

Article



# Water as a Blood Model for Determination of CO<sub>2</sub> Removal Performance of Membrane Oxygenators

Benjamin Lukitsch <sup>1,\*</sup><sup>(b)</sup>, Raffael Koller <sup>1</sup>, Paul Ecker <sup>1,2</sup>, Martin Elenkov <sup>2</sup>, Christoph Janeczek <sup>2</sup>, Markus Pekovits <sup>1,2</sup>, Bahram Haddadi <sup>1</sup>, Christian Jordan <sup>1</sup><sup>(b)</sup>, Margit Gfoehler <sup>2</sup><sup>(b)</sup> and Michael Harasek <sup>1</sup><sup>(b)</sup>

- <sup>1</sup> Institute of Chemical, Environmental and Bioscience Engineering, TU Wien, 1060 Vienna, Austria; raffael.koller@tuwien.ac.at (R.K.); paul.ecker@tuwien.ac.at (P.E.); markus.pekovits@tuwien.ac.at (M.P.); bahram.haddadi.sisakht@tuwien.ac.at (B.H.); christian.jordan@tuwien.ac.at (C.J.); michael.harasek@tuwien.ac.at (M.H.)
- <sup>2</sup> Institute of Engineering Design and Product Development, TU Wien, 1060 Vienna, Austria; martin.elenkov@tuwien.ac.at (M.E.); christoph.janeczek@tuwien.ac.at (C.J.); margit.gfoehler@tuwien.ac.at (M.G.)
- \* Correspondence: benjamin.lukitsch@tuwien.ac.at

**Abstract:**  $CO_2$  removal via membrane oxygenators has become an important and reliable clinical technique. Nevertheless, oxygenators must be further optimized to increase  $CO_2$  removal performance and to reduce severe side effects. Here, in vitro tests with water can significantly reduce costs and effort during development. However, they must be able to reasonably represent the  $CO_2$  removal performance observed with blood. In this study, the deviation between the  $CO_2$  removal rate determined in vivo with porcine blood from that determined in vitro with water is quantified. The magnitude of this deviation (approx. 10%) is consistent with results reported in the literature. To better understand the remaining difference in  $CO_2$  removal rate and in order to assess the application limits of in vitro water tests, CFD simulations were conducted. They allow to quantify and investigate the influences of the differing fluid properties of blood and water on the  $CO_2$  removal rate. The CFD results indicate that the main  $CO_2$  transport resistance, the diffusional boundary layer, behaves generally differently in blood and water. Hence, studies of the  $CO_2$  boundary layer should be preferably conducted with blood. In contrast, water tests can be considered suitable for reliable determination of the total  $CO_2$  removal performance of oxygenators.

**Keywords:** membrane oxygenation; carbon dioxide (CO<sub>2</sub>) removal; in vitro tests; in vivo tests; membrane modeling; computational fluid dynamic simulations; oxygenator development

## 1. Introduction

Blood oxygenators, also known as artificial lungs, are needed to supplement respiratory function during cardiopulmonary bypass or to support patients with respiratory failure. A prerequisite for sufficient gas exchange is a large gas-exchange surface and efficient contact between the blood and membrane. To provide a large gas-exchange surface at the lowest possible priming volume, hollow fiber membrane packings are used [1]. In modern oxygenators, blood flows on the shell side of the packing while the fiber lumen are purged with O<sub>2</sub>. The transmembrane transfer of the respiratory gases (CO<sub>2</sub> and O<sub>2</sub>) is hereby facilitated by the partial pressure difference between the blood and gas phase [2].

Although great efforts have been made to improve the biocompatibility of oxygenator circuits [3], serious side effects occur due to contact of blood with the artificial polymer surfaces. These side effects ultimately include reduced platelet function and survival as well as prolonged bleeding times after perfusion [4]. Consequently, the optimization of oxygenators aims for increasing gas exchange efficiency while reducing the membrane surface and blood priming volume [5].



**Citation:** Lukitsch, B.; Koller, R.; Ecker, P.; Elenkov, M.; Janeczek, C.; Pekovits, M.; Haddadi, B.; Jordan, C.; Gfoehler, M.; Harasek, M. Water as a Blood Model for Determination of CO<sub>2</sub> Removal Performance of Membrane Oxygenators. *Membranes* **2021**, *11*, 356. https://doi.org/10.3390/membranes 11050356

Academic Editor: Sébastien Déon

Received: 9 April 2021 Accepted: 7 May 2021 Published: 12 May 2021

**Publisher's Note:** MDPI stays neutral with regard to jurisdictional claims in published maps and institutional affiliations.



**Copyright:** © 2021 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). For reviewing and studying optimized oxygenator designs, experimental methods are the most reliable. However, the use of blood significantly increases the effort and costs in many ways:

- 1. The use of blood is accompanied by animal suffering.
- 2. The use of blood is not permitted in all technical laboratories.
- 3. Test circuits get contaminated and must be rebuilt due to blood deposits.
- 4. Additional logistical challenges due to limited durability of blood.
- 5. Ethics committee approval must be obtained.

Water is a cheap, save and easy-to-handle substitute. However, water tests must be able to reasonably represent the  $CO_2$  removal performance observed with blood. Here, the difference in physical and chemical properties between blood and waster must be considered. While  $O_2$  solubility of blood differs significantly from that of water due to the binding of  $O_2$  to hemoglobin [6], the  $CO_2$  solubility in water and blood is subject to similar mechanisms.  $CO_2$  first dissolves physically and then reacts to carbonic acid (H<sub>2</sub>CO<sub>3</sub>), which dissociates to bicarbonate (HCO<sub>3</sub><sup>-</sup>) [7]:

$$CO_2 + H_2O \rightleftharpoons H_2CO_3 \rightleftharpoons H^+ + HCO_3^-$$
 (1)

In order to shift the reaction equilibrium toward the production of bicarbonate, blood buffers the pH decrease, which is caused by the dissociation reaction. Hence, the total  $CO_2$  capacity of blood exceeds that of water. Examining the solubility curves of blood and water at clinically relevant  $CO_2$  partial pressures (40 to 100 mmHg) shows that the  $CO_2$  capacity of blood is up to 11 times higher (Figure 1a). Additionally, the reaction from  $CO_2$  to carbonic acid is accelerated by the catalytic enzyme carbonic anhydrase located in the red blood cells [7].

However, the effect of the buffer system and the enzymatic catalyst on the overall  $CO_2$  removal of oxygenators is limited because of two reasons. First, the slope of the solubility curve of blood and water at relevant venous levels is comparable. This is of importance, as the  $CO_2$  removal is primarily dependent on the concentration difference. At  $CO_2$  partial pressures from 40 to 100 mmHg, the slope of the solubility curve of blood is only four times higher than the slope of water (Figure 1b). Second, outside the red blood cells and in the absence of the catalyst carbonic anhydrase, the reaction from physically dissolved  $CO_2$  to carbonic acid and vice versa is slow, compared to the short residence time of blood in an oxygenator. Consequently, mostly physically dissolved  $CO_2$  is removed at the membrane [8].



**Figure 1.**  $CO_2$  solubility behavior of water (Henry [9]) and blood (Loeppky et al. [10]): (**a**)  $CO_2$  concentration in dependency of  $CO_2$  partial pressure; (**b**) slope of  $CO_2$  concentration in dependency of  $CO_2$  partial pressure.

Compared to water, the diffusion of  $CO_2$  components in blood is hindered by the presence of proteins [7]. This leads to an approximately 2.6 times higher diffusion rate for physically dissolved  $CO_2$  in water than in blood plasma [11].

Furthermore, blood as a suspension of blood plasma and red blood cells shows shear thinning behavior. The shear thinning behavior hereby differs between different animal species [12] and depends, among other parameters, on the hematocrit [13]. At high shear rates, the whole blood viscosity converges toward its minimum (Figure 2). For human blood, this minimum is approximately 3.5 mPa s. In contrast, water is a Newtonian fluid and has a viscosity of 0.69 mPa s at 37 °C [14]. The different rheological behavior of blood and water (Figure 2) has two opposing influences on  $CO_2$  separation. On the one hand, lower viscosities result in more turbulent flow conditions. This could promote additional mixing. On the other hand, higher viscosities produce higher shear stress. Due to the higher shear stress, thinner boundary layers can be expected. Both additional mixing at lower viscosities (water) and thinner boundary layers at higher viscosities (blood) would result in an increase in  $CO_2$  removal.



**Figure 2.** Rheologic behavior of blood and water at 37 °C. For representation of whole blood viscosity, the power law viscosity model was used for human [15] and porcine blood [16].

To conclude, blood and water differ in  $CO_2$  solubility,  $CO_2$  diffusion rate and viscosity. These important parameters have partially opposing effects on the  $CO_2$  removal of oxygenators. This makes it difficult to evaluate the suitability and limitations of water as a blood substitute without using in-depth studies.

In recent research, multiple in vitro studies were conducted, using water as a blood substitute to determine the  $CO_2$  removal of an oxygenator. Hout et al. [17] investigated the dependency of  $CO_2$  removal on the sweep flow rate. This was done for two different oxygenator models. In order to be able to use water as a blood substitute, the gas exchange rate was normalized by the maximum  $CO_2$  removal rate of the respective oxygenator. By doing so, the measured  $CO_2$  removal rates can be made independent from the specific mass transfer characteristics of an oxygenator [18], which, in turn, are dependent on the used test fluid (blood/water). Hattler et al. [19] tested the  $CO_2$  and  $O_2$  transfer performance of a gas exchange catheter in vitro with water, and in vivo, using calves as a large animal model. However, the suitability of water is only discussed for the  $O_2$  removal rates determined with blood and water cannot be calculated. Svitek et al. [11] proposed a Sherwood model, allowing to predict the  $CO_2$  removal with blood, based on experiments with water, using an adapted diffusivity. To validate the model, in vitro tests with blood and water were

conducted. Although the experimental results show comparable  $CO_2$  removal rates for blood and water they are not compared directly by the authors. The suitability of water for prediction of the CO<sub>2</sub> removal of oxygenators is only discussed in the scope of the proposed conversion of water to blood data. Tabesh et al. [20] determined the CO<sub>2</sub> removal rate of oxygenators via in vitro tests with porcine blood and water. To reduce the difference between the two CO<sub>2</sub> removal rates of blood and water, N<sub>2</sub> was blended into the saturation stream. This allowed to reduce the maximum deviation to 5%. Yet, the reason for the good fit between blood and water is not analyzed and discussed. Mihelc et al. [21] and Jeffries et al. [22] reported that the CO<sub>2</sub> removal measured for an intracorporal membrane catheter correlates well (within 10% deviation) when comparing in vitro trials with water to in vivo trials with calves. The good agreement is attributed to the opposing effects on the  $CO_2$  removal rate induced by the different viscosities and  $CO_2$  solubilities of blood and water. An in-depth examination of this phenomenon is not conducted. Furthermore, they explicitly limit the suitability of water to their devices and consider the good correlation between water and blood to be fortuitous. A recommendation to use water for the in vitro determination of the CO<sub>2</sub> removal rate is not given.

To summarize, water is commonly used as a blood substitute for the in vitro determination of the  $CO_2$  removal rate of oxygenators. Its suitability for determining the total  $CO_2$ removal rate has been confirmed by multiple independent research groups but has never been main focus of their published research. The  $CO_2$  solubility,  $CO_2$  diffusion rate and viscosity of water differ significantly from those of blood. Due to the complex interactions of these parameters, the reason for the suitability of water as a blood substitute for the determination of  $CO_2$  removal in oxygenators remains unclear.

The scope of this research is to give a detailed comparison of  $CO_2$  removal rates gained from in vitro tests using water with data from in vivo tests using pigs as large animal models. To better understand the contribution of the differing  $CO_2$  solubility,  $CO_2$ diffusion and viscosity on the  $CO_2$  exchange, the  $CO_2$  concentration polarization in the boundary layer attached to the membrane is studied. It represents the main  $CO_2$  transport resistance and, therefore, characterizes the  $CO_2$ -removal performance of oxygenators [2]. As the boundary layer cannot be resolved experimentally, computational fluid dynamic (CFD) simulations were conducted to investigate and compare the behavior of the boundary layer for blood and water. By comprising experimental and CFD results, this work aims to evaluate the suitability and limitations of water as a blood substitute for the determination of the  $CO_2$  removal rate of oxygenators.

## 2. Materials and Methods

#### 2.1. In Vivo and In Vitro Tests

The in vivo and in vitro results displayed in this study represent a secondary analysis of previously published data [8,16]. In vivo tests using pigs as large animal models were conducted to validate a CFD model that allows to predict the CO<sub>2</sub> removal rate of oxygenators [16]. In vitro tests with water were conducted to evaluate the accuracy of CO<sub>2</sub> removal prediction via blood gas analyzer measurements [8]. A comparison of the CO<sub>2</sub> removal rate of water and blood, as presented in this study, was not conducted in the previous publications.

In both test series, in vivo and in vitro, the  $CO_2$  removal rate of an oxygenator prototype was measured. The separation rate was determined at three blood/water flow rates (1000, 1300, and 1600 mL/min). This corresponds to the flow rate range of the oxygenator prototype, which was designed for the partial separation of metabolic  $CO_2$  production. For each of the three flow rates, three clinically relevant, pathologically elevated  $CO_2$  partial pressures levels (50, 70, and 100 mmHg) were investigated. This equals a total of nine measurement points. For each measurement point, three repetitions were performed. The  $CO_2$  removal rate was determined by measuring the flow rate (Defender 510, Bios DryCal, Mesa Laboratories, Inc., Lakewood, CO, USA) and  $CO_2$  concentration (BINOS 100 M, Emerson, St Louis, MO, USA) of the sweep gas flow exiting the prototype oxygenator. The examined prototype oxygenator (Figure 3) had a membrane surface of  $0.06 \text{ m}^2$  provided by commercial polymethylpentene (PMP) hollow fibers with an outer diameter and wall thickness of 380 and 90 µm, respectively. The schematic structure of the tests can be seen in Figure 4. Further experimental details can be found in our preceding publications (in vivo [16] and in vitro tests [8]).



**Figure 3.** Prototype oxygenator: (**a**) Principle flow guidance; (**b**) slice of fiber potting to illustrate fiber arrangement—by Lukitsch et al. [16] (CC BY 4.0).

## 2.2. Computational Fluid Dynamic Simulations

All CFD simulations were conducted with OpenFOAM<sup>®</sup> 4.1 (ESI Group, Paris, France). The simulations were run on server nodes equipped with 32 core CPUs (16 cores in two physical modules, EPYC 7351, AMD, Santa Clara, CA, USA). For the CFD CO<sub>2</sub> transport simulations, the geometry of the prototype oxygenator fiber packing was simplified to reduce the computational effort. Velocity boundary conditions of this reduced geometry were determined by means of an upscaling method [16] that uses CFD flow simulations of a complete or representative part of a hollow fiber module and samples the velocities within this packing. The velocity samples are, afterward, used to calculate an average velocity, which can be used to set the inlet velocity boundary condition of the reduced geometry. In doing so, the method allows to model the flow conditions in the reduced geometry to be representative for the flow regime within the complete fiber packing.  $CO_2$  transport simulations of the reduced geometry are then capable to give an accurate prediction of the average transmembrane flux. In Figure 5, the workflow of this upscaling method is illustrated. The upscaling method has been validated for a similar application. In the investigated case, the oxygenator  $CO_2$  removal rate predicted by CFD simulations of the reduced and complete geometry deviated by approx. 10% [16]. This deviation is comparable to the deviation between the experimentally determined CO<sub>2</sub> removal rate and the CO<sub>2</sub> removal rate determined by the CFD CO<sub>2</sub> transport simulations of the reduced geometry (Section 3).

The CFD simulations were performed to extend the experimentally determined data. The experimental measurements of the nine measurement points were performed under steady-state conditions to allow for the three measurement repetitions. Therefore, only the steady-state flow and mass transport problem was considered in the CFD simulations.



**Figure 4.** Schemes of the test setups showing the prototype oxygenator, blood pump, pressure sensors (PR), flow rate sensors (FR), sample ports for the blood gas analyzer (BG) and CO<sub>2</sub> concentration sensor (QR): (**a**) scheme of in vivo loop—by Lukitsch et al. [16] (CC BY 4.0); (**b**) scheme of in vitro loop—by Lukitsch et al. [8] (CC BY 4.0).



**Figure 5.** Workflow of the upscaling method for CFD based prediction of oxygenator CO<sub>2</sub> removal performance—by Lukitsch et al. [16] (CC BY 4.0).

## 2.2.1. Flow Simulation of the Complete Prototype Oxygenator (Macro Scale)

The velocity distribution of water in the complete prototype oxygenator was computed by solving the finite volume formulation of the steady incompressible Navier–Stokes equations, i.e., conservation of mass

$$\nabla(\mathbf{U}) = 0 \tag{2}$$

and momentum. Here, **U**, *p*,  $\rho$  and  $\mu$  denote the velocity field, pressure field, fluid density and dynamic viscosity.

$$\nabla(\rho \mathbf{U}\mathbf{U}) - \nabla(\mu\nabla\mathbf{U}) = -\nabla p \tag{3}$$

The conservation of mass and momentum were solved with simpleFoam, the OpenFOAM implementation of the Semi-Implicit Method for Pressure Linked Equations (SIMPLE) algorithm. The computational mesh (Figure 6) was produced with Gambit 2.4.6 (ANSYS, Canonsburg, PA, USA), contained 32 Mio. hexahedron cells and was adapted directly from previous studies [16].



**Figure 6.** Geometry of CFD flow simulations: (**a**) Cross section of the prototype oxygenator, inside view; (**b**) cross section of the fiber packing with close up on the boundary layer mesh of the fibers.

At maximum flow rates, the Reynolds number at the shell side inlet of the prototype oxygenator (Re<sub>inlet</sub>) is elevated, suggesting a transitional flow regime. Re<sub>inlet</sub> was calculated using the diameter of the inlet pipe (4 mm) and average velocity in the inlet pipe at the maximum water flow rate (1600 mL/min).

$$Re_{inlet} = \frac{u \times L}{v} \sim \frac{2.1 \text{ m/s} \times 4 \text{ mm}}{1.0 \times 10^{-6} \text{ m}^2/\text{s}} \sim 8400$$
 (4)

In contrast, the Reynolds number within the packing ( $Re_{packing}$ ) is low and indicates a laminar flow regime.  $Re_{packing}$  was calculated using the fiber spacing of the packing (200 µm) and average radial velocity within the packing at the maximum water flow rate (1600 mL/min). Average radial velocity was determined with the CFD flow simulations.

$$Re_{packing} = \frac{u \times L}{v} \sim \frac{0.1 \text{ m/s} \times 200 \,\mu\text{m}}{1.0 \times 10^{-6} \,\text{m}^2/\text{s}} \sim 20 \tag{5}$$

Due to the low Reynolds number in the region of interest (membrane packing), we expect limited influence of emerging turbulence on the  $CO_2$  removal performance. This assumption is supported by the experimental data. The laminar simulations allow for a

reasonable prediction of the dependency of the  $CO_2$  removal rate on the blood/water flow rate (Section 3.1.2).

The transport equations (Equations (2) and (3)) were discretized using second order schemes (Van Leer [23]). At the beginning of the inlet pipe, uniform velocities were set corresponding to inlet flow rates of 1000, 1300 and 1600 mL/min. A no-slip velocity boundary condition was applied to all walls, including the membrane surfaces. A fixed uniform value of 0 Pa for the relative pressure was set at the end of the outlet pipe. All remaining boundary conditions for velocity and pressure were set to zero gradient (Neumann conditions). All boundary conditions of the flow simulations are summarized in Table 1. Kinematic viscosity of water was set to  $6.96 \times 10^{-7} \text{ m}^2/\text{s} (37 \,^{\circ}\text{C})$  [14].

Table 1. Boundary conditions of the flow simulations.

Boundary	Velocity	Pressure
Inlet	uniform constant value	zero gradient <sup>1</sup>
Outlet	zero gradient <sup>1</sup>	uniform constant value
Membrane	no-slip	zero gradient <sup>1</sup>
Walls	no-slip	zero gradient <sup>1</sup>

<sup>1</sup> Equal to Neumann boundary condition.

The setup of the flow simulations computing the blood distribution in the complete oxygenator prototype is analogous to water flow simulations and described in detail in [8].

## 2.2.2. CO<sub>2</sub> Transport Simulations of the Simplified Packing (Micro Scale)

Details regarding the elaboration of the reduced geometry as well as the design and generation of the mesh can be taken from previous studies [8]. The reduced geometry consists of eight non-staggered fibers (Figure 7) representing the eight fiber mat layers built into the prototype oxygenator (Figure 3b). As the flow simulations show that the fibers are positioned mostly in cross flow mode, the inlet velocity was set to be perpendicular to the membrane packing (Figure 7a). The computational mesh, including only the shell side of the packing, counts 32000 hexahedron cells. To adequately resolve the boundary layer, 20 successively refining cell layers were applied to the membrane surface. In this refinement region, the cell thickness ratio between the most outer cell layer and the most inner cell layer (attached to the membrane) is 5 to 1 (Figure 7b). The cell thickness of the most inner cell equals  $0.7 \mu m$ .

CO<sub>2</sub> transport simulations have been performed using an inhouse solver, membrane-Foam [24]. It is based on the open-source code OpenFOAM<sup>®</sup> v4.1 (ESI Group, Paris, France) and implemented as a multi-region solver where the single regions are separated by a membrane. The solver balances the transport equations of velocity, pressure, density, energy and mass fraction for each single region separately. However, the regions are interlinked via the transmembrane transport, which is implemented as a volumetric source term in all of the transport equations. Transmembrane transport is calculated for all cells attached to the membrane. It is computed based on the membrane area of the cell (A) and the permeance (P). As the driving force, the partial pressure difference between the computational cell and an adjacent cell in the neighboring region at the other side of the membrane is utilized. If the membrane area of these two cells does not fully overlap (non-conformal mesh between the regions), partial pressure ( $p_{CO_2}$ ) can be interpolated from cells that are close by, adding further flexibility to the design of the mesh. A detailed description of the solver implementation as well as the mathematical formulation of the governing equations are provided by Haddadi et al. [24].



**Figure 7.** Simplified geometry of CFD CO<sub>2</sub> transport simulations. (**a**) Fibers representing the eight fiber layers of the membrane packing positioned in a non-staggered arrangement, (**b**) close up of the computational mesh.

Previous findings indicated only a slight increase in  $CO_2$  partial pressure ( $p_{CO_2}$ ) on the sweep gas side [25]. Consequently, in this work, membraneFoam was used in a single region mode, setting the  $CO_2$  partial pressure on the sweep gas side constantly to 0 mmHg and reducing the computation of transmembrane  $CO_2$  transport ( $J_{CO_2}$ ) to the following:

$$J_{CO_2} = P \times A \times (p_{CO_2,Water} - 0) \tag{6}$$

To solve the governing equations (transport equation of velocity, pressure, density, energy and mass fraction) the Pressure Implicit Method for Pressure-Linked Equations (PIMPLE) was used. They were discretized, applying second order schemes (linear, upwind). The inlet velocity was calculated using the upscaling method [8] and applied uniformly to the inlet patch. The inlet velocities for the reduced geometry corresponding to the blood and water flow rates off the complete geometry (prototype oxygenator) are summarized in Table 2.

Table 2. Inlet velocities of reduced geometry determined with up-scaling method [8].

Total Flow Rate (mL/min)	Inlet Velocity Blood (m/s) $^{ m 1}$	Inlet Velocity Water (m/s) <sup>1</sup>
1000	0.015	0.0148
1300	0.024	0.0192
1600	0.031	0.0237

<sup>1</sup> Inlet velocity of reduced geometry representative for the total flow rate in prototype oxygenator.

The mass fractions of water and  $CO_2$  at the inlet were chosen to correspond to the  $CO_2$  partial pressures of 50, 70 and 100 mmHg, which were investigated in vitro and in vivo. On all walls and the outlet, Neumann conditions were used for the  $CO_2$  mass fraction. Symmetry conditions were applied to the sides of the geometry to account for the influence of adjacent fibers. The remaining velocity and pressure boundary conditions

were set analogous to the flow simulation. All boundary conditions of the  $CO_2$  transport simulations are summarized in Table 3.

Table 3. Boundary conditions of the CO<sub>2</sub> transport simulations.

Boundary	Velocity	Pressure	CO <sub>2</sub>
Inlet	uniform constant value	zero gradient <sup>1</sup>	uniform constant value
Outlet	zero gradient <sup>1</sup>	uniform constant value	zero gradient <sup>1</sup>
Membrane	uniform constant value	zero gradient <sup>1</sup>	zero gradient <sup>1,2</sup>
Sides	symmetry	symmetry	symmetry
1 F 1 / NI	1 1 1	1	

Equal to Neumann boundary condition.<sup>2</sup> Transmembrane transport applied as source term in the cell center.

CO<sub>2</sub> was treated as a single species. The CO<sub>2</sub> partial pressure was computed based on the mass fraction provided by the transport equation and by the use of the Henry's model. The CO<sub>2</sub> solubility ( $\alpha_{CO_2}$ ) was set to  $8.27 \times 10^{-4}$  mL CO<sub>2</sub> /mL/mmHg [9]. For the diffusion coefficient of CO<sub>2</sub> (D<sub>CO<sub>2</sub></sub>) in water, a value of  $2.38 \times 10^{-9}$  m<sup>2</sup>/s was used [26]. Dynamic viscosity ( $\mu$ ) was set independently from CO<sub>2</sub> concentration to  $6.91 \times 10^{-4}$  Pa s (at 37 °C) [14]. For the density ( $\rho$ ) of water, a value of 993.33 kg/m<sup>3</sup> (at 37 °C) was used [14].

The setup of the  $CO_2$  transport simulation of blood is analogous to the water  $CO_2$  transport simulation and described in detail in [8]. To enable a better overview, the material data used for blood and water are compared in Table 4.

Table 4. Comparison of parameter values for blood and water used in CO<sub>2</sub> transport simulations.

Symbol	Description	Value Blood [8]	Value Water	Unit
$\alpha_{\rm CO_2}$	CO <sub>2</sub> solubility	$8.77 imes 10^{-3(1)}$	$8.27 imes10^{-4}$	mL CO <sub>2</sub> /mL/mmHg
$D_{CO_2}$	CO <sub>2</sub> diffusion coefficient	$5.05 imes 10^{-10}$ (2)	$2.38  imes 10^{-9}$	$m^2/s$
μ	dynamic viscosity	$2.38 imes 10^{-3}$ $^{(3)}$	$6.91 imes10^{-4}$	Pa s
ρ	density at 37 °C	$1.05  imes 10^3$	$9.93  imes 10^2$	kg/m <sup>3</sup>
P <sub>CO2</sub>	$CO_2$ permeance of used fibers	157	275	ĞPU

<sup>1</sup> At 70 mmHg. <sup>2</sup> Diffusion of total CO<sub>2</sub> (bicarbonate + physically dissolved CO<sub>2</sub>). <sup>3</sup> At shear rates > 400 1/s.

Before the trials, the permeances (P) of the prototype oxygenators were measured. The CO<sub>2</sub> permeance ( $P_{CO_2}$ ) of unused fibers amounts to approx. 730 GPU. Follow-up tests showed that after in vitro or in vivo trials, the CO<sub>2</sub> permeance of the prototype oxygenator is decreased considerably (50–150 GPU, 0 h of follow-up testing, Figure 8). During these follow-up tests, the permeances increased successively (to values of 90–380 GPU, 24 h of follow-up testing), most probably because of drying mechanisms induced by the sample gas flow (Figure 8). This observation is consistent with condensate water detected on the lumen side shortly after the beginning of the in vitro or in vivo trials. Both observations (successive increase in permeance and condensate on lumen side) additionally indicate wetting of the pores within the membrane. Furthermore, water residues also remain on the shell side of the membrane packing due to rinsing of the prototype oxygenator after the trials (porcine blood test) or due the trials themselves (water tests).

The recorded wetting of the lumen, the membrane pores and the shell reduce the permeances measured in the follow-up tests. However, the permeances set in the  $CO_2$  transport simulations must only account for the wetting of the lumen and pores, as the shell side (equivalent with blood/water side)  $CO_2$  transport resistance is resolved by the transport equations themselves. Thus, permeances required for  $CO_2$  transport simulations cannot be accurately measured for two reasons. First, the transport resistance of the wetted lumen and pores cannot be measured separately from the transport resistance present in the shell. Second, the drying of the shell results in the drying of the lumen and membrane pores to an uncertain extent, affecting the measured permeances.

500

450

400

350

300 250

200





Figure 8. Increase in CO<sub>2</sub> permeance during the follow up gas permeation measurements. Continuous lines: selected values for CFD CO<sub>2</sub> transport simulations.

Consequently, the permeances used in the simulations were chosen in order to fit the transmembrane CO<sub>2</sub> flux of the simulation and experiments at a  $p_{CO_2}$  of 70 mmHg and a water/blood flow rate of 1300 mL/min. To keep the deviations between simulations and experiments below 10%, different permeances had to be chosen for blood and water. CO<sub>2</sub> permeance with water (275 GPU) is 1.8 times higher than CO<sub>2</sub> permeance with blood (157 GPU). This could be explained by the blood residues adding an additional transport resistance. The identified permeances lie within the measured range of pure gas permeances determined for the used membranes in the follow-up tests. A comparison of the permeances used in the CFD CO<sub>2</sub> transport simulations and the permeances measured in the follow-up tests is given in Figure 8.

#### 3. Results and Discussion

## 3.1. In Vivo and In Vitro Tests

In the following section, the CO<sub>2</sub> removal rate of the prototype oxygenator determined in vivo with porcine blood and in vitro with water is compared. Additionally, the experimental results are used to validate the CFD simulations of CO<sub>2</sub> transport in porcine blood and water.

## 3.1.1. CO2 Removal Rate of In Vivo Porcine Blood and In Vitro Water Tests

Figure 9 summarizes the results of the in vivo tests with porcine blood and the in vitro tests with water. It shows the average  $CO_2$  removal of the prototype oxygenator for the nine measurement points (Section 2.1). The  $CO_2$  removal determined with porcine blood is generally higher than the  $CO_2$  removal of water. This is discussed in detail in Section 3.2.3. In both tests,  $CO_2$  removal increases with higher  $CO_2$  partial pressure ( $p_{CO_2}$ ) or higher blood/water flow rate. The dependency of CO<sub>2</sub> removal on the flow rate increases with a higher  $p_{CO_2}$ . This can be explained by an increased availability of physically dissolved  $CO_2$ at a higher  $p_{CO_2}$  and associated higher pH levels [7]. Since physically dissolved CO<sub>2</sub> can be regarded as the main  $CO_2$  component removed via the membrane surface [8], higher availability of physically dissolved CO<sub>2</sub> offers a larger potential of CO<sub>2</sub> removal increase via flow rate increase.

The average deviation ( $\varepsilon$ ) of the CO<sub>2</sub> removal rate determined in vitro with water (J<sub>CO2</sub>, water) from that determined in vivo with blood (J<sub>CO2</sub>, blood) was calculated using the following equation:

$$\varepsilon = \frac{(J_{CO_2,Water} - J_{CO_2,Blood})}{J_{CO_2,Blood}}$$
(7)



**Figure 9.** Comparison of experimentally determined CO<sub>2</sub> removal. Red squares: in vivo studies with porcine blood; blue circles: in vitro studies with water.

Average deviation is shown for the nine measurement points in Table 5. For  $p_{CO_2} \ge 70$  mmHg,  $\varepsilon$  is homogenously distributed and equals approximately 9%. At  $p_{CO_2}$  of 50 mmHg,  $\varepsilon$  shows a dependency on the flow rate. The deviation is highest at 1000 mL/min (21.8%) and decreases monotonously to a value of 2.1% at a flow rate of 1600 mL/min.

**Table 5.** Deviation of the in vitro  $CO_2$  removal with water to the in vivo  $CO_2$  removal with blood ( $\varepsilon$ ).

Flow Rate <sup>1</sup>	<b>Deviation</b> (ε) of In Vitro	CO <sub>2</sub> Removal (Water) to In	Vivo CO <sub>2</sub> Removal (Blood)
(mL/min)	pCO <sub>2</sub> : 50 mmHg	pCO <sub>2</sub> : 70 mmHg	pCO <sub>2</sub> : 100 mmHg
1000	21.8%	8.6%	9.7%
1300	9.9%	10.6%	6.7%
1600	2.1%	7.9%	9.6%

<sup>1</sup> Flow rate of blood or water on prototype oxygenator shell side.

However, the elevated  $\varepsilon$  at a p<sub>CO2</sub> of 50 mmHg and flow rate of 1000 mL/min (21.8%) could be caused by increased measurement errors, as at this measurement point,  $\varepsilon$  is two times the average and twice as large as the second largest deviation (Table 5).

Furthermore, the dependency of  $\varepsilon$  on the flow rate is caused by the negligible, small dependency of the CO<sub>2</sub> removal rate on the blood flow rate, measured in the in vivo trials at a p<sub>CO<sub>2</sub></sub> of 50 mmHg. This small, recorded dependency can only be justified physically to a limited extent since at a p<sub>CO<sub>2</sub></sub> of 50 mmHg, a dependency of the CO<sub>2</sub> separation rate on the flow rate is predicted by both in vitro water tests and CFD CO<sub>2</sub> transport simulations of blood (Section 3.1.2). Furthermore, Sherwood correlations also suggest a similar dependency [27]. In summary, this indicates increased measurement errors at a p<sub>CO<sub>2</sub></sub> level of 50 mmHg.

#### 3.1.2. Validation of CFD CO<sub>2</sub> Transport Simulations for Porcine Blood and Water

To validate the CFD CO<sub>2</sub> transport simulations, the numerically predicted CO<sub>2</sub> removal rate is compared with the experimentally determined CO<sub>2</sub> removal rate. Figure 10 shows a comparison of the experimental and computational results for porcine blood. In general, the results agree well. Average deviation of the numerically from the experimentally determined separation rate is 6%. However, a stronger deviation has to be noted at higher  $p_{CO_2}$  (100 mmHg) and higher flow rates (1600 mL/min). This can be attributed partly to an increased standard deviation ( $\sigma$ ) of  $p_{CO_2}$  during the in vivo trials at a level of 100 mmHg ( $\sigma$  = 7.8 mmHg), compared to the other two  $p_{CO_2}$  levels ( $p_{CO_2}$ : 50 mmHg,  $\sigma$  = 2.7 mmHg;  $p_{CO_2}$ : 70 mmHg,  $\sigma$  = 3.8 mmHg). The dependence of the CO<sub>2</sub> removal rate on the blood flow rate can be adequately described by the upscaling method used.



Figure 10. Comparison of CO<sub>2</sub> removal determined with experiments and CFD simulations for blood.

Figure 11 compares the experimentally and numerically determined  $CO_2$  removal rate for water. The results are in satisfactory agreement. The deviation of the experimentally and numerically determined  $CO_2$  removal rate is, on average, 3%. The dependence of  $CO_2$ removal on  $p_{CO_2}$  and water flow rate can be adequately described by the CFD model and the used upscaling method.



Figure 11. Comparison of CO<sub>2</sub> removal determined with experiments and CFD simulations for water.

The good agreement between the experimental and numerical results for both porcine blood and water suggests that the CFD models are suitable for detailed studies of the boundary layer. The latter determines the  $CO_2$  removal performance of an oxygenator since it can be considered the main transport resistance of the respiratory gas exchange.

## 3.2. Computational Fluid Dynamic—CO<sub>2</sub> Transport Simulations

In the following section, the results of the  $CO_2$  transport simulations of porcine blood and water are summarized. Furthermore, the behavior of the boundary layer at different flow rates and  $CO_2$  partial pressures is discussed. Finally, the influence of the  $CO_2$  diffusion coefficient,  $CO_2$  permeance,  $CO_2$  solubility and viscosity on the deviation of the  $CO_2$ removal of porcine blood and water is evaluated.

## 3.2.1. Flow and CO<sub>2</sub> Partial Pressure Distribution in the Simplified Packing

Figure 12 compares the velocity distribution in the simplified fiber packing for porcine blood and water. Flow distribution is presented for an inlet velocity of 0.02 m/s (approx. 1300 mL/min). Porcine blood flow shows stronger velocity gradients and higher maximum

flow rates (0.10 m/s) than water (0.08 m/s). Additionally, the velocity field indicates laminar flow profiles free of wakes. In comparison, the water flow distribution shows small wakes downstream of the fibers. However, in these areas, only low velocities (approx. 0.01 m/s) occur. In general, the flow behaves similarly at all fibers.



**Figure 12.** Flow distribution within the simplified packing (**a**) porcine blood (**b**) water. Bottom half of contour plot shows direction of velocity vectors.

In Figure 13, the CO<sub>2</sub> partial pressure  $(p_{CO_2})$  contour plot of blood and water are compared for a uniform inlet  $p_{CO_2}$  of 70 mmHg. The CO<sub>2</sub> partial pressure distribution in water shows a larger area with decreased  $p_{CO_2}$  than blood. This is most pronounced downstream of the fibers where the small wakes are positioned. This indicates that these wakes produce additional mixing. Furthermore, the higher CO<sub>2</sub> diffusion rates of water could contribute to CO<sub>2</sub> depletion in wider areas of the flow.





#### 3.2.2. Boundary Layer Study

As can be seen in Figures 12 and 13, flow and  $p_{CO_2}$  distribution depend only slightly on fiber position. They deviate strongest for the first fiber, as it is the only fiber which is not positioned in the slip-stream of another fiber. This can be also seen in Figure 14. It shows the  $p_{CO_2}$  profiles perpendicular to the main flow direction and the membrane wall for all eight fibers. The presented data were computed for a  $p_{CO_2}$  of 70 mmHg and an inlet velocity of 0.02 m/s (approx. 1300 mL/min). The sample lines of these profiles and the fiber positions are illustrated in Figure 7a.



**Figure 14.** CFD boundary profiles of CO<sub>2</sub> partial pressure at different fiber positions (sampling line illustrated in Figure 7a) for an inlet  $p_{CO_2}$  of 70 mmHg and inlet velocity of 0.02 m/s (approx. 1300 mL/min), fiber 1—first fiber at inlet, fiber 8—last fiber at outlet: (**a**) porcine blood, (**b**) water.

The  $p_{CO_2}$  profiles of blood (Figure 14a) depend less on fiber position than the  $p_{CO_2}$  profiles of water (Figure 14b). This could be attributed to the higher Re of the water flow, which promotes additional mixing. The latter increases the effects of the upstream fibers on the  $p_{CO_2}$  distribution of downstream fibers. In general, the first fiber shows the thinnest boundary layer. The boundary layer becomes thicker the further downstream the fiber is positioned. For the relatively homogenous flow distribution observed in this simplified packing, the average of all eight fibers gives a reasonable representation of the single  $p_{CO_2}$  profiles of the individual fiber positions (Figure 14). Consequently, in the following graphs, only the average of all eight fiber profiles are shown in order to maintain clarity.

Figure 15 compares the average  $p_{CO_2}$  boundary layer profiles for the three different inlet  $p_{CO_2}$  levels (50, 70, 100 mmHg) at an inlet velocity of 0.02 m/s (approx. 1300 mL/min). With decreasing distance to the hollow fiber membrane, the  $p_{CO_2}$  decreases slowly at first, but drops steeply in the last section toward the fiber. This steep drop starts at comparable positions independent from the inlet  $p_{CO_2}$ . Hence, the  $p_{CO_2}$  gradient of this section deviates strongly between the different investigated inlet  $CO_2$  partial pressures. The gradient of  $p_{CO_2}$  equals, on average, 3.5 mmHg/µm for an inlet  $p_{CO_2}$  of 100 mmHg and 1.7 mmHg/µm for an inlet  $p_{CO_2}$  of 50 mmHg.

Additionally, the normalized  $p_{CO_2}$  profiles  $(p_{CO_2'}(\mathbf{x}))$  are given. They are calculated by dividing the  $p_{CO_2}$  at any point in the packing  $(p_{CO_2}(\mathbf{x}))$  by the maximum  $p_{CO_2}$  in the bulk flow  $(p_{CO_2,max})$ , which is equal to the  $p_{CO_2}$  at the inlet  $(p_{CO_2,rinlet}$ —Equation (6).

$$p'_{CO_2(X)} = \frac{p_{CO_2(x)}}{p_{CO_2,max}} = \frac{p_{CO_2(x)}}{p_{CO_2,inlet}}$$
(8)

While for water the normalized  $p_{CO_2}$  profiles are very similar for all three inlet  $p_{CO_2}$  (overlapping of all three dimensionless profiles, Figure 15b), the normalized  $p_{CO_2}$  profiles for blood deviate slightly (Figure 15a). However,  $p_{CO_2'}$  is capable of giving a reasonable representation of the  $p_{CO_2}$  boundary layers at different inlet CO<sub>2</sub> partial pressures.



**Figure 15.** Boundary profiles of CO<sub>2</sub> partial pressure (pCO<sub>2</sub>) and normalized CO<sub>2</sub> partial pressure ( $p_{CO_2}'$ ) (sample line illustrated in Figure 7a) at different inlet  $p_{CO_2}$  and an inlet velocity of 0.02 m/s (approx. 1300 mL/min): (**a**) porcine blood (**b**) water (normalized profiles are overlapping).

Figure 16 compares the dependence of the  $p_{CO_2}$  boundary layer profiles on the inlet velocities of the simplified packing for porcine blood and water. Five inlet velocities (0.005, 0.01, 0.02, 0.03, 0.1 m/s) were simulated. With decreasing inlet velocities, the boundary layers become thicker and the  $p_{CO_2}$  gradients smaller. The principal shape of the profiles differs depending on whether higher or lower flow velocities are present. At higher inlet velocities ( $\geq 0.1 \text{ m/s}$ ), there is a low decrease in  $p_{CO_2}$  with decreasing fiber distance followed by a steep decrease. At lower inlet velocities ( $\leq 0.005 \text{ m/s}$ ),  $p_{CO_2}$  decreases gradually with fiber distance. In general, water shows thicker  $p_{CO_2}$  boundary layers than blood and a more pronounced dependence of the  $p_{CO_2}$  boundary layer on the inlet velocity.



**Figure 16.** Boundary profiles (sampling line illustrated in Figure 7a) of normalized CO<sub>2</sub> partial pressure ( $pCO_2/pCO_{2,max}$ ) for different inlet CO<sub>2</sub> partial pressures: (**a**) porcine blood, (**b**) water.



**Figure 17.** Dependency of boundary layer thickness on: (**a**) maximum velocity between fibers; (**b**) Reynolds number computed with maximum velocity between fibers.

In Figure 17a,  $\delta$  is plotted over the maximum velocity between fibers. The boundary layer thickness of porcine blood is smaller and, in contrast to water, dependent on inlet  $p_{CO_2}$ . At elevated velocities, this dependency becomes less. In Figure 17b,  $\delta$  is plotted over the Reynolds number (Re). Re was calculated Equation (8) using the fiber diameter (d<sub>fiber</sub>), maximum velocity between fibers (u<sub>max</sub>), and, due to elevated shear rates > 400 s<sup>-1</sup> in larger parts of the geometry, Newtonian kinematic viscosity ( $\nu$ ) of the respective fluids (porcine blood or water, Figure 2).

$$Re = \frac{u_{max} \times d_{fiber}}{\nu} \tag{10}$$

In general, water shows a higher Re than porcine blood due to its lower viscosity. The boundary layer thickness deviates more strongly when comparing porcine blood and water at the same Re (Figure 17b) than when comparing at the same maximum velocities (Figure 17a). This indicates that at similar velocities, additional mixing, promoted by lower viscosity of water (higher Re), does reduce the difference in the boundary layer thickness between blood and water.

The boundary layer thickness of porcine blood and water at the same maximum shear stress agree reasonably (Figure 18a), confirming that boundary layer thickness is mainly dependent on shear stress [29]. However, when comparing the  $CO_2$  removal rates of porcine blood and water at same shear stresses (Figure 18b), the deviation is stronger (min. deviation 20% for max shear stress > 1 Pa) than when compared at the same blood/water flow rates (approx. 10%, Figure 9). Consequently, the boundary layer thickness and  $CO_2$  removal rate cannot be matched simultaneously.



Figure 18. Influence of maximum shear stress on: (a) boundary layer thickness; (b) specific CO<sub>2</sub> removal rate.

The differences between the boundary layer mass transfer characteristics of porcine blood and water can be confirmed by establishing dimensionless Sherwood correlations. The mass transfer analogy for crossflow within hollow fiber membrane packings is usually expressed by the dimensionless Sherwood (Sh) Reynold (Re) and Schmidt (Sc) number, as well as the empirical parameters a and b [27]:

$$Sh = a \times Re^b \times Sc^{0.33} \tag{11}$$

To account for the diffusion of bicarbonate and physically dissolved  $CO_2$  in blood, the definitions of Sh and Sc proposed by Federspiel et al. [11] were used. Sh is calculated using the  $CO_2$  mass transfer coefficient ( $k_{CO_2}$ ), fiber diameter ( $d_{fiber}$ ),  $CO_2$  solubility in blood ( $\alpha_{CO_2}$ , Table 4), and facilitated diffusion of  $CO_2$  in blood ( $D_f$ ).

$$Sh = \frac{k_{\rm CO_2} \times d_{fiber}}{\alpha_{\rm CO_2} \times D_f} \tag{12}$$

Sc number is defined by the Newtonian kinematic viscosity of blood ( $v_{blood}$ ) and effective diffusion of CO<sub>2</sub> in blood (D<sub>eff</sub>).

$$Sc = \frac{\nu_{blood}}{D_{eff}} \tag{13}$$

The Sh and Sc numbers of water can be calculated analogously. Here, facilitated and effective diffusivity are replaced with the diffusivity of CO<sub>2</sub> in water (Table 4). The CO<sub>2</sub> mass transfer coefficient was determined with the numerically predicted average CO<sub>2</sub> flux ( $j_{CO_2}$ ) and the CO<sub>2</sub> partial pressure difference between the blood/water bulk flow and gas side ( $\Delta p_{CO_2}$ ):

$$k_{CO_2} = \frac{j_{CO_2}}{\Delta p_{CO_2}} \tag{14}$$

The Re number was calculated according to Equation (10). The definitions of  $D_f$  and  $D_{eff}$  are given in [11].

Comparison of the Sherwood analogies (Figure 19) shows different correlations for porcine blood and water. While parameter a of porcine blood (0.47) and water (0.51) is comparable, parameter b deviates by a factor of 2 (b porcine blood: 0.21, b water: 0.42). This leads to agreement between the two correlations only for Re numbers of about 1. The varying values of Sh/Sc<sup>0.33</sup> at different  $p_{CO_2}$  for porcine blood are caused by the non-linear

dependency of the CO<sub>2</sub> partial pressure and CO<sub>2</sub> concentration ( $c_{CO_2}$ ) and the definition of  $k_{CO_2}$ , which uses  $p_{CO_2}$  instead of  $c_{CO_2}$  as the driving force (Equation (14)). Based on the available data, CO<sub>2</sub> mass transfer within the boundary layer of porcine blood and water does not exhibit similar characteristics.



Figure 19. Sherwood correlations for porcine blood and water determined via the CFD results.

3.2.3. Influences of Fluid Properties on Boundary Layer Thickness

In further CFD studies, the dependency of the boundary layer thickness on important fluid and material parameters was examined. Therefore, the original CFD model for water was adapted by singularly modifying the  $CO_2$  diffusion coefficient ( $CO_2$  diff. coeff.),  $CO_2$  permeance,  $CO_2$  solubility and the viscosity model to the properties of blood.

The boundary layer thicknesses, determined with these modified CFD models, are compared in Figure 20 for a flow rate of 1300 mL/min and  $CO_2$  partial pressures of 50 and 100 mmHg. As reference, the boundary layer thicknesses determined with the original CFD models are entered. A summary of the model and parameter values can be found in Table 4.



Figure 20. Influence of CO<sub>2</sub> diffusion, CO<sub>2</sub> permeance, CO<sub>2</sub> solubility, and viscosity model on the boundary layer thickness.

Modification of the water CFD model by changing individual fluid and material parameters to those of blood resulted in a reduction in boundary layer thickness in all of the studied cases (Figure 20). The strongest dependency of boundary layer thickness was detected for adaption of the CO<sub>2</sub> diffusion coefficient from a value of  $2.38 \times 10^{-9}$  m<sup>2</sup>/s (CO<sub>2</sub> in water) to  $6.96 \times 10^{-10}$  m<sup>2</sup>/s (CO<sub>2</sub> in blood). By this adaption, the boundary layer thickness reduced to 57 µm, which is comparable to porcine blood with a boundary layer thickness of 55 µm at 100 mmHg inlet p<sub>CO2</sub>. The reduction in boundary layer thickness induced by CO<sub>2</sub> permeance, CO<sub>2</sub> solubility model and viscosity is less pronounced. The boundary layer thickness determined with these simulations is similar and approximately 68 µm.

Of all model adaptions, only the CO<sub>2</sub> solubility model of blood introduces a dependency of the boundary layer thickness on the inlet  $p_{CO_2}$ . This is probably due to the binding of CO<sub>2</sub> in multiple components (physically dissolved, bicarbonate and carbaminohemoglobin) and the resulting nonlinear dependency of the CO<sub>2</sub> partial pressure from the CO<sub>2</sub> concentration (Figure 1a).

# 3.2.4. Influences of Fluid Properties on CO2 Removal Rate

Additional CFD studies were conducted to examine the influence of the  $CO_2$  diffusion coefficient ( $CO_2$  diff. coeff.),  $CO_2$  permeance,  $CO_2$  solubility and the viscosity model on the specific  $CO_2$  removal rate. To do so, the original CFD model for water was adapted by singularly modifying the fluid and material parameters to those of blood.

Figure 21 compares the specific  $CO_2$  removal rate at a flow rate of 1300 mL/min and a  $CO_2$  partial pressure of 70 mmHg, determined with the modified and original CFD models for blood and water. As can be seen in Figure 21, the  $CO_2$  removal rates of blood and water are comparable despite the different fluid and material properties (Table 4). This is due to their opposing effects on the  $CO_2$  removal rate. While the higher  $CO_2$  solubility of blood allows higher  $CO_2$  removal rates, the slower  $CO_2$  diffusion in blood, the lower permeance of membranes contacted with blood and the higher blood viscosity lower the  $CO_2$  removal rate. The strongest effect on the  $CO_2$  removal rate is caused by the difference in  $CO_2$  solubility between blood and water (121% increase), followed by the difference in  $CO_2$  diffusion rate (53% decrease) and the difference in  $CO_2$  permeance (18% decrease). Based on the CFD results, the rheologic difference between blood and water has the smallest effect on the  $CO_2$  removal rate (10% decrease).



Figure 21. Influence of CO<sub>2</sub> diffusion, CO<sub>2</sub> permeance CO<sub>2</sub> solubility, and viscosity model on the CO<sub>2</sub> removal rate.

## 4. Conclusions

The CO<sub>2</sub> removal rate of a prototype oxygenator was measured in vivo using porcine blood and in vitro using water. In general, the CO<sub>2</sub> removal rates of porcine blood and

water are comparable despite differing fluid properties (CO<sub>2</sub> diffusion, CO<sub>2</sub> solubility and viscosity). The deviation of the CO<sub>2</sub> removal rate determined with porcine blood from that determined with water amounts to approximately 10%. This deviation agrees well with the data found in recent literature [20–22]. Based on the results of the in vivo and in vitro tests, the CFD CO<sub>2</sub> transport simulations were validated.

Besides differences in the fluid properties of blood and water, our experimental and simulation data indicate that additionally higher  $CO_2$  permeances are available during tests with water, probably due to the absence of blood residues on the membrane surface. The influences of the differing fluid properties ( $CO_2$  diffusion,  $CO_2$  solubility and viscosity) as well as membrane material properties ( $CO_2$  permeance) on  $CO_2$  removal were quantified, utilizing the developed CFD models. The difference in  $CO_2$  solubility between blood and water has the strongest effect on the  $CO_2$  removal rate, followed by the difference in the  $CO_2$  diffusion rate, difference in  $CO_2$  permeance and difference in viscosity.

The CFD simulations also allow to resolve and study the main CO<sub>2</sub> transport resistance—the diffusional boundary layer attached to the membrane surface. The simulations show that the  $p_{CO_2}$  boundary layer in water is, in general, thicker than that in blood. The CFD results indicate that the thicker boundary layer in water can be mainly attributed to the higher diffusion coefficient of CO<sub>2</sub> in water ( $2.38 \times 10^{-9} \text{ m}^2/\text{s}$ ) than in blood ( $6.96 \times 10^{-10} \text{ m}^2/\text{s}$ ). Furthermore, the CFD model suggests that the p<sub>CO</sub>, boundary layer thickness in blood is dependent on bulk  $p_{CO_2}$ . This is probably due to the binding of  $CO_2$  in multiple components (physically dissolved, bicarbonate and carbaminohemoglobin) and the resulting nonlinear dependency of the  $CO_2$  partial pressure from the  $CO_2$  concentration ( $CO_2$  solubility). Additionally, CFD simulations indicate that the  $p_{CO_2}$  boundary layer thicknesses of porcine blood and water are in good agreement when compared at same shear stresses. However, the  $CO_2$  removal rates of porcine blood and water deviate stronger at the same shear stresses (>20%) than at the same blood/water flow rates (approx. 10%). Consequently, the boundary layer thickness and  $CO_2$  removal rate cannot be matched simultaneously. Differences in the CO<sub>2</sub> mass transfer characteristics of the boundary layer can be confirmed when comparing Sherwood correlations established for porcine blood and water.

To conclude, the boundary layers of blood and water behave, in general, differently. Studies of blood oxygenators aiming to investigate the boundary layer or measures for boundary layer reduction should, therefore, rely on blood tests. However, the determination of the total  $CO_2$  removal rate of an oxygenator using water as a blood substitute should be possible with reasonable accuracy. Deviation of the  $CO_2$  removal rate determined with water to the  $CO_2$  removal rate determined with blood should lie within 10%. This level of accuracy could potentially differ for flow conditions other than those studied here (crossflow, Re within the packing approx. 20). Nevertheless, the results of this work provide a basis for future optimization of the  $CO_2$  removal performance of oxygenators, using in vitro tests with water.

Author Contributions: Conceptualization, B.L. and M.H.; methodology, B.L., R.K. and P.E.; software, B.L., R.K., P.E., M.E., M.P. and B.H.; validation, B.L., P.E., R.K., M.P. and B.H.; formal analysis, B.L., P.E. and M.E.; investigation, B.L., P.E., M.E. and C.J. (Christoph Janeczek); resources, M.G. and M.H; data curation, B.L., P.E., R.K. and M.E; writing—original draft preparation, B.L. and R.K.; writing—review and editing, B.L., P.E., M.E., M.P., B.H., C.J. (Christoph Janeczek) and C.J. (Christian Jordan); visualization, B.L.; supervision, C.J. (Christoph Janeczek) and C.J. (Christian Jordan); project administration, M.G. and M.H.; funding acquisition, M.G. and M.H. All authors have read and agreed to the published version of the manuscript.

**Funding:** This research was funded by the Austrian Research Promotion Agency (FFG). Project: Minimal Invasive Liquid Lung (MILL). FFG project number: 857859. Open Access Funding Programme by TU Wien University Library.

**Institutional Review Board Statement:** All tests were approved by the institutional ethics and animal welfare committee and the national authority (ZI. 153/115-97/98). Federal Ministry of Education, Science and Research, Austria.

**Informed Consent Statement:** The studies involved two pigs and the use of bovine blood. No tests were performed on humans.

**Data Availability Statement:** The data that support the findings of this study are available from the corresponding author upon reasonable request.

**Acknowledgments:** The authors acknowledge TU Wien University Library for financial support through its Open Access Funding Programme.

**Conflicts of Interest:** The authors declare no conflict of interest.

# Nomenclature

Acronyms	
CFD	Computational fluid dynamics
CO <sub>2</sub>	Carbon dioxide
$H^+$	Hydronium ion
$H_2CO_3$	Carbonic acid
HCO <sub>3</sub> <sup>-</sup>	Bicarbonate
N <sub>2</sub>	Nitrogen
$O_2$	Oxygen
Latin Symt	pols
A	Membrane area
a	Empirical parameter of Sherwood correlation (multiplier)
b	Empirical parameter of Sherwood correlation (exponent)
D <sub>CO2</sub>	CO <sub>2</sub> diffusion coefficient
$D_{eff}$	Coefficient of effective diffusion of $CO_2$ in blood
D <sub>f</sub>	Coefficient of facilitated diffusion of $\overline{CO_2}$ in blood
d <sub>fiber</sub>	Outer hollow fiber membrane diameter
	Transmembrane CO <sub>2</sub> transport
$c_{0}$	Transmembrane $CO_2$ flux
ICO <sub>2</sub> blood	Transmembrane $CO_2$ transport in in vivo porcine blood tests
CO <sub>2</sub> ,01000	Transmembrane $CO_2$ transport in in vitro water tests
$k_{CO}$	$CO_2$ mass transfer coefficient
L	Characteristic length of the Reynolds number
Р	Membrane permeance
р	Pressure field
$P_{CO_2}$	CO <sub>2</sub> membrane permeance
	CO <sub>2</sub> partial pressure
$PCO_2$ inlet	Inlet CO <sub>2</sub> partial pressure
PCO <sub>2</sub> max	Maximum CO <sub>2</sub> partial pressure
PCO <sub>2</sub> water	CO <sub>2</sub> partial pressure in water
$PCO_2^{\prime}$	Normalized $CO_2$ partial pressure
Re	Reynolds number
Re <sub>inlet</sub>	Reynolds number at the inlet pipe of the prototype oxygenator
Repacking	Reynolds number in the hollow fiber packing of the prototype oxygenator
Sc	Schmidt number
Sh	Sherwood number
U	Velocity field
u	Characteristic velocity of Reynolds number
u <sub>max</sub>	Maximum velocity between two fibers
x	Arbitrary point on shell side of prototype oxygenator
Xinlet	Arbitrary point on shell side at inlet of prototype oxygenator
Greek Sym	bols
aco.	CO <sub>2</sub> solubility
$\Delta p_{CO_2}$	CO <sub>2</sub> partial pressure difference between blood bulk flow and gas side
δ	CO <sub>2</sub> boundary layer thickness
ε	Relative deviation of in vivo porcine blood to in vitro water CO <sub>2</sub> removal rate
μ	Dynamic viscosity
v	Kinematic viscosity
ρ	Density
•	

## References

- 1. Goerke, A.R.; Leung, J.; Wickramasinghe, S.R. Mass and momentum transfer in blood oxygenators. *Chem. Eng. Sci.* 2002, 57, 2035–2046. [CrossRef]
- Federspiel, W.; Henchir, K. Lung. Artificial: Basic Principles and Current Applications. In *Encyclopedia of Biomaterials and Biomedical Engineering*, 2nd ed.; Wnek, G., Bowlin, G., Eds.; CRC Press: Danvers, USA, 2008; pp. 1661–1672. ISBN 978-1-4200-7802-2.
- Von Segesser, L.K. Coatings for cardiovascular devices: Extracorporeal circuits. In *Coatings for Biomedical Applications*; Driver, M., Ed.; Woodhead Publishing: Sawston, UK, 2012; pp. 251–263. ISBN 978-1-84569-568-2.
- Li, J.; Sly, M.; Chao, R.; Constantinescu, A.; Kulkarn, P.; Wians, F.; Jessen, M.; Eberhart, R. Transient adhesion of platelets in pump-oxygenator systems: Influence of SMA and nitric oxide treatments. J. Biomater. Sci. Polym. Ed. 1999, 10, 235–246. [CrossRef]
- 5. Jaffer, I.H.; Reding, M.T.; Key, N.S.; Weitz, J.I. Hematologic Problems in the Surgical Patient. In *Hematology*; Elsevier BV: Amsterdam, The Netherlands, 2018; pp. 2304–2312.e4.
- 6. Popel, A.S. Theory of oxygen transport to tissue. *Crit. Rev. Biomed. Eng.* **1989**, *17*, 257–321. [PubMed]
- Geers, C.; Gros, G. Carbon Dioxide Transport and Carbonic Anhydrase in Blood and Muscle. *Physiol. Rev.* 2000, 80, 681–715. [CrossRef]
- Lukitsch, B.; Ecker, P.; Elenkov, M.; Janeczek, C.; Jordan, C.; Krenn, C.; Ullrich, R.; Gfoehler, M.; Harasek, M. Suitable CO<sub>2</sub> Solubility Models for Determination of the CO<sub>2</sub> Removal Performance of Oxygenators. *Bioengineering* 2021, *8*, 33. [CrossRef]
- 9. Sander, R. Compilation of Henry's law constants (version 4.0) for water as solvent. *Atmos. Chem. Phys. Discuss.* 2015, 15, 4399–4981. [CrossRef]
- 10. Loeppky, J.A.L.; Luft, U.; Fletcher, E. Quantitative description of whole blood CO<sub>2</sub> dissociation curve and Haldane effect. *Respir. Physiol.* **1983**, *51*, 167–181. [CrossRef]
- 11. Svitek, R.G.; Federspiel, W.J. A Mathematical Model to Predict CO<sub>2</sub> Removal in Hollow Fiber Membrane Oxygenators. *Ann. Biomed. Eng.* **2008**, *36*, 992–1003. [CrossRef]
- 12. Windberger, U.; Bartholovitsch, A.; Plasenzotti, R.; Korak, K.J.; Heinze, G. Whole blood viscosity, plasma viscosity and erythrocyte aggregation in nine mammalian species: Reference values and comparison of data. *Exp. Physiol.* **2003**, *88*, 431–440. [CrossRef]
- 13. Mehri, R.; Mavriplis, C.; Fenech, M. Red blood cell aggregates and their effect on non-Newtonian blood viscosity at low hematocrit in a two-fluid low shear rate microfluidic system. *PLoS ONE* **2018**, *13*, e0199911. [CrossRef]
- 14. National Institute of Standards and Technology Isobaric Properties for Water. Available online: https://webbook.nist.gov/cgi/fluid.cgi?P=1&TLow=20&THigh=40&TInc=1&Applet=on&Digits=5&ID=C7732185&Action=Load&Type=IsoBar&TUnit=C&PUnit=bar&DUnit=kg%2Fm (accessed on 10 December 2020).
- 15. Cho, Y.I.; Kensey, K.R. Effects of the non-Newtonian viscosity of blood on flows in a diseased arterial vessel. Part 1: Steady flows. *Biorheology* **1991**, *28*, 241–262. [CrossRef]
- Lukitsch, B.; Ecker, P.; Elenkov, M.; Janeczek, C.; Haddadi, B.; Jordan, C.; Krenn, C.; Ullrich, R.; Gfoehler, M.; Harasek, M. Computation of Global and Local Mass Transfer in Hollow Fiber Membrane Modules. *Sustainability* 2020, 12, 2207. [CrossRef]
- 17. Hout, M.S.; Hattler, B.G.; Federspiel, W.J. Validation of a model for flow-dependent carbon dioxide exchange in artificial lungs. *Artif. Organs* **2000**, *24*, 114–118. [CrossRef]
- Federspiel, W.J.; Haulert, B.G. Sweep Gas Flowrate and C02Exchange in Artificial Lungs. Artif. Organs 1996, 20, 1050–1052. [CrossRef]
- Hattler, B.G.; Lund, L.W.; Golob, J.F.; Russian, H.J.; Lann, M.F.; Merrill, T.L.; Frankowski, B.J.; Federspiel, W.J. A respiratory gas exchange catheter: In vitro and in vivo tests in large animals. J. Thorac. Cardiovasc. Surg. 2002, 124, 520–530. [CrossRef] [PubMed]
- 20. Tabesh, H.; Gholami, M.H.; Torabi, D.; Mottaghy, K. A pH-based experimental method for carbon dioxide exchange evaluation in cylindrical hollow fiber membrane oxygenators. *Asia-Pacific J. Chem. Eng.* **2019**, *14*, 2337. [CrossRef]
- 21. Mihelc, K.M.; Frankowski, B.J.; Lieber, S.C.; Moore, N.D.; Hattler, B.G.; Federspiel, W.J. Evaluation of a Respiratory Assist Catheter that Uses an Impeller Within a Hollow Fiber Membrane Bundle. *ASAIO J.* **2009**, *55*, 569–574. [CrossRef] [PubMed]
- 22. Jeffries, R.G.; Frankowski, B.J.; Burgreen, G.W.; Federspiel, W.J. Effect of impeller design and spacing on gas exchange in a percutaneous respiratory assist catheter. *Artif. Organs* **2014**, *38*, 1007–1017. [CrossRef]
- 23. van Leer, B. Towards the ultimate conservative difference scheme. II. Monotonicity and conservation combined in a second-order scheme. *J. Comput. Phys.* **1974**, *14*, 361–370. [CrossRef]
- 24. Haddadi, B.; Jordan, C.; Miltner, M.; Harasek, M. Membrane modeling using CFD: Combined evaluation of mass transfer and geometrical influences in 1D and 3D. *J. Membr. Sci.* 2018, 563, 199–209. [CrossRef]
- 25. Harasek, M.; Haddadi, B.; Miltner, M.; Schretter, P.; Jordan, C. Fully Resolved CFD Simulation of a Hollow Fibre Membrane Module. *Chem. Eng. Trans.* **2016**, *52*, 433–438. [CrossRef]
- 26. Himmelblau, D.M. Diffusion of Dissolved Gases in Liquids. Chem. Rev. 1964, 64, 527–550. [CrossRef]
- Low, K.W.Q.; Van Loon, R.; Rolland, S.A.; Sienz, J. Formulation of Generalized Mass Transfer Correlations for Blood Oxygenator Design. J. Biomech. Eng. 2017, 139, 031007. [CrossRef] [PubMed]
- 28. Baehr, H.D.; Karl, S. Wärme- und Stoffübertragung; Springer: Berlin/Heidelberg, Germany, 2009; ISBN 978-3-540-87688-5. (In German)
- 29. Schetz, J.A.; Bowersox, R.D.W. *Boundary Layer Analysis*, 2nd ed.; American Institute of Aeronautics and Astronautics (AIAA): Reston, VA, USA, 2011.