

Since January 2020 Elsevier has created a COVID-19 resource centre with free information in English and Mandarin on the novel coronavirus COVID-19. The COVID-19 resource centre is hosted on Elsevier Connect, the company's public news and information website.

Elsevier hereby grants permission to make all its COVID-19-related research that is available on the COVID-19 resource centre - including this research content - immediately available in PubMed Central and other publicly funded repositories, such as the WHO COVID database with rights for unrestricted research re-use and analyses in any form or by any means with acknowledgement of the original source. These permissions are granted for free by Elsevier for as long as the COVID-19 resource centre remains active.



Archives of Physical Medicine and Rehabilitation

journal homepage: www.archives-pmr.org

Archives of Physical Medicine and Rehabilitation 2021;102:155-8



BRIEF REPORT

Neuropsychological Features of Severe Hospitalized Coronavirus Disease 2019 Patients at Clinical Stability and Clues for Postacute Rehabilitation



Francesco Negrini, MD,^a Irene Ferrario, PsyD,^{b,c} Daniele Mazziotti, MD,^a Marzia Berchicci, MD,^a Maurizio Bonazzi, MD,^a Alessandro de Sire, MD,^{d,e} Stefano Negrini, MD,^{a,f} Laura Zapparoli, PhD^{a,g}

From the ^aIRCCS Istituto Ortopedico Galeazzi, Milan, Italy; ^bISICO (Italian Scientific Spine Institute), Milan, Italy; ^cNEPSI (Neuropsicologia e Psicoterapia), Milan, Italy; ^dPhysical and Rehabilitative Medicine, Department of Health Sciences, University of Eastern Piedmont "A. Avogadro", Novara, Italy; ^eRehabilitation Unit, "Mons. L. Novarese" Hospital, Moncrivello, Vercelli, Italy; ^fDepartment of Biomedical, Surgical and Dental Sciences, University "La Statale", Milan, Italy; and ^gPsychology Department, University of Milano-Bicocca, Milan, Italy.

Abstract

Objectives: To report the cognitive features of patients with severe coronavirus disease 2019 (COVID-19) entering the postacute phase, to understand whether COVID-19 acute respiratory distress syndrome itself could result in long-term cognitive deficits, and to determine whether neuropsychological treatment after the acute stage might represent a specific rehabilitation need.

Design: Case series.

Setting: Rehabilitation hospital.

Participants: We assessed the general cognitive functioning through tablet-supported video calls in 9 of 12 consecutive patients (N=9) admitted to the hospital at least 30 days earlier for acute respiratory distress syndrome due to COVID-19. Three patients were excluded based on the exclusion criteria. None of the patients presented cognitive symptoms before hospitalization.

Main Outcome Measure: General cognitive functioning, measured using the Mini-Mental State Examination (MMSE) test.

Results: A general cognitive decay was observed in 3 patients (33.3%) who had a pathologic score on the MMSE, with a specific decline in attention, memory, language, and praxis abilities. The cognitive malfunctioning appears to be linearly associated with the length of stay (in d) in the intensive care unit (ICU). The longer the amount of time spent in the ICU, the lower the MMSE score, indicating a lower global cognitive functioning.

Conclusions: Our results indicate that some patients with COVID-19 might also benefit from neuropsychological rehabilitation, given their possible global cognitive decay. The link between neuropsychological functioning and the length of stay in the ICU suggests that neurocognitive rehabilitative treatments should be directed explicitly toward patients who treated in the ICU, rather than toward every patient who experienced acute respiratory distress syndrome owing to COVID-19. However, given the limitation of a case series study, those hypotheses should be tested with future studies with larger samples and a longer follow-up period.

Archives of Physical Medicine and Rehabilitation 2021;102:155-8

© 2020 by the American Congress of Rehabilitation Medicine

Based on previous animal models of severe acute respiratory syndrome and Middle East respiratory syndrome, caused by similar coronaviruses, severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2) is believed to be neuroinvasive. In

particular, previous studies highlighted brain abnormalities in the medial temporal lobe, with multifocal white matter hyperintense lesions.²

In line with these observations, 84% of patients with COVID-19 exhibited neurologic signs, with 33% of them also showing inattention, disorientation, or poorly organized movements.³ However, at the time of writing, it was not clear whether

Disclosures: none.

156 F. Negrini et al

the COVID-19 acute respiratory distress syndrome (ARDS) itself could result in long-term cognitive deficits or whether neuropsychological treatment after the acute phase might represent specific rehabilitation need.⁴

The aim of this study is to report the cognitive and psychological features of the first consecutive patients with severe COVID-19 entering the postacute phase, defined as clinical stability and complete weaning from sedative and antipsychotic drugs.

Methods

Participants

We measured the cognitive and psychological functioning in 9 of 12 consecutive patients admitted to the hospital at least 30 days earlier for ARDS owing to COVID-19. One patient was excluded owing to noncompliance to the testing, and 2 patients were excluded because they were affected by ischemic stroke during the acute phase of COVID-19.

Patients were hospitalized between March 3 and April 8, 2020. On average, respiratory symptoms started 12.3 days before hospitalization (range, 3-26d). The mean age of the patients was 60 years (range, 21-77y) and their mean education was 10 years (range, 5-18y).

All 9 patients were confirmed positive for SARS-CoV-2 using reverse transcription polymerase chain reaction assays of nasopharyngeal samples. Furthermore, thorax computed tomography scans were performed and were positive for ground-glass opacities. Venturi mask oxygen therapy and pharmacologic therapy with hydroxychloroquine (400 mg/d) for 20 days were administered to every patient. Two patients (22.2%) were also treated pharmacologically using tocilizumab. Five patients (55.6%) required mechanical ventilation and intensive care unit (ICU) stay, and 2 patients (22.2%) needed tracheostomy. Of all the patients, the mean worst ratio of arterial oxygen partial pressure (PaO₂ in mmHg) to fractional inspired oxygen (FiO₂ expressed as a fraction) was 130 (range, 56-190 [measure available for 8 patients]). Of the patients who required ICU stay, 4 patients (80%) manifested psychomotor agitation, defined by a score of 3 or more on the Richmond Agitation-Sedation Scale. All patients who required mechanical ventilation were screened during their ICU stay with electroencephalography. Two out of 5 (40%) demonstrated generalized spike-wave complexes, thus requiring therapy with an antiepileptic drug (levetiracetam). They were also screened after extubation by cranial computed tomography scan, and all were negative for acute brain injury. All the clinical details are reported in table 1.

List of abbreviations:

ARDS acute respiratory distress syndrome

COVID-19 coronavirus disease 2019

ICU intensive care unit

MMSE Mini Mental State Examination

PaO₂/FiO₂ ratio of arterial oxygen partial pressure to

fractional inspired oxygen

SARS-CoV-2 severe acute respiratory syndrome

coronavirus-2

Cognitive evaluation

Written informed consent for the cognitive evaluation was obtained from all participants according to the Helsinki Declaration of 1964. All participants took part in the study after the nature of the procedure was fully explained, and they could withdraw their participation at any point in the study.

None of the patients demonstrated cognitive or psychological symptoms before hospitalization. Their premorbid status was assessed through structured interviews with the patients' caregivers. They were required to provide an explicit judgment regarding the patients' mental functioning on a 5-point Likert scale, ranging from 1 (no symptoms) to 5 (symptoms always present), for the following cognitive domains: spatial and temporal orientation (mean score, 1; range, 1-1 for both measures), short-and long-term memory (mean score, 1.29; range, 1-3 for both measures), language comprehension and production (mean score, 1; range, 1-1 for all measures), attention (mean score, 1.29; range, 1-3), disinhibition behavior (mean score, 1; range, 1-1), and self-care skills (mean score, 1.14; range, 1-2).

Patients' cognitive and psychological evaluation was performed after 2 conditions were achieved: (1) complete weaning from sedative and antipsychotic drugs, and (2) clinical stability defined by a PaO₂/FiO₂ ratio greater than 250 and ability to respond to an interview without a decrease in oxygen saturation below 90%. On average, interviews were conducted 44 days after admission (range, 29-61d).

Cognitive and psychological data were collected via tablet-supported video calls with a neuropsychologist, with the aid of a clinician who was physically with the patient. We performed Mini-Mental State Examination (MMSE),⁵ which is a general cognitive assessment, and the Frontal Assessment Battery,^{6,7} which is a global evaluation of executive functions. Moreover, we collected measures of mood and anxiety traits using the State-Trait Anxiety Inventory,⁸ and the Beck Depression Inventory.⁹ These batteries are typically used in the clinical practice and permit a global evaluation of cognitive and executive functioning in a short amount of time. Moreover, they are suitable in this particular clinical context of video-calls assessments.

Neuropsychological scores were adjusted for age, sex, and education. This was done to take into account the possible intervening role of age, sex, and education on the raw scores.

Results

General cognitive decline was observed in 3 patients (33.3%) who had a pathologic MMSE score. An item-by-item analysis showed that all of these patients had low scores in the domain of attention and calculation (counting backwards task), short-term memory (recall of 3 familiar words), constructional praxia (copy a drawing of 2 intersecting pentagons), and written language (writing of a sentence).

Only 1 patient (11.1%) demonstrated a decay of executive frontal functioning, showing pathologic score in the Frontal Assessment Battery, with deficits in conceptualization, lexical fluency (an index of mental flexibility), and motor programming. Interestingly, the cognitive decay appeared to be linearly associated with the length of stay (in d) in the ICU, as shown in figure 1A. However, the relatively small sample of the present study does not permit the statistical testing of the significance of this association. On the other hand, the relationship between

Patrient Sex Age, y Education, y ISAM STAI STAI STAI Stage Stage STAI STAI STAI Fig2 d Intubation Tocilizumable pronation Tracheostomy C-PAP Agitation Physiotherapy Sepsis No. Sex 46 13 30.86 23.49 16.47 38 41 8 112 9 1 0										Worst	ICU								
Sex Age, y Education, y kg/m² MMSE FAB X1 X2 BI Fig. d Intubation Tocilizumab Pronation Tracheostomy C-PAP Agitation M 64 13 30.86 23.49 16.47 38 41 8 112 9 1 0 </th <th>Patient</th> <th></th> <th></th> <th></th> <th>BMI,</th> <th></th> <th>STAI</th> <th>STAI</th> <th></th> <th>$Pa0_2/$</th> <th>Stay,</th> <th></th> <th></th> <th></th> <th></th> <th></th> <th>Psychomotor</th> <th></th> <th></th>	Patient				BMI,		STAI	STAI		$Pa0_2/$	Stay,						Psychomotor		
64 13 30.86 23.49 16.47 38 41 8 112 67 18 23.89 22.46 14.1 36 40 5 101 59 13 32.77 28.99 16.83 30 28 2 56 56 3 24.69 23.24 10.81 76 51 NA 120 77 5 27.17 26.03 13.52 28 23 7 190 67 13 31.62 29.49 15.38 43 40 5 180 74 13 39.84 29.86 15.41 46 42 11 145 21 13 18.03 28.59 18 NA NA NA 59 8 40.83 25.97 17.86 65 43 23 134	No.	Sex	Age, y	Education, y	kg/m^2	MMSE FAB	X1	X2	BDI	Fi0 ₂	р	Intubation	Tocilizumab	Pronation	Tracheostomy	C-PAP	. Agitation	Physiotherapy	Sepsis
67 18 23.89 22.46 14.1 36 40 5 101 59 13 32.77 28.99 16.83 30 28 2 56 77 5 24.69 23.24 10.81 76 51 NA 120 67 13 27.17 26.03 13.52 28 23 7 190 74 13 39.84 29.86 15.41 46 42 11 145 21 13 18.03 28.59 18 NA NA NA 59 8 40.83 25.97 17.86 65 43 23 134	1	Σ	94	13	30.86		38	41	∞	112	6	1	0	0	0	0	0	1	1
59 13 32.77 28.99 16.83 30 28 2 56 56 3 24.69 23.24 10.81 76 51 NA 120 77 5 27.17 26.03 13.52 28 23 7 190 67 13 31.62 29.49 15.38 43 40 5 180 74 13 39.84 29.86 15.41 46 42 11 145 21 13 18.03 28.59 18 NA NA NA 59 8 40.83 25.97 17.86 65 43 23 134	2	Σ	29	18	23.89	22.46 14.1	36	40	2	101	35	1	1	-	1	1	0	1	1
56 3 24.69 23.24 10.81 76 51 NA 120 77 5 27.17 26.03 13.52 28 23 7 190 67 13 31.62 29.49 15.38 43 40 5 180 74 13 39.84 29.86 15.41 46 42 11 145 21 13 18.03 28.59 18 NA NA NA 59 8 40.83 25.97 17.86 65 43 23 134	3	Σ	59	13	32.77	28.99 16.83	30	28	2	99	27	1	7	\leftarrow	1	1	1	1	1
77 5 27.17 26.03 13.52 28 23 7 190 67 13 31.62 29.49 15.38 43 40 5 180 74 13 39.84 29.86 15.41 46 42 11 145 21 13 18.03 28.59 18 NA NA NA 59 8 40.83 25.97 17.86 65 43 23 134	4	Σ	99	3	24.69		9/	51	NA	120	21	1	0	П	0	0	0	1	1
67 13 31.62 29.49 15.38 43 40 5 180 74 13 39.84 29.86 15.41 46 42 11 145 21 13 18.03 28.59 18 NA NA NA NA 59 8 40.83 25.97 17.86 65 43 23 134	2	Σ	77	5	27.17		28	23	7	190	0	0	0	0	0	0	0	0	0
74 13 39.84 29.86 15.41 46 42 11 145 21 13 18.03 28.59 18 NA NA NA 59 8 40.83 25.97 17.86 65 43 23 134	9	ட	29	13	31.62		43	40	2	180	0	0	0	0	0	0	0	0	0
21 13 18.03 28.59 18 NA NA NA NA NA NA S 40.83 25.97 17.86 65 43 23 134	7	ш	74	13	39.84		94	45	11	145	0	0	0	0	0	0	0	0	0
59 8 40.83 25.97 17.86 65 43 23 134	∞	ட	21	13	18.03	28.59 18	NA	N	ΑN	NA	0	0	0	0	0	0	0	1	1
	6	Σ	59	∞	40.83		69			134	59	1	0	0	0	0	0	1	1

cognitive functioning and clinical data appeared to be less evident when considering the PaO_2/FiO_2 (see fig 1B). Finally, 6 patients (66.7%) displayed anxiety symptoms, 2 of whom (22.2%) also had mild depressive symptoms. However, these did not appear to be associated with cognitive functioning (see table 1 for further details).

Discussion

The present report provides some information for the postacute rehabilitation management of patients with COVID-19. Taken together, these preliminary results suggest the importance of testing and eventually supporting cognitive functioning in patients with COVID-19 after discharge. COVID-19 is a new virus with unknown long-term outcomes, and cognitive functioning cannot be left out when considering the rehabilitation of these patients.

Neurocognitive rehabilitative treatments should be specifically directed toward patients treated in the ICU, rather than toward every patient who experienced ARDS owing to COVID-19. Indeed, current results appear to link the neuropsychological decay more with the length of stay in ICU than possible direct damage owing to SARS-CoV-2 infection. This is similar to what has been observed in patients experiencing post intensive care syndrome. ¹⁰

It is worth mentioning that our neuropsychological evaluation was successfully conducted via video calls, which can also be easily administered using a smartphone. Thus, telemonitoring and telerehabilitation might be considered as feasible options for the early study of patients with COVID-19, providing convenient access without the risk of exposure in a congested hospital or in medical practice waiting rooms. In the case of large-scale assessments, other instruments such as the telephone¹¹ might be adopted to reach a larger number of participants.

Limitations

Our study has some limitations that should be taken into consideration. First, the absence of a long-term follow-up period. On average, we tested our patients after 1 month of hospitalization. It is unknown whether some patients would spontaneously show a recovery of cognitive functioning without further treatments given a longer period of time. Moreover, our sample size does not allow us to test other interesting hypotheses such as the possible mediating effect of the sex variable. Indeed, our data show lower MMSE scores for men. This might be related to men in some subcultures with COVID-19 being more at risk for worse outcomes and death resulting from sex-related behavioral differences (eg, smoking and drinking, lower rates of handwashing, and resistance to following social distancing requirements ¹²).

Furthermore, all patients admitted to the ICU were intubated, regardless of their length of stay. Being intubated or not might explain the decline in cognitive functions. However, the binary nature of this variable does not allow us to further explore its potential association with cognitive functioning. Finally, we cannot exclude a possible important role of either greater isolation or the need of proning for patients with COVID-19, compared with other patients who developed post intensive care syndrome who did not experience COVID-19. All of these hypotheses should be tested with future studies with larger samples and a longer follow-up period.

Table 1 Patients' cognitive and clinical details

158 F. Negrini et al

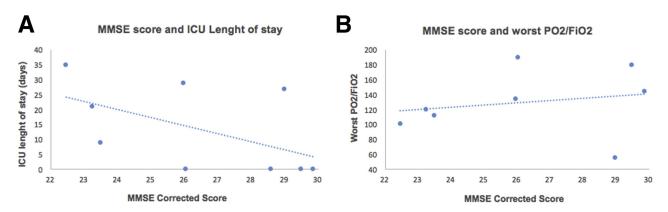


Fig 1 Scatter plot illustrating the relationship between cognitive and clinical data.

Conclusions

Based on our preliminary results, patients with severe COVID-19 might benefit from neuropsychological rehabilitation, given their possible global cognitive decay. This appears to be especially valid for patients who required ICU stay and intubation. However, owing to the limitation of a case series study, further studies with larger samples and longer follow-up are needed.

Keywords

Cognition; Coronavirus; Neuropsychology; Rehabilitation; SARS virus

Corresponding author

Stefano Negrini, MD, IRCCS Istituto Ortopedico Galeazzi, Via Galeazzi 4, 20161, Milan, Italy. *E-mail address:* stefano. negrini@unimi.it.

References

 Natoli S, Oliveira V, Calabresi P, Maia LF, Pisani A. Does SARS-Cov-2 invade the brain? Translational lessons from animal models. Eur J Neurol 2020 Apr 25 [Epub ahead of print].

- Kramer S, Lersy F, de Sèze, et al. Brain MRI findings in severe COVID-19: a retrospective observational study. Radiology 2020 Jun 16 [Epub ahead of print].
- Helms J, Kremer S, Merdji H, et al. Neurologic features in severe SARS-CoV-2 infection. N Engl J Med 2020;382:2268-70.
- Ceravolo MG, de Sire A, Andrenelli E, Negrini F, Negrini S. Systematic rapid "living" review on rehabilitation needs due to COVID-19: update to March 31st, 2020. Eur J Phys Rehabil Med 2020;56: 347-53
- Folstein MF, Folstein SE, McHugh PR. Mini-mental state". A practical method for grading the cognitive state of patients for the clinician. J Psychiatr Res 1975;12:189-98.
- Dubois B, Slachevsky A, Litvan I, Pillon B. The FAB: a Frontal Assessment Battery at bedside. Neurology 2000;55:1621-6.
- Negrini F, Preti M, Zirone E, et al. the importance of cognitive executive functions in gait recovery after total hip arthroplasty. Arch Phys Med Rehabil 2020;101:579-86.
- Spielberger CD. State-trait anxiety inventory, The Corsini encyclopedia of psychology. Hoboken, NJ: John Wiley & Sons, Inc.; 2010.
- Beck AT, Steer RA, Brown GK. Manual for the Beck Depression Inventory-II. San Antonio, TX: Psychological Corporation; 1996.
- Inoue S, Hatakeyama J, Kondo Y, et al. Post-intensive care syndrome: its pathophysiology, prevention, and future directions. Acute Med Surg 2019;6:233-46.
- Tun PA, Lachman M. Telephone assessment of cognitive function in adulthood: the brief test of adult cognition by telephone. Age Aging 2006;35:629-32.
- Jin JM, Bai P, He W, et al. Gender differences in patients with COVID-19: focus on severity and mortality. Front Public Health 2020;8:152