It is made available under a CC-BY-NC-ND 4.0 International license .

1	Hepatitis B virus prevalence and transmission in the households of pregnant women in Kinshasa,
2	Democratic Republic of Congo
3	
4	Camille E. Morgan ¹ , Patrick Ngimbi ² , Alix JN Boisson-Walsh ³ , Sarah Ntambua ² , Jolie Matondo ² , Martine
6	Linda James ³ , Nana Mbonze ² , Samuel Mampunza ² , Marcel Yotebieng ⁵ , Peyton Thompson ^{6*} , Jonathan B.
7	Parr ^{3,7*}
8	
9	¹ Department of Epidemiology, Gillings School of Global Public Health, University of North Carolina,
10	Chapel Hill, NC, 27599, USA.
11	
12	² Université Protestante du Congo, Kinshasa, Democratic Republic of the Congo.
13	
14	Institute for Global Health and Infectious Diseases, University of North Carolina at Chapel Hill, Chapel
15	Hill, NC, 27599, USA.
16 17	⁴ Kinshasa School of Public Health, University of Kinshasa, Kinshasa, Democratic Republic of the Congo.
18	⁵ Division of General Internal Medicine, Department of Medicine, Albert Einstein College of Medicine,
19	Bronx, NY, 10461, USA.
20	⁶ Division of Infectious Diseases, Department of Pediatrics, UNC School of Medicine, University of North
21	Carolina, Chapel Hill, NC 27599, USA.
22	⁷ Division of Infectious Diseases, Department of Medicine, UNC School of Medicine, University of North
23	Carolina at Chapel Hill, Chapel Hill, NC, 27599, USA.
24	* Co-senior authors.
25	
26 27	Keywords: Vertical transmission, horizontal transmission, PMTCT, viral hepatitis, birth-dose vaccination
28	Key points (40/40 words)
20	HBV infection natterns in households of women receiving antenatal care in Kinshasa, DBC suggest
30	vertical and horizontal transmission and reveal associations with street harber use shared nail clinners
31	and sexual behaviors. Prevention programs must address horizontal transmission to reach elimination
32	
33	Abstract (CID: 239/250 words)
34	
35	Background:
36	Despite routine infant vaccination and blood donor screening, the Democratic Republic of Congo (DRC)
37	has high hepatitis B virus (HBV) prevalence compared to the United States and Europe. Through the
38	cross-sectional Horizontal and Vertical Transmission of Hepatitis B (HOVER-HBV) study, we characterized
39	household prevalence in DRC's capital, Kinshasa, to inform additional prevention efforts.
40	
41	Methods:
42	We introduced HBV surface antigen (HBsAg) screening alongside existing HIV screening as part of
43	routine antenatal care (ANC) in high-volume maternity clinics in Kinshasa. We recruited households of

It is made available under a CC-BY-NC-ND 4.0 International license .

- 44 pregnant women who were HBsAg-positive and HBsAg-negative, defining households as "exposed" and
- 45 "unexposed," respectively. Household members underwent HBsAg testing and an epidemiological
- 46 survey. We evaluated HBsAg prevalence and potential transmission correlates.
- 47

48 Results:

- 49 We enrolled 1,006 participants from 200 households (100 exposed, 100 unexposed) across Kinshasa.
- 50 HBsAg prevalence was more than twice as high in exposed households (5.0%; 95% CI: 2.8%-7.1%) as in
- 51 unexposed households (1.9%; 0.6%-3.2%). Exposed direct offspring had 3.3 (0.9, 11.8) times the
- 52 prevalence of unexposed direct offspring. Factors associated with HBsAg-positivity included older age,
- 53 marriage, and having multiple recent partners or any new sexual partners among index mothers; and
- 54 older age, lower household wealth, sharing nail clippers, and using street salons among exposed
- 55 offspring.
- 56

57 **Conclusions:**

- 58 Vertical and horizontal HBV transmission within households is ongoing in Kinshasa. Factors associated
- 59 with infection reveal opportunities for HBV prevention efforts, including perinatal prevention,
- 60 protection during sexual contact, and sanitation of shared personal items.
- 61
- 62

It is made available under a CC-BY-NC-ND 4.0 International license .

63 Word count: 2952/3000

64

65 Introduction

66 Despite an effective vaccine, hepatitis B virus (HBV) infection remains highly prevalent (~6%) in Asia and Africa, resulting in significant global morbidity and mortality.^{1,2} Without a therapeutic cure, infection 67 68 prevention remains the primary strategy to reduce HBV morbidity and mortality. However, HBV vaccination alone will not achieve elimination by the 2030 target.^{3–5} Modeling studies indicate that test-69 70 and-treat interventions can yield marked HBV prevalence reductions in Africa, but studies of prevention options are hindered by the limited epidemiological data from the region.⁴ While perinatal transmission 71 72 is the dominant driver of ongoing endemicity in Asia,^{6–8} available studies suggest a greater contribution of household and community ("horizontal") transmission in Africa, particularly during early childhood^{9,10} 73 74 but also in adulthood.⁴ Improved understanding of dominant HBV transmission modes and risk factors is 75 needed to design effective interventions in Africa, especially in HBV-endemic countries like the 76 Democratic Republic of Congo (DRC).

- 77
- 78 National HBV prevalence in the DRC is estimated to be 3.3% by HBV surface antigen (HBsAg) testing,¹¹
- 79 translating to approximately 2.5 million chronic infections in a country where advanced hepatology care
- 80 is essentially inaccessible.¹² Estimated prevalence is higher among blood donors,¹³ women with HIV
- 81 presenting to urban antenatal care (ANC) settings,¹⁴ pregnant women in rural areas,^{15,16} healthcare
- 82 workers,¹⁷ and survivors of sexual violence.¹⁸ Blood donor screening and the three-dose infant
- 83 pentavalent vaccine series are the only HBV prevention measures implemented nationally in DRC, but
- 84 complete infant HBV vaccination coverage is <70%.¹⁹ For prevention of mother-to-child transmission,
- 85 the World Health Organization recommends ANC HBsAg screening, maternal antiviral prophylaxis, and
- 86 infant birth-dose vaccination to prevent perinatal transmission.²⁰ These activities are feasible in the DRC
- but not yet implemented.^{21,22}.
- 88
- 89 To investigate HBV correlates and inform expanded interventions in the DRC, we conducted the
- 90 Horizontal and Vertical Transmission of Hepatitis B (HOVER-HBV) study. We built upon the established
- 91 prevention of mother-to-child HIV transmission (PMTCT) program infrastructure to introduce ANC
- 92 HBsAg screening, characterize HBV prevalence in ANC patients' households across urban Kinshasa, and
- 93 identify attributes and practices associated with HBsAg-positivity.

95 Methods

96

94

97 Study design and participant recruitment

To recruit households, we introduced DETERMINE 2²³ (Abbott, Abbott Park, IL) point-of-care (POC)
 HBsAg testing alongside existing ANC HIV testing in high-volume maternity centers in Kinshasa (Figure
 10. During two recruitment periods, pregnant women screened for HBsAg were offered enrollment of
 their households in the study. In this matriarchal design, recruited women served as "index mothers" for
 enrolled households, with a pre-specified target of 100 households of HBsAg-positive mothers and 100
 households of HBsAg-negative mothers.

104

105 Study procedures

106 Data collection at the single household visit included POC HBsAg testing (including repeat testing of the

107 index mothers), collection of dried blood spot (DBS) specimens, and administration of household and

- 108 individual questionnaires (Appendix 1) covering demographics and potential sources of horizontal HBV
- 109 transmission within household and community settings. HIV infection and antiretroviral therapy (ART)
- 110 use, particularly tenofovir-based regimens given its anti-HBV activity, were determined by self-report. As

It is made available under a CC-BY-NC-ND 4.0 International license .

- 111 HBV can remain infectious on surfaces for at least 7 days,²⁴ we collected information about household
- and community practices that could result in HBV transmission, based on past findings from other
- 113 countries in the region.^{16,25–27} We offered the three-dose Euvax-B HBV (LG Life Sciences, Republic of
- 114 Korea) vaccination to all HBsAg-negative individuals living with someone who was HBsAg-positive, and
- recorded all resulting vaccinations occurring at the two centers where we offered them up to six months
- after the last enrollment.
- 117

118 Analytical approach

- We defined HBV-"exposed" and "unexposed" households as households of index mothers who were HBsAg-positive and HBsAg-negative during ANC recruitment, respectively; in sensitivity analyses, we applied alternate definitions of household exposure based on index mothers' HBsAg status across the recruitment and enrollment timepoints (Supplementary Material). We conducted descriptive analyses of household composition, HBsAg positivity patterns within households, and household/participant demographics, including composite indices of modern housing and wealth to approximate standard of living across households (Supplementary Material). We considered participant age both continuously and categorically, defining categories based on age relative to the introduction of the three-dose HBV
- and categorically, defining categories based on age relative to the introduction of the three-d
 vaccination in the national infant immunization program (Supplementary Material).^{28,29}
- 128

129 In our primary analysis, we compared HBsAg prevalence between exposed and unexposed households

- and between household member types (offspring versus other). We estimated prevalence ratios for
- each comparison, first unadjusted and then adjusted for household clustering using a random intercept
- 132 for household. To examine potential correlates of HBV infection, we also estimated measures of
- association between HBsAg positivity and individual, household, and community-level attributes and
- 134 practices. Variable coding is detailed in Supplementary Material. Briefly, individual attributes included
- age and marital status; household variables included household wealth, sharing personal objects within
- the household, and premasticating food for another household member; community variables included
- receiving blood transfusions, manicures/pedicures, tattoos, traditional scarification, and a variety of
 sexual behaviors. Given the case-control design of index mother recruitment, we used logistic
- regression to estimate odds ratios for these factors' associations with maternal HBsAg positivity. For the
- analysis among household members, we conducted stratified analyses by household member type
- 141 (offspring vs. other household member), estimating a prevalence ratio for each attribute/practice from
- 142 multilevel log-binomial regression with a random intercept to account for household clustering. Where
- 143 log-binomial models failed to converge, we used the odds ratio from the logistic regression model to
- approximate the prevalence ratio, which is minimally biased for rare outcomes (<10% prevalence) such
- as HBsAg positivity.³⁰ We calculated 95% confidence intervals (CI) to assess precision of each estimate. In
- subgroups with fewer than 10 HBsAg infections, we calculated Fisher's exact p-values.
- 147
- 148 All data were imported into R (v4.1.1, R Core Team, Vienna, Austria) using the REDCapR package
- 149 (v1.1.0). We analyzed data using the *tidyverse* (v2.0.0), *tableone* (v0.13.2), and *lme4* (v1.1.32) packages.
- 150 R code is publicly available at <u>https://github.com/IDEELResearch/hbv_hover</u>. This study was approved
- 151 by the Institutional Review Board at the University of North Carolina (19-1875) and the Ethics
- 152 Committee at Université Protestante au Congo (CE/UPC/0062).
- 153 154 **Results**
- 155

156 Study population

- 157 From February 2021 to September 2022, we offered enrollment to 339 households, and enrolled 200
- 158 households and 1,006 individuals (Figure 1). Overall, 190 index mothers were recruited from two

It is made available under a CC-BY-NC-ND 4.0 International license .

159 maternity centers, and 10 women from nine other maternity centers. Participating households were

- 160 located in neighborhoods across metropolitan Kinshasa (Figure 2A). Few households (20.5%) lived in
- 161 modern housing structures, and most participants were transient (median of two years [IQR: 1, 5] in the
- home) (**Table 1**). We enrolled a median of 5 (IQR: 3, 6) members per exposed and unexposed household
- 163 (Figure 2B). Most recruited index mothers were multiparous: in 176 (88%) households, we enrolled at
- least one direct offspring, with a median of 3 children (IQR: 1,4) enrolled in both exposed and
- unexposed households (**Table 1**). In 86 households (43%), we enrolled the index mother's sexual
- partner, with partners enrolling in a considerably higher proportion of exposed (52%) than unexposed
- 167 (34%) households. Index mothers had a median age of 32 years (IQR: 27, 37), with a higher median age 168 among mothers in exposed (33 years) versus unexposed households (30 years). We enrolled 467 direct
- 169 offspring of index mothers (228 exposed, 239 unexposed), with similar age distributions in exposed and
- 170 unexposed households. Most offspring (82% exposed, 84% unexposed) were 13 years of age or younger
- 171 in 2022, and thus born after three-dose infant HBV vaccination was introduced in DRC. We enrolled 331
- 172 other household members, with the most common relationships to index mothers being
- 173 nieces/nephews (n=93), siblings (n=90), and partners (n=86).
- 174

175 HBsAg prevalence

- 176 HBsAg prevalence among index mothers' household members was 5.0% (95% CI: 2.8%, 7.1%) and 1.9%
- 177 (0.6%, 3.2%) in exposed and unexposed households, respectively, corresponding to an unadjusted
- prevalence ratio (PR) of 2.61 (1.20, 6.25), and PR adjusted for household clustering of 2.52 (0.88, 7.23)
- (Table 2, Supplementary Figure 3). Overall, we observed 27 household members who were HBsAg positive (19 exposed, 8 unexposed) from 17 distinct households (Figures 2B-2C). We observed 15 HBsAg
- infections among direct offspring; HBsAg prevalence among direct offspring was 5.3% (2.4%, 8.2%) in
- exposed households and 1.3% (0.0%, 2.7%) in unexposed households, corresponding to an unadjusted
- 183 PR of 4.18 (1.35, 18.16) and adjusted PR of 3.33 (0.94, 11.84). Among these 15 direct offspring who were
- 184 HBsAg-positive, 12 had mothers who were HBsAg-positive at both timepoints; the remaining three came
- 185 from two households in which index mothers had incident infections. We observed 12 HBsAg infections
- among other household members; HBsAg prevalence was 4.5% (1.2%, 7.7%) and 2.7% (0.4%, 5.1%)
- 187 among other household members in exposed and unexposed households, respectively, corresponding
- to an unadjusted PR of 1.64 (95%CI: 0.53, 5.45) and adjusted PR of 1.01 (95% CI: 0.24, 4.25). We
- 189 observed one HBsAg infection among exposed male partners and one among unexposed male partners,
- 190 for a PR of 0.65 (95% CI: 0.04, 10.10) comparing those exposed to those unexposed.
- 191

192 In sensitivity analyses using alternate definitions of HBV exposure, household HBsAg prevalence

- 193 estimates were largely consistent with those obtained under the primary exposure definition
- 194 (Supplementary Figure 3), except for the analysis of direct offspring (all direct offspring infected lived
- 195 with a mother who was HBsAg-positive at least once). Of the 100 index mothers who were HBsAg-
- 196 positive at recruitment, 96 were HBsAg-positive and four were HBsAg-negative at enrollment (**Figure**
- **2C**). Two women who were HBsAg-negative at recruitment were HBsAg-positive at enrollment,
- 198 representing incident cases of horizontal transmission, and 98 index mothers were HBsAg-negative at
- 199 both points.
- 200

201 Factors associated with HBsAg positivity

- 202 Among index mothers, several individual attributes and potential sources of community HBV exposure
- 203 were associated with HBsAg positivity. Increasing age was associated with higher odds of HBsAg
- positivity, with an odds ratio of 1.06 (1.01, 1.11) per one-year increase in age (Figure 3, Supplementary
- **Figure 4**). Never having been married was associated with 0.39 (95% CI: 0.14, 0.96) times the odds of
- 206 HBsAg positivity compared with being married. Declining to answer age of sexual debut was associated

It is made available under a CC-BY-NC-ND 4.0 International license .

with 4.53 (95% CI: 1.81, 12.79) times the odds of HBsAg positivity compared with reporting sexual debut

- at 18 years or older; sexual debut before 18 years was associated with higher odds of HBsAg positivity
- 209 compared with \geq 18 years, but had a lower magnitude of association than declining to answer (OR: 1.21,
- 95% CI 0.66, 2.23). All variables assessing recent multiple and new sexual partners were associated with
 higher odds of HBsAg positivity compared with the referent; for example, having at least one new sexual
- partner in the last three months or declining to answer was associated with 3.63 (95% CI: 1.60, 9.06)
- times the odds of HBsAg positivity compared with having no new sexual partners in the last three
- 214 months. All associations held across sensitivity analyses in which definitions of household HBV exposure
- status were varied (**Supplementary Figure 4**). We did not observe evidence that engaging in
- transactional sex was associated with higher odds of HBsAg positivity (OR: 1.00, 95% CI: 0.53, 1.89).
- 217
- 218 Among exposed direct offspring, a one-year increase in age was associated with higher HBsAg
- 219 prevalence [adjusted PR = 1.42; 95% CI = (1.07,1.89)]. Offspring older than 13 years in 2022 (born before
- 220 pentavalent vaccine introduction in DRC) had 13.24 (1.61, 108.63) times the HBsAg prevalence of those
- 221 ≤13 years (Figure 3, Supplementary Figure 5). Exposed offspring from wealthier households had 0.22
- [95% CI: (0.06, 0.74)] times the HBsAg prevalence of those from households in the lowest wealth
- quartile. Sharing nail clippers in the household [adjusted PR: 3.35; 95% CI: (1.12, 9.97)] and using street
- salons [adjusted PR: 3.08; 95% CI: (1.06, 10.89)] in the community were both associated with higher
- HBsAg prevalence among exposed direct offspring. No attributes or practices were associated with
- HBsAg-positivity among unexposed direct offspring, but this analysis was limited by few (n=3)
- 227 unexposed direct offspring who were HBsAg-positive (Supplementary Table 3).
- 228

229 Exposed and unexposed other household members had too few infections (n=7 and n=5, respectively) to

- estimate PRs within these subgroups, but among exposed other household members, history of
- traditional scarring was associated with HBsAg-positivity (p-value = 0.002; **Supplementary Table 4**).
- Among unexposed other household members, no attributes or practices were significantly associated
- 233 with HBsAg positivity (Supplementary Table 5).
- 234

235 HBV vaccination

A total of 330 HBsAg-negative household members were living with someone who was HBsAg-positive.
 At six months following enrollment completion, 162 (49%) had initiated the vaccine series and 149 (45%)

- had completed the series (92% completion rate); 51 (15%) had refused vaccination outright; the
- remainder had accepted but did not present for vaccination. When we followed up with vaccine-eligible
- 240 participants, the most-cited reasons for non-vaccination were vaccine hesitancy and distance to the
- 241 maternities where we offered vaccination.
- 242

243 Discussion

- 244
- 245 In this large household HBV investigation in the DRC, we identified evidence of ongoing HBV
- transmission and opportunities for a range of HBV prevention efforts. HBsAg prevalence was higher in
- the households of HBsAg-positive mothers, indicating that the existing HIV PMTCT infrastructure in
- countries like the DRC could be used to identify households for targeted prevention. Factors associated
- 249 with HBsAg-positivity among index mothers included increasing age, current marriage, and recent sexual
- 250 behavior (having at least two recent partners, having at least one new recent partner, or declining to
- answer recent partner questions). Among exposed direct offspring, HBsAg-positivity was associated with
- increasing age, fewer household resources, and use of shared nail clippers or street salons. We also
- 253 observed evidence that traditional scarring could be associated with HBsAg positivity among other
- 254 household members. These potential sources of HBV infection corroborate findings from past studies in

It is made available under a CC-BY-NC-ND 4.0 International license .

other African settings,^{25,31} and suggest priority behaviors or subgroups for intervention in urban Kinshasa
 that may be relevant to other megacities in Africa.

257

258 Roll-out of infant HBV vaccination within the pentavalent series starting at six weeks of age is one 259 possible explanation for the HBV prevalence among exposed children, which was more than 13 times as 260 high for those who were >13 years compared to those who were ≤13 years in 2022. Our findings are 261 consistent with results of a study conducted in Burkina Faso, which also reported lower HBsAg positivity among children born to 215 HBsAg-positive mothers after HBV vaccine rollout but before birth dose.³² 262 263 We observed relatively few HBsAg-positive offspring, suggestive of infrequent perinatal transmission 264 given that proven PMTCT measures have not been implemented in DRC. Our observation of incident and 265 cleared infections among mothers further suggests recent HBV exposures and that horizontal 266 transmission is occurring. Accumulation of HBV exposures in households and communities over time is a 267 plausible explanation for the observed increasing HBsAg-positivity risk with age.

268

269 The strong observed associations with sexual exposures provides further evidence of horizontal HBV 270 transmission. The strongest association with HBsAg positivity was observed for index mothers who 271 reported multiple recent or any new sexual partners or who declined to discuss. This finding is in line 272 with a past study of DRC healthcare workers that found an association between multiple sexual partners 273 and HBsAg positivity.¹⁷ We did not observe evidence that engagement in transactional sex was

associated with HBsAg positivity. Interestingly, we observed lower HBsAg prevalence among male

275 partners of HBsAg-positive mothers compared with HBsAg-negative mothers. While precision was

276 limited, one explanation for this finding is that sexual partners have been exposed and recovered from

infection, which could be clarified by serological analysis that was not feasible with DBS samples.

Together, our findings indicate that development of HBV prevention efforts for the broader populationof women of childbearing age are needed in the DRC.

280

281 Our experience in this study indicates that integration of antenatal HBsAg testing alongside existing HIV 282 testing is acceptable to maternity center staff and patients, consistent with prior findings.²¹ While rapid HBsAg tests are available for just over 1 USD per test, scaling this effort is often hindered by a siloed 283 approach to healthcare in which HIV funders do not cover HBsAg or syphilis screening, both of which are 284 recommended for triple elimination.³³ Women who are HBsAg-positive could be offered HBV antiviral 285 286 prophylaxis and HBV birth-dose vaccine for their newborns, and given the opportunity to have 287 household members screened and vaccinated. ANC visits also provide opportunities for health 288 education. While these measures are not currently offered in the DRC, increasing evidence indicates that they would be effective and feasible in the DRC.²¹ 289

290

291 Our study has several limitations. First, our cross-sectional study design does not allow for analysis of 292 the timing of infection, preventing definitive determination of vertical vs. horizontal transmission. 293 Serological analysis and HBV sequencing could improve our characterization of these transmission 294 patterns, but serological assays for DBS samples collected in this study and often used in resourcelimited settings like DRC continue to perform poorly for HBV.³⁴ Second, enrollment of households 295 296 several months to over a year after recruitment during antenatal screening meant that we inherently 297 selected a population based in Kinshasa. Individuals with frequent time out of Kinshasa might have a 298 different prevalence of HBV infection and associated behaviors not captured in this study. Third, 299 household members absent during our enrollment visit and thus not included in the study may also be 300 infected, potentially resulting in biased prevalence estimates. However, our estimates remain useful for 301 clinicians assessing household infection risk as part of routine antenatal care and for development of 302 targeted prevention programs.

It is made available under a CC-BY-NC-ND 4.0 International license .

303

304 Conclusions

305

306 In the largest and most detailed household investigation of HBV in DRC conducted to-date, we found 307 that HBV screening as part of existing HIV PMTCT programs can be used to identify infected mothers and 308 household members, and households where vaccination may be particulary beneficial. In addition to 309 WHO-recommended efforts to prevent mother-to-infant HBV transmission, prevention of horizontal transmission within households and communities should be prioritized. Possible interventions include 310 education to reduce blood exposure through household item sharing and to improve protection during 311 312 sexual intercourse in affected households, as well as targeted vaccination programs in adults. While 313 additional research is needed to determine precise HBV transmission mechanisms in settings like the 314 DRC, our findings provide a foundation for developing new HBV transmission prevention strategies. 315 316

317 Acknowledgements

318

319 We would like to thank all participants who offered their time and participation in this study. We would

also like to thank the staff at Binza and Kingasani maternities for their collaboration in conducting

antenatal HBsAg screening and administering Euvax HBV vaccination. We would also like to thank Dr.

322 Alpha Oumar Diallo for his contributions establishing the REDCap study database. Lastly, we thank the

late Dr. Steve Meshnick for his mentorship and contributions to the conceptualization of this study. Data
 may be made available upon reasonable request. JBP, PT, MY, and SM conceptualized the study. PN, SN,

325 JM, MT, NM, and MMK collected data. CEM, AJNB, PN, SN, JM, NM, MMK, LJ, JBP, PT, ME, JKE, and KAP

analyzed and interpreted results. CEM, AJNB, JBP, PT, ME, JKE, and KAP drafted the manuscript. All

327 authors have reviewed and approved the manuscript.

328

329 **Funding** 330

331 This investigator-sponsored research study was funded by Gilead Sciences, Inc. It was partially

supported by the National Institutes of Health (F30AI169752 and D43TW009340 supporting CEM;

333 K08AI148607 to PT; R01HD087993 to MY; and UL1TR002489 to UNC NCTracs for REDCap data

collection). MT, NM, and MY are partially supported by U01AI096299 and R01HD105526. CEM is also

- 335 supported by the UNC Royster Graduate Fellowship.
- 336

337 Competing interests

338

339 Outside the submitted work: JBP and PT report non-financial support from Abbott Laboratories

340 (donation of hepatitis B laboratory testing and reagents for other studies), and JBP reports consulting for

- 341 Zymeron Corporation. The remaining authors report no competing interests.
- 342

It is made available under a CC-BY-NC-ND 4.0 International license .

344 References

- World Health Organization. Global Progress Report on HIV, Viral Hepatitis and Sexually 345 1. Transmitted Infections, 2021: Accountability for the Global Health Sector Strategies 2016– 346 2021: Actions for Impact: Web Annex 1: Key Data at a Glance. World Health Organization; 347 348 2021. Accessed October 12, 2021. https://apps.who.int/iris/handle/10665/342808 2. Razavi-Shearer D, Gamkrelidze I, Nguyen MH, et al. Global prevalence, treatment, and 349 prevention of hepatitis B virus infection in 2016: a modelling study. The Lancet 350 Gastroenterology & Hepatology. 2018;3(6):383-403. doi:10.1016/S2468-1253(18)30056-6 351 3. Nayagam S, Thursz M, Sicuri E, et al. Requirements for global elimination of hepatitis B: a 352 modelling study. The Lancet Infectious Diseases. 2016;16(12):1399-1408. 353 doi:10.1016/S1473-3099(16)30204-3 354 4. McNaughton AL, Lourenço J, Bester PA, et al. Hepatitis B virus seroepidemiology data for 355 Africa: Modelling intervention strategies based on a systematic review and meta-analysis. 356 PLOS Medicine. 2020;17(4):e1003068. doi:10.1371/journal.pmed.1003068 357 5. World Health Organization. WHO releases first-ever global guidance for country validation 358 of viral hepatitis B and C elimination. Accessed July 14, 2021. 359 https://www.who.int/news/item/25-06-2021-who-releases-first-ever-global-guidance-for-360 country-validation-of-viral-hepatitis-b-and-c-elimination 361 6. Cui Y, Jia J. Update on epidemiology of hepatitis B and C in China. J Gastroenterol Hepatol. 362 2013;28 Suppl 1:7-10. doi:10.1111/jgh.12220 363 7. Edmunds' WJ, Medley' GF, Nokes DJ, O'Callaghan CJ, Whittle HC, Hall' AJ. Epidemiological 364 patterns of hepatitis B virus (HBV) in highly endemic areas. Published online 1996:13. 365 8. Shan S, Cui F, Jia J. How to control highly endemic hepatitis B in Asia. Liver Int. 2018;38 366 Suppl 1:122-125. doi:10.1111/liv.13625 367 9. Dumpis U, Holmes EC, Mendy M, et al. Transmission of hepatitis B virus infection in 368 Gambian families revealed by phylogenetic analysis. Journal of Hepatology. 2001;35(1):99-369 370 104. doi:10.1016/S0168-8278(01)00064-2
- 10. Kiire CF. The epidemiology and prophylaxis of hepatitis B in sub-Saharan Africa: a view from
 tropical and subtropical Africa. Gut. 1996;38(Suppl 2):S5-12. doi:10.1136/gut.38.Suppl_2.S5
- 11. Thompson P, Parr JB, Holzmayer V, et al. Seroepidemiology of Hepatitis B in the Democratic
 Republic of the Congo. Am J Trop Med Hyg. 2019;101(1):226-229. doi:10.4269/ajtmh.180883
- 12. Naughton B, Abramson R, Wang A, Kwan-Gett T. DRC Survey: An overview of demographics,
 health, and financial services in the Democratic Republic of the Congo. University of

It is made available under a CC-BY-NC-ND 4.0 International license .

- Washington Strategic Analysis, Research and Training (START) Center. Published onlineMarch 29, 2017.
- Shindano TA, Kabinda JM, Mitashi P, Horsmans Y. Hepatitis B virus infection in the
 Democratic Republic of Congo: a systematic review of prevalence studies (2000–2016). J
 Public Health (Berl). 2018;26(5):595-603. doi:10.1007/s10389-018-0894-8
- Mpody C, Thompson P, Tabala M, et al. Hepatitis B infection among pregnant and post partum women living with HIV and on antiretroviral therapy in Kinshasa, DR Congo: A cross sectional study. PLoS One. 2019;14(5). doi:10.1371/journal.pone.0216293
- Mudji J, Madinga B, Horsmans Y. Seroprevalence of Viral Hepatitis B and C and Knowledge
 of the Hepatitis B Virus among Pregnant Women Attending Prenatal Care in the Democratic
 Republic of Congo. Am J Trop Med Hyg. 2021;104(3):1096-1100. doi:10.4269/ajtmh.200804
- 16. Kabinda JM, Akilimali TS, Miyanga AS, Donnen P, Michèle DW. Hepatitis B, Hepatitis C and
 HIV in Pregnant Women in the Community in the Democratic Republic of Congo. WJA.
 2015;05(02):124-130. doi:10.4236/wja.2015.52015
- 17. Lungosi MB, Muzembo BA, Mbendi NC, et al. Assessing the prevalence of hepatitis B virus
 infection among health care workers in a referral hospital in Kisantu, Congo DR: a pilot
 study. Ind Health. 2019;57(5):621-626. doi:10.2486/indhealth.2018-0166
- Bisimwa PB, Masemo DB, Byabene AK, et al. High Prevalence of Hepatitis B and HIV among
 Women Survivors of Sexual Violence in South Kivu Province, Eastern Democratic Republic of
 Congo. Infectious Diseases (except HIV/AIDS); 2023. doi:10.1101/2023.09.22.23295978
- 19. UNICEF. UNICEF Data Warehouse, V1.15. WHO/UNICEF estimates of national immunization
 coverage, 2021 revision: Democratic Republic of Congo, time period: 2020. Published 2021.
 Accessed June 19, 2023. https://data.unicef.org/resources/data_explorer/unicef_f/
- 402 20. World Health Organization. Prevention of Mother-to-Child Transmission of Hepatitis B
 403 Virus: Guidelines on Antiviral Prophylaxis in Pregnancy. World Health Organization; 2020.
 404 Accessed November 20, 2022. https://apps.who.int/iris/handle/10665/333391
- Thompson P, Morgan CE, Ngimbi P, et al. Arresting vertical transmission of hepatitis B virus
 (AVERT-HBV) in pregnant women and their neonates in the Democratic Republic of the
 Congo: a feasibility study. The Lancet Global Health. Published online August
 2021:S2214109X21003041. doi:10.1016/S2214-109X(21)00304-1
- 22. Boisson A, Morgan CE, Fried B, et al. Barriers and facilitators to timely birth-dose vaccines in
 Kinshasa Province, the DRC: a qualitative study. Journal of Global Health Reports.
 2022;6:e2022028. doi:10.29392/001c.35449
- 412 23. Alere Medical Co., Ltd. Fact sheet: DETERMINE[™] HBsAg 2.

It is made available under a CC-BY-NC-ND 4.0 International license .

- 24. CDC. Hepatitis B FAQs | CDC. Centers for Disease Control and Prevention. Published
 October 27, 2020. Accessed June 14, 2021. https://www.cdc.gov/hepatitis/hbv/bfaq.htm
- 415 25. Martinson FEA, Weigle KA, Royce RA, Weber DJ, Suchindran CM, Lemon SM. Risk Factors for
 416 Horizontal Transmission of Hepatitis B Virus in a Rural District in Ghana. American Journal of
 417 Epidemiology. 1998;147(5):478-487. doi:10.1093/oxfordjournals.aje.a009474
- 418 26. Eroglu C, Zivalioglu M, Esen S, Sunbul M, Leblebicioglu H. Detection of Hepatitis B Virus in
 419 Used Razor Blades by PCR. Hepat Mon. 2010;10(1):22-25.
- 420 27. Awili HO, Gitao GC, Muchemi GM. Seroprevalence and Risk Factors for Hepatitis B Virus
 421 Infection in Adolescent Blood Donors within Selected Counties of Western Kenya. Biomed
 422 Res Int. 2020;2020:8578172. doi:10.1155/2020/8578172
- 423 28. The Government of the Democratic Republic of Congo. Annual progress report 2007
 424 (English). Published online April 30, 2008.
- 425 https://www.gavi.org/sites/default/files/document/annual-progress-report-congo%2C-
- 426 democratic-republic-of-the-2007pdf.pdf
- 427 29. Le gouvernement de Republique Democratique du Congo. Rapport annuel de situation428 2008. Published online Mai 2009.
- https://www.gavi.org/sites/default/files/document/annual-progress-report-congo%2C democratic-republic-of-the-2008--francais-pdf.pdf
- 431 30. Cummings P. The Relative Merits of Risk Ratios and Odds Ratios. Archives of Pediatrics &
 432 Adolescent Medicine. 2009;163(5):438-445. doi:10.1001/archpediatrics.2009.31
- 433 31. Tazinkeng NN, Teuwafeu DG, Asombang AW, et al. Factors associated with hepatitis B and C
 434 among adults in Buea, Cameroon: A community-based cross-sectional study. Liver Int.
 435 2022;42(11):2396-2402. doi:10.1111/liv.15390
- 436 32. Guingané AN, Kaboré R, Shimakawa Y, et al. Screening for Hepatitis B in partners and
 437 children of women positive for surface antigen, Burkina Faso. Bull World Health Organ.
 438 2022;100(4):256-267. doi:10.2471/BLT.21.287015
- 33. Zhang L, Tao Y, Woodring J, et al. Integrated approach for triple elimination of mother-tochild transmission of HIV, hepatitis B and syphilis is highly effective and cost-effective: an
 economic evaluation. Int J Epidemiol. 2019;48(4):1327-1339. doi:10.1093/ije/dyz037
- 34. Amini F, Auma E, Hsia Y, et al. Reliability of dried blood spot (DBS) cards in antibody
 measurement: A systematic review. PLOS ONE. 2021;16(3):e0248218.
 doi:10.1371/journal.pone.0248218
- 445

Table 1. Characteristics of study households (A) and participants (B) enrolled in the HOVER-HBV study.

A. Households

	Exposed households	Unexposed households	
Characteristic	(HBsAg+ index mother)*	(HBsAg- index mother)*	Overall
n	100	100	200
Household members enrolled, median (IQR)	5 (3, 6)	5 (3, 7)	5 (3 <i>,</i> 6)
Enrolled direct offspring of index mothers per household, median (IQR)	3 (1, 4)	3 (1, 4)	3 (1, 4)
Household structures			
Vertical: Index mother/direct offspring	87 (87%)	89 (89%)	176 (88%)
Sexual: index mother and male partner enrolled	52 (52%)	34 (34%)	86 (43%)
Modern housing (%) [†]	21 (21%)	20 (20%)	41 (20.5%)
Wealth quartile (%) [‡]			
Lowest	28 (28%)	22 (22%)	50 (25%)
Lower middle	20 (20%)	30 (30%)	50 (25%)
Upper middle	24 (24%)	26 (26%)	50 (25%)
Highest wealth	28 (28%)	22 (22%)	50 (25%)

B. Individuals

	Index mothers	;	Direct offsprin	ng	Other house		
Characteristic	Exposed	Unexposed	Exposed	Unexposed	Exposed	Unexposed	Overall
n	100	100	228	239	156	183	1,006
HBsAg testing, n	100	100	227	237	156	183	1,003
HBsAg+ at enrollment, n (%)	96 (96.0)	2 (2.0)	12 (5.3)	3 (1.3)	7 (4.5)	5 (2.9)	125
Age, median years (IQR)	33 (29, 37)	30 (25 <i>,</i> 37)	6 (2.6, 11)	6 (2, 11)	26 (13, 40)	22 (13.2, 38)	15 (5 <i>,</i> 30)
Age group, years (%) [§]							
>13	100 (100.0)	100 (100.0)	41 (18.0)	38 (15.9)	121 (77.6)	145 (79.2)	545 (54.2)
≤13	0 (0.0)	0 (0.0)	187 (82.0)	201 (84.1)	35 (22.4)	38 (20.8)	461 (45.8)
Female sex, n (%)	100 (100.0)	100 (100.0)	119 (52.5)	142 (59.5)	76 (48.1)	95 (55.2)	637 (63.3)
Relationship to index mother, n (%)							
Index mother	100 (100.0)	100 (100.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	200 (19.9)
Son/daughter	0 (0.0)	0 (0.0)	228 (100.0)	239 (100.0)	0 (0.0)	0 (0.0)	475 (46.4)
Current male partner	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	52 (33.1)	34 (18.6)	86 (8.5)
Brother/sister	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	39 (24.8)	60 (32.8)	90 (9.9)
Nephew/niece	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	41 (26.1)	53 (29.0)	93 (9.3)
Other	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	25 (15.9)	36 (19.7)	61 (6.0)
Marital status, n (%) [¶]							
Married or living with someone	85 (85.0)	75 (75.0)	0 (0.0)	2 (7.4)	56 (50.0)	49 (37.4)	267 (52.8)
Never married	7 (7.0)	16 (16.0)	36 (100.0)	25 (92.6)	45 (40.2)	66 (50.4)	195 (38.5)

Divorced/widowed	8 (8.0)	9 (9.0)	0 (0.0)	0 (0.0)	11 (9.8)	16 (12.2)	44 (8.7)
Household is primary residence, n (%)	98 (98.0)	98 (98.0)	225 (98.7)	234 (98.3)	135 (86.5)	163 (89.1)	954 (94.8)
Slept in household last night, n (%)	100 (100.0)	99 (99.0)	225 (99.1)	238 (99.6)	144 (92.9)	178 (97.2)	984 (98.0)
≥1 past positive HBV test, n (%)	90 (90.0)	0 (0.0)	0 (0.0)	1 (0.4)	3 (1.9)	1 (0.5)	95 (9.4)
Past positive HIV test, n (%)	16 (16.0)	1 (1.0)	3 (1.3)	0 (0.0)	1 (0.6)	1 (0.5)	22 (2.2)
Current HAART regimen, n (%)							
Tenofovir, lamivudine, dolutegravir	15 (93.8)	1 (100.0)	1 (33.3)	0 (0.0)	1 (100.0)	1 (100.0)	19 (86.4)
Dolutegravir, abacavir	0 (0.0)	0 (0.0)	2 (66.7)	0 (0.0)	0 (0.0)	0 (0.0)	2 (9.1)
Not taking	1 (6.2)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	0 (0.0)	1 (4.5)
Pregnant at household enrollment, n (%)	56 (56.6)	13 (13.0)	0 (0.0)	0 (0.0)	0 (0.0)	3 (4.4)	72 (20.2)

*Exposure status based on index mother's antenatal recruitment point-of-care HBsAg screening.

[†]Modern housing is a composite variable of materials used in the roofing, walls, flooring, and windows, using previously published categorizations further described in the Supplementary Material.

^{*}Wealth index is calculated by a principal components analysis accounting for household attributes further described in the Supplementary Material.

§Age in 2022 dichotomized using 13 years to approximate whether participant was born before or after infant pentavalent vaccination introduction in 2009, further described in Supplementary Material.

¶Survey question asked to those age 15 years and above.

Table 2. HBsAg prevalence among exposed and unexposed household members.

					Prevalence		Unadjusted prevalence		Adjusted prevalence	
Stratification	Comparison	HBsAg+	HBsAg-	Total	(%)	95% CI*	ratio	95% CI	ratio†	95% CI [‡]
Overall	Exposed [§]	19	364	383	5.0	2.8, 7.1	2.61	1.20, 6.25	2.52	0.88, 7.23
	Unexposed	8	412	420	1.9	0.6, 3.2	Ref		Ref	
Direct offspring	Exposed	12	215	227	5.3	2.4, 8.2	4.18	1.35, 18.16	3.33	0.94, 11.84
	Unexposed	3	234	237	1.3	0.0, 2.7	Ref		Ref	
Other	Exposed	7	149	156	4.5	1.2, 7.7	1.64	0.53, 5.45	1.01	0.24, 4.25
	Unexposed	5	178	183	2.7	0.4, 5.1	Ref		Ref	
Male partner [#]	Exposed	1	51	52	1.9	0.0, 5.7	0.65	0.04, 10.10	0.65	0.04, 10.10
	Unexposed	1	33	34	2.9	0.0, 8.6	Ref		Ref	
Exposed	Direct offspring	12	215	227	5.3	2.4, 8.2	1.17	0.49, 3.10	1.25	0.48, 3.24
	Other	7	149	156	4.5	1.2, 7.7	Ref		Ref	
Unexposed	Direct offspring	3	234	237	1.3	0.0, 2.7	0.46	0.10, 1.86	0.91	0.26, 3.17
	Other	5	178	183	2.7	0.4, 5.1	Ref		Ref	

* Prevalence confidence interval calculated using $Z_{crit}=1.96$ and the formula: $\hat{p} \pm z_{critical} * \sqrt{\frac{\hat{p}(1-\hat{p})}{n}}$

†Adjusted for household clustering using a random intercept term for household in glmer() from *lme4* package in R4.1.1.

‡ Wald confidence intervals from multilevel model.

§Primary exposure status defined by index mother HBsAg status at antenatal recruitment. See supplementary Material for sensitivity analyses for alternate definitions of HBsAg positivity of index mothers.

*Male partners are included in the "other" category elsewhere in the analysis, but are separated here for the purpose of examining sexual relationships.

Figure 1. Recruitment and enrollment of households of HBsAg-positive and HBsAg-negative index mothers.



*Prior screening occurred before the HOVER study; total number of women screened not available.

It is made available under a CC-BY-NC-ND 4.0 International license .

Figure 2. Enrolled households by geography and household structure.

A. Locations of enrolled households by exposure status (n_{bb} = 200)



* Categories are mutually exclusive.

[†] In four exposed households, index mothers recovered from infection (HBsAg-positive at antenatal recruitment and were HBsAg-negative at household enrollment).

+ In both households, the index mother had an incident infection between recruitment and enrollment (HBsAg-negative to HBsAg-positive).

Figure 3. Attributes and practices associated with HBsAg-positivity among index mothers (A) and exposed direct offspring (B).

A. Index mothers	OR (95% CI)*
Age (1-year increase)	1.06 (1.01, 1.11)
Marriage: Never vs married	0.39 (0.14, 0.96)
Marriage: Divorced/widowed vs married	0.78 (0.28, 2.15)
Upper wealth quartiles (Q4-Q2) vs lowest (Q1)	0.73 (0.38, 1.38)
Shares toothbrushes in household	1.00 (0.39, 2.55)
Shares razors in household	0.67 (0.37, 1.20)
Shares nail clippers in household	0.62 (0.35, 1.08)
Premasticates food for someone else	0.53 (0.16, 1.60)
≥1 past transfusion vs none	1.99 (0.78, 5.50)
Uses street salons	1.65 (0.89, 3.11)
Manicures/pedicures outside home	1.74 (0.91, 3.41)
Tattoos	0.48 (0.12, 1.58)
Traditional scarring	1.00 (0.35, 2.83)
Has engaged in transactional sex or refused to answer vs no	1.00 (0.53, 1.89)
Age of sexual debut: <18 yrs vs ≥18 yrs	1.21 (0.66, 2.23)
Age of sexual debut: Refused/don't know vs ≥18 yrs	4.53 (1.54, 16.63)
Sexual partners in last 3 months: ≥2 or refused vs ≤1	4.41 (1.35, 19.82)
New sexual partners in last 3 months: ≥1 or refuse vs none new	3.63 (1.60, 9.06)
Sexual partners in last 12 months: ≥2 or refused vs ≤1	6.68 (1.76, 43.7)
New sexual partners in last 12 months: ≥1 or refuse vs none new *Unadjusted odds ratio	3.32 (1.39, 8.84)

B. Exposed direct offspring

Born before HBV vaccination ⁺	13.24 (1.61, 108.63)
1-year increase in age†	1.42 (1.07, 1.89)
Female sex	0.43 (0.14, 1.31)
Upper wealth quartiles (Q4-Q2) vs lowest (Q1)	0.22 (0.06, 0.74)
Shares razors in household	0.97 (0.25, 3.68)
Shares nail clippers in household	3.35 (1.12, 9.97)
≥1 past transfusion vs none	0.58 (0.08, 4.49)
Uses street salons	3.08 (1.06, 8.95)
Manicures outside home	2.54 (0.59, 10.89)
Sexual partners in last 3 months: ≥2 or refused vs ≤1	1.02 (0.15, 6.75)
*Prevalence ratio adjusted for household clustering. †Logistic-binomial approximation of log(PR) due to rare outcome.	

PR (95% CI)*



Index mothers' HBsAg result from recruitment screening used for index mothers and exposure status of direct offspring. Supplementary Material IV shows sensitivity analyses using other definitions of HBsAg positivity and exposure. Unadjusted OR shown as measure of association for index mothers due to case-control recruitment of mothers. PR adjusted for household clustering shown for direct offspring.