High expression of immune checkpoints is associated with the TIL load, mutation rate and patient survival in colorectal cancer

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Abstract. Adoptive cell therapy with the use of tumor-1 infiltrating lymphocytes (TILs) is a very promising 2 3 immunotherapeutic approach for the treatment of patients 4 with colorectal cancer (CRC). However, within the tumor 5 microenvironment, co-inhibitory immune checkpoints can inactivate TILs. The aim of the present study was to examine 6 7 the association between the TIL load, the mutation rate and 8 the clinical outcome in the immune landscape of patients with 9 CRC. RNA-seq and whole exome seq data of 453 colon adenocarcinomas (COAD) and rectal adenocarcinomas (READ), 10 along with the TIL load and clinicopathological information 11 12 of each patient, were extracted from the TCGA GDC Data 13 Portal and analyzed computationally. The expression of 14 immune checkpoint molecules was compared between colon 15 cancer and normal tissue. A total of 9 immune-related gene signatures were investigated in CRC. Spearman's correlation 16 17 analysis was performed to examine the correlation between the TIL load with the expression of each immune checkpoint 18 19 molecule. Indoleamine 2,3-dioxygenase 1 (IDO1) was found 20 to be significantly overexpressed in CRC, whereas V-domain 21 Ig suppressor of T cell activation (VISTA) and lymphocyte 22 activating 3 (LAG3) were markedly downregulated. A high 23 expression of cytotoxic T-lymphocyte-associated protein 4 (CTLA-4), IDO1, programmed cell death 1 (PD-1) and T-cell 24 25 immunoreceptor with Ig and ITIM domains (TIGIT), tended 26 to be associated with a better overall survival of the patients. In 27 COAD, the TIL load positively correlated with the expression 28 of adenosine A2A receptor (ADORA2A), CTLA-4, hepatitis A 29 virus cellular receptor 2 (HAVCR2), lymphocyte activating 3 30 (LAG3), programmed death-ligand PD-L1, PD-L2, TIGIT and 31 VISTA, whereas in READ, such positive correlations were noted only between the TIL load and LAG3 or PD-L2. The 32

'central memory T-cell' and 'exhausted T-cell' gene signa-33 tures were significantly lower among the READ tumors. The 34 35 expression of PD-1, PD-L1, PD-L2, CTLA-4 and IDO1 was significantly higher among COAD patients with a high muta-36 tion rate (>34 mutations/Mb) compared to those with a lower 37 rate. Somatic mutations in PD-1, PD-L1, CTLA-4 and other 38 checkpoint molecules did not seem to affect their expression 39 levels. On the whole, the data of the present study highlight 40 the association of immune checkpoint molecules with the TIL 41 load, patient survival and a high mutation rate in CRC. The 42 data corroborate that patients with colon cancer with higher 43 PD1, PD-L1/2, CTLA-4 and IDO1 expression, and a high 44 mutation rate, are the ones who will benefit more from the 45 respective immune checkpoint inhibition therapies. 46

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Introduction

Colorectal cancer (CRC) is the third most common type of 50 cancer, with ~1.4 million cases diagnosed worldwide in 51 2012 (1). The prognosis of the disease largely depends on the 52 stage of the tumor at diagnosis (2). The disease is relatively 53 heterogenous, and is classified into 4 different consensus 54 molecular subtypes. The main characteristic of CRC is genetic 55 instability, which can be due to either chromosomal instability 56 57 (CIN) (3) or microsatellite instability (MSI) due to a defective DNA mismatch repair (dMMR) system (4). Additionally, CpG 58 island methylation phenotype (CIMP) is a feature that induces 59 epigenetic instability by silencing through promoter hyper-60 methylation of a range of tumor suppressor genes, including 61 mutL homolog 1 (MLH1) (5). 62

Apart from the surgical removal of the tumor, usually 63 followed by adjuvant 5-fluoruracil (5-FU)-based chemo-64 therapy, various immunotherapeutic approaches are currently 65 being investigated as alternative options for the treatment of 66 67 the disease (6,7). Latest encouraging developments in cancer immunotherapy, which involve priming the host's natural 68 immune defenses to recognize, target and destroy cancer cells 69 effectively, have brought some glimpse of hope for combatting 70 CRC (8-11). To this end, tremendous progress has been made 71 in the understanding of the immune microenvironment of 72 73 CRC (12). The deciphering of the immunological and molecular landscape of the tumor may help determine subsets of immunogenic CRC, and determine potential predictive markers to help

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select patients for immunotherapeutic approaches (11). Apart 1 2 from malignant cells, a solid CRC tissue contains various other innate immune cells (granulocytes, mast cells and 3 4 monocytes/macrophages), adaptive immune cells (T-cells and 5 B-cells), fibroblasts and endothelial cells. Working together, 6 these cells contribute to the inflammatory and/or immunolog-7 ical status of the tumor tissue via cell-to-cell contact and/or the production of cytokines and chemokines. Tumor-infiltrating 8 9 lymphocytes (TILs) are mixtures of T-cells, B-cells, natural 10 killer (NK) cells, macrophages and other innate cells in variable proportions, with T-cells being the most abundant (13). 11 12 They can infiltrate the solid tumor, and are used as signals of 13 the immune system, in its attempt to attack the cancer cells. 14 Primarily, TILs appear in the human body to indicate the 15 existence of the host, thus reflecting the dynamic process of cancer immunization (14,15). 16

17 The present study aimed to investigate whether a high mutation rate is associated with distinct expression profiles 18 of various immune checkpoint molecules. To this end, the 19 20 association between the TIL load and overall survival of 21 patients with CRC was first examined, determining the expres-22 sion of various immune checkpoint molecules, including 23 programmed death-ligands 1 and 2 (PD-L1/2), cytotoxic 24 T-lymphocyte-associated protein 4 (CTLA-4) and indole-25 amine 2, 3-dioxygenase 1 (IDO1). In addition, the TIL load and 26 the expression of such immune checkpoint molecules in each 27 tumor, including the tumor's mutation rate, were evaluated. Finally, 9 immune-related gene signatures were compared 28 29 between CRC and normal tissue. The results provide evidence 30 that high levels of PD1, PD-L1/L2, CTLA-4 and IDO-1 are 31 associated with the TIL load, a high mutation rate and the 32 overall survival of colon cancer patients.

34 Materials and methods

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Data extraction and analysis. Next generation sequencing
 (NGS) and clinicopathological data for 453 colorectal adeno carcinoma patients were extracted from the Cancer Genome
 Atlas (TCGA-COAD and TCGA-READ datasets, containing
 colon and rectum adenocarcinomas, respectively) and the data
 were computationally examined.

42 The expression of a list of immune checkpoint molecules 43 and other, prospective checkpoint molecules, including programmed cell death 1 (PD-1; PDCD1), PD-L1 (CD274), 44 45 PD-L2 (PDCD1LG2), CTLA-4, T-cell immunoreceptor with 46 Ig and ITIM domains (TIGIT), IDO1, IDO2, lymphocyte 47 activating 3 (LAG3), V-set domain-containing T-cell activation inhibitor 1 (VTCN1), V-domain Ig suppressor of T 48 49 cell activation (VISTA), Ig-like transcript (ILT)2, ILT4 and 50 human leukocyte antigen G (HLA-G) were analyzed using 51 RNA-seq data of 275 COAD and 92 READ tumors, and 52 these were compared to the gene expression data of a total 53 of 349 normal colon and 318 normal rectum samples, which 54 were extracted from the TCGA and GTEx projects. The 55 expression levels of each gene were calculated in transcripts per million mapped reads (TPM), adding an offset of 0.1, as 56 57 previously described (16-18). One-way analysis of variance 58 (ANOVA) was performed using the disease state (tumor or 59 normal) as a variable to determine the statistically significant 60 differentially expressed genes. The expression data were first log-transformed for differential analysis and the fold change 61 (log₂FC) was defined as the difference of the median value 62 of the tumor samples from the median value of the normal 63 samples. Genes with llog₂FC>11 and P<0.01 were considered as 64 differentially expressed. The corresponding percentage (%) of 65 TIL ('percent lymphocyte infiltration') and tumor-associated 66 neutrophilic (TAN) load ('percent_neutrophil_infiltration') of 67 the patients with CRC were extracted from TCGA using the 68 Genomic Data Commons (GDC) Data Portal (https://portal. 69 gdc.cancer.gov/), as previously described (17) (Table SI). 70

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Patient survival analysis. Survival analysis was based on the 72 expression status of adenosine A2A receptor (ADORA2A), 73 CD8, CTLA-4, hepatitis A virus cellular receptor 2 (HAVCR2), 74 IDO1, IDO2, LAG3, PD1, PD-L1, PD-L2, TIGIT, VISTA and 75 VTCN1 or the multi-gene signatures. The overall patient 76 survival was plotted on Kaplan-Meier curves using the Gene 77 Expression Profiling Interactive Analysis (GEPIA2) web 78 server (19). Differences in overall survival between high- and 79 low gene-expressing patients were scored using the log-rank 80 test. Spearman's correlation analysis was used to examine the 81 correlation between the TIL load with the expression of each 82 immune checkpoint molecule. 83

Immune-related gene signatures in CRC. The following 85 immune-related gene signatures from GEPIA2 (19) were 86 compared between the CRC tumor and normal samples, within 87 each TCGA dataset: Naive T-cell [C-C motif chemokine receptor 88 (CCR)7, lymphoid enhancer-binding factor 1 (LEF1), transcrip-89 tion factor 7 (TCF7) and L-selectin (SELL)]; effector T-cell 90 91 [CX3C chemokine receptor 1 (CX3CR1), fibroblast growth factor binding protein 2 (FGFBP2) and Fc fragment of IgG 92 receptor IIIa (FCGR3A)]; effector memory T-cell [PDCD1, dual 93 specificity protein phosphatase 4 (DUSP4), granzyme (GZM)K, 94 GZMA and interferon gamma (IFNG)]; central memory T-cell 95 [CCR7, SELL and interleukin (IL)7R]; resident memory T-cell 96 [CD69, integrin, alpha E (ITGAE), C-X-C chemokine receptor 97 type 6 (CXCR6) and myeloid-associated differentiation marker 98 (MYADM)]; exhausted T-cell (HAVCR2, TIGIT, LAG3, PDCD1, 99 CXCL13 and LAYN); resting Treg T-cell [forkhead box P3 100 (FOXP3), IL2RA); effector Treg T-cell [FOXP3, CTLA-4, 101 CCR8 and tumor necrosis factor (TFN) receptor superfamily 102 member 9 (TNFRSF9)]; and Th1-like [CXCL13, HAVCR2, 103 IFNG, CXCR3, basic helix-loop-helix family member e40 104 (BHLHE40) and CD4]. For the analysis of gene signatures, the 105 mean value of the $log_2(TPM+1)$ was used as the signature score 106 and the CRC samples were compared against matched normal 107 data from both the TCGA. The gene signatures with llog₂FC>11 108 and P<0.01 (ANOVA) were considered as significantly different 109 between tumor and normal tissues. 110

111Association between the mutation rate and the expression112of immune checkpoint molecules in COAD. iCoMut Beta for113FireBrowse was used to categorize COAD tumors into those114having a low (<34 mutations per Mb) or high (>34 mutations115per Mb) mutation rate. The expression of 5 widely-established116immune checkpoint molecules (PD-1, PD-L1, PD-L2, CTLA-4117and IDO1) was then compared between COAD with a 'high'118and 'low' mutation rate. The data were analyzed using the R119environment.

Cell-type fractions within microsatellite stable (MSS) and
 instable (MSI) colon adenocarcinomas. The Cancer Immunome
 Database (TCIA) (20) was used to gain insight into the cell type
 fractions within MSS, MSI-low or MSI-high patients within the
 TCGA-COAD database. The MSI status was defined according
 to the Cancer Genome Atlas Network (21).

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8 Detection of single nucleotide variants (SNVs) in immune 9 checkpoint genes and association with their expression. The 10 gene expression and mutations across the GDC-TCGA-COAD and READ datasets were explored through the UCSC Xena 11 12 platform (22). Gene expression (RNAseq) was evaluated 13 using the normalized HTSeq-Fragments Per Kilobase of 14 transcript per million mapped reads (FPKM). Somatic 15 SNVs and insertions/deletions (indels) (deleterious, splice, missense/inframe, silent and complex or unannotated muta-16 tions) across immune checkpoint genes were called using 17 18 MuTect2 (v.4.1) variant aggregation and masking. The GRCh37 build of the human reference genome was used for analysis. 19

Results

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23 Elevated levels of CTLA-4, HAVCR2, IDO1, PD-1, PD-L1, 24 PD-L2, TIGIT, VTCN1 and HLA-G were detected in COAD and READ tumors against their corresponding normal tissues. 25 26 However, the difference did not reach statistical significance. 27 Among these checkpoint molecules, IDO1 was exceptionally upregulated both in COAD and READ. On the other hand, 28 29 ILT2, ADORA2A, LAG3 and VISTA exhibited a lower expres-30 sion in CRC compared to normal tissue. In the case of LAG3 31 and VISTA, the difference reached statistical significance 32 (P<0.01) (Fig. 1).

33 Patients with CRC expressing high levels of CD8A, CTLA-4, IDO1, PD-1 and TIGIT, exhibited a better overall 34 35 survival compared to patients expressing with low levels of these molecules (Fig. 2). This overexpression correlated with 36 37 the TIL load in both datasets. Specifically, among the COAD 38 tumors, the TIL load positively correlated with the expression of 39 CD8, as well as that of ADORA2A, CTLA-4, HAVCR2, LAG3, 40 PD-L1, PD-L2, TIGIT and VISTA (P<0.005, Spearman's corre-41 lation analysis) (Fig. 3A). On the other hand, among the READ 42 tumors, such positive correlations between the TIL load and 43 the expression of immune checkpoint molecules (or the TCR 44 co-receptor marker CD8A, which acts on the recognition of 45 antigens displayed by an antigen presenting cell in the context of MHC-I molecules), were scored only for LAG3 and PD-L2 46 (Fig. 3B). Of note, the expression of CTLA-4 significantly 47 correlated with that of the remaining immune checkpoint 48 49 molecules in COAD, indicating that immune response in 50 colon tumors elicits multiple host and tumor mechanisms of 51 immune suppression in the tumor microenvironment, other 52 than the PD1/PD-L1 axis. Therefore, this observation supports 53 the hypothesis that a combinatorial targeting of multiple 54 immune checkpoint pathways may expand the clinical benefit 55 for these patients (17) (Fig. 3C).

Although the outcome of patients with CRC has improved significantly with the recent implementation of annual screening programs, reliable prognostic biomarkers are still required due to the heterogeneity of the disease. Cumulative evidence indicates an association between immune signature and prognosis of the disease. Therefore, the present study 61 explored 9 immune-related gene signatures in CRC and 62 compared them to normal tissue from the TCGA and GTEx 63 projects. A significantly lower expression of the 'central 64 memory T-cell' and 'exhausted T-cell'- related gene signa-65 tures, was found in READ tumors. The 'resting and effector 66 Treg T-cell', 'naïve T-cell' and 'Th1-like' gene signatures were 67 enriched among both CRC subtypes (COAD and READ), 68 although without reaching statistical significance. On the other 69 hand, the 'resident memory T-cell' signature revealed lower 70 levels in the CRC samples compared to the normal tissue. 71 Overall, these findings reveal significant differences in the 72 immune-related gene signatures between colorectal tumors 73 and normal tissue, reflecting their association with the prog-74 nosis of the disease (Fig. 4A). 75

The second subtype of CRC (CMS2) contains hypermu-76 tated, microsatellite instable (MSI+) tumors, with a strong 77 immune activation. MSI occurs due to a defective DNA 78 mismatch repair (dMMR), which accumulates a high number 79 of mutations (23). A higher mutational load (and hence a higher 80 neoepitope load) is positively associated with overall TIL 81 infiltration, memory T cells, and CRC-specific survival (24). 82 Herein, differences were found in the percentage (%) of 83 different cell types between tumors, based on their MSI status. 84 Specifically, MSI-high tumors contained a higher percentage 85 of M1 macrophages (35%) and CD8+ T cells (7%) compared to 86 MSS (26% M1 macrophages and 3% CD8+T cells) or MSI-low 87 CRCs (25% M1 macrophages and 3% CD8+ T cells). On the 88 other hand, MSI-high CRCs contained less neutrophils (25%) 89 compared to MSS (33%) or MSI-low (32%) tumors (Fig. 4B). 90

91 In addition, the CRC tumors were stratified based on their mutation rate and the association of the expression of immune 92 checkpoint molecules with the corresponding TIL load (%) was 93 investigated in each tumor. Tumors with a high mutational rate 94 (>34 mutations/Mb) exhibited the same mutational signature 95 profile, i.e., a preference for *CpG>T mutations, with those 96 having a low mutational rate (<34 mutations/Mb) (Fig. 5A). 97 Overall, 25 genes were recurrently mutated in CRC, exhib-98 iting elevated mutation rates among hypermutated tumors. 99 The significantly mutated genes in the hypermutated cancers 100 included APC, TP53, PIK3CA, PTEN, KRAS, ATM, SYNE1, 101 SMAD4, FBXW7, KIT, BRAF, PTCH1, CSMD3, NF1, RB1, 102 among others at a lower frequency (<100 mutations) (Fig. 5A). 103 A significantly higher PD-1, PD-L1, PD-L2, CTLA-4 and 104 IDO1 expression was found among the hypermutated colon 105 adenocarcinomas, compared to those with a lower mutation 106 rate (Fig. 5B). These data suggest that a high (synonymous 107 and non-synonymous) tumor mutation rate seems to be asso- 108 ciated with clinical benefit in patients who receive anti-PD1, 109 anti-PD-L1 or anti-CTLA-4 therapy. Of major interest, it 110 was found that the TIL load (%) was also significantly higher 111 (P=0.021) among the hypermutated tumors, suggesting that 112 part of these mutations, belonging to cancer neoepitopes, 113 might be recognized by TILs that are in immediate contact 114 with the tumor cells (Fig. 5B). 115

In addition, the existence of somatic mutations in *PD-1* 116 (*PDCD1*), *PD-L1* (*CD274*), *CTLA-4* and other checkpoint genes 117 was investigated. A total of 100 SNVs and Indels were detected, 118 containing missense/inframe, deleterious, silent, or intron/RNA 119 somatic point mutations within the 12 immune checkpoint 120



Figure 1. Among the immune checkpoints analyzed, IDO1 was significantly upregulated in CRC, whereas, LAG3 and VISTA were significantly downregulated. 110 The higher levels of CTLA-4, HAVCR2, IDOI, PD-1, PD-L1, PD-L2, TIGIT, VTCN1 and HLA-G in CRC did not reach statistical significance. Equally, the lower levels of ILT2 and ADORA2A in CRC did not reach statistical significance. Red stars denote statistically significant differences (P<0.01) between COAD (or READ tumors) and the normal tissue from the TCGA and GTEx projects. CRC, colorectal cancer; COAD, colon adenocarcinomas; READ, rectal 112 adenocarcinomas.

molecules of interest, across the COAD and READ tumors (Table SII). All variants were randomly distributed and did not seem to associate with the corresponding expression levels of each gene (Figs. 6 and S1). Therefore, these results indicate that gene expression is not driven by mutations in these checkpoint genes.

Discussion

Immunoediting has turned out to be critical in appreciating 118 the immune system's ability to harness tumor growth and 119 spread in several types of cancer (25,26). New immune-based 120



Figure 2. Overall survival curves of patients with CRC, expressing high or low levels of the immune checkpoint molecules *ADORA2A*, *CTLA-4*, *HAVCR2*, 118
 IDO1/2, *LAG3*, *PD-1* (*PDCD1*), *PD-L1* (*CD274*), *PD-L2* (*PDCD1LG2*), *TIGIT*, *VISTA* (*C10orf54*), *HLA-G*, *ILT2* and *VTCN1*, or the TCR co-receptor marker
 CD8. The patients' high *CD8*, *CTLA-4*, *IDO1*, *PD1* and *TIGIT* expression levels, exhibited a tendency for improved overall survival, compared to those with
 low levels of the corresponding genes (log rank, P>0.05). CRC, colorectal cancer.



Figure 3. Correlation between the expression of immune checkpoints and the patients' corresponding TIL load, in (A) COAD and (B) READ tumors, respectively.



Figure 3. Continued. (C) Correlation between the expression of *CTLA-4* and other immune checkpoint molecules, or the TCR co-receptor marker *CD8*, in
 COAD. Spearman's correlation analysis was used with a P-value cut-off of 0.05. COAD, colon adenocarcinomas; READ, rectal adenocarcinomas.

therapies have been recently proposed as treatment against primary and metastatic CRC, using either PD-1, PD-L1 and CTLA-4 inhibitors, or a combination of them in refractory (MSI-H and MSS) colorectal tumors (13,27). In addition, adoptive cell therapy, using TILs from patients or donors, or differentiated from stem cells, is a highly promising immu-notherapeutic strategy for CRC patients (28). These immune cells are then activated and expanded in vitro, and subjected to gene modification, before finally being infused back into the patients (28). Recently, Baek and Kim (29) obtained TILs

from patients with CRC and evaluated their potential as an 111 immunotherapeutic modality. They demonstrated that the 112 *ex vivo* expanded TILs contained mostly effector memory 113 T-cells and they were found to elicit an anti-tumor response. 114 However, within the tumor microenvironment, the expression 115 of co-inhibitory immune checkpoints can lead to the inactivation of such TILs (30). 117

In the present study, the expression of several immune 118 checkpoints between CRC and normal tissue was compared, 119 using data extracted from the TCGA and GTEx platforms. 120



Figure 4. (A) Immune-related gene signatures between COAD (or READ) and normal tissue retrieved from the TCGA. The genes pertaining to each immune frequencies of the Cancer Immunome Database (TCIA). MSI-high CRCs contained higher percentage of M1 macrophages (35%) compared to MSS and MSI-low tumors (33 and 32%, respectively). COAD, the Cancer Immunomes; READ, rectal adenocarcinomas.

In addition, their association with patient survival, TIL load
and the mutation rate of each tumor and was evaluated.
Furthermore, the expression of different immune-related

gene signatures in CRC compared to the normal tissue was 118 investigated. A higher percentage of the so-called 'tumor 119 preventing' M1 macrophages and CD8⁺ T-cells was found 120



differ between hyper-mutated and non-hypermutated CRC tumors, both having a preference for *CpG>T mutations. The long tail graph shows the 25 signifi- 116 cantly mutated genes in hyper-mutated and non-hypermutated tumors. The significantly mutated genes among hypermutated tumors included APC, TP53, PIK3CA, PTEN, KRAS, ATM, SYNEI, SMAD4, FBXW7, KIT, BRAF, PTCH1, CSMD3, NF1, RB1, among others. Both mutational signatures and significantly mutated genes were assessed using iCoMut Beta for FireBrowse. (B) The expression levels of PD-1, PD-L1, PD-L2, CTLA-4 and IDO1 were significantly higher among colon adenocarcinomas with a high mutation rate per Mb. The TIL (%) load was significantly higher among tumors with a high mutation rate (P=0.021). COAD, colon adenocarcinomas; READ, rectal adenocarcinomas.



Figure 6. Gene expression levels of CTLA-4, PDCD1 (PD-1) and CD274 (PD-L1) do not seem to associate with the somatic mutation (SNPs and small 25 INDELs). Gene expression values were measured in log₂(FPKM+1) values and somatic mutations were analyzed using MuTect2 variant aggregation and 26 masking. 27

30 among MSI-high tumors, compared to the MSI-low or MSS ones. In addition, the percentage of the 'tumor promoting' 31 M2 macrophages, as well as that of neutrophils was lower 32 33 in MSI-high tumors compared to the other two microsatel-34 lite groups, in accordance with reports that have previously 35 associated these with an improved survival of patients with MSI-high CRC (31-33). A lower percentage of neutrophils 36 37 was also found among MSI-high tumors. This is in agreement with a previous report by the authors demonstrating 38 39 that patients with CRC with a low TAN percentage have an 40 improved survival compared to those with a higher TAN load (17). 41

42 By stratifying patients with colon cancer based on their 43 mutation rate (mutations per Mb), it was found that those 44 having a high mutation rate expressed significantly higher levels of PD-1, PD-L1/L2, IDO1 and CTLA-4. These observa-45 tions are in agreement with those of previous reports (34-39), 46 47 indicating that these patients may benefit more from a corre-48 sponding immune checkpoint blockade therapy. Therefore, 49 the quantification of the mutational burden in these patients 50 may be used as a predictive biomarker of immunotherapy 51 via checkpoint inhibition. The expression of PD-L1 and 52 TMB was recently found to have non-overlapping effects 53 on the response rate to PD-1/PD-L1 inhibitors and was proposed that it can be used to categorize the immunologic 54 55 subtypes of different tumor types, including CRC (37). In 56 addition, the authors of the present study previously demon-57 strated that the protein levels of PD-1, PD-L1, PD-L2 and 58 CTLA-4, similar to the CD8 marker, were significantly 59 higher in dMMR/MSI-H CRCs, compared to dMMR/MSI-L 60 and pMMR-MSS tumors. These observations indicate the influence that these immune checkpoint-expressing cells 90 91 have on the tumor microenvironment by regulating immune responses (17).

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93 The data of the present study revealed an enrichment of *IDO1* in CRC, highlighting its prominent role in the tumor 94 microenvironment. Along with IDO1, CRC tumors expressed 95 high levels of further immune checkpoint molecules, including 96 CTLA-4 and PD-1. On the other hand, a low expression 97 of ADORA2A, LAG3 and VISTA was found in CRC. This 98 may be in contrast to the recent study by Xie et al (40), who 99 found that VISTA protein was highly expressed in CRC; but 100 this was mainly due to TILs. Therefore, it seems that VISTA 101 (C10orf54) is indeed, downregulated in CRC, compared to 102 CTLA-4 and PD-1. In accordance with the data of the present 103 study, Lee et al (41) found a low percentage (23.6%) of CRCs 104 expressing LAG3. The blockade of LAG3 was also found 105 to enhance tumor-infiltrating T-cell responses of mismatch 106 repair-proficient (pMMR) liver metastasis of CRC, and was 107 suggested as a new promising immunotherapeutic target for 108 these tumors (42). 109

An increased mutational load in CRC was previously 110 associated with other metrics, including high cytolytic 111 activity, the count of MHC-I cancer neoepitopes, high micro- 112 satellite instability and deregulated expression of several 113 immune checkpoints (17). The tumor's mutational burden 114 was recently suggested to be predictive of the patients' 115 response to immune checkpoint inhibition in MSI-high 116 metastatic CRC (43). Herein, higher levels of PD-1, PD-L1, 117 PD-L2, CTLA-4 and IDO1 were also found among hypermu- 118 tated colorectal tumors, indicating an association with the 119 clinical benefit in patients who receive anti-PD1, anti-PD-L1 120

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or anti-CTLA-4 therapy. Importantly, it was found that 1 2 the TIL load was significantly higher among tumors with a high mutation rate. Overall, the lymphocytic score was 3 previously associated with the better survival of patients 4 5 with CRC (44). In a similar study, Giannakis et al found an 6 association between a higher neoantigen load and increased overall lymphocytic score in CRC (24). These observations 7 8 date back even earlier, when Jass et al demonstrated that a 0 high TIL load was an independent factor for the survival 10 of patients with rectal cancer (45), and later on, Ogino et al demonstrated that higher levels of lymphocytic reactions and 11 12 TILs were associated with patient prognosis (44). All these 13 observations confirm that the presence of a high level of 14 lymphoid reaction in the CRC tissue is associated with an 15 improved prognosis.

The molecular landscape of CRC was previously 16 17 characterized by the Cancer Genome Atlas Network (21), predicting the significantly mutated genes in CRC. In addi-18 tion to their role in affecting normal cell function, tumor 19 20 somatic mutations can generate neoantigens, which can be 21 recognized by the host immune system (46). It was found 22 that a high mutation rate was significantly associated with a high TIL load in these CRC tumors. This result is consis-23 24 tent with previous reports, and shows that patients with a 25 big number of immunogenic mutations have an increased 26 survival (47). Moreover, the corresponding tumors had higher cytotoxic T-cell (CTL) content, inferred from the 27 28 expression of CD8A.

Overall, the findings of the present study highlight the association of immune checkpoints with the TIL load, patient survival and high mutation rate in CRC. The data corroborate that patients with colon cancer with a higher *PD1*, *PD-L1/2*, *CTLA-4* and *IDO-1* expression, and a high mutation rate, are the ones who will benefit more from the respective immune checkpoint inhibition therapies.

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47 Availability of data and materials

All data generated or analyzed during this study are includedin this published article [and its supplementary informationfiles].

53 Authors' contributions

54 55 MK acquired and analyzed the data. GDA was involved in 56 the conception and design of the study and critically reviewed 57 the manuscript. AZ developed the methodology, and analyzed 58 and interpreted the data; AZ also wrote the manuscript and 59 supervised the study. All authors read and approved the final 60 manuscript.

Ethics approval and consent to participate	61
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Not applicable.	63
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Patient consent for publication	65
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Competing interests	69
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The authors declare that they have no competing interests.	71

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