

1 **Comparison of Waning Neutralizing Antibody Responses Against the Omicron Variant**  
2 **6 Months After Natural SARS-CoV-2 Infection (With/Without subsequent COVID-19**  
3 **Vaccination) Versus 2-dose COVID-19 Vaccination**

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15 Running title: Antibody Response of Hybrid Immunity

16

1 **Abstract**

2 Following SARS-CoV-2 infection, subsequent ChAdOx1 nCoV-19 induced similar  
3 neutralizing antibody levels against the original strain but significantly higher levels against  
4 the Omicron variant compared to those who were not vaccinated. Prior SARS-CoV-2  
5 infection exhibited higher neutralization antibody titers than vaccination alone for both  
6 original strains and the Omicron variant.

7 **Keywords:** Hybrid immunity, SARS-CoV-2, COVID-19, vaccine, antibody response

## 8 **Introduction**

9 Virus exposure, either by natural infection or vaccination, elicits protective immunity.  
10 Third booster shot of COVID-19 vaccination has shown protective effects against the SARS-  
11 CoV-2 Omicron variant [1], but there are limited data on immune responses against SARS-  
12 CoV-2 and its Omicron variant by hybrid exposure to the virus or viral protein—that is,  
13 natural infection followed by 2-dose vaccination—in terms of long-term immunity. In this  
14 prospective cohort study, we compared the antibody response induced by 2-dose vaccination  
15 between natural infection–convalescent and infection-naïve individuals over 6 months.

## 16 **Methods**

### 17 *Study Participants and Specimen Collection*

18 We enrolled healthcare workers (HCWs), from March 2021, who received ChAdOx1  
19 nCoV-19 (ChAdOx1) or BNT162b2 with or without a history of SARS-CoV-2 infection  
20 (confirmed by S1-specific IgG test) before vaccination. The interval between the first and  
21 second dose was 12 weeks for the ChAdOx1 vaccine and 3 weeks for the BNT162b2 vaccine.  
22 The ChAdOx1 vaccine was assigned to those without direct contact with COVID-19, and the  
23 BNT162b2 was assigned to high-risk HCWs involved in the care of COVID-19 patients  
24 according to the South Korean government policy.

25 We recruited participants with natural SARS-CoV-2 infection who were admitted to our  
26 hospital between June and September 2020 when the vaccines were not available until at least  
27 6 months after these individuals were diagnosed with COVID-19, and we also enrolled  
28 patients with SARS-CoV-2 infection between October 2020 and February 2021 who refused  
29 to be vaccinated for up to 6 months. This study was reviewed and approved by the  
30 institutional review board of Asan Medical Center (IRB No. 2020-0297 and 2021-0170), and

31 all participants gave written informed consent.

32 We measured serum neutralizing antibody levels 6 months after SARS-CoV-2 infection for  
33 those who were not vaccinated after infection and 6 months after the first dose vaccination  
34 for those who underwent subsequent ChAdOx1 vaccination after natural infection. Also,  
35 serum neutralizing antibody was measured 6 months after the first dose vaccination for the  
36 study participants who underwent two-dose vaccination alone without natural infection. The  
37 severity of illness during COVID-19 infection was classified according to the National  
38 Institutes of Health classification as follows: asymptomatic or presymptomatic infection=1,  
39 mild illness=2, moderate illness=3, severe illness=4, critical illness=5.

#### 40 ***Measurement of Immune Responses***

41 Neutralizing antibodies were measured using a microneutralization assay. The SARS-CoV-  
42 2 live virus used in this study was the lineage A (an early SARS-CoV-2 isolate) (BetaCoV-  
43 19/Korea/KCDC03/2020) and the “Omicron variant” is the lineage B.1.1.529 (hCoV-  
44 19/Korea/KDCA447321/2021) provided by the Korea Disease Control and Prevention  
45 Agency (KDCA). Briefly, 100 tissue culture infective dose 50% (100 TCID<sub>50</sub>) of SARS-  
46 CoV-2 was mixed with diluted plasma specimen in equal volume, incubated at 37°C for 30  
47 minutes, and added to Vero cells. Vero cells obtained from ATCC (CCL-81) were used and  
48 were negative for TMPRSS2. After 96 hours, the cytopathic effect of SARS-CoV-2 on the  
49 infected cells was measured. The neutralizing antibody titer was presented as the reciprocal  
50 of the highest test plasma dilution factor at which 50% neutralization was attained (ID<sub>50</sub>). The  
51 Microneutralization assay was performed in a Bio Safety Level (BSL)-3 laboratory at the  
52 Institut Pasteur Korea (Seongnam, Republic of Korea).

#### 53 ***Statistical Analysis***

54 We used the chi-squared test or Fisher's exact test to analyze categorical variables.  
55 Student's *t* test or the Mann-Whitney *U* test was used for continuous variables depending on  
56 the normality of the data. Two-tailed *P* values <.05 were considered statistically significant. R  
57 version 4.1.1 (R Foundation for Statistical Computing, Vienna, Austria) and GraphPad Prism  
58 version 8.0 (GraphPad Software, San Diego, CA, USA) were used for the analysis and  
59 graphical presentation of the results.

## 60 **Results**

61 A total of 49 infection-naïve HCWs who received 2 doses of ChAdOx1 (n = 25 [51%],  
62 ChAdOx1 group) or BNT162b2 (n = 24 [49%], BNT162b2 group) and 22 participants with  
63 previous SARS-CoV-2 infection were enrolled in this study. Among the 22 patients with  
64 previous SARS-CoV-2 infection, 9 (37%) were HCWs who received 2 doses of the  
65 ChAdOx1 vaccine within the 12-week interval after natural infection. The median interval  
66 (interquartile range) between natural infection and vaccination was 103 (92-147) days. The  
67 remaining 13 patients (63%) with SARS-CoV-2 infection did not undergo COVID-19  
68 vaccination until 6 months after SARS-CoV-2 infection either because the vaccine was not  
69 available in South Korea until this time, or they refused to be vaccinated. Among patients  
70 with SARS-CoV-2 infection in our study, there was a significant difference in COVID-19  
71 severity between vaccinated and unvaccinated patients after infection ( $P < 0.001$ ,  
72 Supplementary Table 1). The baseline characteristics of the study participants are shown in  
73 Supplementary Table 1.

74 Levels of neutralizing antibodies against the original strain 6 months after SARS-CoV-2  
75 infection in patients without subsequent vaccination were similar to levels 6 months after  
76 infection in patients who subsequently received 2 vaccine doses ( $P = .78$ , Figure 1). However,  
77 compared with patients with previous SARS-CoV-2 infection without subsequent

78 vaccination, those with previous SARS-CoV-2 infection followed by vaccination had  
79 significantly higher neutralizing antibody levels against the Omicron variant 6 months after  
80 infection ( $P < 0.001$ , Figure 1). Compared with participants who received 2 doses of  
81 BNT162b2 or ChAdOx1 alone without natural infection, subsequent ChAdOx1 vaccination  
82 following natural infection induced significantly higher neutralizing antibody levels against  
83 both the original strain ( $P < .001$ ) and the Omicron variant ( $P < .001$ ) 6 months after the  
84 vaccination. Furthermore, natural SARS-CoV-2 infection without subsequent vaccination  
85 also exhibited significantly higher neutralizing antibody levels against both the original strain  
86 ( $P < .001$ ) and the Omicron variant ( $P < .001$ ) compared with vaccination alone without  
87 natural infection. Among infection-naïve participants, the BNT162b2 group had higher  
88 neutralizing antibody levels than the ChAdOx1 group against the original strain at 6 months  
89 after the vaccination ( $P = .006$ ), while the 2 groups were comparable in terms of levels of  
90 neutralizing antibody levels against the Omicron variant at 6 months after the vaccination ( $P$   
91  $= .05$ ).

## 92 **Discussion**

93 Previous studies have shown that 2 doses of mRNA-based vaccines elicit poor neutralizing  
94 antibody responses against the Omicron variant, while 3 doses of mRNA-based vaccines  
95 elicit potent responses against the Omicron variant [2-3]. Additionally, patients infected with  
96 the Alpha, Beta, or Delta variants have been shown to have poor neutralizing antibody  
97 responses against the Omicron variant, while hybrid immunity (natural infection followed by  
98 COVID-19 vaccination) has been associated with potent neutralizing antibody responses  
99 against the Omicron variant [4]. However, there are limited published data comparing the  
100 long-term immunity against the Omicron variant elicited by natural infection (with or without  
101 COVID-19 vaccination) with that elicited by 2-dose COVID-19 vaccination. We found that

102 natural SARS-CoV-2 infection, itself, yielded higher neutralizing antibody levels against  
103 Omicron, as well as against the original strain, 6 months after infection, than the levels  
104 induced by 2-dose COVID-19 vaccination 6 months after vaccination. More importantly,  
105 hybrid immunity (natural infection followed by 2-dose vaccination) was more durable than  
106 natural SARS-CoV-2 infection alone or 2-dose COVID-19 vaccination alone, while,  
107 compared with natural infection alone, hybrid immunity was associated with similar  
108 neutralizing antibody levels against the original strain. Only one recent study (a longitudinal  
109 study over 7 months) reported that 1- or 2-dose vaccination after natural SARS-CoV-2  
110 infection elicited more potent neutralizing activity compared with 2-dose vaccination in  
111 infection-naïve individuals against all variants of concern [5].

112 Our study was limited by the small number of subjects with prior infection and the lack of  
113 those with prior infection who received mRNA vaccines. Moreover, the inconsistent COVID-  
114 19 severity among participants with previous SARS-CoV-2 infection might be a confounder.  
115 Some may argue that the elderly itself or underlying conditions in patients with SARS-CoV-2  
116 infection who did not receive the COVID-19 vaccines would affect more selectively rapid  
117 declining neutralizing antibody levels against the Omicron variant than the young HCWs  
118 with SARS-CoV-2 infection who received ChAdOx1 vaccines. However, the recent studies  
119 reporting that COVID-19 vaccination in persons with previous SARS-CoV-2 infection offers  
120 the highest level of protection against the omicron variant than unvaccinated individuals with  
121 prior SARS-CoV-2 infection [6-7] are consistent with our findings. Therefore, given that the  
122 neutralizing antibody levels against the original strain in the patients with SARS-CoV-2  
123 infection who did not receive the COVID-19 vaccines were similar to those in HCWs with  
124 SARS-CoV-2 infection who received ChAdOx1, the age difference did not substantially  
125 affect our main findings on the waning neutralizing antibody levels against the Omicron  
126 variant.

127 Despite these limitations, our data suggest that natural infection followed by COVID-19  
128 vaccination induces a more durable antibody response against the Omicron variant than  
129 natural SARS-CoV-2 infection or 2-dose COVID-19 vaccination alone.

130 **Conflict of Interest**

131 There are no conflicts of interest for any of the authors.

132 **Funding**

133 This work was supported by the Korea Advanced Research Program through  
134 the National Research Foundation of Korea (NRF), which is funded by the Ministry of  
135 Science and ICT, Republic of Korea (grant numbers 2020M3H8A1115041 and  
136 2017M3A9G6068254).



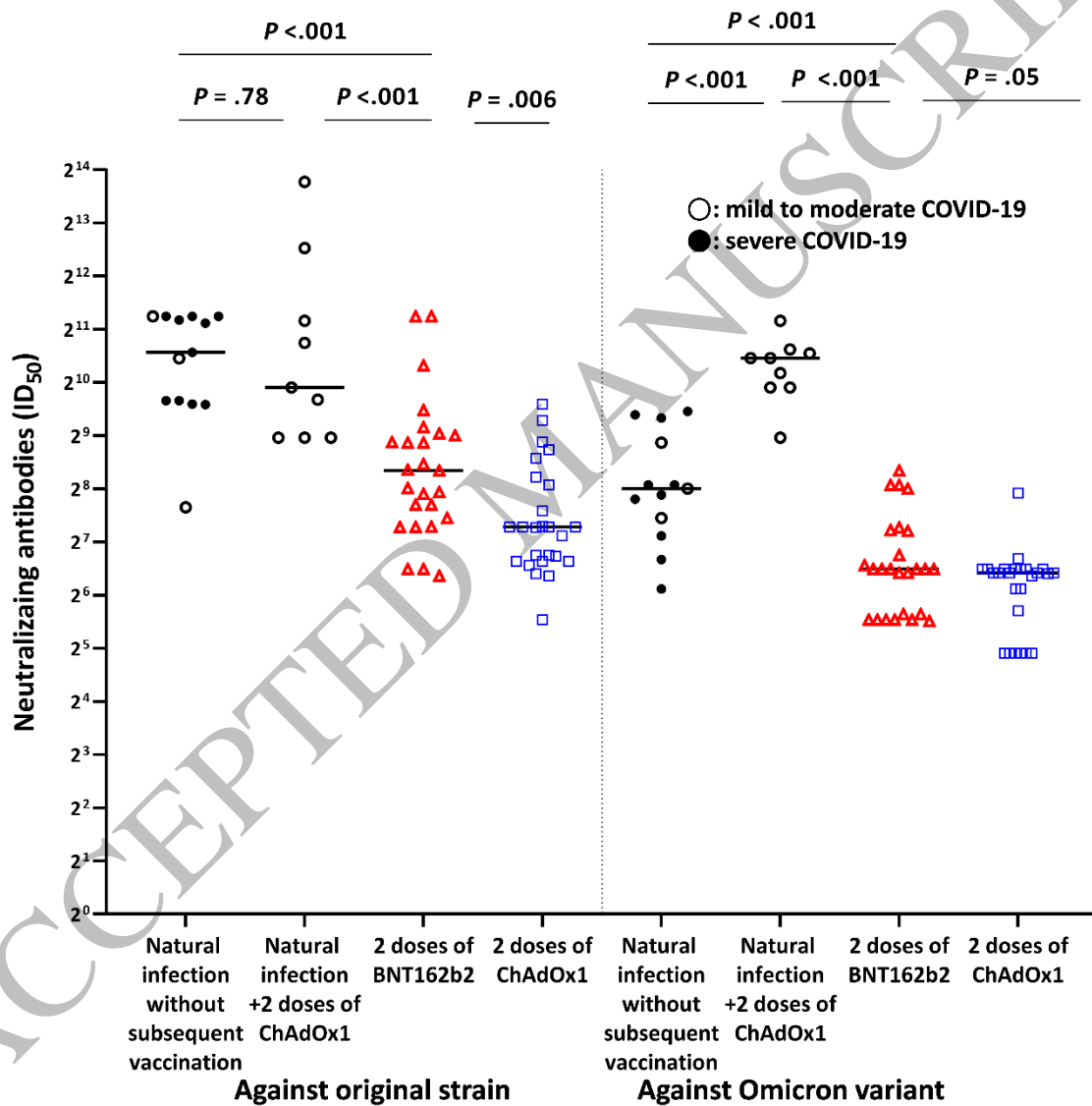
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157 **Figure legends**

158 **Figure 1. Comparison of neutralizing antibody against the original strain and Omicron**  
 159 **variant at 6 months after natural SARS-CoV-2 Infection with/without subsequent**  
 160 **COVID-19 vaccination versus 2-dose COVID-19 vaccination**

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