

G OPEN ACCESS

Citation: Thronicke A, Reinhold T, von Trott P, Grah C, Matthes B, Matthes H, et al. (2020) Costeffectiveness of real-world administration of chemotherapy and add-on *Viscum album* L. therapy compared to chemotherapy in the treatment of stage IV NSCLC patients. PLoS ONE 15(7): e0236426. https://doi.org/10.1371/journal. pone.0236426

Editor: Giandomenico Roviello, Istituto di Ricovero e Cura a Carattere Scientifico Centro di Riferimento Oncologico della Basilicata, ITALY

Received: February 14, 2020

Accepted: July 6, 2020

Published: July 27, 2020

Copyright: © 2020 Thronicke et al. This is an open access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Data Availability Statement: The anonymized data that support the findings of this study are openly available in the repository figshare.com (https://figshare.com/s/cdcc5d4defa7a735ceb7,DOI: 10. 6084/m9.figshare.11807658).

Funding: The Network Oncology was funded by unrestricted research grants from Iscador AG Arlesheim, Switzerland; Abnoba GmbH Pforzheim, RESEARCH ARTICLE

Cost-effectiveness of real-world administration of chemotherapy and add-on *Viscum album* L. therapy compared to chemotherapy in the treatment of stage IV NSCLC patients

Anja Thronicke¹, Thomas Reinhold^{2,3}, Philipp von Trott⁴, Christian Grah⁵, Burkhard Matthes⁵, Harald Matthes^{1,3,4,6}, Friedemann Schad^{1,4}*

 Research Institute Havelhöhe at the Hospital Gemeinschaftskrankenhaus Havelhöhe, Berlin, Germany,
Institute of Social Medicine, Epidemiology and Health Economics, Charité – Universitätsmedizin Berlin, Corporate Member of Freie Universität Berlin, Humboldt-Universität zu Berlin, Berlin, Germany,
Berlin Institute of Health, Berlin, Germany,
Interdisciplinary Oncology and Palliative Care, Hospital
Gemeinschaftskrankenhaus Havelhöhe, Berlin, Germany,
Lung Cancer Centre, Hospital
Gemeinschaftskrankenhaus Havelhöhe, Berlin, Germany,
Medical Clinic for Gastroenterology, Infectiology and Rheumatology CBF and Institute of Social Medicine, Epidemiology and Health Economics, Charité – Universitätsmedizin Berlin, Corporate Member of Freie Universität Berlin, Humboldt-Universität zu Berlin, Berlin, Germany

* fschad@havelhoehe.de

Abstract

Background

For stage IV lung cancer patients receiving add-on *Viscum album* L. (VA) treatment an improved overall survival was detected. Information regarding cost-effectiveness (CE) for comparisons between chemotherapy (CTx) and CTx plus additive VA in stage IV lung cancer treatment is limited. The present study assessed the costs and cost-effectiveness of CTx plus VA (V) compared to CTx alone (C) for stage IV non-small cell lung cancer (NSCLC) patients treatment in a hospital in Germany.

Methods

In the observational real-world data study, data from the Network Oncology clinical registry were utilized. Enrolled stage IV lung cancer patients received the respective therapy (C or V) in a certified German Cancer Center. Cost and cost-effectiveness analyses from the hospital's perspective were investigated on the basis of overall survival (OS) and routine financial controlling data. In addition, the incremental cost-effectiveness ratio (ICER) was calculated. The primary result of the analysis was tested for robustness in a bootstrap-based sensitivity analysis.

Results

118 patients (C: n = 86, V: n = 32) were included in the analysis, mean age 63.8 years, the proportion of male patients was 55.1%. Adjusted hospital's total mean costs for patients

Germany; and Helixor GmbH Rosenfeld, Germany. By contract, researchers were independent from the funder. The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

Competing interests: CG reports grants from Iscador AG, outside the submitted work. BM received fees for lectures or advisory boards from AstraZeneca GmbH, Boehringer Ingelheim AG & Co. KG. Helixor Heilmittel GmbH. Kvowa-Kirin GmbH, Leo GmbH, Lilly Deutschland GmbH, Roche Deutschland Holding GmbH, Teva GmbH outside the submitted work. BM received grants for travelling from AstraZeneca GmbH, BMS GmbH & Co. KG, Boehringer Ingelheim AG & Co. KG, Celgene GmbH. Helixor Heilmittel GmbH. Iscador AG, Jannsen, Kyowa-Kirin GmbH, Leo, Lilly, Novartis, MSD Sharp & Dohme GmbH, Pfizer Deutschland GmbH, Roche Deutschland Holding GmbH, Teva GmbH, outside the submitted work. FS reports grants from ABNOBA GmbH, AstraZeneca GmbH. Helixor Heilmittel GmbH and Iscador AG outside the submitted work. Grants from AstraZeneca GmbH and Helixor Heilmittel GmbH include travel costs and honoraria for speaking. HM is a member of the board of directors of Weleda AG and a member of the Network Arbeitsgemeinschaft der Wissenschaftlichen Fachgesellschaften (AWMF e. V.) guideline committee for integrative oncology (Guideline for Complementary Medicine in the Treatment of Oncological Patients). HM has an endowed professorship at the Charité Universitätsmedizin Berlin, which is financed by the Software AG Foundation, outside the submitted work. The Network Oncology was funded by unrestricted research grants from Iscador AG Arlesheim, Switzerland; ABNOBA GmbH Pforzheim, Germany; and Helixor GmbH Rosenfeld, Germany. The funders had no role in study design, data collection, analysis, decision to publish, or preparation of the manuscript. By contract, researchers were independent from the funder. There are no other relevant declarations relating to employment and consultancy to declare. There are no patents, products in development or marketed products to declare. There are no other relationships/conditions/circumstances that present a potential conflict of interest. This does not alter our adherence to PLOS ONE policies on sharing data and materials. No payment was received for any other aspects of the submitted work. The other authors have declared that no competing interests exist.

from the C and V group were €16,289, 95%CI: 13,834€-18,744€ (over an adjusted mean OS time of 13.4 months) and €17,992, 95%CI: 13,658–22,326 (over an adjusted mean OS time of 19.1 months), respectively. The costs per additional OS year gained (ICER) with the V-therapy compared to C therapy were €3,586.

Conclusion

The findings of the present study suggest that the combined use of chemotherapy and VA was clinically effective and comparably cost-effective to chemotherapy alone in our analysed patient sample from the hospital's perspective. Further randomized and prospective cost-effectiveness studies are necessary to complement our findings.

Introduction

Integrative oncology (IO) has been increasingly established and acknowledged for the improvement of health-related quality of life during the last decades in international academic and public cancer centres [1, 2]. IO comprises the use of complementary interventions including mind-body-practices, natural products and/or lifestyle modifications in addition to conventional oncological treatment [3]. Complementary mistletoe extracts (*Viscum album Loranthaceae*, VA) which are applied within IO concepts improve the health-related quality of life (HRQL) of oncological patients [4, 5].

The demand for IO is on the rise world-wide due to the increasing self-awareness of people with cancer and cancer survivors who want to self-manage their own care and needs as an active participant in the treatment [6, 7]. IO concepts aim to be accepted in the future as routinely applied health care elements as they contribute to clinical effectiveness by helping people with cancer to maintain their HRQL, to maintain dosing and to avoid interruption of standard oncological treatment. Thus, costs and cost-effectiveness of IO need to increasingly be analysed to determine which of the effective interventions can be delivered to the patients. It has been found that IO concepts have the potential to deliver cost-effective therapies to oncological patients [8–11].

Lung cancer ranks first position in cancer-related deaths worldwide [12]. The majority (85%) of lung cancer patients are diagnosed with NSCLC and the prognosis of this tumour type is devastating—at a metastasized stage the five-year survival rate is less than 10 percent. NSCLC healthcare costs generally are on the rise due to the high costs of rapidly progressing molecular-based personalized therapies. Immune checkpoint inhibitors (ICI) which have dramatically improved the clinical outcome of NSCLC patients are costly; some of them are not cost-effective over chemotherapy for NSCLC [13]. Others are cost-effective when therapy selection on PD-L1 expression is warranted [14]. Therefore, the quest for innovative and cost-effective treatment options is ongoing. As limited information on cost and cost-effectiveness (CEA) of IO concepts including add-on VA is available for lung cancer, the objective of the present study was to perform a CEA of combinational chemotherapy plus add-on VA compared to chemotherapy alone in stage IV NSCLC patients from a German hospital's perspective.

Materials and methods

Study design, patients and primary objective

A controlled, non-randomized, observational and monocentric real-world study was conducted and data from an accredited oncological registry, Network Oncology, were analysed [15]. Patients were included in the analysis who were 18 years or older, who gave written consent, with a histologically proven primary diagnosis of stage IV NSCLC receiving CTx surviving more than 28 days. Patients were not included when death date or last contact date was not available. Follow-up was performed routinely six months after first diagnosis and annually during the next years. Loss to follow-up was defined as no follow-up visits. The CE analysis took the perspective of the hospital Gemeinschaftskrankenhaus Havelhöhe Berlin (GKHB), at which the patients were treated. The GKHB is an Anthroposophic-integrative working hospital harbouring three German Cancer Society (DKG, Deutsche Krebsgesellschaft)—certified Organ Centres, including a certified lung cancer centre. The study served as a feasibility study for subsequent IO cost-effectiveness studies. The primary objective of this analysis was to evaluate the CE of VA in addition to CTx compared to CTx alone in stage IV NSCLC patients from the hospital's perspective.

Ethics approval and consent to participate

The Network Oncology study has been approved by the ethics committee of the Medical Association Berlin (Berlin—Ethik-Kommission der Ärztekammer Berlin). The reference number is Eth-27/10. Written informed consent has been obtained from all patients prior study enrolment. The study complies with the principles laid down in the Declaration of Helsinki [16].

Data collection

Structured queries from patient records were run for lung patients (International Classification of Diseases code: C34) using the German Cancer Society accredited clinical registry Network oncology (NO) [15]. Tumour stage at first diagnosis was defined as the earliest recorded stage within a month of the diagnosis date and was coded according to the Union for International Cancer Control (UICC) stages according to the 7th edition of TNM Classification of Malignant Tumours [17]. Demographic hospital-related data such as diagnosis, histology, pretreatment and treatment as well as last patient record including date of death, the last documentation of personal contact, date of interdisciplinary tumour board conferences or followup data were retrieved from the NO registry. Standard oncological treatments and application of VA extracts were queried with their according start and end dates. VA therapy was defined as lasting equal or more than four weeks. Information on hospital stays, length of each hospitalization and longitudinal stationary cost data (according to the German Institute for Hospital Fee Systems (InEK) reporting principles) were retrieved from the hospital's cost-accounting data base at the financial controlling department. Cost data included the cost of primary therapy for lung cancer, medication, hospital charges for surgery, anaesthesia, diagnostics, laboratories, professional fees, imaging, normal and intensive care units as well as medical and nonmedical infrastructure as incurred. Outpatient costs were not included, because they are not captured routinely in the hospital data.

Allocation of groups

Stage IV NSCLC patients included in the study were classified into one of the two groups: a) C group—patients received only CTx and no add-on VA therapy and b) V group–patients that received combinational CTx and add-on VA therapy. Guideline oriented CTx and add-on VA were applied as per routine clinical care. Non-randomized allocation to the treatment groups was performed by the physician after elaborate information and patient's decision on treatment options. Applied VA preparations included abnobaVISCUM[®], Helixor[®] and Iscador[®] VA extracts and were given subcutaneously according to the SmPC [18–20]. Off-label VA application (intravenous, intratumoural) was performed in individual cases.

Statistical analyses including survival and cost analyses

All statistical analyses were conducted using the software R, version 3.6.1 (2019-07-05 [42] with the exception of sensitivity analysis which was performed by using MS Excel 2016. Data are presented using descriptive statistics, normally distributed continuous data by the mean and standard deviation (SD) or 95% CI and skewed distributions by the median and 95% confidence interval (CI). Binary and categorical variables were presented as absolute and relative frequencies using counts and percentages. Patients with missing data were not included. For comparison of continuous variables between groups at baseline the unpaired Student's t-test for independent samples was used. For comparison of categorical baseline variables chi-square analyses were performed. All tests were performed two-sided. P-values <.05 were considered as significant. In survival and cost analyses the distribution of covariates was determined visually via density distribution, quantile-quantile diagrams and/or via frequency distribution.

For the calculation of survival outcome and hazard risks, Kaplan Meier survival analyses, right-censored time-to-event analyses and, to reduce potential bias, multivariate stratified Cox proportional hazard analyses were performed as previously reported [21], utilizing the R-pack-ages 'survival' (version 3.1–8), 'prodlim' (version 2019.11.13), ggplot2 (version 3.2.1) and 'survminer' (version 0.4.6). Prior Cox proportional hazard analysis, verification analyses were performed whether or not proportional hazard assumptions were met. The start date for survival analysis (index date) was the date of first histology at diagnosis of stage IV NSCLC. Patient survival was calculated from index date until the patient's last record. To reduce potential bias mean overall survival in months and stationary costs in Euro were adjusted via co-variance analysis involving the following co-variates: age, gender (male/female), BMI (4 levels), histology (3 levels), cancer-directed surgery (yes/no), radiation (yes/no), CTx (yes/no), add-on VA therapy (yes/no), smoker status (3 levels). To address cost-influencing factors, multivariable linear regression analyses were performed.

Cost-effectiveness analyses

For measuring the cost-effectiveness, data on adjusted mean stationary hospital costs was divided by the adjusted mean OS. As a result, the mean hospital costs per month mean OS were reported. In the case the intervention would show a better effect in terms of OS and would be more expensive than the control, the incremental cost-effectiveness ratio (ICER) was calculated. In our analysis the ICER is defined as the additional patient-related costs per additional year OS of the intervention (here V) compared to a control group (here C) and was calculated through dividing the group cost difference by effectiveness difference translating into the mathematical equation $\left(\frac{adjusted mean hospital costs V - adjusted mean hospital costs C}{adjusted mean months OS V - adjusted mean months OS C}\right)$. To determine to what extent the primary cost-effectiveness results may vary due to many replications a bootstrap analysis with random 1000-fold resampling population was performed. This analysis accounted for the heterogeneity of individual hospital's resource consumptions observed in the study. The results of the bootstrap samples were plotted into the four-quadrant diagram (cost-effectiveness plane), which gives graphical information on the incremental results' robustness.

Results

Patients

From 135 patients screened, 17 patients were not included due to missing data, see Fig 1. 118 patients (C: n = 86, V: n = 32) were included for subsequent outcome analysis (follow-up total:

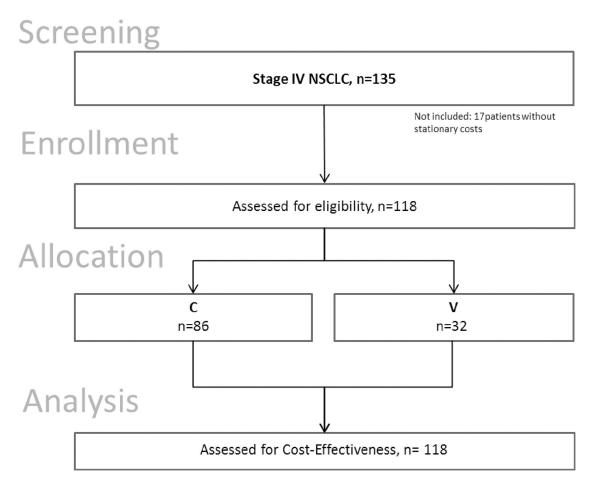


Fig 1. Flow chart of the study population. CE, cost-effectiveness; C, group of patients receiving chemotherapy only, V, group of patients receiving chemotherapy plus add-on *Viscum album* L.; VA, *Viscum album* L., mistletoe.

https://doi.org/10.1371/journal.pone.0236426.g001

2714 days; average 287.65 \pm 246.84 days). Patient's demographic and clinical characteristics are shown in Table 1.

The mean age of the patients was 63.8 years. Patients in the V group were on average 1.3 years older than patients in the C group (V: 64.8 years, C: 63.5 years, p = 0.58). 55.1% of the patients were male with slight differences between the groups (V: 58.8% male, C: 50.0% male, p = 0.11). The majority of patients were diagnosed with adenocarcinoma (70.3%), followed by squamous cell carcinoma (19.5%) and large cell carcinoma (10.2%). Most baseline characteristics between both groups were balanced, see Table 1.

A minority of the patients (8.5%) received cancer-directed surgery and almost half of the patients (47.5%) received radiation, see Table 1. All patients that were included in the study received CTx with the majority of patients receiving platinum-based CTx (C: 97.7%, V: 100%) mostly in combination with pemetrex(ed) (C: 53.5%, V: 59.4%) or vinorelbine (C: 37.2%, V: 21.9%), see Table 2. With the exception that the C-group received significantly more vinorelbine than the V-group, the therapeutic regimens between both groups were balanced.

32 patients (27.1%) of the cohort received supportive *Viscum album* L. (VA) extracts in addition to CTx. While the majority of these patients applied the extracts subcutaneously (100%), twenty one patients (65.6%) additionally received intravenous and two patients (6.3%) received intratumoural applications. With respect to the VA host trees most patients received

	All patients (n = 118)	C (n = 86)	V (n = 32)	p-value ¹⁾
age in years, mean (SD)	63.8 (10.6)	63.5 (10.5)	64.8 (10.8)	0.58
histology LCC, n (%)	12 (10.2)	7 (8.1)	5 (15.6)	
histology ADC, n (%)	83 (70.3)	62 (72.1)	21 (65.6)	
histology SQC, n (%)	23 (19.5)	17 (19.8)	6 (18.8)	0.49
gender, female, n (%)	53 (44.9)	43 (50.0)	10 (31.2)	
gender, male, n (%)	65 (55.1)	43 (50.0)	22 (68.8)	0.11
BMI < 25, n (%)	56 (47.5)	40 (46.5)	16 (50.0)	
BMI 25–29.9, n (%)	32 (27.1)	22 (25.6)	10 (31.2)	
BMI 30+, n (%)	10 (8.5)	10 (11.6)	0	
BMI NA, n (%)	20 (17.0)	14 (16.3)	6 (18.8)	0.25
smoker, current/past, n (%)	89 (75.4)	67 (77.9)	22 (68.8)	
smoker, never, n (%)	9 (7.6)	8 (9.3)	1 (3.1)	
smoker, NA, n (%)	20 (17.0)	11 (12.8)	9 (28.1)	0.10
cancer-directed surgery, yes, n (%)	10 (8.5)	6 (7.0)	4 (12.5)	0.60
radiation, yes, n (%)	56 (47.5)	39 (45.3)	17 (53.1)	0.11
comorbidities, n (%)	50 (42.4)	36 (41.9)	14 (43.8)	0.85

Table 1. Baseline characteristics.

Characteristics of patients with stage IV non-small cell lung cancer, percentages of sub-characteristics may not add up to 100% due to rounding procedure; n, number of patients; %, percent; SD, standard deviation; C, chemotherapy; V, chemotherapy plus add-on VA;

¹⁾ chisquare analysis for categorial variables; Student's t-test for age distribution.

https://doi.org/10.1371/journal.pone.0236426.t001

add-on VA from the fir tree (81.3% VA fraxini), followed by VA from the pine tree (43.8% VA pini), and from the oak tree (37.5% VA quercus), see <u>Table 3</u>.

Overall survival

The age-adjusted mean OS for the C-group was 13.4 months and for the V-group 19.1 months, indicating that patients from the V-group lived 5.7 months longer than patients from the C-group, see Table 4. As no death occurred in both groups before start of treatment and as time until treatment was not significantly different between both groups (p = 0.69) a time-dependent bias could be precluded. The mean time from diagnosis until treatment was 32.4 days in the C-group and 37.9 days in the V-group.

Table 2. Composition of chemotherapeutic treatment.

	C (n = 86) n (%)	V (n = 32) n (%)	p-value
chemotherapy	86 (100)	32 (100)	
platinum—based	84 (97.7)	32 (100)	0.39
pemetrex(ed)	46 (53.5)	19 (59.4)	0.57
gemcitabine	0	2 (6.3)	0.13
vinorelbine	32 (37.2)	7 (21.9)	0.02
paclitaxel	3 (3.5)	0	0.12

Oncological treatment of patients per group, n = number of patients, total number of patients per group do not necessarily add to 100% as patients may have received various combinations of chemotherapy, n = number of patients, %, percent.

https://doi.org/10.1371/journal.pone.0236426.t002

	Total n = 32 (100) n (%)	s.c. n = 32 (100) ¹⁾ n (%)	i.v. n = 21 (100) ²⁾ n (%)	i.t. n = 2 (100) ³⁾ n (%)
Viscum abietis (spruce)	1 (3.1)	1 (3.1)		
Viscum fraxini (fir tree)	26 (81.3)	17 (53.1)	7 (33.3)	2 (100)
Viscum mali (apple)	5 (15.6)	5 (15.6)		
Viscum pini (pine)	14 (43.8)	1 (2.9)	13 (61.9)	
Viscum quercus (oak)	12 (37.5)	11 (34.4)	1 (4.8)	

Table 3. Composition of add-on VA treatment in the V-group.

Number of V-group patients (n = 32) exposed to various add-on mistletoe (VA) extracts, pooled per host tree. n = number of patients, total number of patients per VA remedy do not necessarily add to 100% as patients may have received various combinations of VA treatment, n = number of patients, %, percent; s.c., subcutaneous; i.v., intravenous; i.t., intratumoural;

¹⁾ reference for s.c. application;

²⁾ reference for i.v. application;

³⁾ reference for i.t. application

https://doi.org/10.1371/journal.pone.0236426.t003

Cost outcomes

The cost estimates for both treatment groups are also shown in Table 4. Adjusted mean hospital's total costs were $\leq 16,299.98$ for the C-group and $\leq 17,992.26$ for the V-group. Highest hospital's expenditures per patient were documented for normal ward, radiology, endoscopic diagnostics & therapy, ICU, laboratories and diagnostics with no relevant differences between the groups, see Fig 2. Further analyses revealed that, as a consequence of longer survival, patients from the V- group had on average 1.7 additional hospital stays since first diagnosis than patients from the C-group, (p>0.01). Mean hospital visits in the C- and in the V-group were 3.8 (standard deviation, SD ± 2.2) and 5.5 (SD ± 3.1) visits, respectively. While patients from the C-group were on average 11.6 (± 8.0) days in the hospital, patients from the V-group were on average 2.4 days shorter in the hospital with 9.2 (± 4.1) days, however the difference was not significant (p = 0.11).

Multivariable regression analysis revealed that male gender (estimate -6,570.47, se 2,270.73, p = 0.005), the histology of a squamous cell carcinoma (estimate -5,575.06, se 2,756.42, p = 0.046) and V-patients that have died (estimate -10,356.58, se 4,893.26, p = 0.03) were significantly associated with less hospital expenditures, while the overall incidence of death of

Table 4. Cost- and cost-effectiveness analyses.

	С	V
adjusted average total costs ¹ €, (95%CI)	16,288.98 (13,833.86– 18,744.10)	17,992.26 (13,658.26– 22,326.26)
adjusted restricted mean OS ¹ months, (95%CI)	13.4 (10.4–16.4)	19.1 (12.4–25.8)
adjusted average cost per adjusted mean months OS €/months	1,215.60	942.00
stationary costs per gained life month (ICER) for V vs. C €/months		298.82
stationary costs per gained life year (ICER) for V vs. C €/year		3,585.84

Cost and CEA analyses for stage IV bronchial cancer;

¹adjusted for age, gender, BMI, histology, smoker status, surgery, radiation and chemotherapy

https://doi.org/10.1371/journal.pone.0236426.t004

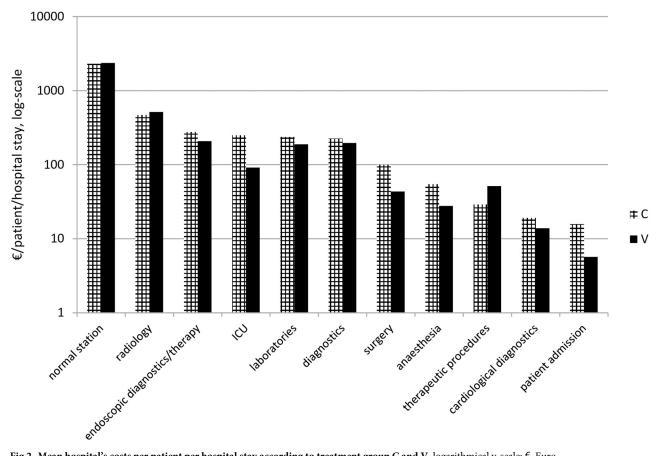


Fig 2. Mean hospital's costs per patient per hospital stay according to treatment group C and V. logarithmical y-scale; €, Euro.

https://doi.org/10.1371/journal.pone.0236426.g002

patients was significantly associated with increased hospital costs (estimate 6,368.96, se 2,674.52, p = 0.02)see Table 5.

Cost-effectiveness

The survival analyses demonstrated that patients in the V-group showed longer survival than those receiving standard of care alone (a 5.7 month longer adjusted overall mean survival). Cost analyses have shown that this longer survival was associated with additional total hospital costs (Table 4). Division of adjusted average costs by adjusted mean overall survival revealed for C-treatment mean costs of $\leq 1,215.60$ per mean month OS and for the V-treatment ≤ 942.00 per mean month OS. Compared to C, patients with V-treatment had relevant hospital's savings of ≤ 273.6 per mean OS. We calculated an ICER of ≤ 298.82 per additional month OS and of $\leq 3,585.84$ per additional year OS resembling the costs for the improvement of one OS year gained with the V-treatment compared to the C-treatment.

For the outcome mean costs per patient from the hospital perspective in combination with mean months OS a probabilistic sensitivity analysis was performed. Fig 3 shows a scatter plot of all replicated results for bootstrap sensitivity analyses.

Most single dots are located in the upper right-hand quadrant indicating a probability of 73.6% and confirming the robustness of the CEA outcome. Nevertheless, a relevant proportion of single dots were located in the lower right-hand quadrant pointing to a 26.4% probability of hospital's cost savings.

	estimate	p-value
Age	133.99 (106.60)	0.21
Gender, male ¹	-6,570.47 (2,270.73)	0.0047**
BMI (25–25.9) ²	-161.54 (2,566.21)	0.95
BMI (30+) ²	-4,508.80 (4,090.84)	0.27
BMI (NA) ²	-3,144.38 (3,355.50)	0.35
Histology, LCC ³	-353.22 (3,740.61)	0.92
Histology, SQC ³	-5,575.06 (2,756.42)	0.046*
Smoker, never ⁴	-5,428.17 (4,139.73)	0.19
Smoker, NA ⁴	925.59 (3,346.27)	0.78
Radiation, yes	3,003.90 (2,253.64)	0.19
Cancer-directed surgery, yes	3,763.15 (3,870.12)	0.33
CTx, yes	226.02 (2,284.42)	0.92
Add-on VA & death, yes	-10,356.58 (4,893.26)	0.03*
Overall incidence of death, yes	6,368.96 (2,674.52)	0.02

Table 5. Association factors of stationary costs in stage IV NSCLC patients.

Multivariable analysis for association factors of stationary costs in stage IV NSCLC patients;

¹reference: female gender;

²reference: BMI 26–30;

³reference: histology ADC (adenocarcinoma);

⁴reference: smoker in the past or present; BMI: body mass index; LCC, large cell carcinoma; SQC, squamous cell carcinoma; CTx, chemotherapy; VA, *Viscum album* L. therapy; OS, overall survival;

*, p ≤ 0.05;

** $p \le 0.005$

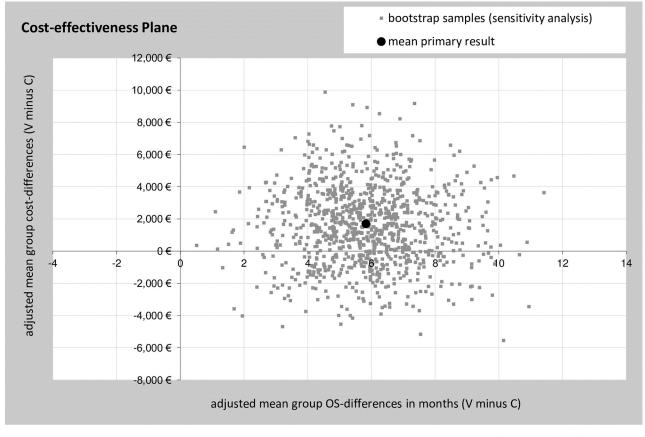
https://doi.org/10.1371/journal.pone.0236426.t005

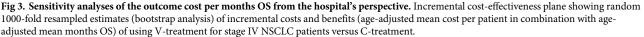
Discussion

The findings of the present study showed that a combination of CTx and VA was clinically effective in terms of OS and comparably cost-effective to CTx alone in our analysed patient sample from the hospital's perspective.

In a recent published real-world data study on patients with stage IV NSCLC we already demonstrated that patients receiving combinatorial CTx plus add-on VA had a longer mean OS and that this combinatorial treatment was significantly associated with a reduced risk of death compared to patient's only receiving CTx [21]. These results are consistent with other published data on the clinical effects of combinatorial VA therapy in cancer patients [22–25].

Our analysis showed that patients treated with a combination of CTx and VA received a cost-effective therapy with savings per overall survival achieved compared to CTx. Cost analysis showed that V treatment resulted in higher hospital spending, which can be explained by the higher number of hospital stays due to longer survival. Nevertheless, patients with combinatorial treatment (V-group) had a shorter length of hospital stay compared to patients treated with CTx only (C-group). A shorter length of stay means lower hospital costs for treatment, services and medication. However, according to balanced co-morbidities in both groups V-patients were not healthier than C-patients. Thus, the longer hospital stay of C-patients stays remains unclear. Male gender was significantly associated with reduced hospital costs, and it is assumed that this is caused by the significantly shorter survival versus female patients. This is consistent with the observation of another lung cancer cost study [26], which shows average hospital care costs (male: \notin 446, female: \notin 615, p = 0.048), services (male: \notin 946, female: \notin 1.395, p = 0.049) and medical care (male: \notin 117, female: \notin 214, p = 0.006) being statistically lower in





https://doi.org/10.1371/journal.pone.0236426.g003

men because of their shorter survival (p = 0.045) [27] than in female lung cancer patients. Further, our cost analysis revealed that the incidence of death was associated with higher hospital cost burden. This is in line with the results of other studies published indicating that end of life is one of the most expensive periods of cancer treatment [28]. Calculations of an actual in-depth cost study on 230 patients with the majority of NSCLC (78%) in an advanced stage revealed that the key drivers of the last six month of life were CTx (39%), concomitant medication (14%) and cost of hospitalization (14%) [29].

Our cost-effectiveness analysis reveal, that the combinatorial therapy was not inferior against the treatment standard, as it resulted in relevant hospital savings for CTx plus add-on VA per gained survival year compared to CTx alone. The calculation of the incremental cost-effectiveness ratio (ICER) yielded a value of €3,585.84 per gained surviving year. On the assumption that combinatorial treatment is more effective and at least equivalent in maintaining quality of life, this ICER-value appears low and affordable in modern oncological settings. Despite ICERs being common references in health economics, their values are difficult to compare between studies depending on the perspective (e.g. hospital, society etc.), the end point (e.g. life years, QALYs etc.) and country to list just a few factors. In the field of pulmonary oncology, there are published ICERs on first-line tyrosine kinase inhibitor treatment in advanced NSCLC ranging from \$78,514 (converted into Euro: €71,250) to \$100,120

(converted into €90,857) per gained surviving year compared to cisplatin-pemetrexed regimen in advanced NSCLC patients [30]. As to second-line PD-1 immunotherapies for these tumors the range is between \$114,303 and \$249,169 (converted into €103,728-€226,116) per life year gained versus docetaxel [30]. Thus, the comparability to our data is limited because the ICERs in our data relate to real-world German hospital costs. Nevertheless, against the background of rapidly rising lung cancer healthcare costs, cost effectiveness data from real-world research will make a valuable contribution in the future and will become increasingly relevant. Among the limitations of the present analysis are the monocentric, uncontrolled and observational nature of the study and the limited transferability of cost and CE data to other countries. The strength of this study lies in its pragmatic design, the integration of real-world daily care data under typical hospital conditions and the sensitivity analysis of the model confirming the results to be robust. The external validity of our data may be of clinical weight, as the characteristics of the patient, the type of treatment and the outcome are comparable to published data. In the future, randomized, multi-centre, prospective cost-benefit analyses including outpatient cost data should limit the potential bias and create a more comprehensive cost spectrum for IO in lung cancer.

Cost and cost-effectiveness investigations of integrative oncology concepts are increasingly becoming an important tool for hospital systems, health administrations and decision makers. To our knowledge, this is the first study to directly analyse the cost and cost effectiveness of CTx plus VA therapy compared to CTx alone in stage IV NSCLC patients based on real world patient data and hospital costs in Germany.

Conclusions

Cost and cost effectiveness analyses of IO in lung cancer are rare. This first comprehensive assessment of inpatient costs and cost-effectiveness of IO concepts in stage IV NSCLC suggests that the combined use of CTx and VA is clinically effective and comparably cost-effective to CTx alone in our analysed patient sample. For reassessment of our results, randomized and prospective health economic studies are essential.

Acknowledgments

We would like to thank the financial controlling department at the GKHB and the staff members at the GKHB and FIH involved in the present work.

Author Contributions

Conceptualization: Anja Thronicke, Thomas Reinhold, Philipp von Trott, Christian Grah, Burkhard Matthes, Harald Matthes, Friedemann Schad.

Data curation: Anja Thronicke.

Formal analysis: Anja Thronicke, Thomas Reinhold.

Funding acquisition: Anja Thronicke, Harald Matthes, Friedemann Schad.

Investigation: Anja Thronicke, Thomas Reinhold, Philipp von Trott, Christian Grah, Burkhard Matthes, Harald Matthes, Friedemann Schad.

Methodology: Anja Thronicke, Thomas Reinhold, Philipp von Trott, Christian Grah, Burkhard Matthes, Harald Matthes, Friedemann Schad.

Project administration: Friedemann Schad.

Resources: Friedemann Schad.

Software: Friedemann Schad.

Supervision: Friedemann Schad.

- Validation: Anja Thronicke, Thomas Reinhold, Philipp von Trott, Christian Grah, Burkhard Matthes, Harald Matthes, Friedemann Schad.
- Visualization: Anja Thronicke, Thomas Reinhold.
- Writing original draft: Anja Thronicke.
- Writing review & editing: Anja Thronicke, Thomas Reinhold, Philipp von Trott, Christian Grah, Burkhard Matthes, Harald Matthes, Friedemann Schad.

References

- 1. Greenlee H and Shi Z. Implementing Integrative Oncology: Hopes and Challenges. J Oncol Pract. 2019; 15:17–18. https://doi.org/10.1200/JOP.18.00755 PMID: 30629903
- Lyman G H, Greenlee H, Bohlke K, Bao T, DeMichele A M, Deng G E, et al. Integrative Therapies During and After Breast Cancer Treatment: ASCO Endorsement of the SIO Clinical Practice Guideline. J Clin Oncol. 2018; 36:2647–2655. https://doi.org/10.1200/JCO.2018.79.2721 PMID: 29889605
- 3. Witt C M, Balneaves L G, Cardoso M J, Cohen L, Greenlee H, Johnstone P, et al. A Comprehensive Definition for Integrative Oncology. J Natl Cancer Inst Monogr. 2017; 2017.
- Kienle G S, Kiene H and Albonico H U. Anthroposophic Medicine: Effectiveness, Utility, Costs, Safety. Stuttgart, New York: Schattauer Verlag, 2006.
- Kienle G S and Kiene H. Review article: Influence of Viscum album L (European mistletoe) extracts on quality of life in cancer patients: a systematic review of controlled clinical studies. Integr Cancer Ther. 2010; 9:142–157. https://doi.org/10.1177/1534735410369673 PMID: 20483874
- Grant S J, Hunter J, Seely D, Balneaves L G, Rossi E and Bao T. Integrative Oncology: International Perspectives. Integr Cancer Ther. 2019; 18:1534735418823266. https://doi.org/10.1177/ 1534735418823266 PMID: 30791736
- 7. Stussman B J, Nahin R R, Barnes P M and Ward B W. U.S. Physician Recommendations to Their Patients About the Use of Complementary Health Approaches. J Altern Complement Med. 2019.
- Block K I. Could integrative cancer treatment be cost-saving and resuscitate a submerged medical system? Integr Cancer Ther. 2009; 8:205–207. <u>https://doi.org/10.1177/1534735409344978</u> PMID: 19815590
- Kligler B, Homel P, Harrison L B, Levenson H D, Kenney J B and Merrell W. Cost savings in inpatient oncology through an integrative medicine approach. Am J Manag Care. 2011; 17:779–784. PMID: 22216749
- Thronicke A, Reinhold T, Matthes H and Schad F. Cost-effectiveness of Real-World Administration of concomitant Viscum album L. therapy for the treatment of stage IV pancreatic cancer. Oncol Res Treat. 2019; 42:273.
- Thronicke A, Reinhold T, von Trott P, Matthes H and Schad F. Cost-Effectiveness of Real-World Administration of Concomitant Viscum album L. Therapy for the Treatment of Stage IV Pancreatic Cancer. Evid Based Complement Alternat Med. 2020; 2020:3543568. https://doi.org/10.1155/2020/3543568 PMID: 32256640
- 12. World Health Organization. Global Health Observatory. 2017. http://www.who.int/gho
- Verma V, Sprave T, Haque W, Simone C B 2nd, Chang J Y, Welsh J W, et al. A systematic review of the cost and cost-effectiveness studies of immune checkpoint inhibitors. J Immunother Cancer. 2018; 6:128. https://doi.org/10.1186/s40425-018-0442-7 PMID: 30470252
- Aguiar P N Jr., Perry L A, Penny-Dimri J, Babiker H, Tadokoro H, de Mello R A, et al. The effect of PD-L1 testing on the cost-effectiveness and economic impact of immune checkpoint inhibitors for the second-line treatment of NSCLC. Ann Oncol. 2017; 28:2256–2263. <u>https://doi.org/10.1093/annonc/</u> mdx305 PMID: 28633409
- Schad F, Axtner J, Happe A, Breitkreuz T, Paxino C, Gutsch J, et al. Network Oncology (NO)—a clinical cancer register for health services research and the evaluation of integrative therapeutic interventions in anthroposophic medicine. Forsch Komplementmed. 2013; 20:353–360. https://doi.org/10.1159/ 000356204 PMID: 24200825

- World Medical A. World Medical Association Declaration of Helsinki: ethical principles for medical research involving human subjects. JAMA. 2013; 310:2191–2194. <u>https://doi.org/10.1001/jama.2013</u>. 281053 PMID: 24141714
- Sobin L H, Gospodarowicz M K and Wittekind C. TNM classification of malignant tumours: John Wiley & Sons, 2011.
- 18. Helixor Fachinformation. 2020. https://www.fachinfo.de/suche/helixor
- 19. Iscador Fachinformation. 2020. https://www.fachinfo.de/suche/iscador
- 20. Abnoba Fachinformation. 2020. https://www.fachinfo.de/suche/abnoba
- Schad F, Thronicke A, Steele M L, Merkle A, Matthes B, Grah C, et al. Overall survival of stage IV nonsmall cell lung cancer patients treated with Viscum album L. in addition to chemotherapy, a real-world observational multicenter analysis. PloS one. 2018; 13:e0203058. <u>https://doi.org/10.1371/journal.pone.</u> 0203058 PMID: 30148853
- 22. Tröger W, Galun D, Reif M, Schumann A, Stankovic N and Milicevic M. Viscum album [L.] extract therapy in patients with locally advanced or metastatic pancreatic cancer. A randomised clinical trial on overall survival. European Journal of Cancer. 2013; 49:3788–3797. <u>https://doi.org/10.1016/j.ejca.2013</u>. 06.043 PMID: 23890767
- Ostermann T and Bussing A. Retrolective studies on the survival of cancer patients treated with mistletoe extracts: a meta-analysis. Explore (NY). 2012; 8:277–281.
- Ostermann T, Raak C and Büssing A. Survival of cancer patients treated with mistletoe extract (Iscador): a systematic literature review. BMC Cancer. 2009; 9:451–460. <u>https://doi.org/10.1186/1471-2407-</u> 9-451 PMID: 20021637
- 25. Ostermann T, Appelbaum S, Poier D, Boehm K, Raak C and Bussing A. A Systematic Review and Meta-Analysis on the Survival of Cancer Patients Treated with a Fermented Viscum album L. Extract (Iscador): An Update of Findings. Complement Med Res. 2020:1–12.
- Perin J, Zaric B, Dodic J E, Potic Z, Potic M, Sekerus V, et al. The cost of hospital treatment of advanced stage lung cancer patients in a developing South East European country. J Cancer. 2018;9:3038–3045.
- Schwarzkopf L, Wacker M, Holle R, Leidl R, Gunster C, Adler J B, et al. Cost-components of lung cancer care within the first three years after initial diagnosis in context of different treatment regimens. Lung Cancer. 2015; 90:274–280. https://doi.org/10.1016/j.lungcan.2015.09.005 PMID: 26384433
- Yabroff K R, Warren J L and Brown M L. Costs of cancer care in the USA: a descriptive review. Nat Clin Pract Oncol. 2007; 4:643–656. https://doi.org/10.1038/ncponc0978 PMID: 17965642
- Souliotis K, Kani C, Marioli A, Kamboukou A, Prinou A, Syrigos K, et al. End-of-Life Health-Care Cost of Patients With Lung Cancer: A Retrospective Study. Health Serv Res Manag Epidemiol. 2019; 6:233392819841223. https://doi.org/10.1177/233392819841223 PMID: 31008147
- Institute for Clinical and Economic Review. ICER. Treatment Options for Advanced Non-Small Cell Lung Cancer: Effectiveness, Value and Value-Based Price Benchmarks. Final Evidence Report and Meeting Summary.2016. https://icer-review.org/wp-content/uploads/2016/10/MWCEPAC_NSCLC_ Final_Evidence_Report_Meeting_Summary_110116.pdf.