# HIV and race are independently associated with endothelial dysfunction

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**Objective:** Evaluating the vascular function in HIV-infected compared with HIV uninfected with assessment of body composition, inflammation, and gut integrity markers.

Design: A noninvasive test that measures the endothelial function.

**Methods:** We included participants at least 18 years old, with peripheral arterial tonometry testing (EndoPAT2000) between 2014 and 2022. Persons with HIV (PWH) had documented infection, a stable ART regimen, and a viral load less than 400 copies/ml. We measured the vessel's function with the reactive hyperemia index (RHI) (normal >1.67) and Augmentation Index. Lower Augmentation Index reflect better arterial elasticity. We assessed markers of systemic inflammation, immune activation, and gut integrity. We used linear mixed models to estimate endothelial dysfunction with a significant *P* value less than 0.05.

**Results:** Overall, 511 participants (296 HIV-infected; 215 HIV-uninfected controls) were included. Estimated RHI among PWH was 13% lower (P = 0.01) compared with persons without HIV. In nonwhite race, the estimated RHI was 9% lower (P = 0.001) than white race. For every 1% increase in BMI, we would expect RHI to increase 0.17% (P = 0.01). At the time of EndoPAT, the estimated RHI was 8% lower (P = 0.04) among protease inhibitor users compared with PWH who were not taking protease inhibitors. The estimated odds of abnormal RHI  $\leq 1.67$ ) is 1.56 times greater [95% confidence interval (CI) 1.05-2.31] in nonwhite race compared with white race, independent of HIV status [OR = 1.4 (95% CI 0.94–2.13)]. There was not enough evidence to suggest that inflammation, gut, or monocyte markers, current or nadir CD4<sup>+</sup> cell count, or duration of HIV were associated with endothelial dysfunction.

**Conclusion:** HIV, nonwhite race, and protease inhibitor use are independently associated with endothelial dysfunction.

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### Introduction

The use of antiretroviral therapy (ART) increased the life expectancy for persons with HIV (PWH) [1]. However, this progress led to an increase in the prevalence of metabolic disease and cardiovascular disease (CVD) risk factors in PLWH compared with persons without HIV [2]. A longitudinal study from 1990 to 2015 concluded that HIV infection doubles the risk of developing cardiovascular diseases [3]. This increased risk could be

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attributed to an increase in atherosclerosis, as demonstrated in a meta-analysis [4]. To detect early signs of CVD in PWH, the scientific community developed and studied multiple predictive tools over the years [5].

In order to study early atherosclerosis and endothelial function in PWH, the latter being one of the earliest predictors of atherosclerosis [6], scientists used tests that detect changes in the structural and functional vascular-related markers like carotid intima-media thickness (IMT), flow-mediated vasodilation (FMD), and pulse wave velocity (PWV) [5]. FMD is the most studied method used for endothelial function assessment [7] but requires specially trained personnel and is highly operator-dependent with high variability, and therefore, could be poorly reproducible [8]. It was demonstrated that FMD results correlated with Peripheral Arterial Tonometry by EndoPAT, an FDA-cleared testing technique [9].

EndoPAT is a novel testing technique that is gaining traction [10] because of its accessibility and reliability after being tested in repeated measurements [11]. By assessing the digital blood flow-mediated dilation, the EndoPAT machine calculates the reactive hyperemia index (RHI) with a normal value being greater than 1.67 and its log transformation lnRHI with a matched cut of greater than 0.51. The machine also generates scores estimating the arterial stiffness called Augmentation Index and an adjusted Augmentation Index at a heart rate of 75 beats per minute (AI@75) to further normalize the results. The Augmentation Index score is inversely correlated to vessel elasticity and is provided relative to gender-matched, nonselective populations. Using PAT, a higher BMI was associated with endothelial dysfunction [12]; however, the influence of race and ethnicity on endothelial dysfunction is still under investigation [13].

Only one study, from our group, assessed vascular function in PWH compared with persons without HIV using the EndoPAT; it included 119 children and young adults and concluded a statistically significant worse vascular function in the prenatally HIV-infected subgroup [14]. Three other small studies used EndoPAT in PWH. One was to evaluate the effect of short-term aerobic training in seven participants [15] and another compared the EndoPAT to the myocardial perfusion reserve by 82-rubidium PET/CT in 48 PWH [16]. A third small study was conducted in Africa on 33 undernourished, HIV-infected adults starting ART [17]. Thus the need for a large analysis to evaluate the use of EndoPAT in PWH.

Our project aims to: compare the endothelial function in virologically suppressed adults living with HIV compared with uninfected controls; study the independent risk factors associated with endoPAT in HIV; assess the relationship between endothelial function and markers of systemic inflammation, immune activation, and gut integrity.

### Method

### Study design/population

This is a cross-sectional analysis evaluating endothelial function in adult PWH and persons without HIV, with concomitant assessment of HIV variables, body composition, and inflammatory markers. Data were collected at the Metabolic Research Center from participants who were evaluated and screened for potential entry into HIV metabolic studies, including endoPAT measurements, between 2014 and 2022. All studies were approved by the Institutional Review Board (IRB) of the University Hospitals Cleveland Medical Center in Cleveland, Ohio. We included data from participants 18 years or older, with an Endopat test at the time of the entry visit. In the PWH group, included participants had documented HIV infection, a stable ART regimen, and an HIV-1 RNA level of less than 400 copies/ml. Participants were instructed to fast for at least 12h and refrain from caffeine, tobacco, exercise, vitamins, or medications that might affect the vascular tone for at least 4 h before undergoing EndoPAT testing and blood draws.

Written informed consent was obtained from all the participants in their respective IRB-approved studies, which included data collection, blood draw, and endoPAT measurement.

#### **Study assessments**

#### Medical history, demographics, and vitals

Participants were interviewed by trained healthcare professionals using standardized questionnaires about demographics, personal, and family medical history, including cardiovascular disease and substance use. PWH were interviewed and HIV-related data was confirmed from medical records, including ART regimen (type and duration), CD4<sup>+</sup> T-cell counts, CD4<sup>+</sup> T-cell nadir, and viral load. Vital signs including height, weight, and blood pressure were obtained by the staff conducting the visit.

# Inflammation, monocyte activation, and gut integrity markers

Plasma from collected blood was stored at -80 °C and batched until processing without a prior thaw. Markers of systemic inflammation, monocyte activation, endothelial activation, and gut integrity were measured using ELISA. The markers of interest and their respective manufacturer were the following: soluble tumor necrosis factor receptors I and II (sTNFR-I and sTNFR-II), high-sensitivity Creactive protein (hsCRP), interleukin 6 (IL-6) (R&D Systems, Minneapolis, Minnesota, USA), D-dimer (Diagnostica Stago, Parsippany, New Jersey, USA), oxidized lowdensity lipoprotein assays (Uppsala, Mercodia, Sweden), and the monocyte activation markers soluble CD14 and CD163 (R&D Systems). The endothelial activation marker soluble vascular cell adhesion molecule (VCAM) was measured by ELISA (R&D Systems). To assess gutbarrier integrity, we measured a marker of microbial translocation lipopolysaccharide-binding protein (LBP; Hycult Biotech Inc. Pennsylvania, USA) and a marker of acute intestinal injury [intestinal fatty acid-binding protein (IFAB) (R&D Systems)].

#### Body composition measures

Real-time measurements of lipid profiles, glucose, and insulin levels were obtained from blood sampled during the visit and run at the CLIA-certified local laboratory. Homeostatic model assessment of insulin resistance (HOMA-IR) was calculated [18].

#### EndoPAT measures

Under normal conditions, the endothelial cells trigger vasodilatation through the production of nitric oxide (NO) via the activity of endothelial nitric oxide synthase [19]. In the present study, indirect evaluation of this endothelial vasodilator function was performed noninvasively using postocclusive reactive hyperemia peripheral arterial tonometry (RH-PAT) (EndoPAT2000 device; Itamar Medical Ltd.; Caesarea, Israel) [20]. These endothelium-mediated changes in peripheral arterial tone were recorded using disposable plethysmographic probes on the finger of each hand. The finger probe imparts a uniform pressure field and measures pulsatile volume changes, by means of signals [21]. These signals are filtered, amplified, and stored for further analysis [9]. With the patient in a seated position and with both hands at the same level; hyperemia was induced by occluding the brachial artery (of the nondominant arm) using a blood pressure cuff. In parallel with that, the probes were placed on the same finger on both hands and continuous recording of blood volume responses from both hands was initiated. After a period of stabilization, the blood pressure cuff on the study arm was inflated to 60 mmHg above systolic pressure or 200 mmHg (whichever was higher) for 5 min. Then, the cuff was deflated to induce reactive hyperemia and assess PAT [22]. A RHI was generated from the change in the pulse wave amplitude (PWA) relative to baseline in the occluded arm and was corrected for corresponding changes in PWA relative to baseline in the contralateral, nonoccluded arm in order to minimize the influence of nonendothelial-dependent systemic effects [23–25]. A normal index is greater than 1.67 and an abnormal is 1.67 or less. The augmentation index is calculated from PAT pulses recorded at the baseline period and the result is further normalized to the heart rate of 75 beats per minute (AI 75). Lower augmentation index values reflect better arterial elasticity.

#### Statistical analysis

Participant characteristics were described using mean  $\pm$  standard deviation (SD) or median (M) and interquartile range (IQR) for continuous variables and frequency (*n*) and percentage (%) for categorical variables. Differences between groups were computed using the antilog of either independent *t* test (Mann–Whitney *U*) or one-way ANOVA (Kruskal–Wallis) for continuous

variables and chi-squared or Fisher's exact for categorical variables (Table 1). We used linear mixed models with random intercept to estimate measures of arterial stiffness conditional on demographics, family history of MI and HTN, risk factors for PWH and cardiovascular disease, inflammation, gut, and monocyte activation markers, and antiretroviral treatment at the time of EndoPAT (Table 2). Generalized linear mixed models with binomial distribution were used to estimate the likelihood of endothelial dysfunction (Table 3 and Fig. 1). All two-way interactions were assessed and log transformations were used to stabilize error variance. The inverse link function was used for continuous covariates to convert estimates to the data scale and interpreted as probability. When both the independent and dependent variables were log transformed, a one-unit change was interpreted as 1% percentage change. All analyses were conducted using SAS 9.4 (SAS Inc., Cary, North Carolina, USA) and P values less than alpha less than 0.05 were considered statistically significant.

### **Results**

#### Characteristics

A total of 511 participants (296 HIV+ and 215 HIVcontrols) were included in this study. Among the 296 PWH, 43.44% were men, 38.55% were nonwhite, and the median age was 48.48 years (IQR: 34.56–55.34). The median CD4<sup>+</sup> cell count was 711.0 (IQR: 525.0– 911.0), median nadir CD4<sup>+</sup> cell count was 222.0 (IQR: 83.5–334.0), and median BMI was 26.4 kg/m<sup>2</sup> (IQR: 23.47–32.02). There was a large proportion of cumulative ART use: nucleoside reverse transcriptase inhibitor (NRTI) (94.93%), protease inhibitor (83.45%), and nonnucleoside reverse transcriptase inhibitor (NNRTI) (84.12%). Persons without HIV were younger in age with a larger proportion of female sex and fewer nonwhite race (Table 1).

Among PWH, the median RHI was 1.67 (IQR: 1.42–2.06), median augmentation index at 75 bpm (AI@75) was 4.0 (IQR: -7.0 to 13.0), and 51.01% (n=151) had RHI 1.67 or less. In persons without HIV, the median RHI was higher [1.77 (IQR: 1.47-2.25); P=0.01], median AI@75 was lower [1.0 (IQR: -10 to 15.00); P=0.14], and a smaller proportion (40.47%; P=0.02) had abnormal RHI 1.67 or less.

Within PWH, differences in both RHI [ $M_{\text{nonwhite}} = 1.61$  (IQR: 1.39–1.99) vs.  $M_{\text{white}} = 1.81$  (IQR: 1.48–2.14); P = 0.004] and the proportion of abnormal RHI 1.67 or less [ $M_{\text{nonwhite}} = 55.84\%$  vs.  $M_{\text{white}} = 41.41\%$ ; P = 0.02] between nonwhite race (n = 197) and white race (n = 99) were observed. There was not enough evidence to suggest any differences in AI@75 [ $M_{\text{nonwhite}} = 3.0$  (IQR: -9.0 to 13.0) vs.  $M_{\text{white}} = 5.0$  (IQR: -4.0 to 14.0); P = 0.06].

#### Table 1. Characteristics of participants by HIV status.

	$HIV^{+}$ (n = 296)	$HIV^{-}(n=215)$	
	n (%) or median (IQR)/mean ± SD		P value
Age (years)	48.48 (34.56-55.34)	38.36 (29.20-50.45)	< 0.0001
Female sex	74 (14.48)	86 (16.63)	0.0002
Nonwhite race <sup>a</sup>	197 (38.55)	62 (11.99)	< 0.0001
BMI $(kg/m^2)$	26.4 (23.47-32.02)	26.68 (23.09-30.58)	0.71
Current smoker	157 (30.78)	134 (25.97)	0.02
ARV duration (months)	$119.53 \pm 80.87$	-	-
HIV duration (months)	$167.11 \pm 104.91$	_	-
Family history of MI	79 (15.46)	53 (10.25)	0.83
Current CD4 <sup>+</sup> cell count	711 (525-911)	_	-
Nadir CD4 <sup>+</sup> cell count	222 (83.5-334)	_	-
HOMA-IR	2.37 (1.25-3.75)	1.98 (1.33-2.96)	0.02
Cholesterol (mg/dl)	169 (143-192)	171.00 (148.00-198.00)	0.19
LDL (mg/dl)	90 (71–113)	98.00 (78.00-121.00)	0.01
HDL (mg/dl)	47 (39.9–58)	49.00 (42.00-58.20)	0.12
Triglycerides (mg/dl)	104 (76–162)	95.00 (68.00-139.00)	0.02
Ox LDL <sup>b</sup>	45.24 (35.29-58.47)	43.58 (33.84-54.16)	0.07
Current NRTI	268 (90.54)	_	-
Current NNRTI	86 (29.05)	_	-
Current PI	82 (27.70)	_	-
IL-6 (pg/ml)	2.3 (1.39-3.53)	2.33 (1.26-4.15)	0.84
VCAM (ng/ml)	777.23 (640.15-936.55)	768.11 (602.07-931.38)	0.15
hs-CRP (ng/ml)	2233.39 (932.45-6062.38)	2495.51 (915.95-5477.27)	0.47
D-dimer (ng/ml)	329.63 (223.16-541.74)	379.40 (234.74-629.09)	0.41
IFAB (pg/ml)	2049.47 (1351.17-3527.09)	1573.71 (1094.64-2372.43)	< 0.0001
LBP (ng/ml) <sup>6</sup>	17.02 (11.92-25.47)	17.73 (13.26–24.83)	0.63
sCD14 (ng/ml)	1691.42 (1322.9-2138.64)	1653.35 (1374.82-2036.00)	0.47
sCD163 (ng/ml)	673.84 (480.37-1037.96)	657.37 (459.85-999.93)	0.29

ARV, antiretroviral; BMI, body mass index; HDL, high-density lipoprotein; hs-CRP, high-sensitivity C-reactive protein; HOMA-IR, homeostatic model assessment of insulin resistance; IFAB, intestinal fatty acid binding protein; IL-6, interleukin 6; IQR, interquartile range; LBP, lipopolysac-charide-binding protein; LDL, low-density lipoprotein; MI, myocardial infarction; NNRTI, non-nucleoside reverse transcriptase inhibitors; OX LDL, oxidized low-density lipoprotein; PI, protease inhibitor; sCD14; soluble CD14; sCD163, soluble SD163; SD, standard deviation; VCAM, vascular cell adhesion molecule.

<sup>b</sup>Per 1000.

# Independent associations with reactive hyperemia index

The estimated RHI among PWH was 13% lower (P=0.01) compared with persons without HIV. In nonwhite race, the estimated RHI was 9% lower (P=0.001) than white race. For every 1% increase in BMI, we would expect RHI to increase 0.17% (P=0.01). At the time of EndoPAT, the estimated RHI was 8% lower (P=0.04) among protease inhibitors antiretroviral therapy use compared with PWH who were taking NRTI or NNRTIs. There was not enough evidence to suggest that HIV disease factors (current or nadir CD4<sup>+</sup> cell count, HIV-1 RNA levels, or ART history) influenced any expected increase or decrease in RHI. These differences were not attenuated in adjusted models (Table 2).

#### Endothelial dysfunction: relationship to race, inflammation, body composition, and antiretroviral therapy

Overall, the estimated odds of having endothelial dysfunction (RHI  $\leq$  1.67) is 1.56 times greater (95% CI: 1.05–2.31) in nonwhite race compared with white race, independent of HIV status [OR = 1.4 (95% CI: 0.94–2.13)]. For every one-unit increase in BMI, the odds of endothelial dysfunction decrease by 0.32 (95%

CI: 0.13–0.77). Within PWH, the estimated odds of endothelial dysfunction in nonwhite race was 1.76 times (95% CI: 1.07–2.92) greater compared with white race. Compared with treatment with NRTI or NNRTIs at the time of EndoPat, the estimated odds of endothelial dysfunction is greater among protease inhibitor treatment [1.74 (95% CI: 1.03–2.97)] (Table 3 and Fig. 1). There was not enough evidence to suggest that inflammation, gut, or monocyte markers, HOMA-IR, cholesterol, LDL, HDL, Ox LDL, current or nadir CD4<sup>+</sup> cell count, duration of HIV, or current treatment with NRTI or NNRTIs were associated with endothelial dysfunction.

#### Discussion

This is the first large study that compares endothelial function between adult PWH and persons without HIV using peripheral arterial tonometry. Our results suggest that PWH with good virologic suppression on ART have worse endothelial function than healthy controls. We also provide evidence of racial differences in endothelial function where nonwhite race is associated with worse endothelial function independently of HIV status.

Table 2. Independent associations with reactive hyperemia index.

	Estimate	P value
HIV positive vs. HIV negative	- 0.13	0.01
Age	0.04	0.31
Female vs. male	0.0001	0.97
Non-white vs. white	- 0.09	0.001
BMI	0.17	0.01
Current smoker (yes vs. no)	0.07	0.03
ARV duration	- 0.0001	0.63
HIV duration	0.0002	0.54
Family history of MI (yes vs. no)	0.07	0.03
Current CD4 <sup>+</sup> cell count	- 0.02	0.31
Nadir CD4 <sup>+</sup> cell count	0.01	0.82
Current NRTI	0.06	0.31
Current NNRTI	0.02	0.69
Current PI	- 0.08	0.04
IL-6	0.02	0.24
VCAM	0.1	0.01
hs-CRP	0.02	0.08
D dimer	0.02	0.23
IFAB	- 0.04	0.09
LBP	- 0.01	0.72
sCD14	0.02	0.71
sCD163	0.05	0.06

Bold font indicates statistical significance (P < 0.05). ARV, antiretroviral; BMI, body mass index; hs-CRP, high-sensitivity C-reactive protein; IFAB, intestinal fatty acid binding protein; IL-6, interleukin 6; IQR, interquartile range; LBP, lipopolysaccharide-binding protein; MI, myocardial infarction; NNRTI, non-nucleoside reverse transcriptase inhibitors; NRTI, nucleoside reverse transcriptase inhibitors; PI, protease inhibitor.

#### Endothelial dysfunction in persons with HIV

Our results are compatible with our previous study using PAT in children and young adults with HIV infection, in which we reported; significant impairment of endothelial function [14]. We cannot compare our results with previous studies that used PAT in HIV because of differences in cohort characteristics as the small study conducted in Southern Africa included undernourished HIV-infected adults that were newly started on ART. This also applies to a study conducted on seven men living

Table 3. Among HIV-positive, estimated odds of endothelial dysfunction.

	UOR and 95% Cls	P value
Race		
Nonwhite	1.92 (1.17-3.18)	0.01
White	Reference	
Age	0.52 (0.25-1.06)	0.07
Gender		
Female	0.8 (0.47-1.36)	0.41
Male	Reference	
BMI	0.45 (0.16-1.23)	0.12
Current smoker		
Yes	1.09 (0.68-1.73)	0.73
No	Reference	
Family history of MI		
Yes	0.65 (0.39-1.1)	0.11
No	Reference	
Current CD4 <sup>+</sup> cell count	1.26 (0.93-1.81)	0.15
Current PI		
Yes	1.88 (1.12-3.18)	0.02
No	Reference	

Bold font indicates statistical significance (P < 0.05). BMI, body mass index; CI, confidence interval; MI, myocardial infection; PI, protease inhibitor; UOR, unadjusted odds ratio.

with HIV to evaluate the effect of short-term aerobic training. Furthermore, a study concluded that an abnormal myocardial flow reserve (<2.0) as assessed by 82-rubidium PET/CT was inversely correlated with RHI in a sample of 48 PWH, despite previous evidence of a correlation between coronary microvascular and endothelial dysfunction [26]. This further demonstrates the need for a large study using EndoPAT in PWH [16] Furthermore, using FMD to assess blood vessels, Solages *et al.* [27] surveyed 75 HIV-1-positive and 223 control individuals and found that PWH had a significantly impaired vascular function. This could be attributed to the effect of HIV proteins gp 120 (envelope glycoprotein), Tat (transactivator of viral replication), and Nef (Negative Factor) on the endothelium [28].



**Fig. 1. Estimated odds of endothelial dysfunction (RHI**≤ **1.67).** Adjusted odds ratio, 95% confidence intervals (CI) and *P*-value. BMI, body mass index; MI, myocardial infarction; PI, protease inhibitor.

#### Augmentation index

When comparing the augmentation index between the groups, we did not find a statistically significant difference, in concordance with the results found in the study conducted on children and young adults [14]. The augmentation index reflects the arterial stiffness by measuring the percentage of the central pulse pressure to evaluate arterial elasticity [29]. Augmentation index has been correlated with cardiovascular risk [30], and despite its reliability and reproducibility [31], augmentation index could be influenced by physiologic changes at the time of the measurement, like heart rate and vasomotor tone [32].

# Risk factors associated with endothelial dysfunction

As hypothesized, we found significant racial differences in RHI, even after covariate adjustment. Our results were similar to those of a study conducted on uninfected adults where both groups had a normal RHI (RHI > 1.67), but patients of black race tended to have a lower RHI with a difference of marginal statistical significance [13]. The racial difference in RHI could be attributed to an increased susceptibility to pro-inflammatory cytokines [33] and a lower endothelial nitrous oxide bioavailability in black race when compared with white race [34].

Protease inhibitor use at the time of EndoPAT testing was negatively associated with RHI and increases the likelihood of endothelial dysfunction, which suggests that protease inhibitor use is a risk factor for poor vascular function. The link between protease inhibitors and cardiovascular disease is well established [35]. This highlights the importance of testing that could detect vascular functional changes before established anatomic vessel changes/atherosclerosis. There were no racial differences in protease inhibitor use and protease inhibitor use did not attenuate the estimated racial differences in RHI or endothelial dysfunction. This reinforces our findings that protease inhibitor use increases risk of poor vascular function and may not explain the racial variability in RHI and endothelial dysfunction.

#### Endothelial dysfunction and inflammatory markers

To evaluate the relationship between endothelial function and markers of inflammation in HIV, we selected a priori a number of inflammatory markers previously shown to be associated with mortality and cardiovascular disease and other comorbidities in HIV such as IL-6, VCAM, hs-CRP, D-dimer, sCD14, and sCD163. We also included markers of gut integrity (LBP and IFAB) to assess whether intestinal impairment, which is known to happen in PWH [36] are associated with endothelial function or arterial elasticity measured by EndoPAT. In our study, there was no evidence that inflammation was associated with RHI or endothelial dysfunction. The lack of a relationship between endothelial function (measured by non endoPAT tests such as FMD) had been previously described in HIV [37,38].

#### Limitations

We acknowledge that our study has several limitations. Because of the cross-sectional nature of this study, we cannot establish causation between HIV status and vascular function nor between race and vascular function. Some populations were underrepresented in our sample, mainly women, which could prevent the generalizability of our results. Although we adjusted for the difference in age, sex, and race between the groups, possible residual confounding cannot be excluded. The peripheral arterial tonometry results could have been affected by unmeasured confounders, especially cardiovascular disease risk factors (hypertension, diabetes, and CAD). Due to the wide variation between the ART regimens and the small sample size for each drug, evaluation of the effect of each antiretroviral drug was not possible.

In conclusion, PWH had a significantly worse endothelial function compared with persons without HIV. The nonwhite group had statistically significant lower RHI than the white group independently of HIV status. Protease inhibitor use was associated with vascular dysfunction in all racial groups.

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#### **Conflicts of interest**

There are no conflicts of interest.

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