

The Role of Complement in Synaptic Pruning and Neurodegeneration

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Abstract: The complement system, an essential part of the innate immune system, is composed of a group of secreted and membrane proteins that collectively participate in maintaining the function of the healthy and diseased brain. However, an inappropriate activation of the complement system has been related to an inflammatory response in multiple diseases, such as stroke, traumatic brain injury, multiple sclerosis, and Alzheimer's disease, as well as Zika infection and radiotherapy. In addition, C1q and C3 (initial activation components of the complement cascade) have been shown to play a key beneficial role in the refinement of synaptic circuits during developmental stages and adult plasticity. Nevertheless, excessive synaptic pruning in the adult brain can be detrimental and has been associated with synaptic loss in several pathological conditions. In this brief review, we will discuss the role of the complement system in synaptic pruning as well as its contribution to neurodegeneration and cognitive deficits. We also mention potential therapeutic approaches to target the complement system to treat several neuroinflammatory diseases and unintended consequences of radiotherapy.

Keywords: microglia, C1q, synapses, phagocytosis, inflammation

Introduction

The complement system, an ancient and critical component of the immune response, plays an essential role in maintaining brain homeostasis as it participates in host defense by quickly recognizing and eliminating pathogens, cellular debris and misfolded proteins. It is composed of more than 40 proteins that act as a cascade strategy, leading to the generation of various opsonins, anaphylatoxins and ultimately, the membrane attack complex (MAC) (Figure 1). The complement system can be activated by different stimuli through three different recognition pathways (the classical, the alternative and the lectin pathways). All three converge at C3, which is cleaved into C3b/iC3b, and ultimately can lead to the production of proinflammatory C5a and formation of the MAC.^{1,2} Regardless of the activation mechanism, the functional results are as follows: 1) opsonization of pathogens and ingestion of dying cells, 2) phagocytic cell chemotaxis to the site of the injury, 3) increased local vascular permeability, and 4) MAC formation creating a pore in the pathogen cell membrane, which results in pathogen lysis.^{2,3} During development, the complement system takes part in the refinement of synaptic circuits in which less active synaptic connections are eliminated. This process, known as synaptic pruning, involves the phagocytosis of “weak” or inactive synapses by microglial cells⁴ via engagement of synapse-bound iC3b and the microglial CR3 complement

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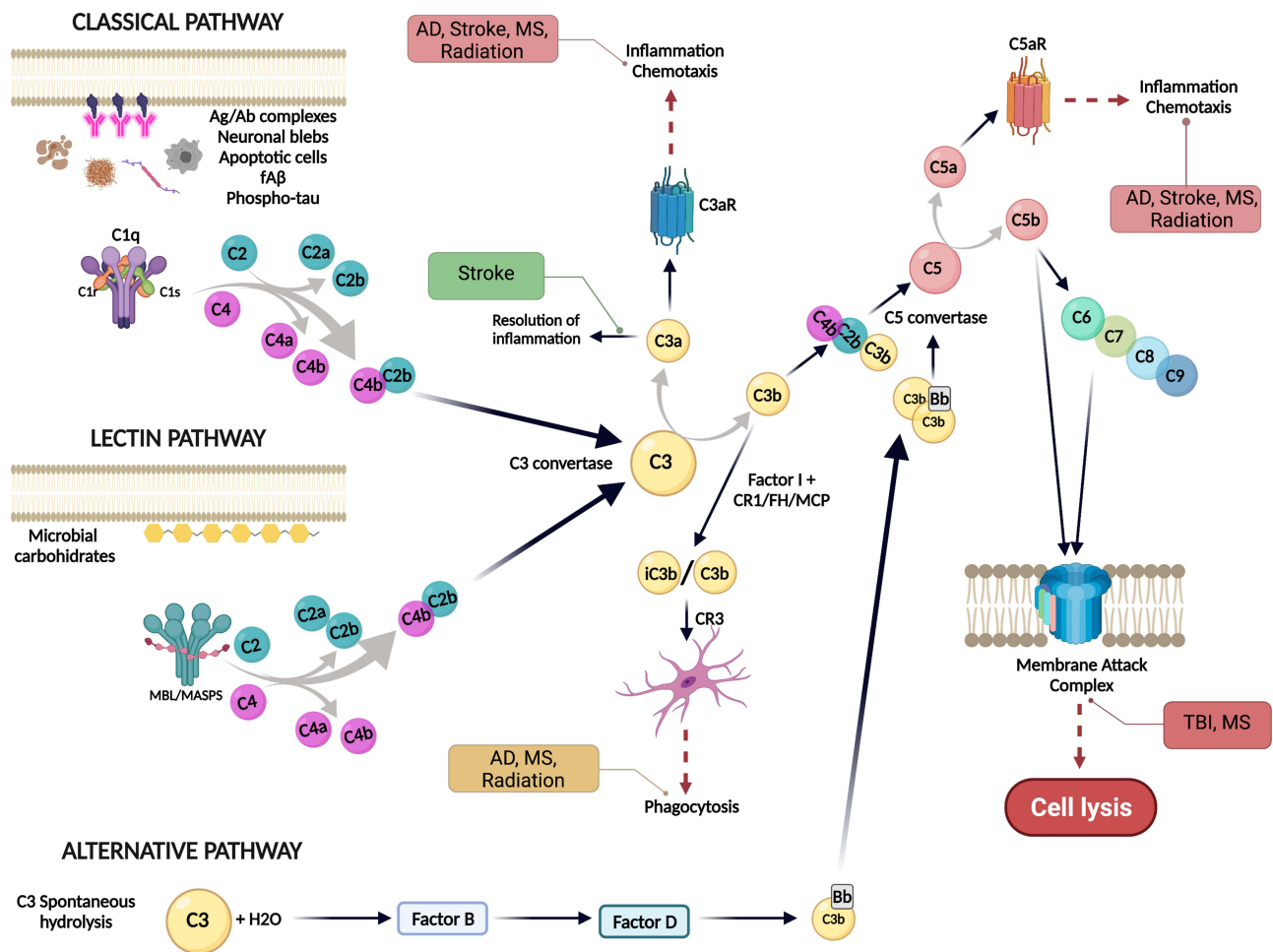


Figure 1 Overview of the complement system activation pathways. The complement system can be activated by three different pathways: classical, lectin or the alternative pathway. The presence of neuronal blebs, fA β , phospho-tau, apoptotic cells or antigen-antibody complexes can bind to C1 complex and activate the classical pathway. The lectin pathway is activated when microbial carbohydrates bind mannan-binding lectin (MBL) in complex with MASP1/2 and the alternative pathway is activated by a spontaneous hydrolysis of C3. All three pathways converge at C3, that is cleaved by the C3 convertase into C3a and C3b. C3a promotes chemotaxis via C3aR, while C3b could bind to C4b2b to form the C5 convertase and cleave C5 into C5a and C5b. C5a is a potent inflammatory effector that promotes chemotaxis and activation through C5aR1, while C5b binds to C6, C7, C8 and C9 to form the membrane attack complex (MAC) to induce cell lysis. Green boxes indicate a beneficial role of the complement system, orange boxes mean that the effect can be either beneficial or detrimental if dysregulated and red boxes denote a detrimental effect of the complement system associated with specific conditions. Created with BioRender.com.

receptor (CD11b/CD18).⁵ Besides its multiple beneficial functions in brain homeostasis, the complement system has also been implicated in neurodegeneration. Multiple complement proteins (such as C1q, C3 or C4) have been found to be elevated in the brains of patients with Huntington's Disease (HD), Alzheimer's disease (AD) and Parkinson's disease (PD), among others.⁶ Under pathological conditions, such as Alzheimer's disease (AD), virus infection or radiation-induced injury, excessive complement-mediated synaptic pruning results in an excessive elimination of synapses and is associated with cognitive impairment.⁷⁻⁹ In addition, the chemotactic complement activation fragments, C3a and C5a, may synergize with other inflammatory signals, to generate neurotoxic

inflammation. In this review, we will explore the beneficial and detrimental roles of the complement system in the brain, followed by a focus on neurodegeneration and its implications for the treatment of brain cancer. Preclinical complement targeted therapeutics are discussed and progress toward potential complement therapies for CNS disorders is addressed.

Complement in the Brain Sources of Complement in the Brain

Originally, the presence of complement activity was studied as a component of the immune system in blood with a major site of synthesis in the liver. However,

complement proteins are now recognized to be differentially induced during the development of the nervous system and by injury in multiple cell types in tissues, including CNS resident neurons, astrocytes, oligodendrocytes, and microglia (reviewed in^{2,10}). Most complement components increase in the brain with aging, and further increase in patients with neurodegenerative diseases and animal models of those diseases.² RNA-seq of sorted brain cell types has provided strong evidence of this in the past few years,¹¹ although the source of several components, such as C1r, C1s, and C2, required for the cleavage of first C4, then, C2 and ultimately C3 (via a C4b2b enzyme complex), and the terminal components remain to be determined as the technology becomes optimized for sensitivity required to detect local synthesis of induced components. In addition, the continuing discovery of molecules in the brain with structures similar to some complement components and complement regulators^{12–14} suggests the need for protection, regulation and repair in the brain and suggest that more novel components may yet to be uncovered.

Synaptic Pruning

It is well established that during development, excess of synapses (as well as less active synapses) need to be eliminated in order to obtain the appropriate number of synapses and refine the synaptic circuits. This process, most characterized as mediated by microglial cells, is known to involve the classical complement cascade.⁴ In the postnatal CNS and in the retina, C1q and C3 were found to be involved in beneficial and necessary synaptic pruning, while in adults, complement-dependent synaptic pruning was shown to be connected to the normal process of forgetting in adults.¹⁵ It has been proposed that a downregulation of synaptic pruning during development could contribute to cortical hyperconnectivity and behavioral symptoms that characterize individuals with autism, epilepsy, and schizophrenia.^{16–19} The complement component C1q has been localized at the synapses,⁴ suggesting that C1q might be tagging weak synapses to be engulfed by microglial cells. Studies with mice lacking C1q, C3, C4 or CR3 showed aberrant synaptic circuits that might be due to an impairment of synaptic pruning.^{5,16,20,21} Further support for the involvement of C1 comes from recent studies showing a protective effect of the sushi domain protein SRPX2 by binding to C1q and blocking its function, which thereby protects against excessive complement-mediated synapse elimination.¹⁴ However, Steven's

lab demonstrated that different mechanisms may occur in different brain regions.²² Furthermore, while evidence points to microglial engulfment through CR3 receptor, the exact mechanism by which microglial cells phagocytose tagged synapses is still not clear, and several other factors may be required for, or to enhance, this process. Fractalkine (Cx3CL1) and TREM2 signaling in the hippocampus have been related to microglial synaptic pruning.^{20,23} Ding et al recently described the role of SIRP α , a microglial CD47 receptor, in the regulation of synaptic pruning during neurodegeneration. SIRP α depletion in microglial cells compromises the ability to recognize CD47, a potent “don't eat me” signal, which resulted in the excessive phagocytosis of synapses.²⁴ Besides complement-mediated synaptic pruning, Weinhard et al suggested an alternative mechanism, trogocytosis, by which microglial cells might also eliminate synapses in a CR3 independent manner.²⁵ The contribution of astrocytes to synaptic pruning has also been described through different mechanism, which involves APOE and Megf10 and MERTK receptors.^{26,27}

Despite the beneficial function of synaptic circuit refinement, several groups have described a detrimental effect related to an aberrant complement-mediated synaptic pruning in normal aging as well as in multiple neurodegenerative disorders, such as AD (Figure 2).^{7,11,28,29} When the peak of developmental synaptic pruning has passed, there is a downregulation of C1q and C3 in the brain.^{4,5,30} However, accumulation of C1q at synapses has been shown in mouse models and in post-mortem brain from patients with AD, several tauopathies, and West Nile-virus, and is associated with synaptic loss.^{8,11,31} Moreover, infection by Zika Virus (ZIKV) has been shown to increase the expression of C1q and C3 in mice, and an intense microgliosis has been described. Mice infected by ZIKV showed a significant decrease in synaptic number that was directly associated with an increase pruning by microglial cells; suggesting that ZIKV infection leads to activation of the complement system and thus it induces an excessive synaptic pruning, leading to memory impairment in mice.³² Deletion or blockage of C1q, C3 or CR3 in mouse models of AD were shown to protect synapses and prevent cognitive impairments.^{7,11,28} Interestingly, synapse depletion and cognitive impairment resulting from Zika virus infection appeared dependent on C3aR rather than CR3.⁸ In addition, it is still unclear what triggers the activation of the complement cascade that leads to excessive synaptic pruning. It has been suggested

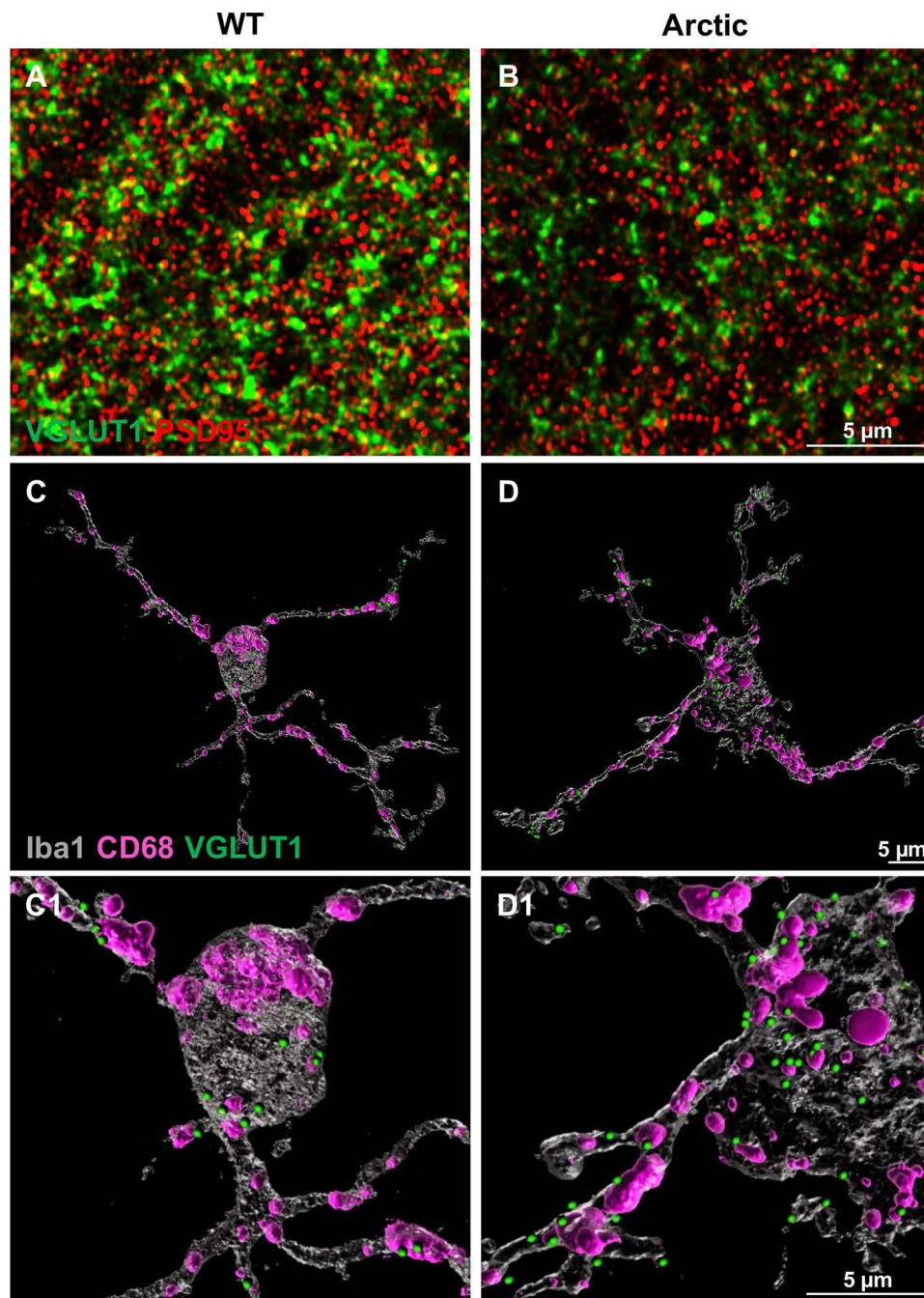


Figure 2 Excessive synaptic loss in a mouse model of Alzheimer's disease. Representative superresolution images of double immunofluorescence for a presynaptic marker (VGLUT1, green) and postsynaptic marker (PSD95, red) in hippocampus from a WT (**A**) and an Arctic AD model mouse (**B**) showing the loss of presynapses at 10 months of age. Courtesy of Dr. Maria Fonseca. This synaptic loss could be due to an increase in complement mediated microglial phagocytosis, as shown by a 3D reconstruction of microglia (Iba1, gray), lysosomes (CD68, pink) and presynapses (VGLUT1, green). The Arctic mouse (**D-D1**) showed an increased VGLUT1 within the microglia, when compared to a WT littermate (**C-C1**). Scale bars, 5 μ m. All animal procedures were approved by the Institutional Care and Use Committee of University of California Irvine.

that synaptosis (defined as local apoptosis at the individual synapses without neuronal death) could be the leading event.^{33–35} Kardos et al showed an increase in apoptotic markers and evidence of mitochondrial dysfunction in C1q-tagged synaptosomes, which is consistent with shared mechanisms between synaptic pruning and the regular

clearance of apoptotic cells by microglia.^{36,37} The aberrant external exposure of phosphatidyl serine or other signals of local damage, a lack of CD47 at the synapse, or “hypoenergetics” that then triggers ingestion have all been suggested. Interestingly, in multiple sclerosis (MS) an excessive synaptic pruning has been associated with

both an increase in C1q^{38,39} and a C1q-independent increase in C3 at the synapses. Moreover, overexpression of Crry (a potent complement inhibitor in mice) at C3b-bound synapses, reduced microglial synaptic pruning in a mouse model of demyelinating disease, suggesting a role of the alternative complement pathway in synaptic elimination in MS.⁴⁰ In summary, synaptic pruning is a double-edged sword that, while contributing to normal synaptic plasticity, when not properly regulated can be detrimental.

Neuroinflammation in the Brain and Therapeutic Potential

An inflammatory response usually involves multiple mediators and different cells with the ultimate goal of neutralizing the pathogen or injury that initially triggered the process, as well as repairing the damage to the tissue affected by it. Complement-induced cleavage products C3a and C5a are known to contribute to inflammation and activation of immune cells expressing C3aR or C5aR1 (G-protein coupled anaphylatoxin receptors), which leads to the induction of chemotaxis and cell activation including cytokine production.^{1,41} Multiple injuries and/or stimuli can activate the complement system in the CNS, and as a result, the inflammatory response driven by the complement system is present in a wide range of diseases⁴² (Figure 1). While some disorders at least initially involve peripherally derived complement components owing to a compromised blood-brain barrier such as stroke, traumatic brain injury (TBI), and MS, CNS produced complement appears to be the dominant chronic source of these components in many neurodegenerative diseases.

Alzheimer's Disease (AD)

Alzheimer's disease is the most prevalent cause of dementia around the world and is the sixth leading cause of death in the US.⁴³ This devastating neurodegenerative disease is characterized by the presence of amyloid- β plaques, neurofibrillary tangles and reactive glial cells. Evidence, including extensive GWAS studies, strongly suggests a key role for inflammation in the progression of the disease (reviewed in⁴⁴⁻⁴⁷). Several anti-inflammatory therapies have been tested in clinical trials to treat Alzheimer's without success, suggesting that broad inhibition of inflammation is not the key to stop the neuropathology of the disease, but instead, more specific targets are required.^{44,48} The complement system can be activated by

fibrillar A β and hyperphosphorylated tau tangles.⁴⁹⁻⁵¹ Moreover, several complement molecules, such as C1q, C3 or C4, have been found to co-localize with amyloid plaques in both mouse models of AD and post-mortem tissue from human AD patients.⁵²⁻⁵⁴ Studies on different mouse models of AD have also suggested a role of the complement system in excessive synaptic loss, which also correlates with memory loss and cognitive deficits shown by AD patients.⁵⁵ As discussed above, accumulation of C1q and C3 at the synapses leads to a region-specific excessive synaptic pruning and synapse loss in different mouse models of AD,^{7,28,56} which is attenuated by genetic ablation of C1, C3, CR and CR3. Interestingly, the contribution of C1q and/or C3 to the excessive synaptic pruning has been demonstrated at the pre-plaque stage of the disease⁷ as well as in old mice where amyloid plaques were abundant.⁵⁶ In line with this, Fonseca et al showed an improvement in hippocampal synaptophysin and MAP2 at 16 mo in the absence of C1q in a mouse model of AD.⁵⁷ However, reports of complement-independent C1q neuroprotection^{58,59} suggest that upstream inhibition of the complement cascade, at C1q, might not be the right therapeutic target for all stages of AD.

Activation of the complement system can ultimately generate C5a, which binds to its receptor C5aR1 predominantly detected on microglial cells and contributes to neuroinflammation. In addition, in the Arctic mouse model of AD, genetic ablation of C5aR1 reduced memory loss, prevented the loss of neuronal complexity in the CA1 region of the hippocampus and polarized microglial cells towards a less inflammatory phenotype.⁶⁰ Moreover, pharmacological inhibition using a C5aR1 antagonist (PMX205) showed a significant reduction of amyloid pathology, glial reactivity and rescued synaptic and cognitive deficits in two different mouse models of AD (Tg2576 and 3xTg).⁶¹ Toxicity studies with PMX205 in mice suggest that repeat dosing of PMX205 is well tolerated and no drug accumulation was found in tissue.⁶² More importantly, the extended use of other C5aR1 antagonists, PMX53 and Avacopan, showed no safety issues in human clinical trials for peripheral inflammatory disorders.^{63,64} These results suggest C5a-C5aR1 signaling as a better therapeutic target than the upstream complement components, C1q and C3, by suppressing the detrimental effects of a chronic complement activation while maintaining the protective roles of C1q and C3.^{58,59}

Stroke

Ischemic stroke is produced by an interruption of the blood flow into the brain, which is normally followed by a reperfusion of the injured area due to the restoration of the blood flow. This leads to secondary injury caused by an inflammatory reaction mediated by the complement system. Data from human patients showed a prominent deposition of complement C1q and C3d components in the ischemic brain; moreover, complement activation proteins, C5a and C3a, present in the serum of these patients have been correlated with the severity of the pathology and its symptoms.^{65–67} Importantly, several studies in animals showed an increase in complement components (such as C1q, C3a and C5a) in the post-stroke brain, as well as an upregulation of C1q mRNA in microglial cells and C5 mRNA in neurons⁶⁸ (reviewed in⁶⁹). Ultimately, this chronic inflammatory reaction culminates in the exacerbation of tissue damage (which involves apoptotic cell death), neurological symptoms and cognitive deficits.^{70,71} On the other hand, after stroke, generation of C3a also contributes to the recovery of ischemic tissue by promoting regeneration and the resolution of inflammation.⁷² This double-edge sword translates into a challenging task (or opportunity) for targeting complement mediators as a possible therapeutic strategy for this disorder.⁷³ However, as it is clear that deposition of C3b and thus the subsequent formation of C3 and C5 convertases is a central event in stroke pathology, numerous studies have used C3 as their main target to treat stroke.^{71,74–76} Chimeric molecules composed of a targeting component (like CR2 or an antibody against injury-induced neoepitopes) and C3 convertase inhibitors such as Factor H (fH)⁷⁴ or the mouse Crry⁷⁶ have been developed to specifically inhibit C3 convertase activity at the site of injury. While CR2-Crry inhibits all three complement pathways at the C3 level, CR2-fH acts specifically to inhibit the alternative pathway. Both targeted inhibitors resulted in similar protective effects in the acute phase after stroke, but only CR2-fH was protective 7 days after the stroke. These results are consistent with a major contribution of the alternative complement pathway to the pathology after stroke, as well as with the hypothesis of a post-stroke regenerating effect of the complement system.⁷⁶

Traumatic Brain Injury

TBI is a complex injury where the brain is damaged as a result of different concussions or injuries that usually

involve a quick movement within the skull. Similar to stroke, in TBI there is a first injury followed by a secondary neuroinflammatory injury, mediated in part by the complement system, that ultimately leads to neuronal loss, edema and cognitive symptoms (reviewed in⁷⁷). Evidence from animal models as well as human patients with TBI showed an increase in the levels of C1q, C3b, C3d and MAC in the brain and in the cerebrospinal fluid (CSF).^{78,79} Moreover, other studies showed significant reduction in several complement regulatory proteins, such as CR1, CD59 or C4BP, in plasma astrocyte-derived exosomes suggesting compromised control of the complement activation in the context of TBI.⁸⁰ Experimental studies in mice lacking C4 showed a reduction in brain damage and reduced motor impairment after a controlled cortical impact (CCI); furthermore, mice given an inhibitor of the classical and the lectin pathway (C1-INH) had reduced cognitive and motor impairment after CCI,⁸¹ proving the involvement of the classic and the lectin complement pathways in the damage after TBI. As mentioned previously, C3 is a common component for the different complement pathways and so, it has been a target for the development of therapeutic approaches. An early targeting chimeric composed of CR1g to engage C3b and CD59 to suppress MAC-mediated injury showed efficacy in a preclinical TBI mouse model.⁸² In addition, the use of a C6 antisense oligonucleotide that inhibit C6 has been shown to directly block MAC formation, resulting in reduced neuroinflammation and improved neurological performance in a model of TBI. Similarly, treatment with a C5 inhibitor, OmCI (Ornithodoros moubata complement inhibitor) up to 15 minutes after TBI also showed beneficial effects and neurology recovery by blocking the generation of C5b, thereby inhibiting the MAC formation.⁸³ The targeted inhibitors, CR2-Crry and CR2-CD59, have been tested on mouse models of CCI showing inhibition of the MAC and short-term neuroprotection, but they were not able to further prevent the chronic impairments associated with TBI.^{84,85} Inhibition of the alternative complement pathway specifically, using the CR2-fH, showed significant improvement in cognition, pointing to the alternative complement pathway as the primary contributor to secondary TBI-induced injury,⁸⁴ and providing proof of principle that controlling this pathway may be clinically effective.

Multiple Sclerosis (MS)

Multiple Sclerosis is a chronic neuroinflammatory disease characterized by the appearance of plaques of demyelination, axonal damage and finally axonal loss. Although MS and other demyelinating diseases have traditionally been⁸⁶ considered autoimmune disorders mediated by T-cells, there has long been evidence suggesting a role of the complement system in this pathology.⁸⁷ The process by which the complement system drives brain damage in MS still needs to be fully elucidated. However, two different mechanisms, the “outside-in” theory and the “inside-out” paradigm, have been proposed. The first one refers to the recognition of several antigens by antibodies, as well as antibody-independent myelin tagging, as the starting point for initiating the immune response, while the second one postulates that damaged myelin could act as a potent trigger for the complement system (reviewed in⁸⁸). In animal models and MS patients, complement component deposition around the demyelinating plaques has been described,^{89–92} as well as an increase in C4a levels in plasma⁹³ and C3, C4b and the MAC in the CSF of patients.^{91,94} Nevertheless, the role of complement in MS is very complex as only one out of the four types of white matter lesion (type II) seems to present complement component deposition on it,⁸⁹ suggesting a high heterogeneity of white matter lesions in MS. However, a different study of MS tissue from patients with a long disease duration (versus the early disease stages reported above) showed complement deposition across all types of white matter lesion.⁹⁵ Moreover, an increase in complement activation products has been directly associated with the severity of the pathology (reviewed in¹⁸). In fact, in the EAE (Experimental autoimmune Encephalomyelitis) mouse model of MS, the use of a MAC inhibitor (C6 antisense oligonucleotides) reduced the expression of several inflammatory genes, further supporting the critical role of the MAC in tissue damage in MS.⁹⁶ However, although a C5-specific monoclonal antibody drug, Eculizumab, has been proven to prevent MAC formation and it has been approved for its use in Neuromyelitis Optica patients, there are still no studies showing its efficiency in MS patients.⁹⁷ Whether that is due to limited brain penetrance, or a combination of factors remains to be seen.

Apart from demyelination and axonal damage, recent evidence also points to a role of synaptic loss in MS pathology. Excessive C1q and C3 deposition at the synapses could mediate microglial phagocytosis through

the CR3 receptor, resulting in an excessive synaptic pruning in MS patients³⁸ in a similar manner to what has been widely described for AD (see below). In fact, very recently Ramaglia et al showed that C1q deposition at the synapses in the CA2 region of the hippocampus was associated with the excessive synaptic pruning and loss of inhibitory synapses, ultimately leading to electrophysiological and behavioral changes in the MS brain as well as in a cuprizone-induced demyelination model.³⁹ Interestingly, the specific involvement of C3 and not C1q in the excessive synaptic pruning in MS was confirmed in mouse models by the lack of C1q tagging the synapses, synaptic loss in C1q deficient animals and prevention of synaptic loss using a targeted C3 inhibitor (Crry).^{40,98}

Complement Activation in the Irradiated Brain and Cognitive Impairments

In line with the well-described, reparative and pathological roles of complement cascade in the neurodegenerative conditions, our recent observations have characterized the neuromodulatory role of complement cascade in the irradiated brain.⁹ Cranial radiation therapy (CRT) is a common clinical treatment for primary metastatic brain cancers in combination with chemotherapy.⁹⁹ Despite its cytotoxic and anti-cancer activity, CRT is particularly problematic for the survivors of low-grade gliomas (LGG, WHO grade II and III) and childhood brain cancers.^{100,101} CRT affects multifaceted cognitive domains including learning, memory, attention, multi-tasking and planning that negatively affects a survivor’s quality of life.^{100–103} This is a particularly serious problem for the pediatric brain cancer survivors who may experience reductions in I.Q. by as much as 3 points per year.^{102–104} Exposure to targeted or whole-brain irradiation leads to microglial activation and astrogliosis^{105–109} followed by persistent neuroinflammation that is often linked with long-term cognitive deficits and neurodegeneration that parallels some of the hallmarks of neurodegenerative disorders including AD and Parkinson’s disease.

A number of neurodegenerative conditions with deficiencies in cognitive domains (AD, ALS, epilepsy) share two key features with CRT-induced neuropathology: i) gliosis as a histopathological hallmark and ii) the onset of cognitive impairment.^{110–113} We have shown a pathological link between CRT-induced elevation in pro-inflammatory cytokines (TNF α , IL-1 β , IL-1 α , IL-18), persistent gliosis (microglial activation and astrogliosis), and

cognitive deficits.^{105–109} CRT-induced gliosis and the aberrant complement cascade activation can drive the synaptic loss as seen in the AD brain.^{4,28} In the CNS, microglia and astrocytes are the major source of complement components including C1q and C3, respectively.^{114,115} We found astrocytic hypertrophy (thicker and longer stelae and increased GFAP volume) and microglial activation (amoeboid morphology and CD68 expression) long-term post-whole brain irradiation (9 Gy)¹⁰⁸ that was accompanied by elevated co-labeling with C1q and C3. Such pathology-associated elevations in complement cascade proteins were linked to spine degradation,¹¹⁶ synaptic loss and cognitive impairments^{105,107} following exposure to CRT.

Radiation-induced neurodegenerative role of complement proteins was demonstrated by the genetic approaches. Juvenile (three weeks old), transgenic $C3^{-/-}$ mice exposed to 8 Gy photons (X-rays) did not show CRT-induced learning deficits on the spontaneous exploration platform two to three months later.¹¹⁷ During the acute (6 h) and sub-acute (7 days) phases, irradiated $C3^{-/-}$ mice showed higher proliferation (BrdU⁺ cells) in the absence of gliosis in the hippocampus. Overall, C3 deficiency was protective against CRT-induced cognitive decline and gliosis when mice were exposed at a young age. However, other upstream or downstream complement components were not measured in this study at the acute (6 h) or the chronic (2–4 months) post-CRT phases to link cognitive index with the CNS complement status. A similar study by Hinkle et al showed the protective effects of global complement receptor CR3 knockout against CRT-induced neuronal damage and microglial activation.¹¹⁶ Two-month-old, male and female CR3-KO mice (mutated CD11b gene, B6.129S4-Itgam^{tm1Myd/J}) were exposed to 10 Gy photons (γ -irradiation) and hippocampal neuronal morphology and microglial activation were determined one month later. Increased neuroinflammation (CD68 and CD11b) was evident in the irradiated WT male brains accompanied by reduced number of immature (long) spines in the dentate gyrus. In contrast, irradiated CR3-KO mice did not show neuroinflammation or spine loss. Interestingly, WT female mice did not show detrimental radiation effects indicating sex differences were playing a role in the CNS radio-response. Foregoing studies analyzing the impact of complement C3 signaling were limited to elucidating CNS-specific effects of complement protein or receptor knockout given the global (peripheral and CNS) gene silencing approaches. Microglia-selective

C1qa gene silencing was used to study CNS-specific effects of C1q protein knockdown.¹¹⁴ C1q-flox mice carrying the $Cx3cr1^{CreERT2}$ ($C1qa^{FL/FL};Cx3cr1^{CreERT2}$) displayed selective knockdown of microglial C1qa gene by eight weeks of age. This strategy did not alter the peripheral C1q and thereby allows the mechanistic determination of CNS-specific C1q-mediated downstream events in the irradiated brain. Cranial irradiation (9 Gy, γ -rays) of C1q-deficient mice did not show the cognitive dysfunction, microglial activation (CD68) or the synaptic loss (synaptophysin and SV2a) one-month post-CRT,¹⁰⁸ thereby providing the direct evidence that depletion of microglia-derived C1q protected the brain from the adverse neurodegenerative effects of CRT. Radiation-induced robust brain injury may also induce the generation of downstream complement activation products, including C3a and C5a leading to the pro-inflammatory polarization of microglia and astrogliosis. We found elevated C3 and C5aR1 in the irradiated brain that coincided with elevated TLR4 (danger response), pro-inflammatory cytokines and microglial activation long-term post-CRT.⁹ These data suggest that dysregulation of complement cascade activation leads to the long-term inflammatory injury in the irradiated brain. In conclusion, CRT triggers aberrant CNS complement activation linked to gliosis that damages the synaptic landscape in the irradiated brain, leading to cognitive dysfunction. While the neuromodulatory roles of classical complement cascade proteins may be leveraged to develop effective medical countermeasures against this long-term, debilitating impact of CRT, this approach needs to be investigated in context of brain cancer, as complement cascade proteins have been shown to play a role in tumor proliferation, invasion and immunosuppression within the tumor microenvironment.

Brain Cancer

Evidence of a significant involvement of the CNS complement system in glioma (glioblastoma multiforme, GBM) carcinogenesis is emerging. Gene expression and immunohistochemistry studies on patient samples have shown that cancerous cells secrete soluble complement inhibitors, including factor H and factor H related protein (FHR5) that protects the cancerous site from complement activation.^{118,119} Subsequently, intratumoral injections of antibodies against C1 inhibitor extended the survival of animals bearing GBM.¹¹⁹ On the other hand, complement C1q can promote tumor progression by facilitating proliferation, adhesion, migration and angiogenesis within the

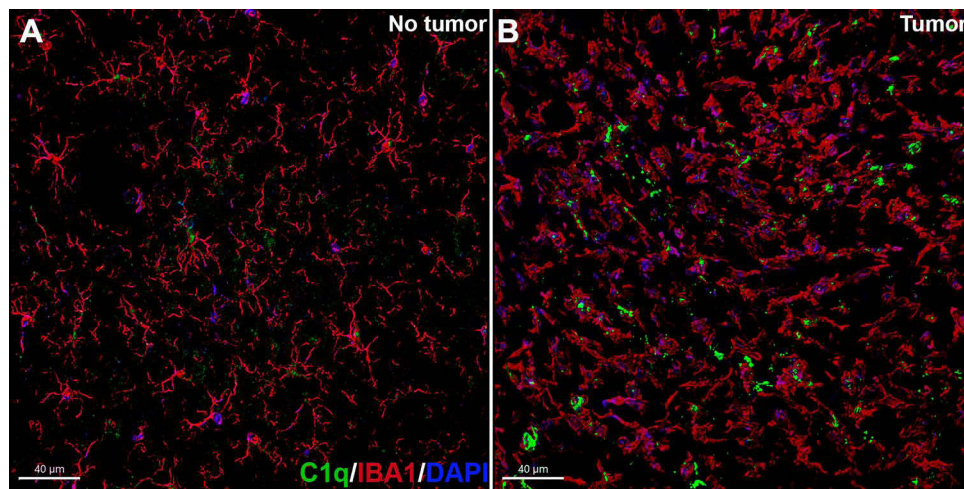


Figure 3 Elevated co-labeling of activated microglia with C1q in a mouse model of brain cancer. Representative photomicrographs from the confocal z stacks of double immunofluorescence for microglia (IBA1, red) and C1q (green) with DAPI (blue or purple) nuclear staining in the contralateral (no cancer, **A**) and ipsilateral (cancerous, **B**) to the injection site of CT-2A cells (astrocytoma) in the frontal cortex at 3.5 weeks later. The cancerous site shows activated state of microglia (amoeboid morphology) with higher C1q expression compared to the non-cancerous site. Scale bar, 40 μ m. All animal procedures were approved by the Institutional Care and Use Committee of University of California Irvine.

tumor microenvironment. Elevated C1q deposition has been found surrounding the human GBM and malignant neoplasms and necrotic debris.^{120–122} In particular, within the microenvironment of GBM resected from patients, C1q immunoreactivity was co-localized with CD68⁺ activated microglia and tumor-infiltrating, M2-polarized macrophages that contribute to a tumor-promoting microenvironment.¹²³ Elevated expression of this microglial/macrophage lysosomal protein (CD68) within the glioma microenvironment has been linked with reduced survival probability.¹²⁴ We also found elevated co-labeling of C1q with activated microglia (amoeboid morphology) within the tumor microenvironment (Figure 3). Importantly, the OncoPrint database mining for genome-wide expression (mRNA) analyses of TCGA (The Cancer Genome Atlas) and the CGGA (The Chinese Glioma Genome Atlas) revealed a significant positive correlation between elevated C1q and unfavorable prognosis (survival) in diverse grades of glioma indicating a pro-tumorigenic role of C1q.¹²⁵ Patient-derived GBM tissues showed increased deposition of C3 and C5b-9 complex.¹²² In an ovarian cancer mouse model, disruption of C3a and C5a signaling via genetic knockout or C5aR1 inhibitor (PMX53) treatment impaired angiogenesis.¹²⁶ Similarly, PMX205 (C5aR1 antagonist) showed anti-cancer activity post-irradiation in colorectal cancer. PMX205 increased the therapeutic efficacy of radiotherapy and reduced normal tissue toxicity in the small intestine.¹²⁷ Taken together, the provocative reports regarding the roles of

classical complement proteins in glioma and promising anti-cancer efficacy of complement receptor inhibitors (PMX53, PMX205) suggest strategies targeting glioma complement signaling will serve as a dual-edge sword in eradicating cancer and preventing radiation-induced normal tissue toxicity that compromise cognitive function.

Role of Complement in COVID-19 Pathophysiology and Therapeutics

The appearance of the COVID-19 pandemic last year, a disease caused by severe acute respiratory syndrome, has emphasized the important role of peripheral inflammation in different neurological diseases. This disease is known to cause several neurological symptoms at the same time that it might increase the risk of developing cognitive decline.¹²⁸ Recent data points to the complement system as an important mediator in COVID-19 disease progression, as it can induce a neurotoxic inflammatory response.^{129,130} In fact, the presence of several complement molecules, including C5a, in the blood of COVID-19 patients was correlated with respiratory failure.¹³¹ Furthermore, preliminary data on a small number of COVID-19 patients treated with Eculizumab (human monoclonal antibody that inhibits C5), AMY-101 (small-size peptide C3 inhibitor) or BB5.1 (anti-C5 blocking monoclonal antibody) showed beneficial results, as patients presented reduced levels of inflammatory markers.^{132–134} However, additional

studies and clinical trials with increased numbers of human subjects are needed to further explore the specific role of the complement system in COVID-19 pathogenesis as well as the potential for complement targeted therapeutic treatment.

Summary

Complement component production in the brain is highly regulated with different components produced by different environmental/danger triggers in different cell types. Complement-mediated synaptic pruning and complement-induced neuroinflammation can be beneficial but if excessive results in loss of function and can cause a self-perpetuating feed-forward loop of neurodegeneration. Both genetic and pharmacological evidence supports the requirement for C1q, C4 and C3, CR3 and C5aR1 in many processes, but involvement of the later components of the cascade provides additional and more selective targets for therapeutic development.^{42,135} There has been an accelerated pace in this pharmacologic space with small molecules and, with improved methods for getting biologics into the brain, enthusiasm for translation to the clinic is high.^{42,136–138} In addition, there are other receptors and functions for C1q and other complement components proposed in the nervous system^{139–141} and other C1q-like molecules that are important for synapse stability,^{142,143} so there is much more to learn in these systems. A full understanding of these processes will enable more targeted therapeutics in the future for a large number of currently untreatable neurological disorders.

Abbreviations

AD, Alzheimer's disease; CCI, controlled cortical impact; CNS, central nervous system; CRT, cranial radiation therapy; GBM, glioblastoma multiforme; MAC, membrane attack complex; MS, Multiple Sclerosis; TBI, Traumatic Brain Injury.

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Disclosure

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