		646	23	3289	7.0	1.47	(0.95–2.26)
Female	No COPD	9256	302	85915	3.5	1.00	(reference)
	COPD	4628	188	43903	4.3	1.08	(0.99–1.19)
	COPDe	2314	162	21576	7.5	2.11	(1.72–2.58)
Male	No COPD	9428	261	81959	3.2	1.00	(reference)
	COPD	4714	172	43306	4.0	1.10	(0.99–1.22)
	COPDe	2357	146	20082	7.3	2.27	(1.83–2.82)
40–49 years	No COPD	5064	42	50281	0.8	1.00	(reference)
	COPD	2532	47	25346	1.9	1.37	(1.10–1.70)
	COPDe	1266	50	12465	4.0	3.73	(2.41–5.79)
50–59 years	No COPD	5292	123	49787	2.5	1.00	(reference)
	COPD	2646	79	24917	3.2	1.16	(1.00–1.35)
	COPDe	1323	89	12312	7.2	2.88	(2.16–3.86)
60–69 years	No COPD	5744	263	50401	5.2	1.00	(reference)
	COPD	2872	159	26638	6.0	1.04	(0.94–1.15)
	COPDe	1436	119	12363	9.6	1.71	(1.35–2.16)
70–79 years	No COPD	2584	135	17405	7.8	1.00	(reference)
	COPD	1292	75	10307	7.3	1.03	(0.89–1.19)
	COPDe	646	50	4518	11.1	1.73	(1.23–2.42)
0 co-morbidity	No COPD	5249	99	40532	2.4	1.00	(reference)
	COPD	1284	36	10600	3.4	1.17	(0.96–1.45)
	COPDe	627	37	4744	7.8	2.68	(1.74–4.11)
1 co-morbidity	No COPD	5527	188	49963	3.8	1.00	(reference)
	COPD	2279	77	20501	3.8	1.02	(0.87–1.19)
	COPDe	1073	71	8965	7.9	2.52	(1.86–3.42)
2 co-morbidities	No COPD	4219	135	40313	3.3	1.00	(reference)
	COPD	2404	98	22626	4.3	1.08	(0.95–1.24)
	COPDe	1200	92	10570	8.7	2.19	(1.65–2.90)
≥ 3 co-morbidities	No COPD	3689	141	37065	3.8	1.00	(reference)
	COPD	3375	149	33481	4.5	1.08	(0.97–1.20)
	COPDe	1771	108	17380	6.2	1.81	(1.45–2.26)

CI = confidence interval; COPD = chronic obstructive pulmonary disease; e = exacerbations; HR = hazard ratio.

^aAdjusted for all covariates listed in Table 1.

^bPer 1000 person-years.

^cInvasive treatments for patients with COPD or COPDe was included.

<https://doi.org/10.1371/journal.pone.0181815.t002>

COPD. The nested cohort study showed diabetic patients with history of COPD were significantly associated with increased pneumonia, admission to intensive care, prolonged length of stay, increased medical expenditure and mortality. The results of our studies were consistent with previous reports. [11–16]

Exacerbation is critically important in the natural history and clinical outcome for COPD patients. Our study showed that COPD patients with or without exacerbations were associated with higher risk of developing diabetes, and COPD *per se* impacts diabetes outcomes

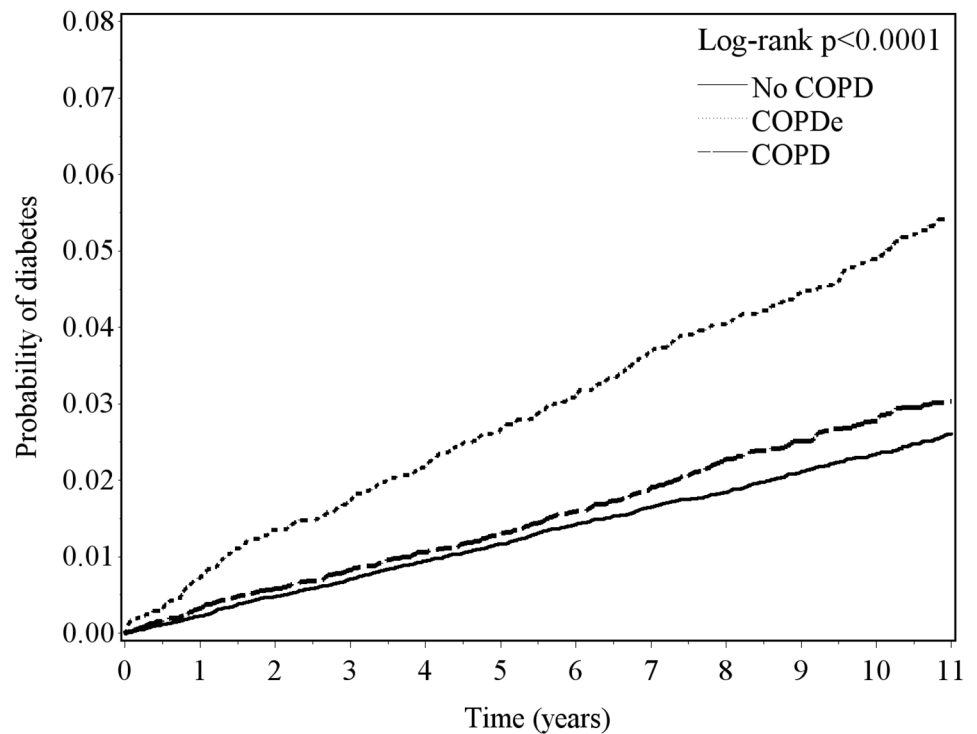


Fig 1. Probability of diabetes risk estimated using the Kaplan-Meier method for people with no COPD, COPD, and COPDe. Compared with non-COPD cohort or COPD cohort, patients with COPDe showed significantly increased probability of developing diabetes during the follow-up years (log-rank test, $p < 0.0001$).

<https://doi.org/10.1371/journal.pone.0181815.g001>

significantly. Patients experiencing frequent exacerbations were at higher risk for declined lung function and increased mortality [22–24]. Previous report also suggested that most COPD exacerbations are due to lower respiratory tract infections [25], which significantly worsened outcomes in COPD patients in terms of increased exacerbation rate and mortality [26].

Comorbidities including hypertension, hyperlipidemia, stroke and cardiovascular disease were known as independent factors associated with diabetes also commonly coexisting in patients with COPD [5–7,11,13,27]. To reduce confounding effects, we used multivariate regression models to adjust comorbid conditions and calculated the risk of diabetes in patients with COPD. Age, gender and socioeconomic status were also considered as potential confounding factors associated with COPD and diabetes [12]. All these characteristics were adjusted in the multivariate regression models. Although previous cohort studies used nationwide data to analyze the risk of diabetes in COPD patients, they were limited by inadequate adjustment for potential confounders [15,16]. The present study showed that COPD with exacerbations was associated with risk of developing diabetes in various age groups, comorbidities and both sexes. However, the association was weaker in subjects with more than three comorbidities. It is possible that more numerous and complex comorbidities may dilute the impact of COPD on the risk of diabetes. Compared with non-COPD group, COPDe patients with invasive respiratory treatment did not have increased diabetes risk and post-diabetes mortality in this study. This non-significant association may be due to the beneficial effects of invasive respiratory treatment for patients with COPDe. However, the phenomenon needs future clinical trials for proving the beneficial effects of invasive respiratory treatment.

Table 3. Characteristics of hospitalized diabetic patients with and without COPD history.

	No COPD N = 308709		COPD N = 72554		COPDe N = 14253		P value
	n	(%)	n	(%)	N	(%)	
Gender							<0.0001
Female	131332	(42.5)	31734	(43.7)	5210	(36.6)	
Male	177377	(57.5)	40820	(56.3)	9043	(63.4)	
Age, years							<0.0001
40–49	61709	(20.0)	6285	(8.7)	1002	(7.0)	
50–59	97039	(31.4)	14726	(20.3)	2168	(15.2)	
60–69	84093	(27.2)	22117	(30.5)	3796	(26.6)	
70–79	65868	(21.3)	29426	(40.6)	7287	(51.1)	
Low income	13676	(4.4)	4335	(6.0)	1211	(8.5)	<0.0001
Co-morbidities							
Hypertension	113546	(36.8)	36863	(50.8)	7087	(49.7)	<0.0001
Mental disorder	41068	(13.3)	15923	(22.0)	3402	(23.9)	<0.0001
Ischemic heart disease	31594	(10.2)	14787	(20.4)	3241	(22.7)	<0.0001
Stroke	30325	(9.8)	10939	(15.1)	2800	(19.6)	<0.0001
Heart failure	4579	(1.5)	4199	(5.8)	1569	(11.0)	<0.0001
Dementia	4020	(1.3)	2179	(3.0)	657	(4.6)	<0.0001
Hyperlipidemia	26809	(8.7)	8670	(12.0)	1146	(8.0)	<0.0001
Anemia	5203	(1.7)	2104	(2.9)	429	(3.0)	<0.0001
Parkinson’s disease	2652	(0.9)	1327	(1.8)	368	(2.6)	<0.0001
Atrial fibrillation	1857	(0.6)	1107	(1.5)	321	(2.3)	<0.0001
Liver cirrhosis	7704	(2.5)	1767	(2.4)	336	(2.4)	0.4085
Peripheral vascular disease	2365	(0.8)	945	(1.3)	173	(1.2)	<0.0001
Renal dialysis	2610	(0.9)	623	(0.9)	157	(1.1)	0.0052

COPD = chronic obstructive pulmonary disease; e = exacerbations.

<https://doi.org/10.1371/journal.pone.0181815.t003>

Although the mechanism for increased risk of diabetes in COPD remains unclear, we suggest that systemic inflammation is a plausible explanation. In patients with COPD, there is much evidence that the serum levels of inflammatory mediators are increased, including tumor necrosis factor alpha (TNF- α), interleukin-6 (IL-6) or C reactive protein (CRP) [28,29]. High levels of TNF- α may interfere with glucose metabolism and insulin sensitivity and increase the risk of new onset diabetes [30, 31]. Elevated levels of IL-6 and CRP have been shown to predict the development of type 2 diabetes [28, 29].

Table 4. Outcomes during diabetes hospitalization in patients with COPD.

	No COPD		COPD		COPDe		Risk for COPD		Risk for COPDe	
	Events	(%)	Events	(%)	Events	(%)	OR (95% CI) ^a		OR (95% CI) ^a	
Pneumonia	19848	(6.4)	9841	(13.6)	3110	(21.8)	2.04	(1.98–2.10)	3.28	(3.13–3.43)
ICU	29527	(9.6)	7973	(11.0)	2183	(15.3)	1.01	(0.98–1.04)	1.32	(1.26–1.39)
Mortality	5114	(1.7)	1778	(2.5)	655	(4.6)	1.23	(1.16–1.30)	2.06	(1.88–2.25)
ME, US dollars ^b	2157±5243		2292±6482		2838±8983		p<0.0001		p<0.0001	
Length of stay, days ^b	10.5±48.1		11.6±48.8		16.8±66.8		p<0.0001		p<0.0001	

CI = confidence interval; COPD = chronic obstructive pulmonary disease; e = exacerbation; ME = medical expenditure; OR = odds ratio.

^aAdjusted for all covariates listed in Table 3.

^bMean±SD

<https://doi.org/10.1371/journal.pone.0181815.t004>

Table 5. Time effects of COPD on the outcomes of diabetes admission.

History of COPD	n	Pneumonia		Mortality		ICU stay	
		OR	(95% CI)	OR	(95% CI)	OR	(95% CI)
No COPD	308709	1.00	(reference)	1.00	(reference)	1.00	(reference)
COPD occurred							
Pre-admission 3 month	29390	2.77	(2.67–2.86)	1.41	(1.31–1.52)	1.09	(1.05–1.13)
Pre-admission 6 month	38499	2.49	(2.41–2.57)	1.38	(1.28–1.48)	1.06	(1.02–1.09)
Pre-admission 12 month	52461	2.26	(2.20–2.33)	1.31	(1.23–1.40)	1.04	(1.00–1.07)
Pre-admission 18 month	63310	2.12	(2.06–2.18)	1.27	(1.20–1.35)	1.02	(0.99–1.05)
COPDe occurred							
Pre-admission 3 month	6520	3.78	(3.56–4.02)	2.26	(2.01–2.55)	1.45	(1.36–1.56)
Pre-admission 6 month	8350	3.46	(3.28–3.66)	2.27	(2.04–2.52)	1.43	(1.35–1.52)
Pre-admission 12 month	10858	3.37	(3.21–3.54)	2.21	(2.01–2.43)	1.39	(1.31–1.47)
Pre-admission 18 month	12733	3.29	(3.13–3.44)	2.10	(1.92–2.31)	1.36	(1.29–1.43)
With invasive treatment	5896	3.30	(3.10–3.53)	0.92	(0.77–1.10)	1.52	(1.41–1.63)

CI = confidence interval; COPD = chronic obstructive pulmonary disease; e = exacerbation; ICU = intensive care unit; OR = odds ratio.

<https://doi.org/10.1371/journal.pone.0181815.t005>

Another possible explanation for increased risk of diabetes in COPD patients might relate to COPD medications. Current international guidelines suggest systemic glucocorticoid therapy, at least a 5-day course, to manage COPD exacerbations [32]. Yet prolonged exposure to corticosteroids is known to lead to substantial side effects in COPD patients, even death [33]. In addition, steroid therapy for COPD can lead to the development or worsening of diabetes [34,35], although controversy surrounds this observation [36,37]. Whether high-dose and/or long-term steroid use in COPD patients causes type 2 diabetes needs further investigation. Oxidative stress is considered an imbalance between oxidants and antioxidants. In COPD patients, either when stable or during exacerbations, oxidative stress was induced mainly by inhaled oxidants such as cigarette smoke or pollution [38]. Oxidative stress, mainly smoke-induced in COPD patients, could cause insulin resistance in type 2 diabetes [39].

A previous study found that diabetes is associated with an increased risk of pulmonary infections, disease exacerbations and worsened COPD outcomes [31]. On the other hand, we found that COPD may be considered a novel risk factor for new onset diabetes and this phenomenon may be via multiple mechanisms, including steroids therapy and oxidative stress [28,29]. The further investigation is needed to clarify the link between COPD and diabetes.

This study has some limitations. First, we used insurance claims data that lacked detailed information on sociodemographic and lifestyle factors, hormonal status and biomedical measures [6,7]. Second, pulmonary function data were not available, so the severity of COPD was not classified using Global Initiative for Obstructive Lung Disease criteria [40]. When interpreting the findings of this study should be cautioned because the unavailable data of lung function test is a very important limitation. However, exacerbations are generally considered to become more frequent as the severity of underlying COPD increases [41] and findings from this study cannot be compared to those using Global Initiative for Obstructive Lung Disease criteria for disease staging [12,40]. Third, although we used multivariate adjustment to control for confounders, residual confounding is always possible.

In conclusion, COPD is associated with higher risks of developing diabetes or post-diabetes pneumonia, mortality. However, the real mechanism between COPD and diabetes needs further basic lab data and clinical investigations.

Acknowledgments

This study is based in part on data obtained from the National Health Insurance Research Database. This database is provided by the Bureau of National Health Insurance of Taiwan's Ministry of Health and Welfare, and is managed by the National Health Research Institutes. The authors' interpretations and conclusions do not represent those of the Bureau of National Health Insurance, the Ministry of Health and Welfare, or the National Health Research Institutes.

Author Contributions

Conceptualization: Chao-Shun Lin, Chien-Chang Liao.

Data curation: Ta-Liang Chen, Chien-Chang Liao.

Formal analysis: Chien-Chang Liao.

Funding acquisition: Chao-Shun Lin, Ta-Liang Chen, Chien-Chang Liao.

Investigation: Chao-Shun Lin, Chih-Chung Liu, Chun-Chieh Yeh, Yi-Cheng Chang, Chi-Li Chung, Hsin-Long Lane, Chun-Chuan Shih, Ta-Liang Chen, Chien-Chang Liao.

Methodology: Chao-Shun Lin, Chih-Chung Liu, Chun-Chieh Yeh, Yi-Cheng Chang, Chi-Li Chung, Hsin-Long Lane, Chun-Chuan Shih, Ta-Liang Chen, Chien-Chang Liao.

Project administration: Chao-Shun Lin, Ta-Liang Chen, Chien-Chang Liao.

Resources: Chao-Shun Lin, Chih-Chung Liu, Chun-Chieh Yeh, Yi-Cheng Chang, Chi-Li Chung, Hsin-Long Lane, Chun-Chuan Shih, Ta-Liang Chen, Chien-Chang Liao.

Software: Chao-Shun Lin, Ta-Liang Chen, Chien-Chang Liao.

Supervision: Ta-Liang Chen, Chien-Chang Liao.

Validation: Ta-Liang Chen, Chien-Chang Liao.

Writing – original draft: Chao-Shun Lin, Ta-Liang Chen, Chien-Chang Liao.

Writing – review & editing: Chao-Shun Lin, Chih-Chung Liu, Chun-Chieh Yeh, Yi-Cheng Chang, Chi-Li Chung, Hsin-Long Lane, Chun-Chuan Shih, Ta-Liang Chen, Chien-Chang Liao.

References

1. GBD 2013 Mortality and Causes of Death Collaborators. Global, regional, and national age-sex specific all-cause and cause-specific mortality for 240 causes of death, 1990–2013: a systematic analysis for the Global Burden of Disease Study 2013. *Lancet*. 2015; 385: 117–171. [https://doi.org/10.1016/S0140-6736\(14\)61682-2](https://doi.org/10.1016/S0140-6736(14)61682-2) PMID: 25530442
2. American Diabetes Association. Economic costs of diabetes in the U.S. in 2012. *Diabetes Care*. 2013; 36: 1033–1046. <https://doi.org/10.2337/dc12-2625> PMID: 23468086
3. Polonsky KS. The past 200 years in diabetes. *N Engl J Med*. 2012; 367: 1332–1340. <https://doi.org/10.1056/NEJMr1110560> PMID: 23034021
4. Wild S, Roglic G, Green A, Sicree R, King H. Global prevalence of diabetes: estimates for the year 2000 and projections for 2030. *Diabetes Care*. 2004; 27: 1047–1053. PMID: 15111519
5. Cavallès A, Brinchault-Rabin G, Dixmier A, Goupil F, Gut-Gobert C, Marchand-Adam S, et al. Comorbidities of COPD. *Eur Res Rev*. 2013; 22: 454–475.
6. Liao CC, Lin CS, Shih CC, Yeh CC, Chang YC, Lee YW, et al. Increased risk of fracture and postfracture adverse events in patients with diabetes: two nationwide population-based retrospective cohort studies. *Diabetes Care*. 2014; 37: 2246–2252. <https://doi.org/10.2337/dc13-2957> PMID: 24804698

7. Yeh CC, Liao CC, Chang YC, Jeng LB, Yang HR, Shih CC, et al. Adverse outcomes after noncardiac surgery in patients with diabetes: a nationwide population-based retrospective cohort study. *Diabetes Care*. 2013; 36: 3216–3221. <https://doi.org/10.2337/dc13-0770> PMID: 23990518
8. Cazzola M, Calzetta L, Rogliani P, et al. High glucose enhances responsiveness of human airways smooth muscle via the Rho/ROCK pathway. *Am J Respir Cell Mol Biol*. 2012; 47: 509–516. <https://doi.org/10.1165/rcmb.2011-0449OC> PMID: 22652200
9. Walter RE, Beiser A, Givelber RJ, O'Connor GT, Gottlieb DJ. Association between glycemic state and lung function: the Framingham Heart Study. *Am J Respir Crit Care Med*. 2003; 167: 911–916. <https://doi.org/10.1164/rccm.2203022> PMID: 12623860
10. Davis WA, Knuiam M, Kendall P, Grange V, Davis TM. Glycemic exposure is associated with reduced pulmonary function in type 2 diabetes: the Fremantle Diabetes Study. *Diabetes Care*. 2004; 27: 752–757. PMID: 14988297
11. Feary JR, Rodrigues LC, Smith CJ, Hubbard RB, Gibson JE. Prevalence of major comorbidities in subjects with COPD and incidence of myocardial infarction and stroke: a comprehensive analysis using data from primary care. *Thorax*. 2010; 65: 956–962. <https://doi.org/10.1136/thx.2009.128082> PMID: 20871122
12. Mannino DM, Thorn D, Swensen A, Holguin F. Prevalence and outcomes of diabetes, hypertension and cardiovascular disease in COPD. *Eur Respir J*. 2008; 32: 962–969. <https://doi.org/10.1183/09031936.00012408> PMID: 18579551
13. Cazzola M, Bettoncelli G, Sessa E, Cricelli C, Biscione G. Prevalence of comorbidities in patients with chronic obstructive pulmonary disease. *Respiration*. 2010; 80: 112–119. <https://doi.org/10.1159/000281880> PMID: 20134148
14. Joo H, Park J, Lee SD, Oh YM. Comorbidities of chronic obstructive pulmonary disease in Koreans: a population-based study. *J Korean Med Sci*. 2012; 27: 901–906. <https://doi.org/10.3346/jkms.2012.27.8.901> PMID: 22876057
15. Lee CT, Mao IC, Lin CH, Lin SH, Hsieh MC. Chronic obstructive pulmonary disease: a risk factor for type 2 diabetes: a nationwide population-based study. *Eur J Clin Invest*. 2013; 43: 1113–1119. <https://doi.org/10.1111/eci.12147> PMID: 24028296
16. Sode BF, Dahl M, Nordestgaard BG. Myocardial infarction and other comorbidities in patients with chronic obstructive pulmonary disease: a Danish nationwide study of 7.4 million individuals. *Eur Heart J*. 2011; 32: 2365–2375. <https://doi.org/10.1093/eurheartj/ehr338> PMID: 21875856
17. Wang MT, Tsai CL, Lo YW, Liou JT, Lee WJ, Lai IC. Risk of stroke associated with inhaled ipratropium bromide in chronic obstructive pulmonary disease: a population-based nested case-control study. *Int J Cardiol*. 2012; 158: 279–284. <https://doi.org/10.1016/j.ijcard.2012.02.012> PMID: 22386700
18. Lin HW, Chung CL, Lin YS, Yu CM, Lee CN, Bien MY. Inhaled pharmacotherapy and stroke risk in patients with chronic obstructive pulmonary disease: a nationwide population-based study using two-stage approach. *PLoS One*. 2015; 10: e0130102. <https://doi.org/10.1371/journal.pone.0130102> PMID: 26158649
19. Wedzicha JA, Seemungal TA. COPD exacerbations: defining their cause and prevention. *Lancet*. 2007; 370: 786–796. [https://doi.org/10.1016/S0140-6736\(07\)61382-8](https://doi.org/10.1016/S0140-6736(07)61382-8) PMID: 17765528
20. Rodriguez-Roisin R. Toward a consensus definition for COPD exacerbations. *Chest*. 2000; 117(5 Suppl 2): 398S–401S. PMID: 10843984
21. Lin CS, Shih CC, Yeh CC, Hu CJ, Chung CL, Chen TL, et al. Risk of stroke and post-stroke adverse events in patients with exacerbations of chronic obstructive pulmonary disease. *PLoS One*. 2017; 12: e0169429. <https://doi.org/10.1371/journal.pone.0169429> PMID: 28060955
22. Donaldson GC, Seemungal TAR, Bhowmik A, Wedzicha JA. Relationship between exacerbation frequency and lung function decline in chronic obstructive pulmonary disease. *Thorax*. 2002; 57: 847–852. <https://doi.org/10.1136/thorax.57.10.847> PMID: 12324669
23. Bhowmik A, Seemungal TA, Sapsford RJ, Wedzicha JA. Relation of sputum inflammatory markers to symptoms and lung function changes in COPD exacerbations. *Thorax*. 2000; 55: 114–120. <https://doi.org/10.1136/thorax.55.2.114> PMID: 10639527
24. Soler-Cataluña JJ, Martínez-García MÁ, Román Sánchez P, Salcedo E, Navarro M, Ochando R. Severe acute exacerbations and mortality in patients with chronic obstructive pulmonary disease. *Thorax*. 2005; 60: 925–931. <https://doi.org/10.1136/thx.2005.040527> PMID: 16055622
25. Seemungal T, Harper-Owen R, Bhowmik A, Moric I, Sanderson G, Message S, et al. Respiratory viruses, symptoms, and inflammatory markers in acute exacerbations and stable chronic obstructive pulmonary disease. *Am J Respir Crit Care Med*. 2001; 164: 1618–1623. <https://doi.org/10.1164/ajrccm.164.9.2105011> PMID: 11719299

26. Hurst JR, Vestbo J, Anzueto A, Locantore N, Müllerova H, Tal-Singer R, et al. Evaluation of COPD longitutinally to identify predictive surrogate endpoints (ECLIPSE) investigators. Susceptibility to exacerbation in chronic obstructive pulmonary disease. *N Engl J Med*. 2010; 363: 1128–1138.
27. Piette JD, Kerr EA. The impact of comorbid chronic conditions on diabetes care. *Diabetes Care*. 2006; 29: 725–731. PMID: [16505540](#)
28. Pradhan AD, Manson JE, Rifai N, Buring JE, Ridker PM. C-reactive protein, interleukin 6, and risk of developing type 2 diabetes mellitus. *JAMA*. 2001; 286: 327–334. PMID: [11466099](#)
29. Sevenoaks MJ, Stockley RA. Chronic obstructive pulmonary disease, inflammation and co-morbidity—a common inflammatory phenotype? *Respir Res*. 2006; 7: 70. <https://doi.org/10.1186/1465-9921-7-70> PMID: [16669999](#)
30. MacNee W. Systemic inflammatory biomarkers and co-morbidities of chronic obstructive pulmonary disease. *Ann Med*. 2013; 45: 291–300. <https://doi.org/10.3109/07853890.2012.732703> PMID: [23110517](#)
31. Mirrakhimov AE. Chronic obstructive pulmonary disease and glucose metabolism: a bitter sweet symphony. *Cardiovasc Diabetol*. 2012; 11: 132. <https://doi.org/10.1186/1475-2840-11-132> PMID: [23101436](#)
32. Leuppi JD, Schuetz P, Bingisser R, Bodmer M, Briel M, Drescher T, et al. Short-term vs conventional glucocorticoid therapy in acute exacerbations of chronic obstructive pulmonary disease: the REDUCE randomized clinical trial. *JAMA*. 2013; 309: 2223–2231. <https://doi.org/10.1001/jama.2013.5023> PMID: [23695200](#)
33. Groenewegen KH, Schols AM, Wouters EF. Mortality and mortality-related factors after hospitalization for acute exacerbation of COPD. *Chest*. 2003; 124: 459–467. PMID: [12907529](#)
34. Spies CM, Strehl C, van der Goes MC, Bijlsma JW, Buttgerit F. *Best Pract Res Clin Rheumatol*. 2011; 25: 891–900.
35. Suissa S, Kezouh A, Ernst P. Inhaled corticosteroids and the risks of diabetes onset and progression. *Am J Med*. 2010; 123: 1001–1006. <https://doi.org/10.1016/j.amjmed.2010.06.019> PMID: [20870201](#)
36. Blackburn D, Hux J, Mamdani M. Quantification of the risk of corticosteroid-induced diabetes mellitus among the elderly. *J Gen Intern Med*. 2002; 17: 717–720. <https://doi.org/10.1046/j.1525-1497.2002.10649.x> PMID: [12220369](#)
37. O'Byrne PM, Rennard S, Gerstein H, Radner F, Peterson S, Lindberg B, et al. Risk of new onset diabetes mellitus in patients with asthma or COPD taking inhaled corticosteroids. *Respir Med*. 2012; 106: 1487–1493. <https://doi.org/10.1016/j.rmed.2012.07.011> PMID: [22902134](#)
38. Anderson D, Macnee W. Targeted treatment in COPD: a multi-system approach for a multi-system disease. *Int J Chron Obstruct Pulmon Dis*. 2009; 4: 321–335. PMID: [19750192](#)
39. Tangvarasittichai S. Oxidative stress, insulin resistance, dyslipidemia and type 2 diabetes mellitus. *World J Diabetes*. 2015; 6: 456–480. <https://doi.org/10.4239/wjcd.v6.i3.456> PMID: [25897356](#)
40. Vogelmeier CF, Criner GJ, Martinez FJ, Anzueto A, Barnes PJ, Bourbeau J, et al. Global Strategy for the Diagnosis, Management, and Prevention of Chronic Obstructive Lung Disease 2017 Report. GOLD Executive Summary. *Am J Respir Crit Care Med*. 2017; 195: 557–582. <https://doi.org/10.1164/rccm.201701-0218PP> PMID: [28128970](#)
41. Donaldson GC, Wedzicha JA. COPD exacerbations. 1: Epidemiology. *Thorax*. 2006; 61: 164–168. <https://doi.org/10.1136/thx.2005.041806> PMID: [16443707](#)