

# Mutational analysis of primary and metastatic colorectal cancer samples underlying the resistance to cetuximab-based therapy

Radim Nemecek<sup>1</sup>  
Jitka Berkovcova<sup>2</sup>  
Lenka Radova<sup>3</sup>  
Tomas Kazda<sup>4</sup>  
Jitka Mlcochova<sup>3</sup>  
Petra Vychytilova-  
Faltejskova<sup>1,3</sup>  
Ondrej Slaby<sup>1,3</sup>  
Marek Svoboda<sup>1</sup>

<sup>1</sup>Department of Comprehensive Cancer Care, Masaryk Memorial Cancer Institute, Masaryk University, Brno, Czech Republic; <sup>2</sup>Department of Oncological and Experimental Pathology, Masaryk Memorial Cancer Institute, Brno, Czech Republic; <sup>3</sup>Central European Institute of Technology, Masaryk University, Brno, Czech Republic; <sup>4</sup>Department of Radiation Oncology, Masaryk Memorial Cancer Institute, Masaryk University, Brno, Czech Republic

**Purpose:** Although several molecular markers predicting resistance to cetuximab- or panitumumab-based therapy of metastatic colorectal cancer were described, mutations in *RAS* proto-oncogenes remain the only predictors being used in daily clinical practice. However, 35%–45% of wild-type *RAS* patients still do not respond to this anti-epidermal growth factor receptor (anti-EGFR) monoclonal antibody-based therapy, and therefore the definition of other predictors forms an important clinical need. The aim of the present retrospective single-institutional study was to evaluate potential genes responsible for resistance to anti-EGFR therapy in relation to mutational analysis of primary versus metastatic lesions.

**Patients and methods:** Twenty-four paired primary and corresponding metastatic tissue samples from eight nonresponding and four responding metastatic colorectal cancer patients treated with cetuximab-based therapy were sequenced using a next-generation sequencing panel of 26 genes involved in EGFR signaling pathway and colorectal carcinogenesis.

**Results:** Mutational status of primary tumors and metastatic lesions was highly concordant in *TP53*, *APC*, *CTNNB1*, *KRAS*, *PIK3CA*, *PTEN*, and *FBXW7* genes. Metastatic samples harbor significantly more mutations than primary tumors. Potentially negative predictive value of *FBXW7* mutations in relationship to anti-EGFR treatment outcomes was confirmed. Finally, new occurrences of activating *KRAS* mutations were identified in a group of patients initially determined as wild-type *RAS* by routinely used qPCR-based *RAS* mutational tests. All newly detected activating *KRAS* mutations most likely led to cetuximab treatment failure.

**Conclusion:** The results of the present study suggest a need of careful consideration of previously published results of anti-EGFR-targeted therapy with regard to potentially inaccurate diagnostic tools used in the past. Based on our findings, we recommend more extensive use of next-generation sequencing testing in daily clinical practice, as it brings a significant added value in terms of validity of the diagnostic procedure.

**Keywords:** colorectal cancer, cetuximab, resistance to anti-EGFR therapy, next-generation sequencing, *FBXW7*, *KRAS*

## Introduction

Colorectal cancer (CRC) is one of the most frequent cancers worldwide, with ~1.36 million newly diagnosed cases and >694,000 fatalities each year.<sup>1</sup> It is also the second leading cause of cancer-related death in the western world. The metastatic stage of disease is confirmed in ~25% of patients already at the time of diagnosis. Additional 25%–40% of patients develop metastases after the primary surgical and oncological treatment.<sup>2</sup> Most of the metastatic patients are treated with systemic palliative chemotherapy in combination with monoclonal antibodies (moAbs) against

Correspondence: Marek Svoboda  
Department of Comprehensive Cancer Care, Masaryk Memorial Cancer Institute, Masaryk University, Zluty kopec 7, 656 53 Brno, Czech Republic  
Tel +420 5 4313 4231  
Fax +420 5 4321 1169  
Email msvoboda@mou.cz

the epidermal growth factor receptor (EGFR) or vascular endothelial growth factor. All these treatment modalities lead to overall survival extension.<sup>3</sup> Chemotherapy doublets in combination with anti-epidermal growth factor receptor (anti-EGFR) moAbs show response rate of 55%–65% and are therefore successfully used, especially in order to achieve downstaging and conversion of the borderline resectable disease to the resectable one.<sup>4</sup> Still, there is a large number of patients who exhibit resistance and will not respond to such treatment.

Resistance to EGFR blockade is quite frequent and is caused by several factors.<sup>5,6</sup> Primary, intrinsic resistance arises within the process of colorectal carcinogenesis and is usually related to constitutive activation of signal pathways downstream of EGFR. Secondary, acquired resistance occurs during the EGFR blockade or other anticancer treatments. It is caused by mutations that disrupt binding of cetuximab or panitumumab to EGFR, by pathway-bypass mutations (such as KRAS and BRAF alterations), or by activation of parallel pathways driven by receptor tyrosine kinases such as MET and HER2. According to recent studies, epigenetic factors such as microRNAs (miRNAs) play an important role in the response to anti-EGFR moAbs, as they are involved in signaling pathways and regulate gene expression at the posttranscriptional level.<sup>7,8</sup>

Mutations in oncogenes, *KRAS* or *NRAS* (overall called “*RAS*”), occur in ~50% of patients with metastatic colorectal cancer (mCRC) and represent a routinely used negative predictive indicator in clinical practice.<sup>9</sup> However, 35%–45% of wild-type *RAS* mCRC patients still do not respond to this treatment and therefore other molecular predictors have been intensively investigated.<sup>10</sup> There are some data about the negative predictive value of *BRAF*, *PIK3CA*, and *PTEN* mutations, *HER2* gene copy number variations, and miRNAs miR-31-5p/3p.<sup>6,7,11,12</sup> On the other hand, high *EGFR* gene copy number and high level of EGFR ligands, epiregulin and amphiregulin, could increase the probability of response to anti-EGFR treatment.<sup>11,13</sup> Nevertheless, most of these tests have been currently studied only within the nonrandomized retrospective clinical trials and are not used in daily clinical practice. A combination of molecular markers could increase the sensitivity of predictive diagnostic tools and therefore a growing need to analyze several genes in parallel is apparent.<sup>11,14</sup> High-throughput sequencing methods represent a helpful support in detecting numerous genetic changes implicated in anti-EGFR moAbs resistance. Recently, the clinical use of these methods has been rapidly expanding.

The aim of the present study was to clarify the mechanisms of resistance to anti-EGFR therapy in patients with mCRC treated with cetuximab-based therapy who did not respond to it. The next-generation sequencing (NGS) panel of 26 genes involved in colorectal carcinogenesis and EGFR signaling pathway was employed.<sup>5,15</sup> The metastatic tissue samples were examined, as they best describe the advanced metastatic disease phenotype. Simultaneously, primary tumor tissue samples of the same patients were analyzed in order to distinguish whether discovered mutations were more likely related to progression from localized to metastatic disease, or if they had arisen already under the early selection pressure changes during the process of carcinogenesis. These findings could play an important role in the mechanisms of primary and secondary resistance to anti-EGFR therapy.

## Patients and methods

### Patient selection

Tissue samples were retrieved from patients with histologically confirmed colon or rectal adenocarcinoma treated with cetuximab-based therapy at Masaryk Memorial Cancer Institute (MMCI, Brno, Czech Republic) between August 2005 and August 2014. At the beginning of cetuximab treatment, all patients were at metastatic stage of the disease and had confirmed wild-type *KRAS* CRC according to *KRAS* mutational test required at a given time. Subsequently, they were regularly followed up for progression of disease and death. The informed consent form was signed by all patients and the study protocol was approved by the Institutional Review Board of MMCI.

From the initial number of 160 evaluable patients with the abovementioned characteristics, a subgroup of patients was selected fulfilling two selection criteria: 1) proven resistance to anti-EGFR moAb-based treatment (defined as volume enlargement of metastatic lesions at the time of the evaluation of treatment response, which is usually provided after 2–4 months) and 2) availability of tissue from both primary tumor and metastatic lesion in sufficient amount. Out of 40 patients with progressive disease, 16 patients met the abovementioned selection criteria. In this subgroup, we additionally completed the entire mutation status of *RAS* (*KRAS* and *NRAS* in exons 1, 2, 3, and 4) and *BRAF* (V600E) according to the latest guidelines. The Cobas® *KRAS* Mutation Test, the CRC *RAScan*™ Combination Test, and the Cobas® 4800 *BRAF* V600 Mutation Test were used. We found *KRAS* mutation (codon 117N) in two patients, *NRAS* mutation (codon Q61) in three patients, and *BRAF* mutation (V600E) in one patient. Two other patients had to be excluded

from the analysis due to an insufficient DNA quality necessary for NGS sequencing. Finally, eight patients met all the required criteria.

As a control group, we selected patients with wild-type mCRC, who reached complete response (CR) or strong partial response (PR) according to Response Evaluation Criteria In Solid Tumors criteria during the anti-EGFR therapy. Similar to the first group, availability of primary and metastatic tumor tissue in sufficient amount and quality was required. From the total number of 56 patients with CR or PR, we enrolled four patients to the final NGS analysis. Altogether, the multiparallel sequencing was performed on 24 samples from 12 patients. Clinicopathological features of enrolled patients are summarized in Table 1.

## Gene selection, isolation of DNA, and library preparation

The TruSight™ Tumor (Illumina, Inc., San Diego, CA, USA) gene panel was assembled to provide deep coverage of 26 genes for low mutant allele detection within hot spot regions involved in solid tumors. Gene regions are listed in Table S1. DNA was isolated from formalin-fixed, paraffin-embedded (FFPE) samples using the Cobas® DNA Sample Preparation kit (Hoffman-La Roche Ltd., Basel, Switzerland) according to the manufacturer's protocol. The average percentage of tumor cells in tissue sections was 52%. DNA library preparation was performed according to TruSight™ Tumor Protocol (Illumina, Inc.). A volume of 100 µL of DNA was obtained from each sample and subsequently concentrated to 25 µL using the DNA Clean & Concentrator™-5 (Zymo Research Corp., Irvine, CA, USA). The concentration and purity of all samples were measured again. The ability of DNA amplification was tested using the FFPE QC Kit (Illumina, Inc.). Using the standardized DNA,  $\Delta C_T$  of each

sample was assessed. DNAs were diluted according to this value into the hybridization reactions with a mixture of FPA (forward primer A) oligo or FPB (forward primer B) oligo. After the primary denaturation at a temperature of 95°C, hybridization was conducted at a temperature of 40°C. Afterward, nonhybridized oligos were washed out, and extension and ligation were performed.

Denatured DNA fragments were used as templates for PCR, where they were amplified and indexed. Subsequently, DNA libraries tied to magnetic beads got rid of short fragments of DNA. To check the DNA libraries, Agilent DNA 1000 chips were used, and concentration of every single library was measured using the Qubit fluorometer. All libraries were diluted to 4 nM and subsequently denatured and pooled equimolarly. The resulting pooled library was diluted into 10 pM concentration and 1% 20 pM PhiX library was added. Final library sequencing was conducted using the 300v2 sequencing kit and the standardized flow cell. Sequencing data analysis was conducted using the Illumina Variant Studio software and R/Bioconductor package. Alterations found at a frequency of <10% were excluded, based on the hypothesis that mutations at lower frequencies could marginally affect tumor behavior. This filtering step allowed removal of most of the variations derived from formalin-fixed artifacts, as well.<sup>16</sup> The remaining mutations were compared with data presented in public databases (PolyPhen).<sup>17</sup> Annotated non-pathogenic variations were excluded from results, whereas the remaining potentially pathogenic variations and mutations of unknown significance were retained.

## Statistical analysis

Basic descriptive statistics were employed: absolute and relative frequencies for categorical variables and median for continuous variables. Robust experienced biomedical

**Table 1** Clinicopathological features of enrolled patients

	Nonresponders				Responders							
	1	2	3	4	5	6	7	8	9	10	11	12
Patient no												
Age (years)	59	72	66	57	62	60	63	54	62	69	55	49
Sex (M/F)	F	F	M	M	M	M	M	F	F	F	F	F
Location of primary tumor (L/R)	L	L	L	R	L	L	R	L	L	L	L	L
Location of metastatic sites	hep	perit	LR	hep	hep	hep	LR	oss	hep	hep	hep	hep, ovar
Number of metastatic sites	1	2	1	1	1	1	2	1	1	1	1	3
Line of cytostatic therapy	3	2	3	3	2	2	1	2	3	3	3	3
Cytostatic therapy regimen	IRI	IRI	IRI	CAPIRI	IRI	IRI	IRI	IRI	IRI	IRI	IRI	IRI
Best treatment response	PD	PD	PD	PD	PD	PD	PD	PD	CR	CR	PR	PR
EFS (months)	2.0	3.5	2.4	3.0	3.3	2.8	2.0	2.0	16.1	7.7	20.8	8.9

**Note:** EFS is the time from the beginning of the cetuximab treatment to the first occurrence of disease progression.

**Abbreviations:** M, male; F, female; L, left; R, right; perit, peritoneum; LR, local recidive; ovar, ovary; IRI, irinotecan; CAPIRI, capecitabine + irinotecan; PD, progressive disease; CR, complete response; PR, partial response; EFS, event-free survival; hep, liver; oss, bones.

statistics were applied for analysis of raw NGS data. Statistical analysis was performed using statistical computing software “R”, Version 3.1.3.

### Results

We investigated DNA of primary (P) and corresponding meta-static (M) samples from 12 RAS and BRAF wild-type patients (five men and seven women) with a median age of 61 years (Table 1). Eight patients were nonresponsive to therapy, whereas four patients displayed CR or PR of disease. Nine out of 12 patients had single metastatic location, predominantly in the liver. One patient was treated with cetuximab within the first line, four patients were treated within the second line, and seven patients within the third line of systemic anticancer therapy. All patients were treated with cetuximab + irinotecan. Capecitabine was added to the combination in one case. Later, this combination was no longer used as the highlights of the toxicity of capecitabine in combination with cetuximab were published.<sup>18</sup> Median time to progression was 2.6 months in nonresponders and 12.5 months in responders.

In NGS analysis, at least one mutation in each sample was detected. The most frequently mutated genes were *KRAS*, *TP53*, and *APC*, which were mutated in nine (38%), eight (33%), and seven (29%) samples, respectively. These results are in agreement with the published literature.<sup>15</sup> Mutation

rates for the remaining genes were as follows: 25% for *FBXW7* and *PIK3CA*; 13% for *MET* and *PTEN*; 8% for *KIT*, *STK11*, *AKT1*, *FOXL2*, and *CTNNB1*; and less for the other genes. No mutation was detected in *MAP2K1* and *CDH1*. Mutation rates for every single gene in the responder and nonresponder groups are shown in Figure 1.

*KRAS*, the gene with the highest mutation frequency, displayed mutations almost exclusively in the nonresponder group, the only exception being the primary tumor sample from patient no 10, where mutation D57Y in *KRAS* was detected. According to PolyPhen, this mutation is described as “probably damaging”, being found in *HRAS* and causing CDC25 independency.<sup>19</sup> Despite initial analyses based on the abovementioned diagnostic tests (Thera Screen® *K-RAS* Mutation Kit, Cobas® *KRAS* Mutation Test Kit, and CRC *RAScan*™ Combination Kit) indicating that all tumors carried a wild-type RAS gene, the subsequent NGS analysis revealed that six primary tumors and three metastases harbored a mutation in this gene. In particular, we found the following variations in *KRAS* alterations: G12V, G12C, A146T, V8I, and D57Y. Mutations G12V, G12C, and A146T were previously described as pathogenic for the *KRAS* gene and recorded in the COSMIC and PolyPhen databases, and mutation V8I was described as “possibly damaging”, according to PolyPhen.<sup>17</sup> All of these mutations were found in patients

Patient no	Location	KRAS	TP53	APC	FBXW7	PIK3CA	MET	PTEN	KIT	STK11	AKT1	FOXL2	CTNNB1	NRAS	GNAQ	EGFR	MSH6	BRAF	PDGFRA	MAP2K1	CDH1	Mut. per sample	
Nonresponders	1	Primum Meta	G12V G12V																			4 3	
	2	Primum Meta																				0 4	
	3	Primum Meta	A146T A146T																				3 4
	4	Primum Meta																					7 2
	5	Primum Meta	G12C G12C																				1 1
	6	Primum Meta																					1 1
	7	Primum Meta	V8I																				6 1
	8	Primum Meta	A146T																				3 5
Responders	9	Primum Meta																				1 1	
	10	Primum Meta	D57Y																			2 1	
	11	Primum Meta																				3 3	
	12	Primum Meta																				1 0	
Mutations in nonresponders		8	4	5	6	6	3	1	2	2	2	2	0	1	1	1	1	1	0	0	0		
Percent		50.0	25.0	31.3	37.5	37.5	18.8	6.3	12.5	12.5	12.5	12.5	0.0	6.3	6.3	6.3	6.3	6.3	0.0	0.0	0.0		
Mutations in responders		1	4	2	0	0	0	2	0	0	0	0	2	0	0	0	0	0	0	1	0	0	
Percent		12.5	50.0	25.0	0.0	0.0	0.0	25.0	0.0	0.0	0.0	0.0	25.0	0.0	0.0	0.0	0.0	0.0	0.0	12.5	0.0	0.0	
Total mutations		9	8	7	6	6	3	3	2	2	2	2	2	1	1	1	1	1	1	1	0	0	
Percent		38	33	29	25	25	13	13	8	8	8	8	8	4	4	4	4	4	4	4	0	0	

Figure 1 Detected mutations in the responder and nonresponder groups.

Notes: Mutations in the gene panel in tumor samples from patients who underwent anti-EGFR-based therapy. Black squares indicate a mutation; a particular variant is shown in the case of *KRAS*. The overall frequencies of gene mutations, as well as the frequencies in the responder and nonresponder groups, are shown at the bottom of the figure.

Abbreviation: anti-EGFR, anti-epidermal growth factor receptor.

**Table 2** Characteristics of the most frequent mutated genes

Gene	Patients with mutation	Total mutations	Description	Frequency in CRC	References
<i>KRAS</i>	6	9	Oncogene	35%–45%	Mirone et al <sup>6</sup>
<i>TP53</i>	4	8	Tumor suppressor gene	20%–60%	The Cancer Genome Atlas Network <sup>15</sup>
<i>APC</i>	4	7	Tumor suppressor gene	51%–81%	The Cancer Genome Atlas Network <sup>15</sup>
<i>FBXW7</i>	4	6	Tumor suppressor gene	11%–12%	Lupini et al <sup>26</sup>
<i>PIK3CA</i>	4	6	Oncogene	11%	Mirone et al <sup>6</sup>
<i>MET</i>	3	3	Oncogene	2%	Misale et al <sup>5</sup>
<i>PTEN</i>	2	3	Tumor suppressor gene	20%–30%	Mirone et al <sup>6</sup>
<i>KIT</i>	2	2	Oncogene	Rare	Liang et al <sup>27</sup>
<i>STK11</i>	2	2	Tumor suppressor gene	Rare	Launonen <sup>28</sup>
<i>AKT1</i>	2	2	Oncogene	1%–6%	Carpenter et al <sup>29</sup>
<i>FOXL2</i>	2	2	Transcription factor	Unknown	Yoshida et al <sup>30</sup>
<i>CTNNB1</i>	1	2	Oncogene	1%–5%	The Cancer Genome Atlas Network <sup>15</sup>
<i>NRAS</i>	1	1	Oncogene	3%–5%	Misale et al <sup>5</sup>
<i>BRAF</i>	1	1	Oncogene	5%–8%	Misale et al <sup>5</sup>

**Abbreviation:** CRC, colorectal cancer.

resistant to anti-EGFR treatment. The presence of D57Y, the only one *KRAS* mutation discovered in the responder group, was discussed earlier.

Besides *KRAS*, the mutation status of *BRAF*, *NRAS*, *PIK3CA*, and *PTEN* was already shown to correlate with cetuximab resistance.<sup>20–25</sup> In our study, we detected *BRAF* mutation (G596D) in P of patient no 7, *NRAS* mutation (P110L) in P of patient no 4, *PIK3CA* alterations (E542K, L456M, G106D, E545K, and E547K) even in six samples (in P of patient no 1, 4, and 7 and in M of patient no 1, 2, and 7), and *PTEN* alterations in three samples (in P of patient no 11 and in M of patients no 2 and 11). All but two of these alterations belonged to tumors that did not respond to anti-EGFR therapy. The only exception was the P and M of patient no 11 (from the responder group), where *PTEN* alteration R130\* with unknown clinical significance was detected. According to the published literature, known activating mutations in *KRAS*, *BRAF*, and *NRAS* appeared to be mutually exclusive (Figure 1). Because all of these genes are downstream effectors of the EGFR-induced pathways, they appear to have a potentially significant impact on cetuximab resistance.

Except for genes involved in the EGFR signaling pathway, the other genes often mutated in CRC included *FBXW7* (25%), *MET* (13%), *KIT* (8%), *STK11* (8%), *AKT1* (8%), *FOXL2* (8%), and *CTNNB1* (8%), whereas *CTNNB1* mutation G34E was found only in samples of patient no 11 with a very good response to EGFR blockade, all other mentioned alterations were detected in patients who did not respond to this therapy. Most frequent were the mutations in *FBXW7* gene, particularly R609W, W366L, W485I, T504I, F441S, I528F, and G517R. These alterations deserve a special interest, because according to the published literature some of

them may predict cetuximab resistance.<sup>26</sup> Other discovered mutations are described in Figure 1, and the relevance of these mutations in colorectal cancerogenesis is summed up in Table 2.<sup>27–30</sup>

## Discussion

Anti-EGFR therapy is frequently used for the treatment of patients with wild-type *RAS* mCRC. At the beginning of this targeted therapy era in 2004, no predictive marker was known and the moAbs were prescribed to all patients. Investigations into the molecular basis of response to EGFR blockade began in 2005. Since then, rapidly accumulating evidence indicated that the resistance to EGFR blockade in mCRC is related to constitutive activation of signaling pathways downstream of EGFR.<sup>31–33</sup> Initially, *KRAS* exon 2 (codons 12 and 13) mutations were discovered to be responsible for the treatment failure. As a consequence, the use of cetuximab and panitumumab was restricted by the authorities only for the patients without these mutations (first by European Medicine Agency [EMA] in 2008 and later by the US Food and Drug Administration [FDA] in 2009). Because not all *KRAS* wild-type patients benefit from anti-EGFR treatment, research has been increasingly focused on the validation of additional biomarkers of resistance. It was discovered that although 80% of *KRAS* variants occur in exon 2 (codons 12 and 13), oncogenic mutations also affect *KRAS* codons 59 and 61 (in exon 3) and 117 and 146 (in exon 4) and the same codons in *NRAS* gene.<sup>34</sup> Multiple studies have shown that mutations in *KRAS* exon 3 and 4 or *NRAS* exons 2–4 also predict the lack of clinical benefit to anti-EGFR-targeted therapy. Therefore, in 2013 EMA and FDA restricted the further use of cetuximab and panitumumab only to patients with

wild-type *RAS* mCRC.<sup>9,35,36</sup> Since 2013, no other biomarker reached the point of being widely used in the daily clinical practice. A number of scientific articles about the *BRAF* V600E mutation were published, especially in pretreated patients with mCRC but none of these studies convincingly confirmed its negative predictive value.<sup>37-39</sup> Several other markers or their combinations had been intensively studied, such as *PIK3CA* and *PTEN* mutations, *HER2* gene copy number variations, *EGFR* gene copy number, or level of *EGFR* ligands, epiregulin and amphiregulin, and miRNAs miR-31-5p/3p.<sup>7,8,11-14</sup> However, none of these markers entered into the daily clinical practice.

In the present study, we performed a targeted sequencing of a group of 26 genes involved in colorectal carcinogenesis and *EGFR* signaling pathway. Our aim is to describe their involvement in the mechanisms of resistance to *EGFR* blockade. For this purpose, we sequenced the paired primary and metastatic lesions obtained from patients who did or did not respond to cetuximab-based therapy. A relatively low number of sequenced patients is a limitation that must be taken into account when interpreting the results of this study. Still, there are some interesting findings to be discussed.

The mutational status of primary versus metastatic lesions was highly concordant in *TP53*, *APC*, and *CTNNB1* genes. Partial (but still high) concordance was found in *KRAS* (mutation in both P and M in three patients, mutation only in P in three patients), *FBXW7* (mutation in both P and M in two patients, mutation only in P in one patient, and only in M in one patient), *PIK3CA* (mutation in both P and M in two patients and mutation only in P in other two patients), and *PTEN* (mutation in both P and M in one patient and only in M in one patient). Other genes were mutated either in P or in M (Figure 1). *KRAS* mutations in the corresponding P and M in three patients were detected (variants G12V, G12C, and A146T) after excluding samples with mutations V8I and D57Y with unclear clinical significance. Mutation (A146T) in P only was detected only in one case (patient no 8). In accordance with the published literature, the mutational status of the abovementioned genes was highly concordant in primary and metastatic tumors.<sup>40</sup> Our results suggest that the biopsy of primary tumor could be a sufficient alternative to the biopsy of metastatic lesion in most cases in order to carry out a mutational analysis of mCRC.

In comparison with the responder group, significantly more alterations in the nonresponder group were discovered (12 alterations with median one mutation per sample and 46 detected mutations with median three mutations per sample, respectively). In the responder group, *TP53* was the

most frequently affected gene with mutation in four out of eight samples, followed by *APC*, *CTNNB1*, and *PTEN* with mutation in two samples in every single gene. Additional two mutations were in *KRAS* (the *KRAS* D57Y mutation discussed earlier with unclear clinical significance) and in *PDGFRA* (mutation E644Q). Both mutations were present only in the primary tumor and not in the metastatic lesion. While *TP53*, *APC*, and *CTNNB1* mutations are quite common events during the colorectal carcinogenesis, inactivation of tumor suppressor *PTEN* could be potentially related to cetuximab resistance. However, clinical significance of *PTEN* mutation R130\* detected in our study remains unclear. In the nonresponder group, the most frequently mutated genes included *KRAS* (eight out of 16 possible alterations), *FBXW7* and *PIK3CA* (six alterations both), *APC* (five alterations), and *TP53* (four alterations). As stated earlier, while *APC* and *TP53* are related mainly to colorectal carcinogenesis, the activating mutations in *KRAS* are furthermore clearly related to cetuximab resistance. In the case of *PIK3CA* and *FBXW7* mutations, this relationship is quite presumable as well. In the case of *FBXW7*, alterations in six samples were identified (particularly two in P and four M). All of them were found in the nonresponder group. No *FBXW7* mutation was detected in the responder group. In three out of six cases, there was a coincidence with parallel *KRAS* mutation, and in other three cases a *PIK3CA* mutation was simultaneously detected. The involvement of *FBXW7* in resistance to cytostatic chemotherapy has been previously reported, as well as the potential relationship to cetuximab or panitumumab resistance.<sup>26,41</sup> F-box proteins constitute one of the subunits of the ubiquitin protein ligase complex, which is responsible for the degradation of several cellular proteins. *FBXW7* is the component for substrate recognition and is able to mediate the degradation of some oncogenes including *CYCLIN E*, *MYC*, *JUN*, *MTOR*, and others. The exact mechanism of cetuximab or panitumumab resistance through the mutations in *FBXW7* is unknown and requires further studies. Our hypothesis is that the inactivating mutations in *FBXW7* could affect the functionality of the ubiquitin proteasome complex and thus impair the degradation of several proteins, including some downstream effectors of *EGFR*.

Using a highly precise NGS, we identified several new occurrences of activating mutations in *KRAS*, which had been missed by standard molecular diagnostic tools. All patients in our study were initially tested for complete mutational status of *RAS* and confirmed as wild-type *RAS*. Looking into the history of *KRAS* molecular testing in our institution,

the Thera Screen® *K-RAS* Mutation Kit was in use initially in 2008 with a sensitivity of up to 1% mutant in a background of wild-type genomic DNA, analyzing the codons 12 and 13 of *KRAS* only. Later, since 2012, the Cobas® *KRAS* Mutation Test Kit was employed with a sensitivity of ~5% in a mixed sample and for analysis of codons 12, 13, and 61 of *KRAS*. Finally, to cover newly described clinically relevant codons 59, 117, and 146 of *KRAS* and all required codons of *NRAS*, the CRC *RAScan*™ Combination Kit (with a sensitivity of 2%–5%) was added to the Cobas® test in September 2013. With NGS, we were able to detect activating mutations in *KRAS* in eight samples (belonging to five patients with mutations in P and three patients with mutations in M), although all our patients were previously confirmed to have wild-type *RAS* by qPCR methods before entering the study. Particularly in patient no 1 and 5, the omission (not finding) of mutation G12V and G12C of *KRAS* was a failure of the Thera Screen mutational test. We could speculate that if more sensitive Cobas test had been used, *KRAS* mutations would have been detected. The Cobas test failed to detect mutation A146T in samples of patients no 3 and 8, as well. Nevertheless, all newly detected *KRAS* mutations led to a failure of cetuximab treatment. Thus, the discrepancy between the outcomes of tests routinely used in daily clinical practice and NGS is of a significant clinical relevance.

The size of the study group limits the clinical interpretation and applicability of the data due to the lack of statistical significance. Still, the research presented in this article identifies several mutations with potentially important involvement in cetuximab resistance of CRCs, which could be confirmed with broader studies in the future.

## Conclusion

With the utilization of NGS panel of 26 genes involved in the EGFR pathway and colorectal carcinogenesis, we sequenced primary and corresponding metastatic FFPE tissue samples from responding and nonresponding mCRC patients treated with cetuximab. The presented results confirmed previously published evidence that the mutational status of primary tumors and metastatic lesions is highly concordant in *TP53*, *APC*, *CTNNB1*, *KRAS*, *PIK3CA*, *PTEN*, and *FBXW7* genes. A number of mutations were significantly higher in the metastatic samples than in the primary tumors. We confirmed a potentially negative predictive value of *FBXW7* mutations in relationship to anti-EGFR treatment outcomes. Finally, eight new occurrences of activating mutations in *KRAS* were identified in a group of patients with upfront-verified wild-type *RAS* mCRC compared with the routinely used qPCR

*RAS* mutational tests. All newly detected *KRAS* mutations most likely led to a cetuximab treatment failure.

The results of the present study suggest a need of careful consideration of the previously published results of anti-EGFR-targeted therapy with regard to potentially inaccurate diagnostic tools used in the past. Based on our findings, we recommend more extensive use of NGS testing in daily clinical practice, as it brings a significant added value in terms of validity of the diagnostic procedure.

## Acknowledgments

This work was supported by MH CZ – DRO (MMCI, 00209805), grant IGA NT/13860 – 4/2012 of the Czech Ministry of Health, and by the project CEITEC 2020 (LQ1601) supported by the Ministry of Education, Youth and Sports of the Czech Republic. We are grateful to Pavel Fabian, participating investigator, for assistance with sample preparation.

## Disclosure

The authors report no conflicts of interest in this work.

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## Supplementary material

**Table S1** The TruSight™ Tumor panel gene regions

Chromosome	Gene	Exon	Reference
1	<i>NRAS</i>	2, 3, 4, 5	NM_002524
2	<i>ALK</i>	23	NM_004304
	<i>MSH6</i>	5	NM_000179
3	<i>CTNNB1</i>	3	NM_001098210
	<i>FOXL2</i>	1	NM_023067
	<i>PIK3CA</i>	2, 3, 8, 10, 21	NM_006218
4	<i>PDGFRa</i>	12, 14, 18	NM_006206
	<i>KIT</i>	9, 11, 13, 17, 18	NM_000222
	<i>FBXW7</i>	8, 9, 10, 11	NM_018315
5	<i>APC</i>	17	NM_000038
7	<i>EGFR</i>	18, 19, 20, 21	NM_005228
	<i>MET</i>	2, 5, 14, 16, 17, 18, 19	NM_000245
	<i>BRAF</i>	11, 15	NM_004333
9	<i>GNAQ</i>	4, 5, 6	NM_002072
10	<i>PTEN</i>	1, 2, 3, 4, 5, 6, 7, 9	NM_000314
	<i>FGFR2</i>	5	NM_000141
12	<i>KRAS</i>	2, 3, 4, 5	NM_033360
14	<i>AKT</i>	4	NM_005163
15	<i>MAP2K1</i>	2	NM_002755
16	<i>CDH1</i>	8, 9, 12	NM_004360
17	<i>TP53</i>	The entire gene	NM_000546
	<i>ERBB2</i>	20	NM_004448
18	<i>SMAD4</i>	9, 12	NM_005359
19	<i>STK11</i>	1, 4, 6, 8	NM_000455
20	<i>SRC</i>	14	NM_005417
	<i>GNAS</i>	5, 7	N080426

**Note:** Summary of analyzed genes and evaluated exons including accession references.

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