



## Original Article

# Specific Mutations in *APC*, with Prognostic Implications in Metastatic Colorectal Cancer

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**Purpose** Loss-of-function mutations in the adenomatous polyposis coli (*APC*) gene are common in metastatic colorectal cancer (mCRC). However, the characteristic of *APC* specific mutations in mCRC is poorly understood. Here, we explored the clinical and molecular characteristics of N-terminal and C-terminal side *APC* mutations in Chinese patients with mCRC.

**Materials and Methods** Hybrid capture-based next-generation sequencing was performed on tumor tissues from 275 mCRC patients to detect mutations in 639 tumor-associated genes. The prognostic value and gene-pathway difference between *APC* specific mutations in mCRC patients were analyzed.

**Results** *APC* mutations were highly clustered, accounting for 73% of all mCRC patients, and most of them were truncating mutations. The tumor mutation burden of the N-terminal side *APC* mutations group (n=76) was significantly lower than that of the C-terminal side group (n=123) ( $p < 0.001$ ), further confirmed by the public database. Survival analysis showed that mCRC patients with N-terminus side *APC* mutations had longer overall survival than C-terminus side. Tumor gene pathway analysis showed that gene mutations in the RTK/RAS, Wnt and transforming growth factor  $\beta$  signaling pathways of the C-terminal group were significantly higher than those of the N-terminal group ( $p < 0.05$ ). Additionally, *KRAS*, *AMER1*, *TGFBR2*, and *ARID1A* driver mutations were more common in patients with C-terminal side *APC* mutations.

**Conclusion** *APC* specific mutations have potential function as mCRC prognostic biomarkers. There are obvious differences in the gene mutation patterns between the C-terminus and N-terminus *APC* mutations group, which may have certain guiding significance for the subsequent precise treatment of mCRC.

**Key words** Metastatic colorectal cancer, *APC*, Tumor mutation burden, Prognosis, RTK/RAS, Wnt, TGF $\beta$

## Introduction

The adenomatous polyposis coli (*APC*) gene, a tumor suppressor gene, encodes a 310kDa protein which is composed of 2,846 amino acids and is mutated not only in familial adenomatous polyposis but also in most sporadic colorectal tumors [1,2]. These *APC* mutations are primarily protein truncating, deleting both central domains that regulate Wnt signaling and C-terminal domains that interact with the cytoskeleton. Recent results underscore the clinical utility of *APC* genetic analysis in the diagnosis, prognosis, and appropriate therapeutic intervention of colorectal cancer

(CRC) [3-5]. Therefore, a better description and classification of *APC* gene mutations would be helpful.

Several studies have shown that the N- and C-terminus of *APC* have different biological functions, like N-terminal fragments of *APC* have been shown to cause dominant defects in directional cell migration in multiple model systems [6] and C-terminally truncated proteins present in CRC lack the domains that are required for binding to microtubules, EB1, and  $\beta$ -catenin, potentially leading to the induction of chromosomal instability, activation of proliferation, and inhibition of differentiation [7]. In clinical, Mondaca et al. [8] have revealed that metastatic CRCs (mCRCs) with

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N-terminal mutation of *APC* had significantly longer overall survival (OS) and progression-free survival (PFS) versus those with C-terminal side or no *APC* alteration. The underlying mechanism for these differences is that C-terminal side *APC* alterations had more concurrent activating mitogenic alterations than N-terminal side *APC* alterations. In another study, alteration of *APC* induces programmed death-ligand 1 expression via a  $\beta$ -catenin/TCF4 pathway, then promotes tumor immune evasion [9]. However, in a mice study, the C-terminus of *APC* does not influence intestinal adenoma development or progression [10]. However, the functional significance of *APC* specific alterations in Asian mCRC populations is still unclear.

To further study the function of *APC* specific mutations in mCRC, we explored the association between the tumor mutational burden (TMB) and *APC* C-terminal or N-terminal mutations in 278 Chinese mCRC patients by a 639 genes sequencing assay, a high throughput, targeted-DNA-sequencing panel. We then investigated the co-mutation patterns at the pathway and gene level to understand the underlying mechanism of outcome difference between *APC* C-terminal and N-terminal alteration. Our research provides new insights into *APC* specific mutations and a potential new prognostic stratification of patients with mCRC.

## Materials and Methods

### 1. Patients and sample characteristics

From December 2021 to August 2022 at Shanghai Second Affiliated Hospital of Naval Medical University, 275 patients with pathologically diagnosed mCRC were enrolled in the present study. Each patient was pathologically diagnosed and required to obtain the tumor tissue and paired blood sample. Exclusion criteria include that no pathologically confirmed mCRC, no tissue or blood samples were provided, and the cell blocks of samples contained tumor cells less than 20%. Data on clinical characteristics, including age and gender, were retrieved from medical records. Written informed consent was obtained from all participants, and this study was approved by the institutional review board of our hospital (20211218).

### 2. DNA extraction and library construction

According to the manufacturer's protocols, the tumor DNA and blood genome DNA were extracted using human tissue DNA extraction kit (Yunying Medicine, Zhejiang, China) and human blood genome DNA extraction kit (Yunying Medicine), respectively. DNA was eluted in the elution buffer, and concentration and purity were assessed using a NanoDrop spectrophotometer. DNA was stored at  $-20^{\circ}\text{C}$  until use.

Library preparation was constructed using the VAHTS Universal DNA Library Prep Kit for Illumina (San Diego, CA). Target enrichment was performed using Shanghai YunYing's optimized probes, which target the exons and some introns of 639 cancer-related genes. Sequencing was performed on an Illumina NextSeq500 platform using the manufacturer's protocols.

### 3. Next-generation sequencing-based assay and bioinformatics analysis

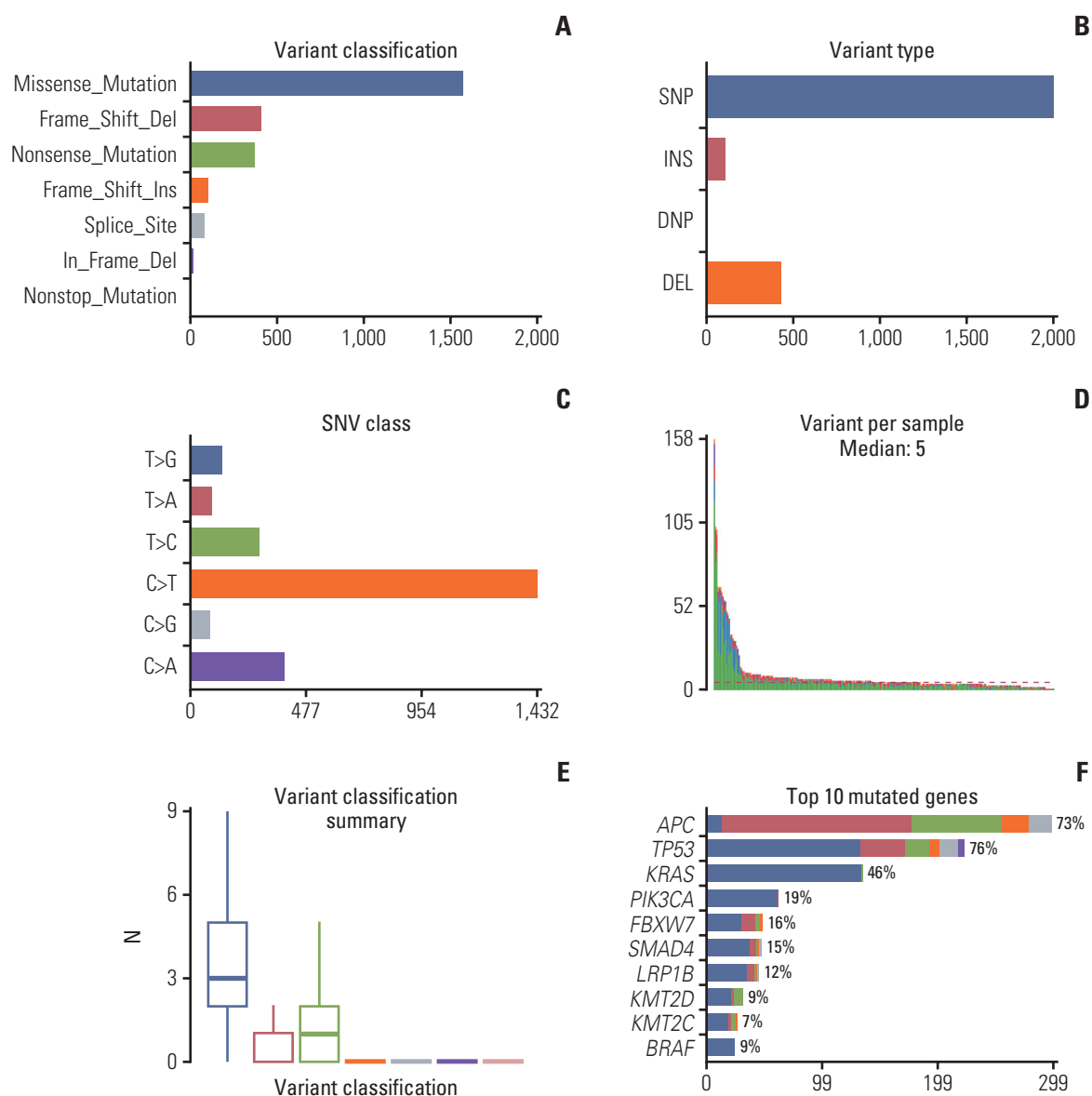
FastQC software (ver. 0.11.2) and customized python script were used to screen FASTQ files, with the adaptor sequences and sequences with Q below 30 removed. Clean reads were mapped to the reference human genome GRCh37/hg19 using BWA (Burrows Wheeler Aligner ver. 0.7.7). Bam files were then realigned and recalled using GATK3.5, which was also applied to detect mutations. Duplicate sequences were removed using Picard MarkDuplicates (ver. 1.35) to reduce potential polymerase chain reaction bias. VarScan (ver. 2.3.2) was used to select single nucleotide variations (SNVs), satisfying the following criteria: depth  $\geq 100$ , reads  $\geq 10$ , and allele frequency  $\geq 5\%$  (if hotspot,  $\geq 1\%$ ). Pindel (ver. 0.2.5b8) was used for insertion or deletion (indel) detection using default parameters, with at least five unique reads.

Compared with matched normal samples, somatic SNVs and insertion and deletion (InDels) of tumors were named and functionally annotated using MuTect v. 1.1.4 and VarScan2 v. 2.3.9 software. The mutations with variant allele frequency  $\geq 5\%$  were defined as high-confidence mutations ( $\geq 1\%$  for hotspots). TMB was calculated using the number of all somatic, coding, base substitution, and indel mutations per megabase including synonymous mutation. The total number of mutations counted was divided by the size of the coding region of the targeted territory (1.1 Mb of coding genome) to calculate the TMB per megabase. Microsatellite instability (MSI) score of all samples were calculated

**Table 1.** Patient characteristics

Characteristic	No. (%) (n=275)
<b>Sex</b>	
Male	161 (58.5)
Female	114 (41.5)
<b>Age at diagnosis (yr)</b>	
< 60	122 (44.4)
$\geq 60$	153 (55.6)
<b>APC mutation status</b>	
APC C-terminal side mutation	76 (27.6)
APC N-terminal side mutation	123 (44.8)
APC other and unaltered	76 (27.6)

APC, adenomatous polyposis coli.



**Fig. 1.** Mutation overview of collected samples. The variant classification (A), variant type (B), and single nucleotide variation (SNV) class (C) of mutated genes involved in metastatic colorectal cancer (mCRC) tumors; variants in each sample (D); summary of variant classification (E); mutation types of the top 10 genes (F); the water-fall diagram indicated the Top 20 mutated genes and their variant types in mCRC tissues based on next generation sequencing data from collected samples (G). 60– or 60+ represents the patients younger or older than 60 years at diagnosis with mCRC. APC, adenomatous polyposis coli. (Continued to the next page)

by MSIsensor [11] with default parameters, a software tool for quantifying MSI in genome sequencing data using tumor only, or paired tumor-normal samples. We used 24 microsatellite sites as input files for MSI detection on tumor only pattern. The MSI score was defined as the percentage of unstable microsatellites in all used microsatellites. Each microsatellite site would have at least 20 spanning reads and single-nucleotide mutations.

#### 4. Public database verification

cBioPortal (<https://www.cbioportal.org/>) was employed for large sample size verification. The detailed clinical baseline, gene sequencing, and prognosis information of 899 patients with mCRC were downloaded, and the duplicate samples were removed. Samples that did not match somatic mutations were also excluded.

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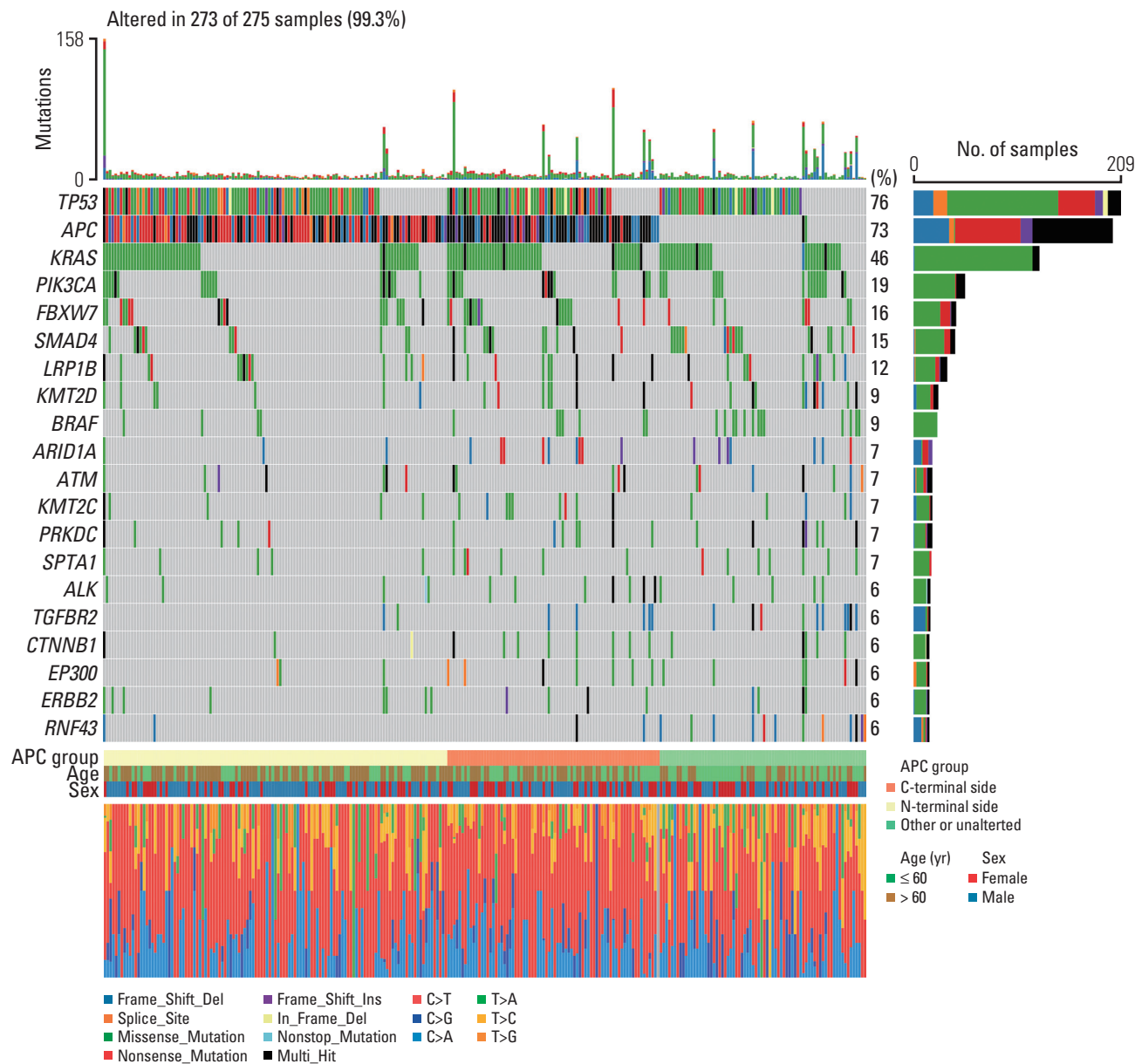


Fig. 1. (Continued from the previous page)

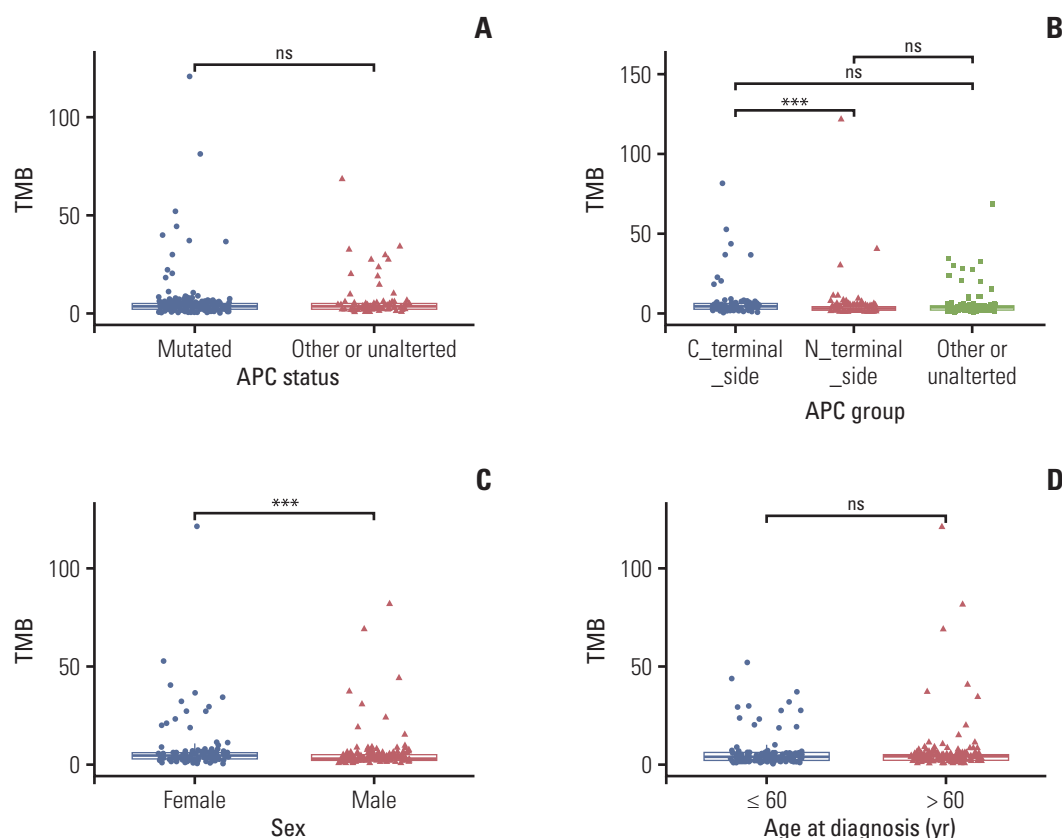
### 5. Statistical analysis

The prevalence and distribution of genomic alterations are visualized via the R package “maftools”. R package “ggplot2” was used to draw the boxplot. The nonparametric Wilcoxon test was subsequently used to test for significance in the difference of means between the two populations. R package “Survminer” was used to draw the Kaplan-Meier graph with axis units of months, and the log-rank test p-value, risk table, and censoring table are shown.

## Results

### 1. Patient characteristics

A total of 275 patients newly diagnosed with mCRC cancer were enrolled in the present study. The mean age at diagnosis of the study participants was 60.0 years (range, 13 to 88 years; median, 61 years), and no significant difference was observed between males and females (mean, 60.6 vs. 60.4 years; median, 63 vs. 61 years;  $p=0.96$ ). Other characteristics of the cohort are summarized in Table 1, and details are



**Fig. 2.** Tumor mutation burden (TMB) correlation with adenomatous polyposis coli (*APC*) specific mutation and sex. (A) No significant relationship showed between TMB and *APC* mutated or not. (B) *APC* C-terminal side alteration showed a higher TMB score than N-terminal side alteration. (C) Female metastatic colorectal cancer patients showed higher TMB than male. (D) No significant relationship showed between TMB and age at diagnosis. “ns” and “\*\*\*” indicate  $p > 0.05$ , and  $p < 0.001$ , Wilcoxon test.

displayed in S1 Table. All participants successfully finished targeted sequencing, and the completed sequencing strategy included the whole exons and partial introns of 639 genes indicated in S2 Table.

## 2. Mutation overview

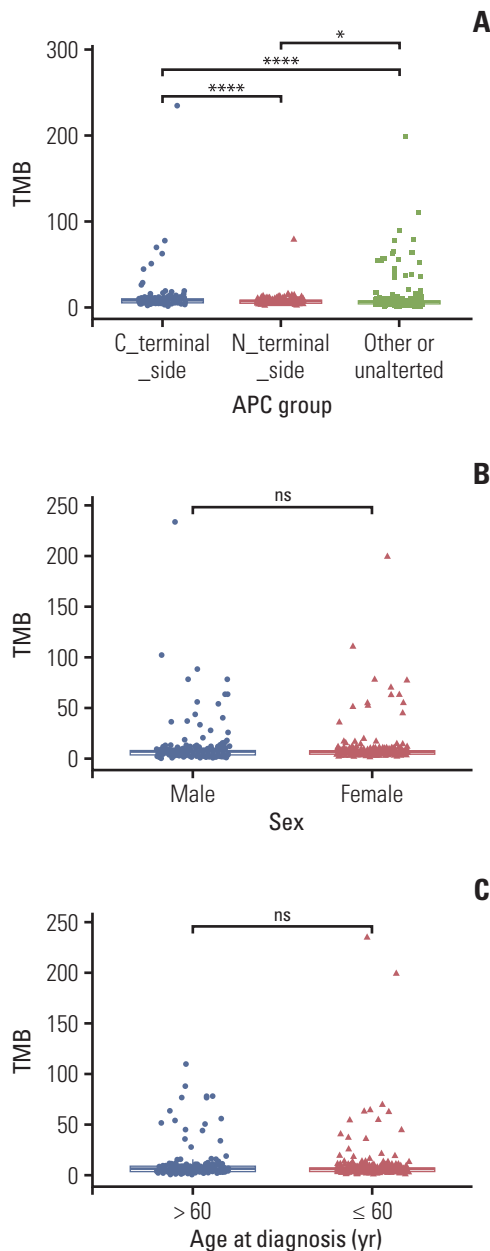
A missense mutation was the most common mutation type, followed by frameshift deletion and nonsense mutations (Fig. 1A). Single nucleotide polymorphisms represented a larger fraction than insertions or deletions in all variant types (Fig. 1B). The C > T transition was a prevailing SNV in mCRCs (Fig. 1C). As shown in Fig. 1D and E, the number of altered bases in each sample and the variant classification summary were counted. In mCRCs, the top 10 mutated genes were *TP53* (76%), *APC* (69%), *KRAS* (46%), *PIK3CA* (19%), *FBXW7* (16%), *SMAD4* (15%), *LRP1B* (14%), *KMT2D* (9%), *BRAF* (9%), and *KMT2C* (7%) (Fig. 1F) which might play important roles in mCRC biological processes. According to the waterfall plot of the top 20 mutated genes, where the mutation type is denoted by various colors with anno-

tations, frameshift mutations were mostly observed in the C-terminal side group and other or unaltered group (Fig. 1G).

## 3. The significant difference in TMB between *APC*-specific mutation groups

According to the criteria for classifying *APC* specific mutation groups from a previous study [8], we divided enrolled patients into three groups: *APC* C-terminal alteration ( $n=76$ ), *APC* N-terminal alteration ( $n=123$ ) and *APC* unalteration or other mutation ( $n=76$ ), as Table 1 shown. As Fig. 2A shown, TMB was not significant between *APC* mutated or not. But TMB was significant between *APC* C- and N-terminal side alteration (median, 4.4 vs. 2.9 muts/Mb;  $p < 0.001$ ) (Fig. 2B). TMB also showed a significantly higher score in female patients than in male patients (median, 4.4 vs. 2.2 muts/Mb;  $p < 0.001$ ) (Fig. 2C). However, TMB showed not any relationship between age at diagnosis group (Fig. 2D). In terms of MSI, no relationship was shown among different *APC* mutation groups, as well as gender and age at diagnosis (S3A-S3D





**Fig. 3.** Results validation of clinical indicators about tumor mutation burden (TMB) using public databases: (A) APC group, (B) sex, and (C) age at diagnosis. “ns”, “\*” and “\*\*\*\*” indicate  $p > 0.05$ ,  $p < 0.05$  and  $p < 0.0001$ , respectively, Wilcoxon test.

Fig.) ( $p > 0.05$ ).

#### 4. Verification of the relationship between clinical indicators and TMB score

We employed the cBioPortal for verifying our results, which is an open-source resource for the interactive exploration of multidimensional cancer genomics data sets. A

total of 899 mCRC patients were enrolled in our verification cohort. We further grouped them by the age at diagnosis in years, gender, or APC mutation status, respectively. The relationship between TMB score or MSI score and diagnosis in years, sex, APC mutation status, and APC specific mutation was analyzed.

In the verification cohort, as Fig. 3A shown, similar tendencies were confirmed that APC C-terminal side mutation was significantly correlated to a higher TMB score than APC N-terminal side mutation ( $p < 0.001$ ). Similarly, in terms of MSI, there is no significant relationship observed between APC groups and MSI value (S4A and S4B Fig.). Unlike our next-generation sequencing sequencing result, whether TMB or MSI, did not show a significant relationship with sex (Fig. 3B, S4C Fig.), but showed the same trend in the group of age at diagnosis (Fig. 3C, S4D Fig.).

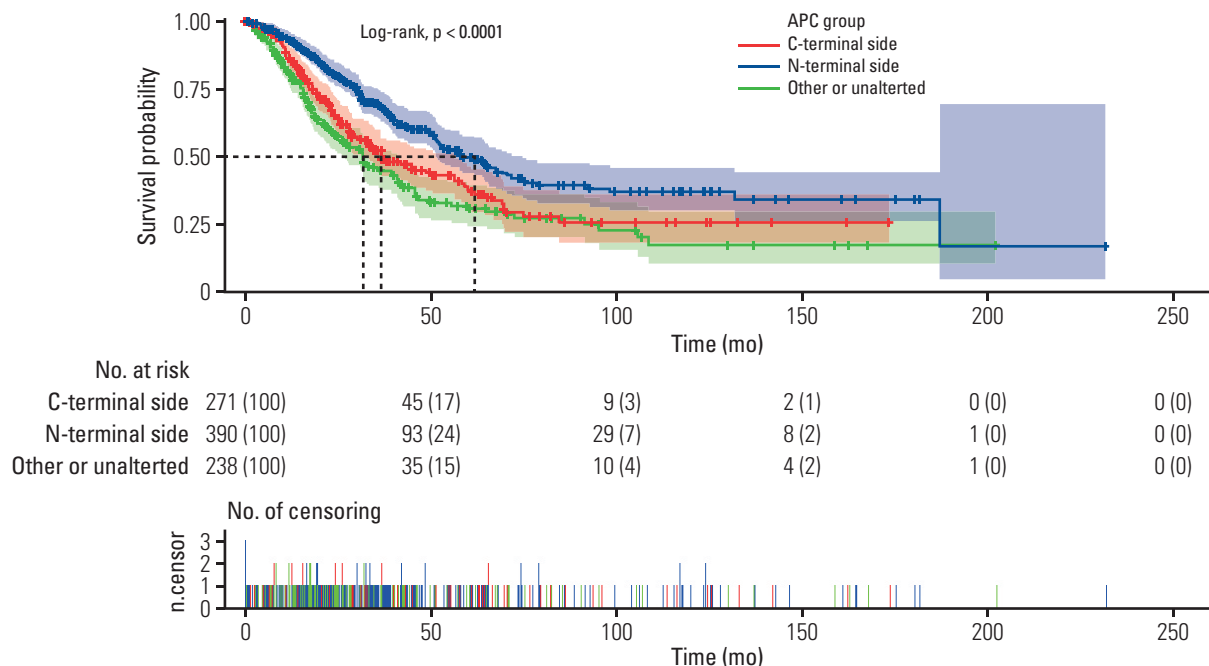
#### 5. Prognostic value analyses

To understand the clinical value of the difference we found, OS for each APC specific mutation was analyzed. As Fig. 4 shown, in metastatic mCRC patients from The Cancer Genome Atlas (TCGA), APC N-terminal side mutation group showed a significantly enhanced OS than the C-terminal side group (61.13 vs. 36.43 months,  $p < 0.001$ ,  $n=662$ ), and other or unaltered group (61.13 vs. 31.90 months,  $p < 0.001$ ,  $n=628$ ). However, the C-terminal side group showed no significant relationship with the other or unaltered group (36.43 vs. 31.90 months,  $p=0.072$ ,  $n=609$ ).

#### 6. Gene-pathway difference analysis

To understand the underlying mechanism for these differences in outcomes, we investigated co-mutation patterns at the pathway and gene levels. According to the results of APC gene mutation distribution, we found mCRC patients with APC C-terminal truncated mutation (nonsense mutation, frame shift deletion, and frame shift insertion) are often accompanied by N-terminal truncated mutation, and these mutations are mainly concentrated near the S1400 position of APC gene (Fig. 5A). Compared with C-terminal side and other or unaltered groups, RAS/RTK, Wnt, and transforming growth factor  $\beta$  (TGF $\beta$ ) pathway alterations were significantly lower in mCRCs with N-terminal side APC mutations group by analysis for frequency of oncogenic pathway alterations (Fig. 5B). Notch pathway alterations were significantly enriched in mCRCs with N-terminal side APC mutations ( $p < 0.05$ ). As for the Cell cycle pathway, the N-terminal side group showed a relatively low frequency of alteration especially compared with the other or unaltered group ( $p < 0.05$ ).

We performed a comparative analysis of the mutation between the N-terminal side group and C-terminal side group, and found that there was a significant difference in the



**Fig. 4.** The prognostic value of adenomatous polyposis coli (APC) specific mutation in metastatic colorectal cancer patients.

mutation frequency of nine genes (*AMER1*, *CHD4*, *KRAS*, *ARID1A*, *ESR1*, *CTNNB1*, *RAD50*, *EP300*, and *KMT2C*) ( $p < 0.05$ ) (Fig. 5C).

Actionable mutations analysis was based on Oncology Knowledge Base (OncoKB, [www.oncokb.org](http://www.oncokb.org)) database. Overall, four genes in three pathways were identified with significant differences between the N-terminal side group and the C-terminal side group (Fig. 5D). *AMER1* mutations were more frequent in tumors with C-terminal side *APC* mutations (9% vs. 2%). *KRAS* and *TGFBR2* alterations occurred in 40% and 1% of the N-terminal side, respectively, vs. 59% and 7% of C-terminal side *APC* mutant cases, respectively. And *ARID1A* mutations were more frequent in tumors with C-terminal side *APC* mutations (12% vs. 2%).

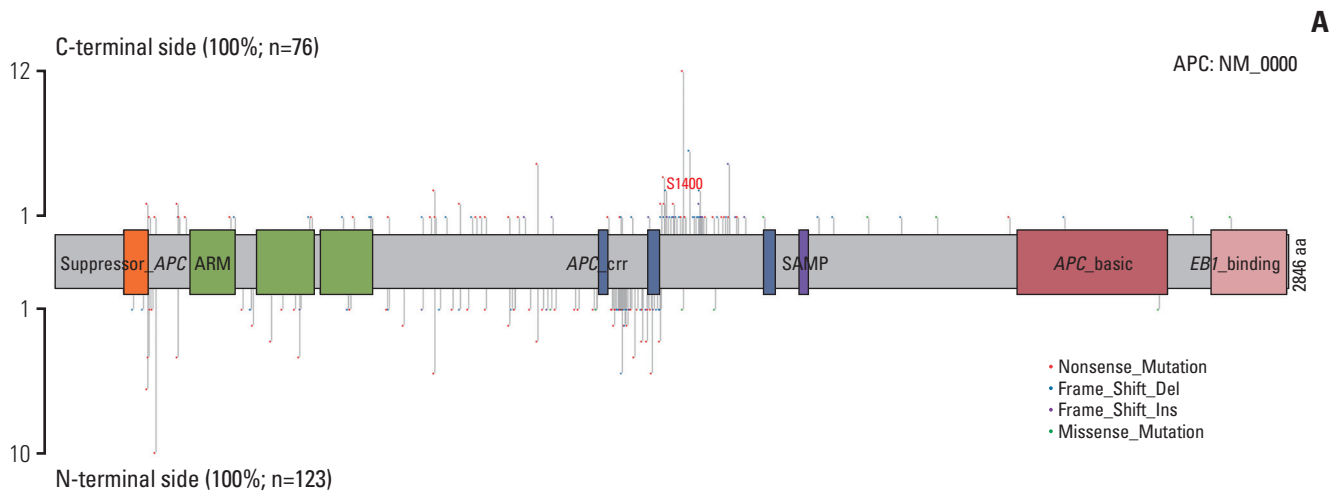
## Discussion

Previous research split the *APC* gene truncating mutations into N-terminal and C-terminal sides at amino acid (aa) 1400 where the N-terminal group contains up to two 20R  $\beta$ -catenin-binding repeats, and showed that in patients with mCRC, the N-terminal side *APC* mutations group had significantly longer OS and PFS than those with C-terminal side or no *APC* alteration [8]. Therefore, in our study, we divided 275 enrolled mCRCs into three groups: (1) N-terminal side, (2) C-terminal side and (3) other or unaltered (mutation with no

effect on *APC* function or wide type).

For the first time, we found that mCRC patients with C-terminal side *APC* mutations had significantly higher TMB scores than N-terminal side, which was further confirmed by public databases. TMB is broadly defined as the number of somatic mutations per megabase of interrogated genomic sequence and is believed to be a key driver in the generation of immunogenic neopeptides displayed on major histocompatibility complexes on the tumor cell surface. Compared with the C-terminal side group, the TMB scores of the N-terminal side group is relatively low, so there may be fewer immunogenic new peptides produced on the surface of tumor cells, making it difficult for tumor cells to escape immune system regulation. This may explain why patients with N-terminal side *APC* mutations have a better prognosis than C-terminal.

Loss of function mutations was more observed in the *APC* C-terminal side at nearby of the S1400 site than in N-terminal, which may show more effect on *APC*-related pathway activity. It is the decreased  $\beta$ -catenin binding capacity of mutated *APC* lacking the C-terminus and therefore increases in Wnt signaling [10]. Interestingly, in addition to the significant differences in RTK/RAS signaling pathways observed between the *APC* two groups, our results also revealed for the first-time significant differences in Wnt and TGF $\beta$  signaling pathways and related gene mutations. Studies have shown that Wnt and TGF $\beta$  signaling pathways are related to



**Fig. 5.** Genetic analysis of the difference in adenomatous polyposis coli (APC) specific mutation. (A) Distribution of mutations in the APC gene and their position relative to the split point for the N-terminal and C-terminal groups. (Continued to the next page)

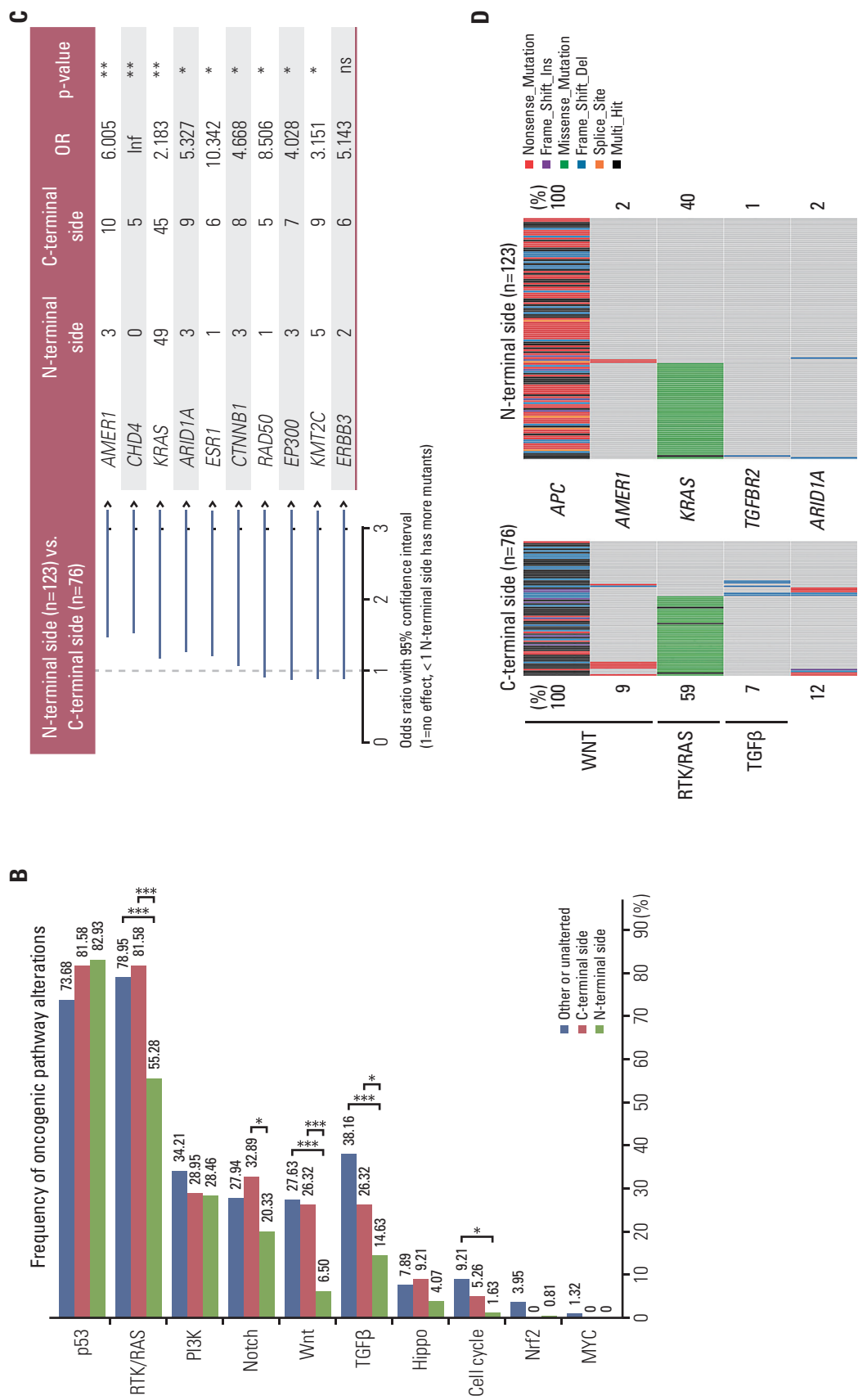
tumorigenesis and progression in CRC [12-14], and previous research showed that TGF $\beta$  could improve CRC migration *in vitro* [15]. This result shows that compared with unaltered or other APC mutation group, APC N-terminal mutation may inhibit tumorigenesis and progression by Wnt or TGF $\beta$  signaling pathway. Additionally, as the previous review of the role of Wnt in tumors [16], deregulated Wnt signaling in the tumor microenvironment supports malignant transformation and disease progression via a variety of mechanisms, such as improved fitness of the cancer stem cell compartment, evasion of tumor-targeting immune responses, and so on. In the late stage of cancer, TGF $\beta$  has some positive roles in promoting tumors, like fueling angiogenesis [17], immune escape and metastasis [15]. *KRAS*, *AMER1*, *TGFBR2*, and *ARID1A* alteration was more frequent in APC C-terminal side than in the N-terminal side and *KRAS* is also the major effector in APC groups. Patients with *KRAS*-mutant CRC have a poorer prognosis than those with *KRAS*-wild CRC, especially in the mCRC [18,19]. This is also consistent with the results of previous clinical studies on the relationship between *RAS* mutation and the prognosis of CRC [20]. In Wilms tumor, *AMER1* (also known as *FAM123B* or *WTX*) is a negative regulator of the Wnt/ $\beta$ -catenin pathway by promoting  $\beta$ -catenin ubiquitination and degradation [21]. But, lack of related research in CRC, even though the TCGA consortium reported *AMER1* as a frequently mutated gene in CRC [13]. *TGFBR2* alterations are almost frameshift deletion, which may cause loss of function. Studies have shown that downregulating or knock-down can promote CRC cell migration and invasion [22,23]. Also, *ARID1A* mutations are almost frameshift deletions. Wei et al. [24] observed that *ARID1A* loss was related to the higher TNM stage ( $p=0.020$ ) and poor pathological classifica-

tion ( $p=0.035$ ), largely consistent with Ye et al.'s findings [25]. These previous studies about the most frequent genes in APC C-terminal side mutation may also seem to imply its worse prognosis than N-terminal side ones.

Notably, there are several limitations to this study. First of all, this is a retrospective study, prospective multicenter studies with a larger cohort are needed to gain a better understanding of the relationship between APC specific mutations and prognoses or immunotherapy benefits. Second, in this study, tumor tissue samples were used for somatic mutations detection, however, tissue biopsy samples depict only a single time frame from a single site, and might be inadequate to characterize a tumor because of intra-tumoral and inter-metastatic heterogeneity, especially in highly heterogeneous CRC. Liquid biopsy, such as circulating tumor DNA enables real-time dynamic detection of tumors, overcomes tumor heterogeneity, and may provide a more comprehensive molecular characterization in CRC. Third, our mechanism hypothesis requires relevant cell and molecular tests to verify the accuracy.

In conclusion, our study developed a potentially novel approach to mCRC patient prognosis stratification based on APC specific mutation. Furthermore, we investigated the characterization of molecular alterations among N-terminal side APC mutations, C-terminal side APC mutations, and other or APC unaltered, and firstly discovered the TMB score, and frequency of RTK/RAS, Wnt, and TGF $\beta$  pathway alterations were significantly lower in Chinese mCRC patients with N-terminal side APC mutations than C-terminal side. These results give a new insight to understand the underlying mechanism of APC specific mutation with different prognostic value. This study will serve as a foundation





**Fig. 5.** (Continued from the previous page) (B) Frequency of oncogenic pathway alterations by APC mutation side. (C) Count of oncogenic pathway-related gene alterations by APC mutation side. (D) Frequency of concurrent oncogenic alterations by APC mutation side. “\*”, “\*\*\*” and “\*\*\*\*” indicates  $p < 0.05$ ,  $p < 0.01$ , and  $p < 0.001$ , respectively, Wilcoxon test.

for further molecular mechanism studies to verify the significance of APC specific mutation in mCRC.

### Electronic Supplementary Material

Supplementary materials are available at Cancer Research and Treatment website (<https://www.e-crt.org>).

### Ethical Statement

Ethical approval to report this study was obtained from the institutional review board of Shanghai Second Affiliated Hospital of Naval Medical University (20211218). Written informed consent was obtained from all participants.

### Author Contributions

Conceived and designed the analysis: Peng H, Ying J, Zang J, Lu H, Zhang D, Wang Z.

Collected the data: Peng H, Ying J.

Contributed data or analysis tools: Peng H, Ying J, Zang J, Lu H, Yang P, Zhang D, Wang Z.

Performed the analysis: Yang P, Zhao X, Li J, Gong Z, Wang X.

Wrote the paper: Peng H, Ying J, Yang P, Zhao X, Li J.


Supervised: Zang J, Lu H, Gong Z, Zhang D, Wang Z.

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### Conflicts of Interest

Li J, Zhao X, Yang P, Wang X, Zhang D, and Gong Z were employed by the Jiaying Yunying Medical Inspection Co., Ltd., and the Zhejiang Yunying Medical Technology Co., Ltd. The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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