



Positive lymph node ratio as a novel indicator of prognosis in gastric signet ring cell carcinoma: a population-based retrospective study

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Background: Lymph node status has a strong predictive effect on the prognosis of all patients with gastric cancer. It is unclear whether the positive lymph node ratio (PLNR) is a reliable prognostic factor for gastric signet ring cell carcinoma (SRCC).

Methods: Patients with SRCC were obtained from the Surveillance, Epidemiology and End Results (SEER) database for the years 1998–2013. Cutoff values of positive lymph node ratio (PLNR) were decided using X-tile program. Survival was determined using the Kaplan-Meier method. Univariate and multivariate analyses were used to identify prognosticator of gastric signet ring cell carcinoma.

Results: A total of 1,884 cases were identified. 0.8 as the optimal cutoff value to separate the patients into high and low risk subsets in accordance of cancer-caused survival in SRCC patients (the number as 0.8, $P < 0.0001$). Patients with PLNR > 0.8 remained to have a poorer prognosis compared with those with PLNR < 0.8 as shown by both OS (HR = 2.083, 95% CI: 1.862–2.333, $P < 0.001$) and CSS (HR = 2.052, 95% CI: 1.802–2.336, $P = 0.014$) in the multivariate cox regression model.

Conclusions: PLNR is of great significance in the evaluation of prognosis for patients with SRCC.

Keywords: Lymph node ratio; signet ring cell carcinoma; gastric cancer; SEER program

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Introduction

Gastric cancer (GC) is one of the most common malignancies, second only to lung cancer, breast cancer and colorectal cancer, but the mortality rate of GC ranks third among malignant tumors (1). Due to the popularity of *Helicobacter pylori* eradication, the incidence of GC has fallen off. However, epidemiological investigations have recently found that the incidence of diffuse gastric cancer, especially signet ring cell carcinoma (SRCC), is significantly rising (2–4). Because the pathogenesis of SRCC and precancerous lesions are not clear, the diagnosis of early tumors is difficult and it is generally found to be in stage III

or IV of advanced cancer (4).

The status of lymph node plays a strong predictive role in the prognosis of patients with GC (5), and how to formulate a therapeutic regimen for metastatic lymph nodes is a crucial clinical problem. Although the zeal for optimal lymph node dissection is inconsistent in the East and West, radical lymph node dissection is still admitted as an important strategy for the tumor removal of GC (6). In various cancers, the positive lymph node ratio (PLNR), which is calculated by dividing the number of metastatic lymph nodes by the number of lymph nodes retrieved, has been studied as a trustworthy prognostic factor (7–9).

Especially in Western countries, only a small amount of lymph nodes can be obtained after gastrectomy for GC, resulting in some patients not being able to adequately staged. Therefore, it is necessary to explore whether PLNR can be well applied to the prediction of GC prognosis. In fact, Shuhei Komatsu found that PLNR is conducive to stratifying the prognosis and assessing the extent of local malignancy resection of pN3 GC (10). In our study, we used retrospective data to study whether PLNR can as a prognostic factor in SRCC patients in the US population and our findings may show evidence that PLNR is an excellent system for describing prognosis for SRCC patients.

Methods

Patient selection

The protocol of our study was approved by the Ethics Review Committee of Shanghai Fifth People's Hospital of Fudan University. Due to the nature of the retrospective study, informed consent is not required. The data source is the Surveillance, Epidemiology and End Results (SEER) database of the National Cancer Institute (<https://seer.cancer.gov/>), which documents information on morbidity, mortality, and prevalence of millions of malignancies in USA. We identified patients who submitted to the SEER database in November 2016 for this study. The inclusion criteria were as follows: (I) The patient's diagnosis period is from 1998 to 2013; (II) the site code of 3rd edition International Classification of Diseases for Oncology (ICD-O-3) is C16.0–C16.9 representing "stomach"; (III) the histological code is signet ring cell carcinoma (8490/3); (IV) primary tumor resection was performed; (V) the patient had not received radiotherapy before surgery; (VI) one or more regional lymph nodes are examined; (VII) The variables' information of marital status, gender, race, tumor location and tumor size is available; (VIII) information on overall survival (OS) and cancer-specific survival (CSS) with survival time were clear. *Figure 1* shows the data screening method. We obtained all research data from the SEER-Stat software (SEER*Stat 8.3.6) which is used to download SEER cancer records and generate statistical data to study the influence of cancer on a population. All patients were restaged according SEER historic stage A that is the only variable recording tumor stage information from 1975 to the present. Patient race was grouped into 3 categories: white, black, and others (including American Indian/Alaska

native, Asian/Pacific Islander, and unknown).

Statistical analysis

Cutoff values of PLNR were decided using X-tile program, which is used to identify the cutoff with the minimum P values from log-rank χ^2 terms of survival (11). We used the Chi-square (χ^2) test to compare SRCC patient baseline characteristics. R language packages, "survival" and "coxphf" were used for survival analysis and Cox proportional hazards analysis, respectively. Analyses of Kaplan-Meier log-rank survival test was applied to plotted survival curves and identify differences in survival rates. For the univariate analyses, we used the Cox proportional hazards model method to visualize associations between characteristics and survival. The backward conditional method was used with probability for stepwise entry and removal at 0.05 and 0.10. $P < 0.05$ was considered to indicate statistical significance. Only covariates that were statistically significant on univariate analysis were evaluated on multivariate analysis. The multivariate Cox proportional hazards model was visualized by the nomogram at the end of 3-, 5- and 10-year survival. All data in this work were analyzed utilizing the R version 3.5.2. All statistical tests were performed on two sides.

Results

Baseline demographic

Data from node-positive SRCC patients who have undergone surgery were identified in SEER database, and the clinicopathologic features of all 1,884 cases are shown in *Table 1*. For the whole study population, the median follow-up time was 62 (range, 1–226) months. A total of 1,220 (64.8%) patients had died of SRCC at the end of follow-up. Among the whole population, 58.3% of the cases were diagnosed at age less than 70 years old, and female is slightly less than male (46.6% *vs.* 53.4%). 61.3% of the patients were married. As for the distribution of ethnicity in the patients, white patients accounted for 68% of the total population, with the rest being black and others (America Indian/AK Aboriginal, Asian/Pacific Islander). 38.2% of patients have tumors appeared in the distal third of the stomach, accounting for the largest proportion. As for sizes, 52.8% of patients had tumors with diameter greater than 5 cm, and the other is less than or equal to 5 cm. Stage Regional and Distant patients constituted the whole set,

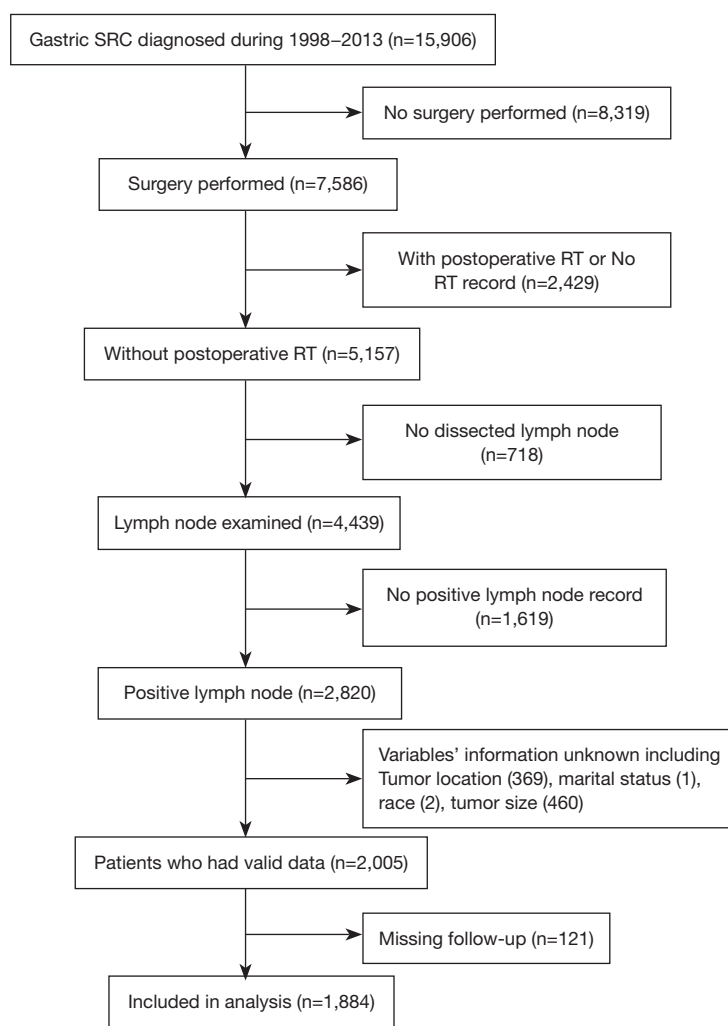


Figure 1 Flow chart of applicable patients in the SEER database. RT, radiotherapy.

with relatively 71.5% and 28.5% of the population. And 52.9% of cohort had more than 15 lymph nodes removed.

The optimal cutoff value for PLNR count calculated by X-tile

To evaluate the impact of different PLNR count on CSS, the X-tile plots was produced. The maximum value of χ^2 log-rank was 33.19 (the number as 0.8, *Figure 2*, $P < 0.0001$), using 0.8 as the optimal cutoff value to separate the patients into high and low risk subsets in accordance of CSS. As shown in *Table 1*, the PLNR value of 72.2% patients is less than 0.8. The tumor location, stage, size and the total number of lymph nodes dissected of patients showed a significant difference between patients with PLNR < 0.8 or

≥ 0.8 ($P < 0.05$). Besides, for stage Regional and Distant, we found that patients with PLNR < 0.8 had significantly better CSS than those with PLNR ≥ 0.8 (Both CSS, $P < 0.001$, *Figure 3*).

Impact of PLNR on survival of SRCC patients

Univariate cox regression analyses on the patients of all the clinical characteristics were conducted to explore their its impact on prognosis (*Table 2*). Obviously, there was a significant difference in prognosis between the two PLNR groups, regardless of OS or CSS (OS, $P < 0.001$; CSS, $P < 0.001$). Patients with advanced age (≥ 70 years) had lower OS ($P = 0.001$), and those who were American Indian/AK Native or Asian/Pacific Islander had a better prognosis (OS,

Table 1 Comparison of clinical characteristics of SRCC patients with PLNR ≤ 0.8 or PLNR > 0.8

Characteristics	Total (%), N=1,884	PLNR < 0.8 , N=1,360 (72.2)	PLNR > 0.8 , N=524 (27.8)	P value
Follow up, 62 (range, 1–226) months				
Age				0.664
<70	1,099 (58.3)	798 (58.7)	301 (57.4)	
≥ 70	785 (41.7)	562 (41.3)	223 (42.6)	
Race				0.727
White	1,282 (68)	923 (67.9)	359 (68.5)	
Black	219 (11.6)	155 (11.4)	64 (12.2)	
Other [†]	383 (20.3)	282 (20.7)	101 (19.3)	
Marital status				0.477
Married	1,155 (61.3)	841 (61.8)	314 (59.9)	
Single	729 (38.7)	519 (38.2)	210 (40.1)	
Sex				0.557
Female	878 (46.6)	640 (47.1)	238 (45.4)	
Male	1,006 (53.4)	720 (52.9)	286 (54.6)	
Location				<0.001
Proximal third	303 (16.1)	236 (17.4)	67 (12.8)	
Mid third	202 (10.7)	145 (10.7)	57 (10.9)	
Distal third	719 (38.2)	514 (37.8)	205 (39.1)	
Lesser curvature	289 (15.3)	223 (16.4)	66 (12.6)	
Greater curvature	119 (6.3)	91 (6.7)	28 (5.3)	
Overlapping	252 (13.4)	151 (11.1)	101 (19.3)	
Stage				<0.001
Distant	536 (28.5)	327 (24.0)	209 (39.9)	
Regional	1,348 (71.5)	1033 (76.0)	315 (60.1)	
Size				<0.001
≤ 5 cm	890 (47.2)	695 (51.1)	195 (37.2)	
> 5 cm	994 (52.8)	665 (48.9)	329 (62.8)	
Chemotherapy				0.629
No	1,143 (60.7)	820 (60.3)	323 (61.6)	
Yes	741 (39.3)	540 (39.7)	201 (38.4)	
LN _s				<0.001
< 15	887 (47.1)	598 (44.0)	289 (55.2)	
≥ 15	997 (52.9)	762 (56.0)	235 (44.8)	

SRCC, signet ring cell carcinoma; PLNR, positive lymph nodes ratio. Other[†]: Other (American Indian/AK Native, Asian/Pacific Islander).

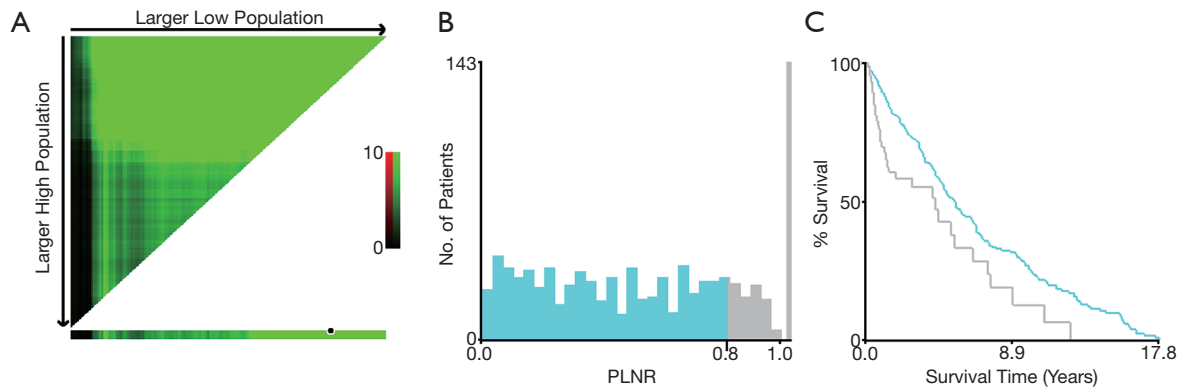


Figure 2 X-tile analysis of cancer-caused survival data. Patient data from the SEER database were randomly divided into two groups equally, training and validation sets. The plots showed that the generated log-rank χ^2 value will be divided into high-PLNR group and low-PLNR group according to the cut-off value of 0.8 ($\chi^2=33.19$, $P<0.001$). The optimal cut-off value marked by the black point (A) is revealed on a histogram of the all patients (B), and a Kaplan-Meier plot (C). PLNR, positive lymph node ratio.

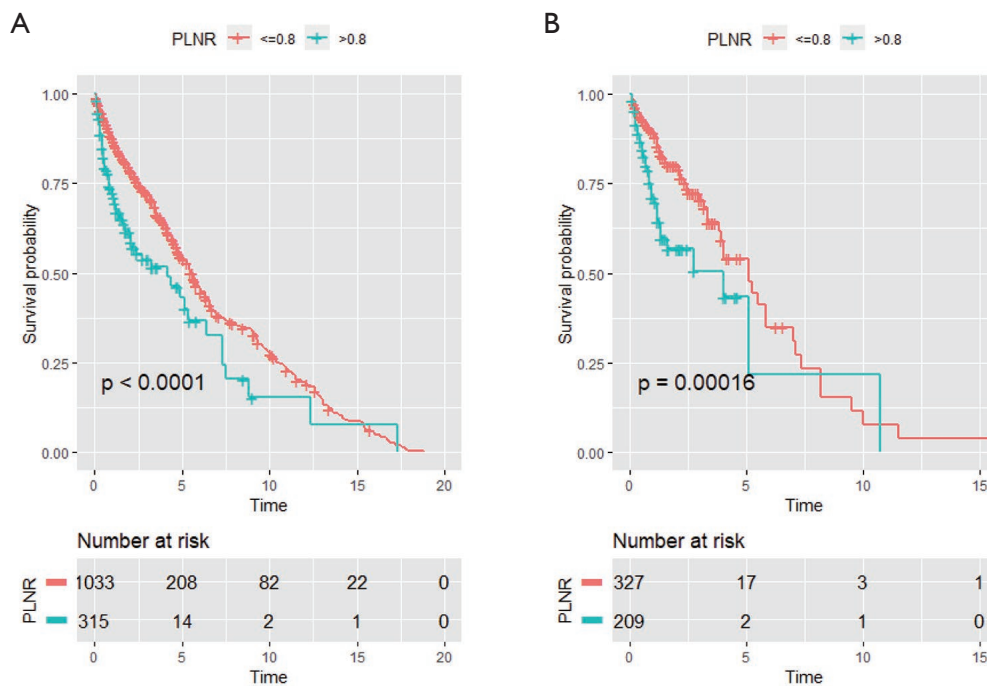


Figure 3 Cancer-caused survival analysis showed that SRCC patients with PLNR ≤ 0.8 had better prognosis than those with PLNR > 0.8 , for both Regional (A) and Distant (B) stages ($P<0.0001$, $P=0.00016$, respectively). SRCC, signet ring cell carcinoma; PLNR, positive lymph nodes ratio.

$P=0.042$; CSS, $P=0.038$). As was expected, the prognosis of patients with stage Regional is significantly better than that of patients with stage Distant (OS, $P<0.001$; CSS, $P<0.001$). Additionally, patients with lymph node examined greater than 15 had a significantly better prognosis for OS ($P=0.001$). Patients with tumor size bigger than 5 cm

had a remarkably lower survival (OS, $P<0.001$; CSS, $P<0.001$). Remarkably, the prognosis for patients receiving postoperative chemotherapy had got a lot better (OS, $P<0.001$; CSS, $P=0.017$).

By absorb all the clinical variables into the multivariate cox regression model (Table 3), patients with PLNR > 0.8

Table 2 Univariate analysis of the population for overall and cancer-specific survival

Characteristics	Overall (OS)			Cancer-specific (CSS)		
	HR	95% CI	P value	HR	95% CI	P value
Age						
<70	Ref			Ref		
≥70	1.267	1.15–1.4	<0.001	1	0.89–1.12	0.999
Race						
White	Ref			Ref		
Black	1.138	0.98–1.32	0.086	1.052	0.88–1.25	0.575
Other [†]	0.88	0.78–1	0.042	0.858	0.74–0.99	0.038
Marital status						
Married	Ref			Ref		
Single	1.096	0.99–1.21	0.069	1.065	0.95–1.2	0.286
Sex						
Female	Ref			Ref		
Male	1.044	0.95–1.15	0.381	1.037	0.93–1.16	0.524
Location						
Proximal third	Ref			Ref		
Mid third	0.842	0.7–1.02	0.076	0.854	0.68–1.07	0.163
Distal third	0.885	0.77–1.02	0.091	0.879	0.75–1.04	0.128
Lesser curvature	0.823	0.69–0.98	0.026	0.877	0.72–1.07	0.193
Greater curvature	0.801	0.64–1.01	0.057	0.795	0.61–1.04	0.095
Overlapping	1.098	0.92–1.31	0.292	1.135	0.93–1.39	0.220
Stage						
Distant	Ref			Ref		
Regional	0.531	0.48–0.59	<0.001	0.466	0.41–0.53	<0.001
Size						
≤5 cm	Ref			Ref		
>5 cm	1.48	1.34–1.63	<0.001	1.7	1.52–1.91	<0.001
Chemotherapy						
No	Ref			Ref		
Yes	0.763	0.69–0.84	<0.001	0.87	0.78–0.98	0.017
LN						
<15	Ref			Ref		
≥15	0.851	0.77–0.94	0.001	0.921	0.82–1.03	0.150
PLNR						
<0.8	Ref			Ref		
>0.8	2.391	2.15–2.66	<0.001	2.417	2.13–2.74	<0.001

PLNR, positive lymph nodes ratio. Other[†]: Other (American Indian/AK Native, Asian/Pacific Islander).

Table 3 Multivariate analysis of the population for overall and cancer-specific survival

Characteristics	Overall (OS)			Cancer-specific (CSS)		
	HR	95% CI	P value	HR	95% CI	P value
Age						
<70	Ref			Ref		
≥70	1.312	1.184–1.455	<0.001	1.069	0.945–1.208	0.289
Race						
White	Ref			Ref		
Black	1.085	0.934–1.261	0.284	0.976	0.817–1.167	0.793
Other [†]	0.886	0.782–1.005	0.060	0.86	0.743–0.996	0.045
Marital status						
Married	Ref			Ref		
Single	1.072	0.971–1.183	0.171	1.053	0.938–1.182	0.384
Sex						
Female	Ref			Ref		
Male	1.044	0.946–1.153	0.391	1.035	0.922–1.161	0.561
Location						
Proximal third	Ref			Ref		
Mid third	0.762	0.628–0.925	0.006	0.785	0.626–0.984	0.035
Distal third	0.81	0.7–0.938	0.005	0.825	0.695–0.98	0.028
Lesser curvature	0.821	0.689–0.977	0.027	0.909	0.743–1.113	0.356
Greater curvature	0.841	0.667–1.06	0.143	0.852	0.649–1.119	0.249
Overlapping	0.849	0.71–1.015	0.073	0.849	0.69–1.045	0.123
Stage						
Distant	Ref			Ref		
Regional	0.55	0.492–0.615	<0.001	0.512	0.451–0.581	<0.001
Size						
≤5 cm	Ref			Ref		
>5 cm	1.351	1.22–1.497	<0.001	1.506	1.335–1.699	<0.001
Chemotherapy						
No	Ref			Ref		
Yes	0.749	0.674–0.833	<0.001	0.788	0.698–0.89	<0.001
LNs						
<15	Ref			Ref		
≥15	0.876	0.794–0.968	0.009	0.919	0.819–1.033	0.157
PLNR						
<0.8	Ref			Ref		
>0.8	2.083	1.862–2.33	<0.001	2.052	1.802–2.336	<0.001

PLNR, positive lymph nodes ratio. Other[†]: Other (American Indian/AK Native, Asian/Pacific Islander).

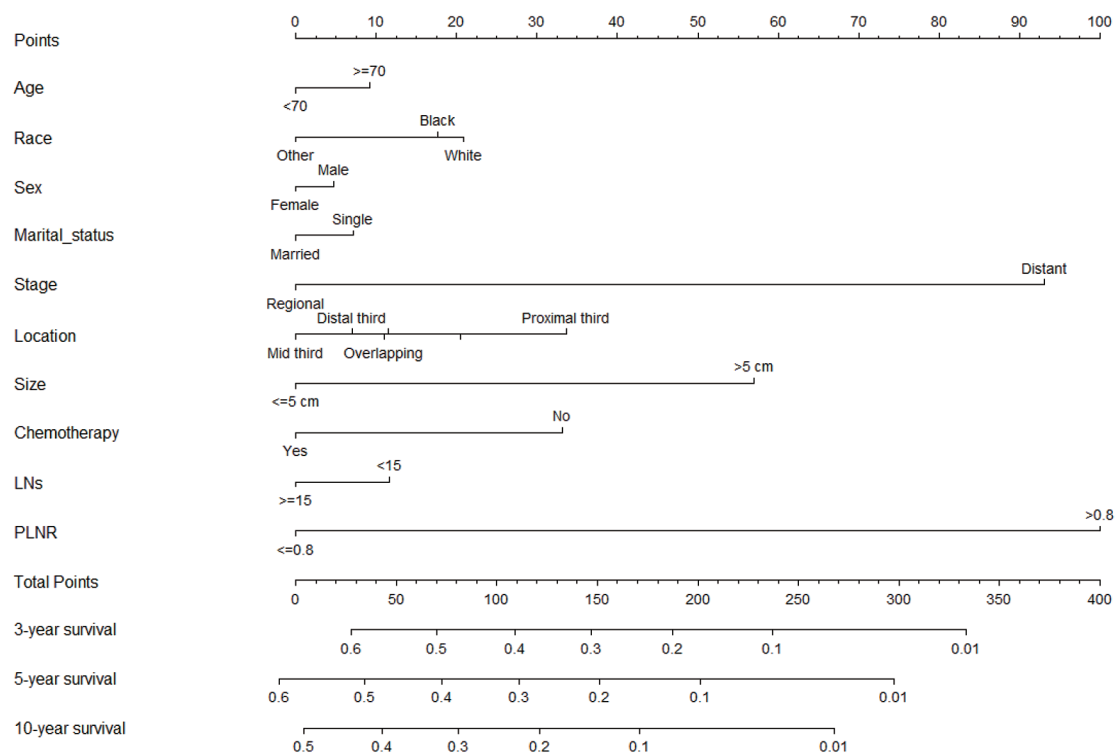


Figure 4 The nomogram was constituted by the prognostic factors to predict the 3-, 5- and 10-year cancer-caused survival of patients with gastric signet ring cell carcinoma. The risk value of cancer-caused survival is determined by drawing a vertical line upwards on the axis for each factor. Then add all points to obtain the total point. The three bottom lines that correspond vertically to the line of total points show the individual predictive value for 3-, 5- and 10-year cancer-caused survival. LNs = The total number of lymph nodes examined. PLNR, positive lymph nodes ratio.

remained to have a poorer prognosis compared with those with PLNR <0.8 as shown by both OS (HR =2.083, 95% CI: 1.862–2.33, P<0.001) and CSS (HR =2.052, 95% CI: 1.802–2.336, P=0.014). As to other factors, patients more than 70 years revealed lower OS than those less than 70 years old (HR =1.312, 95% CI: 1.184–1.455, P<0.001). Patients in stage Regional had a better prognosis than those in stage Distant (OS, HR =0.55, 95% CI: 0.492–0.615, P<0.001; CSS, HR =0.512, 95% CI: 0.451–0.581, P<0.001). Besides, compared with White, Other races had a better survival (CSS, HR =0.86, 95% CI: 0.743–0.996, P=0.045). Compared with tumors in proximal third, tumors in mid third and distal third had better OS and CSS, and tumors in lesser curvature had better OS. Tumors bigger than 5 cm tend to have a poorer survival (OS, HR =1.351, 95% CI: 1.22–1.497, P<0.001; CSS, HR =1.506, 95% CI: 1.335–1.699, P<0.001). Patients with lymph node examined greater than 15 got a significantly poorer prognosis for OS (HR =0.876, 95% CI: 0.794–0.968, P=0.009). Notably,

Patients who received chemotherapy had a better prognosis (OS, HR =0.749, 95% CI: 0.674–0.833, P<0.001; CSS, HR =0.788, 95% CI:0.698–0.89, P<0.001). Based on the above 10 clinical prognostic factors, we constructed a nomogram to deduce the CSS for patients with SRCC (Figure 4).

Discussion

Over the past decade, despite cancer detection and prevention measures in the United States, the incidence of SRCC has not declined (1,12). This histological subtype of GC may make a difference with the others, and the risk factors for SRCC are not yet clear (3). Lymph node metastasis is recognized as one of the most important factors for prognosis of GC (5). Moreover, lymph node resection for GC remains controversial. Insufficient LN assessment can lead to tumor recurrence and worsening patient survival (10). The European Society of Oncology (ESMO) suggests that the number of lymph nodes evaluated should be more than 16 to prevent the

misunderstandings of TNM staging (13). Some studies have shown that with the increase in the number of lymph nodes removed, the survival increased significantly (14).

On the other hand, the immune system will be damaged with excessive resection of lymph nodes, further resulting in other clinical issues, such as being more susceptible to infection. In our study, it was showed that the number of lymph nodes removed of SRCC was greater than 15 in favor of improving OS.

Various clinical factors may affect the number of detected lymph nodes: the degree of lymph node examination pathologically, the degree of lymph node dissection, the surgical condition, and the disparity in the number of congenital lymph nodes among individuals (15). Therefore, the deficiency of retrieved lymph nodes caused by these clinical factors affected our accurate understanding about the prognosis of each patient, affecting further treatment strategies. In summary, finding effective methods of assessment of lymph nodes on survival will elicit more accurate prognostic information. PLNR is useful for the stratification of prognosis and assessment of the extent of local cancer clearance in pN3 GC. In fact, the usefulness of the PLNR cutoff value of 0.4 in lymph node staging has been already revealed (10). In our study, it is clearly showed that the PLNR of 0.8 was the best cutoff value to separate the prognosis of GRCC patients into two cohorts ($P < 0.001$). A PLNR greater than 0.8 was found to be an independent factor of prognosis in patients with SRCC. Moreover, PLNR accurately stratified prognosis at different stages, Regional and Distant stage. However, there are still many issues to be resolved before the conversion of PLNR into a clinical utility of lymph node staging system in SRCC patients. Our next study will focus on the following areas: expanding the sample size, extending the follow-up time, and controlling the confounding factors, and so on.

Moreover, there are varying opinions on other prognostic variables of SRCC. Some studies have shown that gender, age, tumor location, size, and TNM staging are all significant clinical variables influencing the prognosis of SRCC (12,16). In contrast, a retrospective study of 2,199 patients showed that the prognosis of SRCC was irrelevant to gender, stage and chemotherapy (17). The inconsistency should result from the difference in staging systems, geography and medical level. In our study, the Cox regression analysis showed that age, race, number of lymph node removed, size and location of tumor, and staging were closely associated with SRCC survival as independent prognostic factors. There are many arguments about

the role of chemoradiotherapy in GC and SRCC. The Intergroup 0116 trial was the first large-scale randomized trial to show that adjuvant chemoradiotherapy in patients with gastric cancer who had fully tumor resection was helpful in improving survival (18). In the Western population, the Medical Research Council Adjuvant Gastric Infusional Chemotherapy (MAGIC) trial revealed that patients who underwent chemotherapy after surgery had a better prognosis for GC compared with those treated with surgery alone (19). As for SRCC, the ARTIST study and the Intergroup 0116 trial revealed that the efficacy of chemoradiotherapy in diffuse type of GC (including SRC) was low. Nevertheless, Heger *et al.* (20) found that although SRCC responded poorly to neoadjuvant chemotherapy, it did have a better prognosis. In our study, postoperative chemotherapy did improve patient OS and CSS in positive-node SRCC.

There are several inevitable limitations to our study. First, the SEER database does not cover information that may affect the patient's prognosis, such as chemotherapy, immunotherapy, targeted therapy. Second, different surgical procedures, surgeons and even pathologists will affect the detection rate of total and positive lymph nodes, but SEER did not record this information. Third, this study is a database-based retrospective study, which inevitably lacks data, so it needs to be cautious in practical applications.

Conclusions

Our study revealed that when the PLNR was >0.8 , 3-, 5- and 10-year CSS were significantly lower in patients with SRCC who have a lymphadenectomy. PNR remains a novel approach to provide accurate prognostic information and Assistance in the decision-making of clinical diagnosis and treatment for SRCC. Future clinical studies with long-term follow-up are needed to confirm the role of PLNR in prognosis for SRCC patients.

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Footnote

Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at <http://dx.doi.org/10.21037/tcr.2020.04.04>). The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The protocol of our study was approved by the Ethics Review Committee of Shanghai Fifth People's Hospital of Fudan University. Due to the nature of the retrospective study, informed consent is not required. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

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