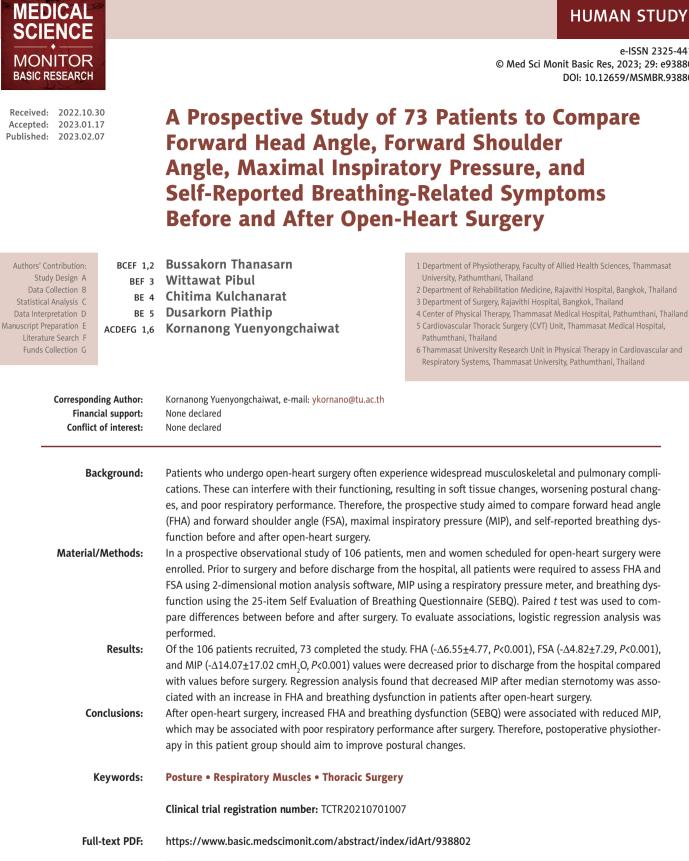
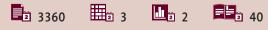
HUMAN STUDY

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Background

After open-heart surgery, patients with median sternotomy are recommended to restrict upper limb movements that stretch the sternum, including raising their arms above the head, shoulder abduction greater than 90°, or shoulder extension [1]. This restriction of upper body movement is usually instructed for 8 to 12 weeks after surgery to prevent sternal instability [2]. As a result of these limitations and incision wounds, tenderness around the chest wall and pain at the surgical wound have been noted, which might restrict upper body activity. Further, inappropriate posture in patients who have undergone open-heart surgery, such as forward head posture and rounded shoulder, may be caused from the incision wound or muscle imbalance [3,4]. These factors can lead to an inability to perform activities in daily life, cause chronic pain, and adversely affect the respiratory system due to increased muscle tightness.

A previous study found that the neck and thoracic muscles are related to the function of the shoulder and scapula muscles, because they attach to the cervical and thoracic spine [5]. Generally, these muscles work in coordination with each other; poor muscle coordination causes increased musculoskeletal disorders [5]. Additionally, inappropriate posture, such as forward head posture, greatly affects the muscles surrounding the neck and changes in the thoracic vertebrae, which simultaneously results in modification of the ribcage [6]. All of these changes affect the intercostal muscles, including the diaphragm muscle, which is located on the lowest costal bone. Therefore, this might result in both labored and quiet breathing alterations.

Forward head posture causes muscle imbalance and thoracic restriction, which results in a limitation of ribcage expansion [6,7]. Accordingly, lung volume is reduced [6,7]. Han et al reported that people with forward head posture showed a reduction in pulmonary function compared with normal controls [8]. In addition, forward head posture is related to weakness of respiratory muscles in people with neck pain [9]. Therefore, these might be the cause of long-term musculoskeletal and respiratory problems that interfere with the patient's daily life. Furthermore, several studies have reported changes in patients' respiratory muscle strength when comparing before and after heart surgery [10,11]. Measuring maximal inspiratory pressure is a simple way of determining inspiratory muscle strength [12,13]. An individual's ability to generate a tensile force by measuring upper airway pressure (mouth or nose) during a maximal inspiratory effort can be used to evaluate inspiratory muscle strength [12,13].

In addition, open-heart surgery can affect posture and breathing and delay recovery [14]. There are several measurements to assess symptoms of dysfunctional breathing [15]. The 25item Self Evaluation of Breathing Questionnaire (SEBQ) was developed by Mitchell et al for evaluation of dysfunctional breathing or breathing discomfort [15]. The SEBQ has a high intraclass correlation coefficient and internal consistency (Cronbach's alpha=0.93) [15].

The Kinovea 2-dimensional (2D) motion analysis software can be used to measure kinematic parameters [16]. The validity and reliability of the Kinovea 2D are acceptable for measuring the distance up to 5 m from the object and at different in angles of 90° to 45° [16]. However, few studies have been conducted to perform clinical or objective assessments for musculoskeletal and respiratory problems in patients who have undergone open-heart surgery. Therefore, this prospective study of 73 patients aimed to compare forward head angle using 2D motion analysis software, maximal inspiratory pressure using a respiratory pressure meter to assess inspiratory muscle strength, and self-reported breathing-related symptoms using the 25item SEBQ before and after open heart surgery.

Material and Methods

Ethics Statement

The study was approved by the Ethics Human Committee of Thammasat University and Rajavithi Hospital. This study was conducted in accordance with the principles of the Declaration of Helsinki, the Belmont Report, Council for International Organizations of Medical Sciences guidelines, and International Conference on Harmonization-Good Clinical Practice (ICH-GCP). The clinical trial registration no. is TCTR20210701007.

Patient Selection

Based upon data from the heart operation unit in 2020, a total number of 155 patients underwent open-heart surgery and 112 patients were recruited. However, to account for any dropouts, 123 patients were enrolled. This prospective observational study was designed in 2 hospital centers, Thammasat University Hospital and Rajavithi Hospital.

All individuals who were scheduled to undergo open-heart surgery procedures via median sternotomy and were \geq 18 years old were included.

The exclusion criteria were as follows: patients who underwent emergency surgery or had a history of aortic dissection or aneurysm, cervical or thoracic injury, cerebrovascular accident with upper limb dysfunction, psychiatric disorders, previous surgery via median sternotomy, or thoracotomy. Furthermore, patients with exacerbated chronic obstructive

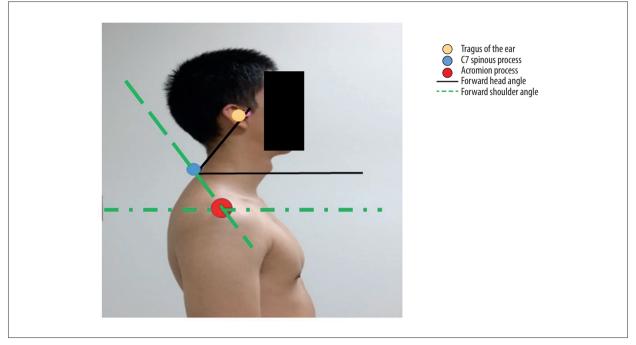


Figure 1. Body markers for posture analysis and measurement of forward head angle and forward shoulder angle by using.

pulmonary disease or asthma within 24 to 72 h prior to the test who had a high temperature (>37.5°C), uncontrolled atrial or ventricular arrhythmias, uncontrolled sinus tachycardia (>120 beats/min), resting systolic blood pressure >180 mmHg and/or resting diastolic blood pressure >120 mmHg [17], recent chest pain within 8 h prior to the test, inability to stand alone, or pregnancy were also excluded.

Forward Head Angle and Forward Shoulder Angle Using 2D Motion Analysis Software

A 2D motion analysis program, Kinovea, was used to assess the forward head angle and forward shoulder angle. While the patients were standing, a digital camera (X100V; Fujifilm) was placed at a distance of 1.5 m from the patient. The height of the camera was adjusted to the level of each patient's shoulder [18]. In addition, body markers were placed over each landmark, including the tragus of the ear, spinous process of the C7 vertebra, and acromion process. The plumb line was defined by the true vertical line in the digital images [18].

The photograph was taken at the patient's dominant side from the lateral view while the patient was in the standing position. Prior to taking a photograph, the patients were requested to move their head and neck for full flexion and extension, and then gradually turn into a natural position. Patients were asked to focus on the target at the level of their eyes. Finally, the forward head angle and forward shoulder angle were calculated using the Kinovea program, version 0.8.15. The intrarater reliability (ICC) was 0.66 with a standard error of the mean=3.54, and the inter-rater reliability (ICC) was 0.87 with standard error of the mean=1.85. Forward head angle was defined as the angle formed at the intersection of a horizontal line through the C7 spinous process and a line of the C7 spinous process to the tragus of the ear. A cutoff point of <50° was defined as forward head posture [18]. The forward shoulder angle was identified as the angle formed at the intersection of a horizontal line through the acromion process and a line of the acromion process (Figure 1).

Maximal Inspiratory Pressure Using a Respiratory Pressure Meter

The maximal inspiratory pressure was measured using a respiratory pressure meter (MicroRPM; CareFusion, United Kingdom). The participants were required to exhale until they began residual volume and then fully inhale through the mouthpiece [12]. Each inspiratory maximum pressure measurement was held for at least 1.5 s and repeated 3 times. The maximum measured value, with a difference of no more than 20% for each measurement, was recorded [12]. The values were recorded and analyzed.

The 25-Item SEBQ

The SEBQ is an assessment model developed for the qualitative and quantitative assessment of uncomfortable respiratory sensations and self-perception of breathing [15]. The SEBQ consists of 25 questions, with each having a score of 0 to 4 from 4 answer levels [15]. A score of 0 represents never/not

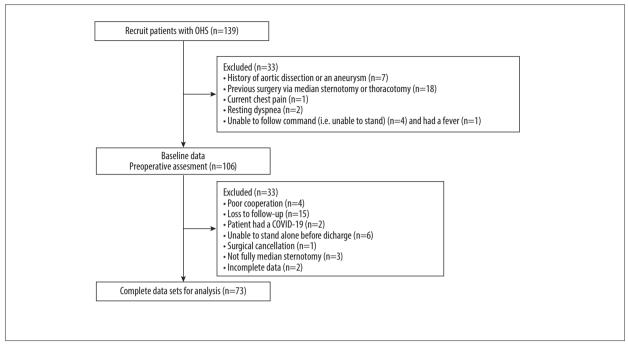


Figure 2. Flowchart of the participant recruitment process for a prospectively study of patients pre and post-operative open heart surgery.

true at all, 1 represents occasionally/a bit true, 2 represents frequently/mostly true, and 3 represents very frequently/very true [15]. The SEBQ was found to have a high level of test-retest reliability [15], with a cut-off point \geq 25 defined as dysfunctional breathing [19].

Pain Scale

The numerical rating scale was used to indicate the degree of pain sensation, which consists of integers ranging from 0 to 10, with 0 representing "no pain" and 10 representing "worst imaginable pain". Patients were asked to select a single number that best represented their pain severity.

Changes in forward head angle, forward shoulder angle, and maximal inspiratory pressure were reassessed within 72 h prior to discharge from the hospital. The numerical rating scale and SEBQ scores were also recorded before discharge.

Statistical Analysis

Descriptive statistics were used to describe the sample characteristics. Paired t test analysis was performed to explore the forward head angle and forward shoulder angle, maximal inspiratory pressure, and self-reported breathing dysfunction between the pre- and postoperative periods. Logistic regression analysis was used to determine the associations between these parameters. In addition, a P value of <0.05 was considered statistically significant.

Results

Patients Studied

A total of 139 patients were evaluated for eligibility; however, 33 participants were excluded because they failed to meet the inclusion criteria. A total of 106 patients were recruited in the study, and 33 patients were excluded because of COVID-19, inability to stand alone before discharge, cancellation of the operation, or incomplete data (**Figure 2**).

Details of the clinical and characteristic data of the study population are shown in **Table 1**. The mean age of patients who had undergone open-heart surgery was 61.29 years, with functional class I-II; the study population included 47 men (64.4%) and 26 women (35.6%), with mean body mass index of 25.21 kg/m². The mean wound pain score was 3.48±1.83. The average duration of hospitalization was 7.77±3.54 days, with no statistically significant difference between coronary artery disease, valvular heart disease, and combination groups in forward head angle, forward shoulder angle, maximal inspiratory pressure, and SBEQ.

Comparison of Forward Head Angle, Forward Shoulder Angle, Maximal Inspiratory Pressure and SEBQ Before and After Surgery

A comparison of the forward head angle, forward shoulder angle, maximal inspiratory pressure, and SEBQ before and after

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	Total Mean±SD	CAD Mean±SD (n=41)	Valvular disease Mean±SD (n=25)	Combined Mean±SD (n=7)	P value CAD vs Valvular disease	<i>P</i> value CAD vs combined	P value Valvular disease vs combined
Age (years)	61.29±11.36	63.73±9.45	56.68±13.24	63.43±10.88	0.014	0.947	0.156
Sex							
Male	47 (64.4%)	28 (38.4%)	14 (19.2%)	5 (6.8%)			
Female	26 (35.6%)	13 (17.8%)	11 (15.1%)	2 (2.7%)			
BMI (kg/m²)	25.21±4.51	25.33±4.24	24.75±5.18	26.15±3.41	0.615	0.664	0.475
Duration of OR (min)	106.93±53.66	102.02±63.16	108.20±38.91	131.14±32.41	0.652	0.190	0.322
Duration of ET-tube (day)	1.08±0.32	1.12±0.40	1.04±0.20	1.00±0.00	0.322	0.361	0.774
Duration of ICU (day)	3.63±3.19	3.46±2.05	3.16±2.01	6.29±8.28	0.702	0.030	0.022
Duration of Stay (day)	7.77±3.54	7.54±2.98	7.48±2.52	10.14±7.65	0.948	0.073	0.080
LEVF	50.08±19.33	45.53±17.73	55.63±19.71	57.67±23.00	0.047	0.148	0.814
NYHA at baseline							
FC 1	25 (34.2%)	13 (17.8%)	9 (12.3%)	3 (4.1%)			
FC 2	34 (46.6%)	22 (30.1%)	11 (15.1%)	1 (1.4%)			
FC 3	12 (16.4%)	6 (8.2%)	4 (5.5%)	2 (2.7%)			
FC 4	2 (2.7%)	0 (0.0%)	1 (1.4%)	1 (1.4%)			
Pain after surgery	3.48±1.83	3.68±1.80	3.16±1.89	3.43±1.90	1.000	1.000	1.000
Pre-FHA	45.33±7.09	45.00±6.79	46.60±5.77	42.71±12.16	0.378	0.434	0.205
Pre-FSA	52.67±11.26	51.95±12.15	52.76±10.59	56.57±8.24	0.780	0.323	0.435
Pre-MIP	48.71±24.40	49.95±23.48	47.28±25.01	46.57±30.71	0.671	0.739	0.947
Pre-SEBQ	10.37±7.81	10.10±8.06	10.20±6.23	12.57±11.76	0.959	0.446	0.484

Table 1. Clinical and characteristic data of the patients with open-heart surgery.

CAD – coronary artery disease; BMI – body mass index; HT – hypertension; DM – diabetes mellitus; DLP – dyslipidemia; OR – operation; ET – endotracheal; ICU – Intensive Care Unit; LEVF – left ventricular ejection fraction; NYHA – New York Heart Association; FC – functional class; FHA – forward head angle; FSA – forward shoulder angle; MIP – maximal inspiratory pressure; SEBQ – Self-Evaluation of Breathing Questionnaire.

surgery is presented in **Table 2**. The comparison shows that the mean values of the forward head angle, forward shoulder angle, and maximal inspiratory pressure significantly decreased from before and after surgery, but the SEBQ score did not.

Association Between Forward Head Angle, Forward Shoulder Angle, Maximal Inspiratory Pressure, and SEBQ

In addition, a significant positive correlation was found between maximal inspiratory pressure values and forward head angle in patients postoperatively (*r*=0.248, *P*=0.034), with an increased forward head posture and decreased maximal inspiratory pressure. In addition, maximal inspiratory pressure and age were negatively associated with SEBQ in the postoperative period (r=-0.291, P=0.012, and r=-0.301, P=0.010, respectively). Finally, pain intensity was inversely correlated with forward head angle (r=-0.412, P<0.001), but positively correlated with SEBQ (r=0.238, P=0.042). In summary, a higher pain intensity correlated with greater forward head posture and breathing difficulty.

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	Before surgery Mean±SD	After surgery Mean±SD	<i>P</i> value
FHA	45.33±7.09	38.78±8.48	<0.001
FSA	52.67±11.26	47.85±9.43	<0.001
MIP	48.71±24.40	34.64±18.40	<0.001
SEBQ	10.37±7.81	10.12±7.36	0.781

 Table 2. Comparison of the mean value for forward head angle, forward shoulder angle, maximal inspiratory pressure, and SEBQ between pre- and postoperative (n=73).

FHA – forward head angle; FSA – forward shoulder angle; MIP – maximum inspiratory pressure; SEBQ – Self-Evaluation of Breathing Questionnaire.

Table 3. Regression analysis predicting inspiratory muscle strength in patients after open-heart surgery (n=73).

	Non-standardized coefficients (B)	Standardized Coefficients (β)	t	<i>p</i> -value	95% Confidence interval for B
PO_SEBQ (scores)	-0.722	-0.289	-2.607	.011	-1.274 to -0.170
PO_FHA (degrees)	0.504	0.232	2.099	.039	0.025 to 0.983

PO_SEBQ - Postoperative the Self-Evaluation of Breathing Questionnaire; PO_FHA - postoperative forward head angle.

Regression analysis showed that postoperative maximal inspiratory pressure values were positively associated with forward head posture and negatively associated with SEBQ in patients after open-heart surgery. Therefore, a reduction in maximal inspiratory pressure in patients after open-heart surgery was predicted by a model that included postoperative forward head posture and SEBQ scores, accounting for 14.5% of the variance (**Table 3**).

Discussion

In this study, we aimed to examine the changes in forward head posture and rounded shoulder in patients before and after open-heart surgery. We also studied the relationship between respiratory performance and changes in forward head posture and rounded shoulder after open-heart surgery. The study revealed that changes in posture and inspiratory muscle strength were observed after surgery. In addition, a decrease in maximal inspiratory pressure was predicted by postoperative forward head angle and SEBQ.

In this study, the mean values of forward head angle and forward shoulder angle were significantly decreased postoperatively compared with preoperatively. Similarly, a previous study found changes in posture and postural abnormalities in patients who underwent coronary artery bypass graft surgery [20]. Patients with median sternotomy frequently experience thoracic musculoskeletal symptoms that can impede their ability to function [4]. Poor posture, such as a slouched posture, has been reported after cardiac surgery. Furthermore, post-sternotomy pain syndrome, which refers to discomfort after cardiac surgery that persists for at least 2 months [21], later leads to muscle tension. Pain intensity is a factor that might lead to forward head posture and rounded shoulders. In this study, a maximal pain intensity of 3.48±1.83 was observed in the area at median sternotomy before discharge from the hospital after an average of 7 days. Pain at the anterior chest wall might be due to acute postoperative pain caused by tissue damage (eg, the skin, subcutaneous tissue, fascia, bone, and cartilage). In addition to nociceptive stimulation from direct tissue injury, the inflammatory response leads to peripheral and central sensitization in the pain experience, which might lead to pain at median sternotomy [22,23]. However, pain intensity in patients after open-heart surgery gradually decreases from day to day, such as in the early postoperative periods (within the first 24 h) to the removal of drains to getting out of the bed, or from days 1, 3, and 5 postoperatively [24]. Mueller et al reported that maximal pain intensity was higher on postoperative days 1 and 2 and lower on postoperative days 3 and 7 [25]. In addition, 12 weeks after surgery, pain intensity returned to that before the heart surgery [23,26].

Another potential cause of the measured increase in forward head posture and rounded shoulder is kinesiophobia, which is characterized by fears of movement, general activity, and any physical or mental discomfort [27]. Patients who underwent open-heart surgery via median sternotomy had high scores for kinesiophobia (ie, high fear of movement) after surgery [28]. In addition, restriction of upper limb movement might contribute to kinesiophobia after cardiac surgery [28]. As a result, this can cause worsening of posture and lead to soft tissue changes. Thus, the body may compensate by moving the head forward and shifting the center of gravity, resulting in an increased forward head posture [29]. However, kinesiophobia was not recorded in the present study; therefore, future studies are needed for further exploration.

Moreover, posture abnormalities for long periods can be a risk factor for developing upper crossed syndrome and decreased respiratory muscle strength [3,9,30]. After surgery, the antigravity muscles are weakened, which reduces the ability to maintain body posture [3,30]. Therefore, to correct body alignment, patients tend to maintain their posture by moving their heads forward. A decrease in the forward head angle causes an increase in the torque of the cervical extensor muscles, whose strong isometric contraction is necessary to counteract the force of gravity [30]. This can be explained using the cog-wheel model, in which, while the body is in a slumped sitting position, changes in posture and function of the lumbopelvic, thoracic, and head and neck muscles are interrelated [31]. In the slumped sitting position, the posterior tilt of the pelvis is comparable to the counterclockwise rotation of a cog [31]. Consequently, the thoracic spine rotates in a clockwise direction, resulting in increased thoracic kyphosis, therefore affecting the cervical spine to rotate counterclockwise, causing a forward head and neck [31].

Regarding postoperative angle change, the study also discovered a significant reduction in maximal inspiratory pressure in the postoperative period. The decrease in respiratory muscle strength is associated with intraoperative factors (eg, type of surgery, general anesthesia, medication of heart, cardiovascular bypass, and ventilator use) and postoperative pain [11]. Injury of the phrenic nerve, which is the main breathing muscle, can occur during cardiac surgery because of the position of the phrenic nerve running from the neck to the upper thorax through the mediastinum to the parietal pleura and fibrous pericardium [32]. In addition, sternal retraction during median sternotomy can cause costovertebral joint strain after surgery [33]. The costovertebral joint plays an important role in stabilization, load bearing, and protection of the thoracic spine, which is also important for chest wall movement during breathing [34]. Therefore, resection of pericardial tissue and the surgical procedure can result in injury to the phrenic nerve and joint mobility. Furthermore, in patients after coronary artery bypass graft, changes in blood cortisol and C-reactive protein levels were associated with changes in circulatory factors affecting muscle protein synthesis [35]. Hypercortisolemia causes skeletal muscle wasting through a combination of increased protein breakdown and C-reactive protein levels that impair skeletal muscle protein synthesis [35]. This may be a

cause of the decreased strength of the respiratory muscles in patients after heart surgery.

Furthermore, the results of this study revealed a significant positive correlation between maximal inspiratory pressure and forward head angle after open-heart surgery. Zafar et al [36] found that head and neck posture changed respiratory function, with decreased diaphragmatic muscle strength. This indicates that head posture can have a significant effect on respiratory function, and forward head posture can result in a change in muscle length tension [36]. The sternocleidomastoid, upper trapezius, and other neck muscles are not in optimal resting positions, because of shortening. Therefore, weakening of the sternocleidomastoid, scalene, and trapezius muscles is associated with a decrease in respiratory muscle strength [6,36]. Forward head posture increases the activities of the sternocleidomastoid and anterior scalene muscles during breathing and decreases forced vital capacity and the functioning of the respiratory system [37]. Forward head posture results in a change in muscle length-tension that is associated with the generating force of the respiratory muscles, such as the sternocleidomastoid and anterior scalene muscles [37]. According to the American Thoracic Society/European Respiratory Society, vital lung volume is limited by the weakening of inspiratory and expiratory muscles, which are directly affected by the loss of muscle force [12]. Kahlaee et al [38] also found that respiratory muscles were associated with cervical musculoskeletal dysfunction, including neck pain intensity, kinesiophobia, neck muscle strength, and forward head posture. Therefore, changes in forward head posture affects maximal inspiratory pressure among patients after open-heart surgery, and increases in forward head posture reduce maximal inspiratory pressure.

Additionally, we found an association between SEBQ scores and maximal inspiratory pressure in the present study. However, the SEBQ scores were not significantly different before and after surgery. The SEBQ is a subjective method used to assess the quality and quantity of uncomfortable respiratory sensations as well as a person's perception of breathing [15]. As mentioned previously, the SEBQ self-measurement may be a risk factor for self-bias in the assessment [39,40]. Under normal breathing conditions, the diaphragm and external intercostal muscles perform the primary function of inspiration [40]. During labored breathing, the sternocleidomastoids, scalene, pectoralis major and minor, and internal intercostal muscles are the main inspiratory muscles. As a result, any stress on this structure will directly impact other elements, affecting the breathing mechanism and leading to dysfunctional breathing [40].

This study had some limitations. Short-term outcomes were examined only before surgery and discharge from the hospital (ie, postoperative day 7). Therefore, we did not explore the long-term effects of the angle on musculoskeletal and respiratory systems. Additionally, the relatively small number of patients in the study due to the COVID-19 pandemic meant that the relationship between the variables was unclear. However, although the sample size was relatively small, the study had sufficient power to determine the effects (retrospective statistical power, 0.95). For example, for the comparison between forward head angle before and after surgery, the retrospective statistical power was 0.95 (2-tailed alpha=0.05), and for the comparison between forward shoulder angle before and after surgery, the retrospective statistical power was 0.95 (2-tailed alpha=0.05).

Conclusions

After open-heart surgery, increased forward head posture and forward head angle was associated with reduced maximal inspiratory pressure, which may be associated with poor respiratory performance after surgery. Therefore, postoperative physiotherapy in this patient group should aim to improve postural changes.

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Ethics Approval

The study was approved by the Ethics Human Committee of Thammasat University. This study was conducted in accordance with the principles of the Declaration of Helsinki, the Belmont Report, Council for International Organizations of Medical Sciences guidelines, and the International Conference on Harmonization-Good Clinical Practice (ICH-GCP). The clinical trial registration no. is TCTR20210701007.

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Declaration of Figures' Authenticity

All figures submitted have been created by the authors who confirm that the images are original with no duplication and have not been previously published in whole or in part.

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