

RESEARCH ARTICLE

Cerebrospinal fluid soluble insulin receptor levels in Alzheimer's disease

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Abstract

INTRODUCTION: Brain insulin resistance and deficiency is a consistent feature of Alzheimer's disease (AD). Insulin resistance can be mediated by the surface expression of the insulin receptor (IR). Cleavage of the IR generates the soluble IR (sIR).

METHODS: We measured the levels of sIR present in cerebrospinal fluid (CSF) from individuals along the AD diagnostic spectrum from two cohorts: Seattle ($n = 58$) and the Consortium for the Early Identification of Alzheimer's Disease-Quebec (CIMA-Q; $n = 61$). We further investigated the brain cellular contribution for sIR using human cell lines.

RESULTS: CSF sIR levels were not statistically different in AD. CSF sIR and amyloid beta ($A\beta$)₄₂ and $A\beta$ ₄₀ levels significantly correlated as well as CSF sIR and cognition in the CIMA-Q cohort. Human neurons expressing the amyloid precursor protein "Swedish" mutation generated significantly greater sIR and human astrocytes were also able to release sIR in response to both an inflammatory and insulin stimulus.

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DISCUSSION: These data support further investigation into the generation and role of sIR in AD.

KEYWORDS

Alzheimer's disease, amyloid beta 42, cognition, insulin receptor, soluble

Highlights

- Cerebrospinal fluid (CSF) soluble insulin receptor (sIR) levels positively correlate with amyloid beta ($A\beta$)42 and $A\beta$ 40.
- CSF sIR levels negatively correlate with cognitive performance (Montreal Cognitive Assessment score).
- CSF sIR levels in humans remain similar across Alzheimer's disease diagnostic groups.
- Neurons derived from humans with the "Swedish" mutation in which $A\beta$ 42 is increased generate increased levels of sIR.
- Human astrocytes can also produce sIR and generation is stimulated by tumor necrosis factor α and insulin.

1 | BACKGROUND

Brain insulin resistance and deficiency is now considered a consistent feature of Alzheimer's disease (AD).¹ Patients with AD have a decreased insulin cerebrospinal fluid (CSF)/serum ratio (indicating decreased availability), impaired brain insulin receptor (IR) signaling (indicating insulin resistance), and when insulin is delivered to the brain, cognition is improved.^{1,2} Brain insulin resistance and deficiency is also being pursued as a therapeutic target for pre-clinical AD by multiple mechanisms including intranasal insulin administration^{3–5} or incretin receptor agonist (IRA) treatment,⁶ based on cognitive improvement after treatment. However, the cause of brain insulin resistance and deficiency is largely unknown.

The IR is the primary mediator of insulin signaling and thus, can contribute to insulin resistance. The IR consists of two subunits linked by disulfide bonds. The α subunit is completely present on the extracellular side of the cell membrane. The β subunit is primarily comprised of the transmembrane and intracellular tyrosine kinase signaling domains. This receptor is expressed in all major cell types, including those within the brain (neurons, astrocytes, endothelial cells, microglia). The ectodomain of the receptor, which encompasses the entire α subunit and the extracellular portion of the β subunit, can be shed to generate the soluble insulin receptor (sIR). Shedding of cell surface proteins has been postulated to serve multiple functions. First, it can be a way to regulate expression of a cell surface receptor, thus eliminating the intracellular signaling events. Second, the soluble protein can bind to the ligand, which not only prevents the ligand from interacting with the full-length cell surface protein but can also extend the lifespan of the ligand. The cleavage of sIR is known to occur through common enzymes implicated in AD, including β -secretase amyloid pre-

cursor protein (APP) cleaving enzyme 1 (BACE1), γ -secretase, and calpain-2.^{7–10} and most recently by matrix metalloproteinases.¹¹

The sIR was first described in 1972 as a protein secreted from human lymphocytes.¹² Twenty years later, it was identified and characterized in human plasma and found to competitively bind insulin.¹³ Twenty years after that, it was investigated in human CSF in patients with human immunodeficiency virus (HIV).¹⁴ Despite the slow progress that has been made regarding this protein, it has been shown to be negatively implicated in multiple diseases or conditions including diabetes,¹⁵ HIV-associated neurocognitive disorders (HAND),¹⁴ and most recently in aging.¹¹ In the CSF of patients with HAND who are symptomatic, such as those with cognitive impairment, sIR levels are increased compared to patients without HAND.¹⁴

To investigate possible mechanisms for brain insulin resistance and deficiency in AD, we determined whether sIR levels are increased in the CSF of patients with AD or pre-diagnostic stages, whether CSF sIR levels correlate with dementia status or CSF amyloid beta ($A\beta$) biomarkers, and whether sIR is released from human induced pluripotent stem cells (hiPSC) neurons containing the APP "Swedish" mutation or human astrocytes.

2 | METHODS

2.1 | Human CSF samples

CSF samples were obtained from participants from three separate centers: University of Washington (UW) Alzheimer's Disease Research Center (ADRC) Clinical Core; the Veterans Affairs (VA) Northwest Mental Illness Research, Education, and Clinical Center (MIRECC)

RESEARCH IN CONTEXT

- 1. Systematic Review:** The authors reviewed the literature using traditional (e.g., PubMed) sources and online content provided by reputable organizations (e.g., Alzheimer's Association) to evaluate the accumulated knowledge relevant to the role of the soluble insulin receptor in disease and cognitive impairment.
- 2. Interpretation:** Our findings identified that while insulin receptor signaling, especially in the brain, in Alzheimer's disease (AD) is an important component, the levels of cerebrospinal fluid (CSF) soluble insulin receptor have not been assessed, nor the brain cell type that can produce this protein.
- 3. Future Directions:** This pilot study provides evidence the CSF soluble insulin receptor is relevant in the context of AD and cognitive impairment. The mechanisms involved in the generation of the soluble insulin receptor warrant further investigation.

Behavioral Neurosciences Group (BNG) Sample and Data Repository; and the Consortium for the Early Identification of Alzheimer's Disease-Quebec (CIMA-Q) 16. Samples were provided with clinical data including sex, age of CSF draw, education, Clinical Dementia Rating (CDR; in some cases), Mini-Mental State Examination (MMSE) score (in some cases), Montreal Cognitive Assessment (MoCA) score (in some cases), apolipoprotein E (APOE) ϵ 4 allele carriage,^{17,18} body mass index (BMI), and CSF A β 42 or A β 40 (in some cases) levels. Due to the nature of this pilot study and small sample size, we do not report race or ethnicity.

UW ADRC and VA MIRECC BNG samples were combined and identified as the Seattle cohort (Table 1). Data collection was similar between the UW ADRC and the VA MIRECC BNG. Samples used were from individuals with a clinical diagnosis of probable AD or cognitively intact controls. All participants in the Seattle cohort undergo physical exam, neuropsychological battery, CDR scale and participant and co-participant interviews per national ADRC standardized protocols, the Uniform Data Set (UDS). After the ADRC visit UDS the diagnosis was adjudicated by consensus among clinicians, neuropsychologist, and raters.^{19,20} VA MIRECC BNG samples were provided with MMSE scores and were converted to MoCA scores using the conversion methods of Fasnacht et al.²¹ (see Table 1 for mean). In the Seattle cohort, individuals with a history of diabetes and males were excluded based on published data investigating a role for sIR in cognition,¹⁴ and to gain the most insight from this pilot study. Inclusion criteria for the current study were clinical or research diagnosis of AD and age-matched cognitively intact controls. For the Seattle cohort CSF collections, individuals fasted the morning of collection. CSF was immediately frozen on dry ice. A β 42 levels were previously measured by the Lumipulse assay (Fujirebio) in the UW ADRC samples and by the Luminex (Inno-

Genetics) multiplex according to the manufacturer's instructions as previously described.²² in the VA MIRECC BNG samples. A β 40 levels were not measured in this cohort.

CIMA-Q samples were analyzed separately and split into four groups: no cognitive impairment (Control), subjective cognitive disorder (SCD), mild cognitive impairment (MCI), and early probable AD (Table 2). CIMA-Q is a multi-center collaborative research infrastructure that examines longitudinal AD progression in older adults at risk for the disease.¹⁶ The main objective of CIMA-Q is the longitudinal characterization of an observational cohort of elderly women and men aged ≥ 65 , cognitively healthy, with SCD, suffering from mild cognitive disorders, or suffering from dementia due to probable AD. CIMA-Q collects clinical, cognitive, biological, neuroimaging, and pathological data from these participants to (1) establish an early diagnosis of AD, (2) to provide the scientific community with a well-characterized cohort, (3) identify new therapeutic targets to prevent or slow down cognitive decline and AD, and (4) to support new clinical studies on these targets.¹⁶ Further details on recruitment methods, exclusion and inclusion criteria (detailed in [Supplementary Material B](#) in Belleville et al.¹⁶), testing materials, and general methodology for the CIMA-Q project can be found in Belleville et al.¹⁶ Diagnostic criteria for AD and MCI was based on the National Institute on Aging-Alzheimer's Association and were described in detail in Belleville et al.¹⁶ Participants who had agreed to undergo lumbar puncture (LP, Visit 4) for CSF collection came for a last visit at which a neurologist or anesthesiologist obtained 10 to 15 mL of CSF. The CSF was aliquoted rapidly into 50 \times 0.1 mL fractions rapidly frozen and stored at -80° C. Fasting was not required prior to the lumbar puncture. The levels of A β 40 and A β 42 were determined by enzyme-linked immunosorbent assays (ELISA, #K15200E, MesoScale Discovery).¹⁶ CIMA-Q CSF was spun at 20,000 \times g for 10 minutes at 4° C prior to sIR assay. APOE genotype was assessed by the restriction digest method.^{16,17}

The study was approved by the institutional review board at VA Puget Sound Health Care System. All participants signed an informed consent for CSF donation and a repository consent allowing their data and biospecimens to be shared.

2.2 | Human neuronal cultures

Human iPSC lines with the APP "Swedish" mutation (APPKM670/671NL) introduced using CRISPR/Cas9 gene editing have been previously published and characterized.^{23,24} Briefly, cells were cultured in feeder-free conditions on Matrigel with mTESR media. Neural progenitor cells (NPCs) were generated and further differentiated to cortical neurons using previously described protocols.²⁵ Cells used include those homozygous for the Swedish mutation, APP^{Swe/Swe}, heterozygous for the mutation, APP^{Swe/WT}, and isogenic wild-type (WT) controls, APPWT/WT. After 21 days of differentiation, neurons from each line were selected for the CD184/CD44 negative population by magnetic-activated cell sorting and cultured as previously described in Shin et al.²⁴ Cells were plated in Matrigel (#356231)-coated 96-well plates at a density of 200,000

TABLE 1 Seattle cohort characteristics. Demographics, performance on neuropsychological measures, APOE ϵ 4 data, and CSF A β biomarker levels in cognitively health controls and participants with AD.

Characteristics	Control		AD		Stats	P value
N (with CSF)	43		15			
Sex (% female)	100		100			
Age	73.67	± 8.13	72.73	± 8.82	T	0.707
Education (years) ^a	15.93	± 2.64	15	± 2.66	T	0.258
Mean CDR ^a	0.0465	± 0.15	1.07	± 0.55*	T	<0.0001
Mean MMSE ^b	29.36	± 0.92	22.10	± 4.56*	T	<0.0001
Mean MoCA (converted) ^c	26.27	± 2.284	15.5	± 4.99*	MW	<0.0001
Mean MoCA ^d	27.44	± 1.90	14.00	± 6.68*	MW	<0.0001
Mean MoCA (combined) ^e	27.14	± 2.042	15.07	± 5.30*	MW	<0.0001
APOE ϵ 4 allele carriage (%)	32.6		53.3		FC	0.153
BMI ^f	24.96	± 3.23	24.25	± 4.46	T	0.526
CSF A β ₄₂ ^g	699.5	± 359.4	223.8	± 132.6*	T	<0.0001

Notes: Means \pm SD are reported. VA MIRECC BNG Control $n = 11$, AD $n = 11$; UW ADRC Control $n = 32$, AD $n = 4$. Statistical tests used are as indicated: T: unpaired t test, two tailed; MW: Mann–Whitney test, two tailed; FC: contingency, Fisher exact test. P values refer to the significant analysis of variance.

Abbreviations: A β , amyloid beta; AD, Alzheimer's disease; APOE, apolipoprotein E; Age, age at draw; BMI, body mass index; CDR, Clinical Dementia Rating; CSF, cerebrospinal fluid; MMSE, Mini-Mental State Examination; MoCA, Montreal Cognitive Assessment; UW ADRC, University of Washington Alzheimer's Disease Research Center; VA MIRECC BNG, Veterans Affairs Northwest Mental Illness Research, Education, and Clinical Center Behavioral Neurosciences Group.

^aData missing for one subject.

^bVA MIRECC BNG only ($n = 21$; data missing for one subject).

^cVA MIRECC BNG MMSE converted MoCA score ($n = 21$; data missing for one subject).

^dUW ADRC MoCA only ($n = 36$).

^eVA MIRECC BNG converted and UW ADRC MoCA combined ($n = 57$; data missing for one subject).

^fData missing for two subjects.

^gData missing for six subjects.

* $P < 0.0001$ vs. control.

TABLE 2 CIMA-Q cohort characteristics demographics, performance on neuropsychological measures, APOE ϵ 4 data, and CSF A β biomarker levels in cognitively health controls and participants with SCD, MCI, AD.

Characteristics	Control		SCD		MCI		AD		Stats	P value
N (with CSF)	14		21		18		8		–	
Sex (% female)	57		57		45		13.0		PC	0.151
Age	72.6	± 6.7	73.9	± 6.4	75.2	± 5.3	74.8	± 6.8	A	0.6846
Education (years) ^e	14.5	± 4.1	14.2	± 3.1	14.6	± 2.5	15	± 4.6	A	0.9381
Mean MMSE	24.9	± 1.9	24	± 1.7	24.2	± 1.9	22.3	± 3.2 ^a	A	0.0389
Mean MoCA	28.4	± 1.9	28	± 1.2	24.2	± 2.0 ^b	18.9	± 4.3 ^c	W	0.0001
APOE ϵ 4 allele carriage (%)	21		19		39		50		PC	0.2702
BMI	25.2	± 2.6	26.6	± 3.7	27.5	± 3.6	24.5	± 3.9	A	0.1505
CSF A β ₄₀	5864	± 1688	6103	± 1699	5828	± 1313	4468	± 1172	A	0.0888
CSF A β ₄₂	415.6	± 156.2	488.8	± 217.2	363	± 172.5	210.4	± 131.0 ^d	A	0.0045
CSF A β _{42/40}	0.07	± 0.02	0.08	± 0.02	0.06	± 0.03	0.05	± 0.02 ^d	A	0.0083

Notes: Means \pm SD are reported. Statistical tests used are as indicated: PC: Contingency, Pearson test; A: one-way analysis of variance followed by a Tukey post hoc test, W: Kruskal–Wallis followed by Wilcoxon post hoc test. P values refer to the significant analysis of variance.

Abbreviations: A β , amyloid beta; AD, Alzheimer's disease; APOE, apolipoprotein E; Age, age at draw; BMI, body mass index; CSF, cerebrospinal fluid; MCI, mild cognitive impairment; MMSE, Mini-Mental State Examination; MoCA, Montreal Cognitive Assessment; SCD, subjective cognitive disorder.

^a $P < 0.05$ vs. control.

^b $P < 0.0001$ vs. SCD and control.

^c $P < 0.05$ vs. all.

^d $P < 0.01$ vs. SCD.

^eData missing for two subjects.

cells per well. Media was collected 72 hours after seeding and was pooled from multiple wells. Four biological replicates were used. Conditioned media was collected and stored at -80°C . After thawing, conditioned media was centrifuged at $10,000 \times g$ for 15 minutes at 4°C .

2.3 | Human astrocyte cultures

Human astrocytes were purchased from ScienCell (#1800) and cultured according to the manufacturer's recommendations using the manufacturer's complete media (#1801). Cells were plated on poly-L-lysine coated 24-well plates and allowed to grow to confluence at 37°C with media changes every 2 to 3 days. For tumor necrosis factor alpha (TNF- α)-stimulated conditions, cells were treated with increasing doses of human TNF- α (R&D Systems; vehicle, 5, 10, 20 ng/mL) in complete media for 24 hours. The study was performed on 1 to 2 separate days with two technical replicates per treatment group. For insulin-stimulated conditions, media was replaced with either base media or base media containing 100 nM human insulin for 24 hours. Base media was used as the complete media contains insulin. The study was performed on a single day with three technical replicates per treatment group. Media were collected and stored at -80°C .

2.4 | sIR assay

CSF and conditioned media samples were thawed on ice. A commercially available kit (Biovendor, LLC) was used to measure sIR levels per the manufacturer's recommendations. This kit was previously validated with intra-assay coefficient of variations (CVs) $< 5\%$ and inter-assay reliability (intra-class correlation coefficients, ICC > 0.75).²⁶ CSF samples were diluted 1:2 with kit diluent based on previous studies.²⁶ and conditioned media was diluted 2:1 (150 μL media in 75 μL diluent) for the neurons and 1:2 (75 μL media in 150 μL diluent) for the astrocytes. Samples were run in duplicate on each plate and the average value reported after subtracting for background. The Seattle cohort samples were assayed on separate days so our final analysis adjusts for this while the CIMA-Q samples were assayed all on the same day.

2.5 | Statistical analysis

Prism 8.0 (GraphPad Inc.) and JMP 17.0 (SAS Institute Inc.) were used for statistical analysis. For the Seattle cohort, an unpaired t test (two tailed) was used to assess differences between the two groups (Control vs. AD) in the cohort characteristics in Table 1. A Fisher exact test was used to assess APOE $\epsilon 4$ carrier status. A Mann-Whitney non-parametric test, two sided, was used to analyze differences in MoCA scores. For the CIMA-Q cohort, a Kruskal-Wallis non-parametric test, followed by a Wilcoxon post hoc test, was used to assess differences among the four groups (Control, SCD, MCI, AD) in Table 2. The association of disease status with sIR levels was compared using linear

regression models adjusted for sex and age. In the Seattle cohort, we also adjusted for sIR assay run and in CIMA-Q, for sex. Model assumptions were tested and found to be tenable. Pearson correlations were used to assess associations between two continuous variables (sIR and A β). Spearman correlations were used with MoCA scores as one ordinal variable. Results in the tables are presented as mean \pm standard deviation while figure results are expressed as mean \pm standard error. Data Availability: Individual data points are presented in each figure.

3 | RESULTS

3.1 | Cohort characteristics

Table 1 shows the demographics for the Seattle cohort, including performance on neuropsychological measures, APOE $\epsilon 4$ carrier status, and CSF A $\beta 42$ levels. We included 43 control samples and 15 AD samples in our analysis. In the Seattle cohort, all were female, with significant differences in the CDR ($P < 0.0001$), MMSE ($P < 0.001$), MoCA score ($P < 0.0001$), and CSF A $\beta 42$ concentrations ($P < 0.0001$) between the control and AD groups. Age of CSF draw, education, and BMI were not statistically different between the groups. APOE $\epsilon 4$ carrier status was also not statistically different (32.6% in the Control group vs. 53.3% in the AD group).

Table 2 shows the demographics for the CIMA-Q cohort. We included 14 Control, 21 SCD, 18 MCI, and 8 AD samples in our analysis. In all groups except the AD group, 45% to 57% were female. The AD group consisted of one female. There were significant differences in the MoCA score ($P < 0.0001$), MMSE ($P = 0.0431$), CSF A $\beta 42$ concentrations ($P = 0.0085$), and the CSF A $\beta 42/40$ ratio within the four groups. Age of CSF draw, education, and BMI were not statistically different. APOE $\epsilon 4$ carrier status was enriched in the AD population but not significantly.

3.2 | CSF sIR concentration

In this pilot study, CSF sIR levels were not statistically significant between the groups in either the Seattle or CIMA-Q cohort (Figure 1). People with AD in the Seattle cohort had -0.18 ng/mL lower sIR levels, adjusting for age and assay run, but this difference was not statistically significant ($P = 0.70$; Figure 1A). Differences in CIMA-Q were also not statistically significant, compared to controls, adjusting for age and sex (Figure 1B).

3.3 | CSF sIR correlations with CSF A β biomarkers

Levels of CSF sIR positively correlated with CSF A β species (Figure 2). In the Seattle cohort, there was a significant association between CSF sIR levels and A $\beta 42$ levels (Figure 2A). As A $\beta 42$ levels were measured using different assays between the two Seattle centers, we separately analyzed whether there was a significant association within each cen-

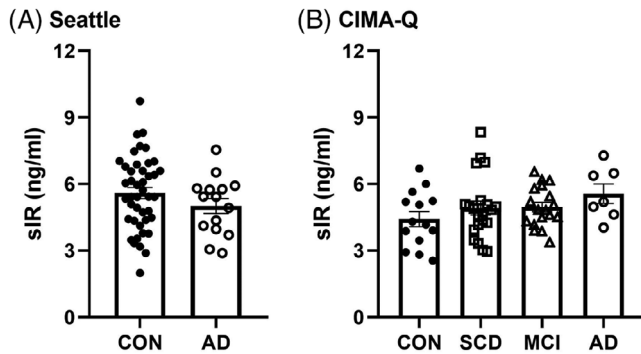


FIGURE 1 Levels of sIR in the CSF. There were no statistical differences in the levels of CSF sIR in the (A) Seattle or (B) CIMA-Q cohort. Linear regression modeling was used to assess statistical differences, adjusting for age in both cohorts, assay in Seattle, and sex in CIMA-Q. Data represent mean \pm standard error of the mean. AD, Alzheimer's disease; CIMA-Q, Consortium for the Early Identification of Alzheimer's Disease-Quebec; CON, control; CSF, cerebrospinal fluid; MCI, mild cognitive impairment; SCD, subjective cognitive disorder; sIR, soluble insulin receptor

ter (UW ADRC: open symbols; VA MIRECC: closed symbols). In both centers, there was a significant association between CSF sIR and $A\beta_{42}$ (UW ADRC: $F[1,30] = 5.777$; $r = 0.4018$; $P = 0.0226$ and VA MIRECC: $F[1,18] = 5.848$; $r = 0.4952$; $P = 0.0264$). In the CIMA-Q cohort, there was a significant association ($F[1,59] = 4.830$; $r = 0.2751$; $P = 0.0319$) between CSF sIR and $A\beta_{42}$ (Figure 2B). As the CIMA-Q cohort also had $A\beta_{40}$ levels available, we were able to identify a significant association between CSF $A\beta_{40}$ and sIR ($F[1,59] = 8.881$; $r = 0.3617$; $P = 0.0042$; Figure 2C). There was no association between CSF sIR and the $A\beta_{42/40}$ ratio ($F[1,59] = 0.0758$; $r = 0.0358$; $P = 0.7841$; Figure 2D).

3.4 | CSF sIR correlations with cognitive performance

Because brain insulin resistance correlates with cognition,²⁷ we assessed whether CSF sIR levels associated with cognitive performance (Figure 3). In the Seattle cohort, MoCA scores were not significantly associated with CSF sIR levels when groups were combined (Spearman $r = -0.0204$; $P = 0.8801$; Figure 3A). When diagnostic groups were split, there were still no associations between CSF sIR and MoCA score (Control: Spearman $r = -0.2074$; $P = 0.1821$; AD: Spearman $r = -0.2746$; $P = 0.3390$; Figure 3B). Alternatively, there was a significant association between CSF sIR and MoCA score in the CIMA-Q cohort (Spearman $r = -0.2758$; $P = 0.0329$; Figure 3C). There was one sample, a female, in the AD group that was removed from this analysis (red). When this sample was included in the analysis, the association trends toward significance (Spearman $r = -0.2223$; $P = 0.085$). This association was largely driven by the AD group as when the groups were split, with the same sample excluded, the AD group remained the only one with the significant association (Spearman $r = -0.8524$; $P = 0.0238$; Figure 3D). The association between

MoCA and sIR remained significant after adjusting for age and/or years of education. When the sample was included, the association in the AD group was not significant (Spearman $r = -0.3904$; $P = 0.3440$).

3.5 | Neuronal AD production of sIR

Due to the positive association of sIR levels with $A\beta_{42}$ and to further understand the central nervous system (CNS) cell type production of sIR in AD, we wanted to identify whether levels of sIR were increased in hiPSC-derived neurons with the "Swedish" (Swe) mutation in the human APP gene. In neurons expressing a double mutation in the APP gene ($APP^{Swe/Swe}$), $A\beta_{42}$ levels in the media are significantly increased compared to the other two lines.²⁴ In $APP^{Swe/Swe}$ cells, sIR was increased in the culture media compared to the isogenic control ($F[2,9] = 48.49$; $P < 0.0001$; Figure 4).

3.6 | Astrocyte production of sIR

To further identify which brain cell types could produce sIR, we assessed the ability for human astrocytes to generate sIR. Based on previous published results suggesting an inflammatory stimulus could generate sIR in a neuronal cell line,²⁸ we cultured human astrocytes with increasing amounts of human TNF- α for 24 hours. The level of sIR present in the conditioned media was dose-dependently increased and significantly increased with 20 ng/mL stimulation ($F[3,9] = 8.195$; $P = 0.0061$; Figure 5A). We additionally tested whether insulin could self-regulate levels of sIR and found that 100 nM insulin was able to increase sIR significantly in the culture media ($P = 0.0269$; Figure 5B).

4 | DISCUSSION

In this small pilot study, despite CSF sIR levels in humans remaining similar across diagnostic groups, we are reporting for the first time that CSF sIR levels positively correlated with CSF $A\beta_{42}$ and $A\beta_{40}$ and is elevated in the culture media of neurons derived from humans with the "Swedish" mutation where $A\beta_{42}$ is increased. In one cohort (CIMA-Q), CSF sIR levels negatively correlated with MoCA scores, again supporting a relationship between brain insulin resistance and deficiency and cognition. We found that in addition to neurons, human astrocytes can also produce sIR and generation is stimulated by TNF- α and insulin.

Several circulating forms of receptor molecules and their fragments have been identified, including the growth hormone receptor,²⁹ leptin receptor,³⁰ transferrin receptor,³¹ and the receptor for advanced glycation end products (RAGE).³² Studies have found these soluble receptors are normal constituents of body fluids that can extend the half-life of a ligand, interact with G-protein coupled receptors on the cell membrane to induce intracellular signaling, sequester the ligand from acting on the full-length receptor, or allow the intramembrane portion of the receptor to translocate to the nucleus, where it can act as a transcription factor.³³

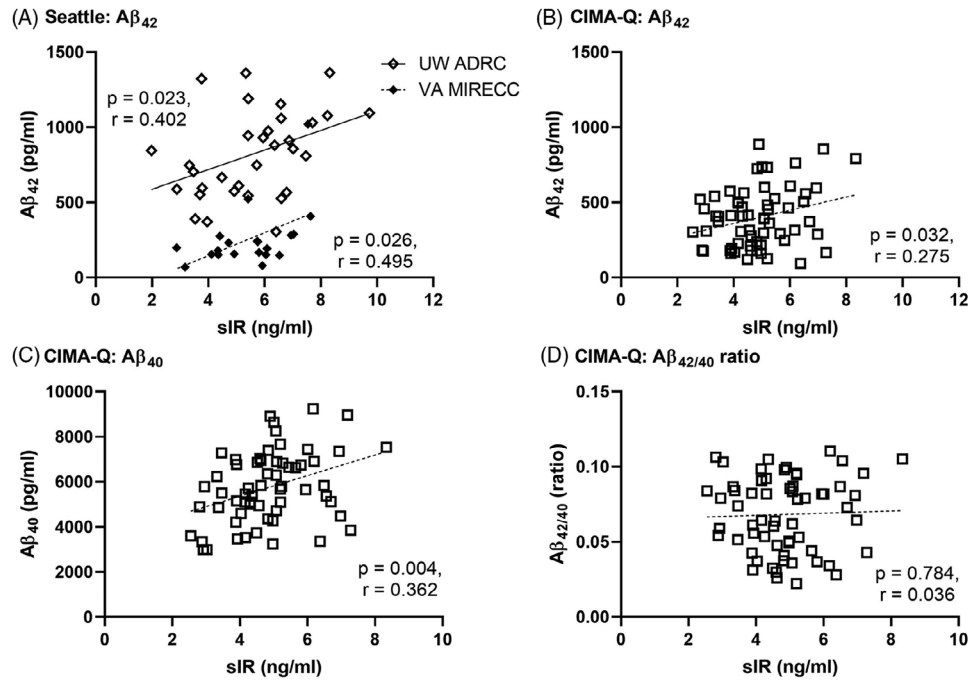


FIGURE 2 Association between CSF A β species and sIR. A, There was a significant association between A β 42 and sIR in the Seattle cohort when samples were split between the two centers due to the differences in A β 42 measurement methods (UW ADRC [solid line, $P = 0.023$]; Lumipulse; VA MIRECC [dotted line, $p = 0.026$]; Luminex). B, There was a significant association ($P = 0.032$) in the CIMA-Q cohort. C, There was a significant association between A β 40 and sIR in the CIMA-Q cohort ($P = 0.004$). D, There was no association between the A β 42/40 ratio and sIR in the CIMA-Q cohort ($P = 0.78$). Pearson correlations were used to assess univariate associations. A β , amyloid beta; CIMA-Q, Consortium for the Early Identification of Alzheimer's Disease-Quebec; CSF, cerebrospinal fluid; sIR, soluble insulin receptor; UW ADRC, University of Washington Alzheimer's Disease Research Center; VA MIRECC, Veterans Affairs Northwest Mental Illness Research, Education, and Clinical Center

While the exact function of the sIR cleaved protein is unknown, one possibility is that sIR can act as a reservoir for insulin. This can serve two functions, one potentiating insulin's effects and one reducing it. Positively, it can extend the lifespan of short-lived substrates, such as insulin. Indeed, $\approx 80\%$ of the total circulating insulin is bound to the liver membrane-bound IR, contributing to the measurable half-life for insulin.³⁴ In states in which CSF insulin is decreased, as occurs in AD, an increase in CSF sIR could be a compensatory mechanism, extending the signaling capabilities of insulin present in the CSF. Given the indication that insulin blood-brain barrier transport, the primary source of brain insulin, is reduced in AD, extending the lifespan of the insulin once in the brain would prolong its ability to signal. Alternatively, and more likely, sIR binding insulin would not only reduce the amount of free, or unbound, insulin, which is the fraction of insulin that best interacts with the membrane-bound IR, but also generation of sIR would eliminate membrane-bound IR reducing intracellular signaling. This is more likely the dominant function of the sIR in this case, as in AD, brain insulin signaling is reduced.^{1,27}

In our study, we observed cohort differences between some of the associations with sIR. Specifically, we observed a significant association between MoCA score and CSF sIR levels in the CIMA-Q cohort. We did not find this in the Seattle cohort. These cohorts display considerable differences, particularly in the proportion of samples present in each diagnostic group represented. The CIMA-Q cohort was more evenly distributed between the groups while the Seattle cohort was heavily

weighted in the controls. The Seattle cohort has more people at the MoCA ceiling to likely find an association and we would need a broader distribution of scores, as was the case in the CIMA-Q cohort. These observations in the CIMA-Q cohort could be due to the spread in the disease progression, rather than heavily weighting the control group, which was the case for the Seattle cohort. Last, fasting was not required in the CIMA-Q cohort. As fasting is known to affect IR signaling and increase insulin binding in peripheral tissues, it is possible fasting may affect generation of the sIR, which requires further investigation.

As the AD diagnosis for each individual was defined clinically, and not neuropathologically, there could be an undetermined number of participants with cognitive impairment due to other etiologies. However, the presence of APOE $\epsilon 4$ carriers in both cohorts (53% carriage in the Seattle cohort and 50% carriage in the CIMA-Q cohort) is in line with those typically neuropathologically classified as AD,³⁵ indicating a high likelihood of the clinical diagnosis matching with neuropathology. Indeed, CSF sIR levels have been shown to correlate in non-AD cases of cognitive impairment.¹⁴ and our findings in the CIMA-Q cohort support this relationship with cognition. While this etiologic heterogeneity may have impacted our results, we observed significant correlations between CSF sIR and A β peptides, indicating an association with this critical, pathological AD hallmark.

Although the IR is expressed in various organs in the periphery such as the muscle and liver, it is expected that a significant fraction of sIR detected in the CSF comes from the brain. Previous work has

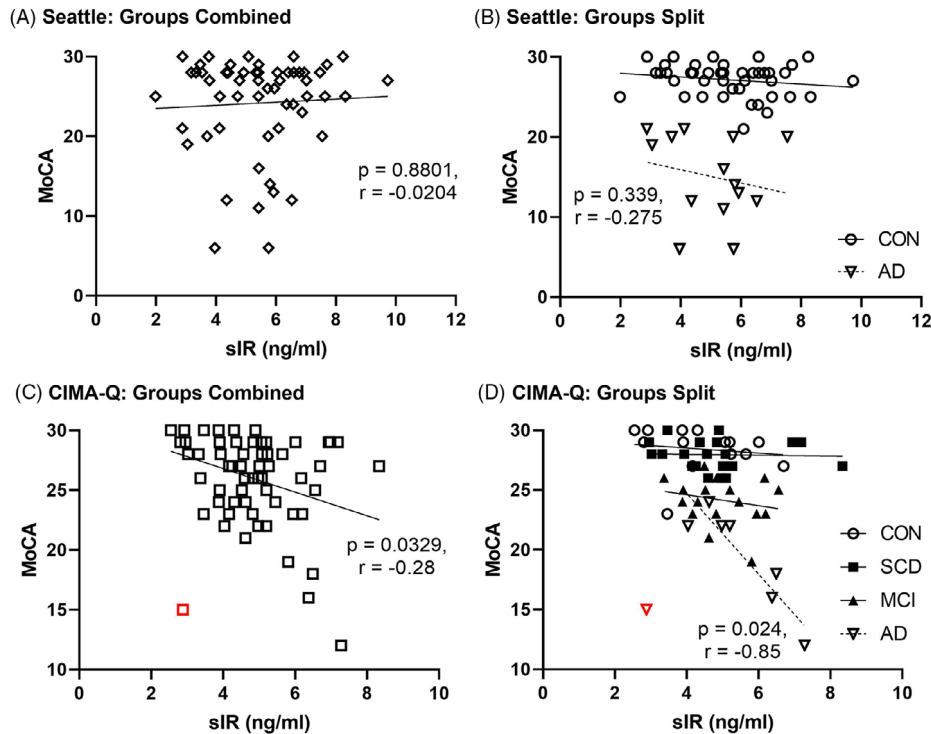


FIGURE 3 Association between CSF sIR and cognitive performance. A, In the Seattle cohort, there was not a significant association between CSF sIR levels and MoCA score ($P = 0.8801$) or (B) in the AD group when the diagnostic groups were split ($P = 0.339$). C, There was a significant association in the CIMA-Q cohort when groups were combined ($P = 0.0329$) and (D) in the AD group when the diagnostic groups were split ($P = 0.024$). The sample in red was removed from the reported results. Spearman correlations were used to assess univariate associations. AD, Alzheimer's disease; CIMA-Q, Consortium for the Early Identification of Alzheimer's Disease-Quebec; CON, control; CSF, cerebrospinal fluid; MCI, mild cognitive impairment; MoCA, Montreal Cognitive Assessment; SCD, subjective cognitive disorder; sIR, soluble insulin receptor

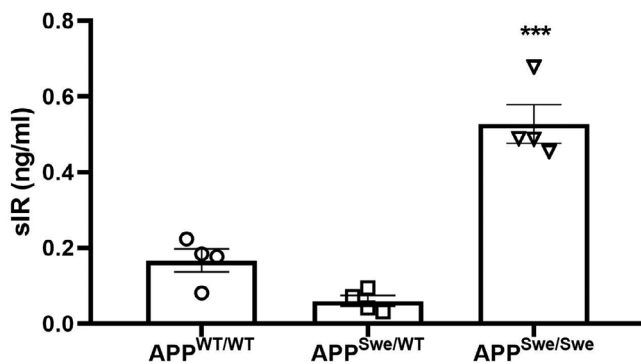


FIGURE 4 Levels of sIR in conditioned media from hiPSC-neurons containing the "Swedish" mutation. There was a significant increase in sIR levels in the media from APP^{Swe/Swe} neurons compared to hiPSC-neurons derived from wild-type (APP^{WT/WT}) and heterozygous (APP^{Swe/WT}) for the APP Swedish mutation as identified by a one-way analysis of variance followed by a Tukey post hoc test ($***P < 0.0001$). Data represent mean \pm standard error of the mean. hiPSC, human induced pluripotent stem cells; sIR, soluble insulin receptor

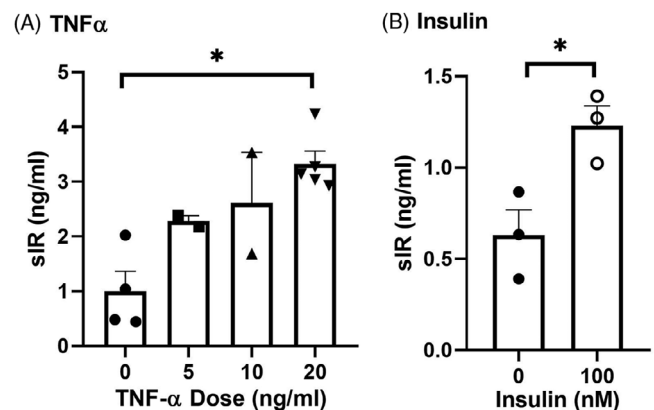


FIGURE 5 Levels of sIR in conditioned media from human astrocytes. There was a significant increase in sIR levels in the media from (A) TNF- α -treated astrocytes (one-way analysis of variance, Tukey post hoc; $*P < 0.05$) and (B) insulin-stimulated astrocytes (Student *t* test; $*P < 0.05$). Data represent mean \pm standard error of the mean. sIR, soluble insulin receptor; TNF- α , tumor necrosis factor alpha

shown lower levels of IR in the brain of AD patients, particularly IR α -B in endothelial cells.^{1,36–39} Indications of reduced IR signaling have been reported.^{1,27} A significant correlation between lower IR α -B and lower cognitive scores.³⁶ is particularly interesting and supports the

presently observed association of sIR with MoCA scores. Lower levels of IR α -B have also been shown in the 3xTg-AD model, which express the APP^{Swe}/PS1 mutation.³⁶ BACE1 activity in the liver has been implicated in a rise in plasma sIR.⁴⁰ Brain cells also express BACE1 and

enzymes that cleave IR. For instance, higher BACE1 activity has been repeatedly reported in the brain of AD patients, without mutation (including Leclerc et al.³⁶ but many others). Hence, the logical scenario is that a cleavage of IR in the brain, possibly by BACE1, would then lead to an enhanced release of sIR into the CSF, coinciding with increased $A\beta_{42}$ and $A\beta_{40}$ levels as we observed in our current study. These findings help explain why CSF sIR positively correlates with $A\beta_{42}$ but does not explain why sIR levels negatively correlate with cognition, as lower CSF $A\beta_{42}$ levels are often indicative of cognitive impairment. Therefore, we sought to further define the relationship between $A\beta_{42}$ and sIR generation using *in vitro* models.

In neurons derived from isogenic hiPSCs with different gene doses of the APP "Swedish mutation," we found significant differences in the levels of sIR in the conditioned media. In the APP^{Swe/Swe} neuronal cultures, there was significantly more sIR. This mutation increases $A\beta$ secretion by enhancing amyloidogenic APP cleavage by BACE1.^{24,41} Inhibition of BACE1 results in decreased $A\beta_{42}$ levels.²⁴ $A\beta_{42}$ levels secreted by APP^{Swe/Swe} neurons are approximately 5-fold greater than levels secreted by APP^{Swe/WT} neurons.²⁴ While our studies do not reveal the mechanism for increased shedding of sIR in the APP^{Swe/Swe}, the increases in $A\beta_{42}$ and sIR in the conditioned media support a similar relationship to that observed in our CSF samples. There is no increase in cytokine secretion from APP^{Swe/Swe} neurons.²⁴ In our unpublished studies, RNA sequencing of these cells suggests that matrix metalloproteinase (MMP) 14 is increased in the Swe^{WT/WT} cells. As MMP14 has recently been shown to generate sIR,¹¹ MMP14 could be the mechanism for increased sIR present in the conditioned media from these cells. It is also possible $A\beta_{42}$ could act on the cells to indirectly increase the amount of sIR cleavage. Future studies can assess proteomics in the conditioned media and cell lysates to identify proteins that may be altered to induce cleavage of the IR. Additionally, other iPSC derived neurons that contain mutations in the presenilin 1 (PS1) gene could be used to assess the impact on sIR generation, because these enzymes are also the enzymes shown to generate sIR.^{7–10}

Neurons, astrocytes, and even brain endothelial cells express many of the enzymes found to cleave IR to generate sIR. Previous studies have found neurons can specifically generate sIR, with changes linked to inflammatory cytokines present in CSF.²⁸ Specifically, it was identified that TNF- α regulates secretion of sIR from the SH-SY5Y human neuronal cell line.²⁸ Astrocytes are important regulators of IR signaling and are highly implicated in AD pathology.⁴² Genetic deletion of the astrocytic IR impairs $A\beta_{42}$ uptake, indicating a causal relationship.⁴² CSF TNF- α is an inflammatory marker most consistently implicated in AD and is associated with conversion in individuals who are cognitively normal at baseline.⁴³ TNF- α is known to increase $A\beta$ burden through upregulation of BACE1.⁴⁴ Therefore, we investigated whether another brain cell type, astrocytes, could generate sIR and whether TNF- α could induce secretion of sIR, similar to what had been observed in neurons. We found 20 ng/mL TNF- α was able to increase sIR levels > 3-fold. While we did not test brain endothelial cell generation of sIR, these cells express the greatest amount of the IR of brain cell types.⁴⁵ and thus, could also be a source of sIR. These data support sIR can arise

from brain cell types other than neurons and the CSF AD milieu may contribute to generation of sIR.

While sIR levels are increased in the plasma of individuals with type 2 diabetes, a condition in which insulin levels are often elevated,¹⁵ a direct effect of insulin on sIR generation has not been tested. In our study, insulin incubation with human astrocytes was shown to increase sIR in the media 24 hours later. There is direct evidence showing PS1 is functionally modulated by insulin signaling.⁴⁶ Insulin signaling decreases phosphorylation of PS1, enhancing the interaction with N-cadherin and β -catenin, re-localizing PS1 to the cell surface. Future studies could be designed to investigate PS1 activity after insulin stimulation in human astrocyte cultures using a novel biosensor.⁴⁷ Insulin has been shown to induce cleavage of other surface proteins, including Klotho, an antiaging transmembrane protein, likely through stimulating proteolytic activity of ADAM10 and ADAM17.⁴⁸ Finally, CSF insulin levels are decreased in AD.⁴⁹ and we have shown loss of brain IR signaling slows insulin transport into the brain in mice.⁵⁰ Therefore, it is possible the dysregulated processing of the sIR by insulin could perpetuate a vicious cycle, leading ultimately to brain insulin resistance and deficiency.

Other groups have shown sIR levels are increased in the plasma of individuals with diabetes.¹⁵ or throughout aging.¹¹ While we focused our current investigations on the CSF levels of sIR and CNS cell type contribution of sIR, it would be worth identifying whether there are relationships between the plasma and CSF sIR level in subjects with AD or more importantly pre-clinical AD. Correlations between plasma and CSF levels of sIR may provide insight into brain insulin resistance and deficiency, a feature that has, so far, proven to be difficult to assess in living subjects¹.

Limitations to our pilot study not only include the small sample size, but also the exclusion of males and those with clinically diagnosed type 2 diabetes in the Seattle cohort. The CIMA-Q cohort did not have these same exclusion criteria, but also had limited numbers of samples due to the increased separation by diagnostic group. Future studies are warranted to not only investigate sex differences in the levels of CSF sIR and relationship with AD pathology but also the effect of type 2 diabetes. As type 2 diabetes is a clear risk factor for AD, and circulating sIR levels are increased in individuals with type 2 diabetes¹⁵ it is possible levels of sIR, both in the circulation and the CSF, may help explain the relationship between type 2 diabetes and AD. Additionally, due to the lack of standardization in sample repositories around the world, our different findings between the US-based cohort and Canadian cohort highlight the need to repeat the study in other cohorts to fully understand the link between MoCA scores and CSF sIR levels. Last, as we have focused on the levels of sIR in the CSF, follow-up studies should be conducted to identify any associations with systemically circulating sIR.

The data presented here for the first time investigate the levels of sIR present in the CSF in cognitively intact individuals compared to those that have been clinically diagnosed with AD, or the spectrum of prodromal to probable AD. Although there are not overall statistical differences in CSF sIR levels between the groups, there was a significant association with global cognitive score via the MoCA and

CSF A β ₄₂ or A β ₄₀ levels. Neurons and astrocytes can contribute to the generation of sIR and astrocyte sIR production can be simulated by inflammation or insulin. Further understanding about the regulation of sIR is also needed and whether there are cell-specific processes. Finally, the biological mechanism for sIR in AD and whether increased levels directly contribute to brain insulin resistance and deficiency remain to be elucidated.

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CONFLICT OF INTEREST STATEMENT

The authors declare no conflicts of interest. Author disclosures are available in the [supporting information](#).

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