# Morphological, immunohistochemical, and genetic analyses of bronchiolar adenoma and its putative variants

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## Abstract

We collected 26 cases of bronchiolar adenoma (BA) and its variants, and performed a comprehensive characterization using a combination of morphological, immunohistochemical, and genetic assessments. Of these 26, 13 were classic bilayered cases, including 10 proximal and 3 distal-type BAs. Of note, we also identified 13 cases that lacked a continuous basal cell layer. In five cases, the adenomas were partially classic bilayered, leaving a single layer of columnar or cuboidal epithelial cells in some areas of the lesion (BA with monolayered cell lesions). In the other eight cases, the glandular or papillary structures were entirely composed of monolayered columnar or cuboidal epithelial cells, which were morphologically identical to the luminal epithelial cells of classic BA (monolayered BA-like lesions). Immunohistochemical analysis revealed thyroid transcription factor 1 expression by ciliated columnar epithelial cells, basal cells, and nonciliated columnar and cuboidal epithelial cells. Basal cells also expressed p40 and p63. Twenty-five cases underwent next-generation sequencing using a 422-cancer-gene panel (GeneseeqPrime). Oncogenic driver mutations were detected in 23 cases, including 13 (52%) with EGFR mutations, 4 (16%) with KRAS G12D/V mutations, 3 (12%) with BRAF V600E mutations, 2 (8%) with ERBB2 exon 20 insertions, and 1 (4%) with a RET fusion. EGFR exon 20 insertions were present in 100% of BAs with monolayered cell lesions, 37.5% of monolayered BA-like lesions, and 8% of classic BA (Fisher's exact test, p = 0.002, false discovery rate = 0.014). Collectively, our study revealed a gradual morphological transition between BA and its variants. The genetic composition of BAs with monolayered structures differed significantly from those of classic BAs or lung adenocarcinoma.

Keywords: bronchiolar adenoma; monolayered lesions; next-generation sequencing; EGFR exon 20 insertions

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Conflict of interest statement: JCY, HB, XW, and YS are employees of Nanjing Geneseeq Technology Inc. The other authors have no conflicts of interest to declare.

## Introduction

A group of benign or putatively benign neoplasms derived from the bronchiolar epithelium, including ciliated muconodular papillary tumor (CMPT), peripheral glandular papilloma, and atypical bronchiolar hyperplasia, often challenge pathologists due to their confusing morphology and relatively low incidence, particularly during frozen section evaluation [1]. A recent study by Chang *et al* [2] redefined the concept of bronchiolar adenoma (BA) and categorized BAs into two main morphological subtypes, including proximal and distal, based on morphological similarities to the respective portions of the normal bronchiolar tree.

One major feature of BA lesions is a bilayered bronchiolar epithelium that consists of a luminal epithelial layer and a continuous basal cell layer. Such features form the basis for diagnosing BAs as benign tumors and differentiating them from lung adenocarcinomas. However, we found that a subset of BA cases exhibited monolayered columnar or cuboidal epithelial cells, with loss of the basal cell layer in some regions (hereafter referred to as BAs with monolayered cell lesions). We also found that some BA-like lesions

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consisted entirely of monolayered bronchiolar epithelial cells with a complete loss of the basal cell layer (hereafter referred to as monolayered BA-like lesions). In this study, we collected 26 cases of BAs and its variants and performed morphological, immunohistochemical, and next-generation sequencing (NGS) analyses.

## Materials and methods

## Materials

Twenty-six patients with putatively benign bronchiolar epithelial lesions, who were admitted to our hospital and underwent surgical resection between 2015 and 2019, were retrospectively analyzed. Of those cases, 18 presented with BA, including 5 cases with partly monolayered cell lesions. The other eight cases showed entirely monolayered BA-like lesions. The study was conducted in accordance with the Declaration of Helsinki and was approved by the Ethical Review Board of Shanghai Chest Hospital. Informed written consent was obtained from each subject or their guardian.

## Methods

Surgical specimens were fixed in 10% neutral-buffered formalin, embedded in paraffin, serially cut into 4 mm-thick sections, and stained with hematoxylin–eosin. Each sample was histologically assessed by two experienced pathologists (JS and JZ).

## Immunohistochemistry

Immunohistochemical analyses were performed on formalin-fixed paraffin-embedded (FFPE) sections from the surgically resected samples. The antibodies for immunohistochemistry (IHC) included anti-p63 (clone TP63/11, 1:300; Changdao Biotec., Shanghai, PR China), anti-thyroid transcription factor 1 (TTF1, clone SPT24, 1:200), anti-CK5/6 (clone D5/16B4, 1:300; QuanhuiImp & Exp Co., Shanghai, PR China), anti-p40 (rabbit polyclonal, 1:100), and anti-Ki-67 (clone UMAB 107, 1:300; Zhongshan Golden Bridge Bio., Beijing, PR China). IHC was performed on a Dako automated instrument (Dako Omnis; Agilent Technologies, Santa Clara, CA, USA) according to the manufacturer's instructions.

## Molecular analysis

Samples from 25 patients underwent NGS using a panel of 422 cancer-related genes (GeneseeqPrime, see

supplementary material, Table S1) in a Clinical Laboratory Improvement Amendments (CLIA)- and College of American Pathologists (CAP)-accredited laboratory (Nanjing Geneseeq Technology Inc., Jiangsu, PR China).

In brief, FFPE sections from resected samples and matched normal tissues were used for genomic DNA extraction using the QIAamp DNA FFPE Tissue Kit (QIAGEN, Hilden, Germany), according to the manufacturer's instructions. DNA quality was assessed using a NanoDrop 2000 spectrophotometer (Thermo Fisher Scientific, Waltham, MA, USA), and DNA quantity was measured using the dsDNA HS Assay Kit (Life Technologies, Carlsbad, CA, USA) on a Qubit 3.0 Fluorometer (Thermo Fisher Scientific, Waltham, MA, USA). Extracted tumor genomic DNA was fragmented into lengths of 350 bp using the Covaris M220 instrument (Woburn, MA, USA). Sequencing libraries were prepared using the KAPA HyperPrep kit (KAPA Biosystems, Wilmington, MA, USA) with optimized protocols. In brief, sheared DNA was subjected to endrepair, A-tailing, adaptor ligation, and size selection using Agencourt AMPcure XP beads (Beckman Coulter, Indianapolis, IN, USA).

Indexed DNA libraries were pooled, and hybridization capture was performed using custom biotinylated DNA probes (GeneseeqPrime; Nanjing Geneseeq Technology Inc.) targeting the coding regions of 422 cancer-related genes and the introns of fusion genes. Libraries were amplified using Illumina p5 and p7 primers (Illumina, San Diego, CA, USA) and KAPA HiFi HotStart Ready Mix, and were quantified using the KAPA library quantification kit (KAPA Biosystems, Roche Sequencing Solutions Inc., Pleasanton, CA, USA). The size distribution of the libraries was analyzed using the Agilent Bioanalyzer 2100 instrument with the High Sensitivity DNA kit (Agilent Technologies, Santa Clara, CA, USA). Captureenriched libraries were sequenced on the Illumina HiSeq 4000 platform (San Diego, CA, USA). FASTQ files were aligned to the reference human genome (hg19) using the Burrows-Wheeler Aligner with modified parameters. Local realignment was performed using the Genome Analysis Toolkit (GATK, Broad Institute, Cambridge, MA, USA [3]). Single nucleotide polymorphisms (SNPs) and indels were observed by VarScan2 [4] and the Haplotype Caller/Unified Genotyper in GATK [3]. Common variants were removed using the dbSNP database and data from the 1000 Genomes project. Gene fusions were identified by FACTERA, and copy number variations were measured using ADTEx [5].

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	Follow-up (months)	37	25		1	16	11	6	11	22	31	21	1	(Continues)
	Other mutations	<i>PPP2R1A</i> c.779G>A (p.R260H) <i>BMPR1A</i> c.3_4delinsTA (p.MP1_?2)	<i>MTOR</i> c.6352C>T (p.L2118F) <i>GATA3</i> c.1247T>C (p.M416T)	<i>U2AF1</i> c.101C>T (p.534F) <i>TP53</i> c.524G>A (p.R175H) <i>STAT3</i> c.547G>A (p.G183R)	PALLD c.G2421+1A	RAC3 c.176C>T (p.A59V)	U2AF1 c.101C>T (p.S34F)	I	I	<i>FGFR4</i> c.1261G>T (p.E421X)	<i>ERBB2</i> c.3568G>A (p.A1190T)	I	<i>PIK3R1</i> c.2018delA (p. N673TfsX19)	
thelium.	Putative driver mutation	<i>KRAS</i> c.35G>T (p.G12V)	EGFR c.2311_2319dup (p.N771_H773dup)	<i>KRAS</i> c.35G>A (p.G12D)	<i>BRAF</i> c.1799T>A (p.V600E)	EGFR c.2237_2255delinsT (p.E746_5752>V)	KRAS c.35G>A (p.G12D)	ERC1 intron4-RET:intron11	Not detected	KRAS c.35G>T (p.G12V)	BRAF c.1799T>A (p.V600E)	Not detected	BRAF c.1799T>A (p.V600E)	
Table 1. Clinicopathological and mutational characteristics of 26 patients with lesions arising from the bronchiolar epithelium.	Original diagnosis	Bronchiolar epithelial papillary hyperplasia with mucus retention	Bronchioloalveolar epithelial tumor-like hyperplasia; some mucin production	CMPT	CMPT	CMPT	CMPT	CMPT	CMPT	Bronchiolar epithelial metaplasia	AIS	Bronchioloalveolar epithelial hvperplasia	BA with B3-type thymoma	
cs of 26 patients with lesion:	Frozen section diagnosis	Bronchiolar epithelial hyperplasia	Glandular epithelial hyperplasia with irregular structure	Invasive adenocarcinoma	Benign hyperplasia	Invasive adenocarcinoma		CMPT	CMPT		AIS	Bronchioloalveolar epithelial hvperplasia		
ial characteristi	Surgical procedure	Lobectomy	Wedge resection	Lobectomy	Wedge resection	Wedge resection	Wedge resection	Wedge resection	Wedge resection	Lobectomy	Wedge resection	Wedge resection	Segmentectomy	
and mutatior	Subtype <sup>†</sup>	Proximal type (CMPT)	Proximal type (CMPT)	Proximal type (CMPT)	Proximal type (CMPT)	Proximal type (CMPT)	Proximal type (CMPT)	Proximal type (CMPT)	Proximal type (CMPT)	Proximal type	Proximal type	Distal type	Distal type	
ogical	Size (cm)	0.5	0.6	5	0.7	0.6	0.8	1.4	-	0.4	0.7	0.4	0.4	
copatholo	Age (years) Sex Lobe	1 Lower right	: Lower right	1 Lower right	1 Lower right	: Lower right	: Lower right	: Middle right	: Lower right		: Lower left	: Lower right		
. Clini	Age /ears) Se	72 M	62 F	¥ 9	67 M	74 F	64 F	53 F	63 F	68 F	67 F	74 F	61 F	
able	ID (ye	-	7	e e	4	ы	9	7	8	6	10	11	12 6	

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J Pathol Clin Res 2021; 7: 287-300

	Follow-up (months)	34	34	40	28	21	6	12	94 4	=	26
	Other mutations	LHCGR c.1732G>A (p.D578N) ROS1 c.4379C>G (p.T1460S)	1	MCL1 Amp	1	1		1	PTEN c.68del (p.L23*) JAK3 c.1192T>A (p.S398T) SETD2 c.192T>A (p.S398T) SETD2 c.7624G>T (p.E2542*) MED12 c.5746C>T (p.01916*)	<i>MED12</i> c.5977C>T (p.01993*)	CTNNB1 C.860A>G (p.N287S)
	Putative driver mutation	EGFR c.2239_2264delinsGGAG (p.L747_A755>GD)	EGFR c.2311_2319dup (p.N771_H773dup)	EGFR c.2313_2314insACA (p.N771_P772insT)	EGFR c.2311_2319dup (p.N771_H773dup)	EGFR c.2311_2319dup (p.N771_H773dup		EGFR c.2236_2250del (p.E746_A750del)	ERBB2 c.2326delinsTTGT (p.G776delinsLC)	EGFR c.2155G>A (p.G719S) EGFR c.2303G>T (p.S7681)	EGFR c.2316_2317insTACAACCCC (p.P772_H773insYNP)
	Original diagnosis	Bronchiolar epithelial hyperplasia	Bronchiolar epithelial hyperplasia, some atypical hyperplasia	Bronchioloalveolar epithelial tumor- like hyperplasia	Invasive adenocarcinoma	Bronchioloalveolar epithelial atypical adenocarcinoma-like hyperplasia	BA with malignant tumor	Invasive adenocarcinoma	AIS	MIA	Invasive adenocarcinoma
	Frozen section diagnosis				Invasive adenocarcinoma	MIA	Likely invasive adenocarcinoma		AIS	Likely adenocarcinoma	Invasive adenocarcinoma
	Surgical procedure	Lobectomy	Wedge resection	Lobectomy	Wedge resection	Wedge resection	Lobectomy	Lobectomy	Lobectomy	Wedge resection	Wedge resection
	e 1) Subtype <sup>†</sup>	Distal type	Mixed type	<ul> <li>Mixed type</li> </ul>	Mixed type	Mixed type	Mixed type	/ Monolayered type	i Monolayered Lobectomy type	Monolayered Wedge type resection	8 Monolayered Wedge type resectio
	Size be (cm)	Middle 0.3 right	Lower 0.3 right	Upper 0.4 right	Lower 0.3 right	Upper 0.6 right	Middle 0.7 right	Lower 0.7 right	Lower 0.6 right	Upper 0.9 left	Middle 0.8 right
Table 1. Continued	Age ID (years) Sex Lobe	M rig	F Lov rig	F Up rig	F Lov rig	F Up rig	F Mi rig	F Lov rig	F Lo	F Upp	F rig
e 1. Cc	Age (years)	56	53	63	59	47	35	60	20	76	28
Tabl	₽	13	14	15	16	17	18	19	20	21	22

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J Pathol Clin Res 2021; 7: 287-300

J Shao et al

Table 1. Continued							
Age ID (years) Sex Lobe	Size (cm) Subtype <sup>+</sup>	Surgical e <sup>+</sup> procedure	Frozen section diagnosis	Original diagnosis	Putative driver mutation	Other mutations	Follow-up (months)
						PIK3CA c.3141T>A (p.H1047Q) AK71 c.49G>A (p.E17K) PALB2 c.3520G>A (p.G1174R) WT1 c.664C>T (p.R222C)	
23 66 F Upper left		0.5 Monolayered Lobectomy type		CMPT	EGFR c.2300_2308dup (p.A767_V769dup)	1	19
24 27 M Bottom left		0.7 Monolayered Wedge type resection	AIS	AIS	EGFR c.2235_2240inv (p.E746_L747>IP)	<i>PAX5</i> c.364C>T (p.R122W)	18
25 49 F Lower left		0.7 Monolayered Lobectomy type	MIA	MIA	EGFR c.2303_2311dup (p.S768_D770dup)	<i>TP53</i> c.472C>T (p.R158C)	17
26 72 M Middle right		0.5 Monolayered Wedge type resection	MIA	MIA	ERBB2 c.2313_2324dup (p.Y772_A775dup)	NBN c.151A>G (p.N51D) KLLN c.413delA (p.H138fs) MCL1 Amp	16
<sup>+</sup> Mixed type, double-layered BA with monolayered cells in some	vered BA with monu	olayered cells in some a	area of the lesion; monolayered ty	area of the lesion; monolayered type, BA-like lesions with entirely monolayered epithelial cells; AIS, adenocarcinoma in situ; MIA, minimally invasive	ered epithelial cells; AIS, adenoc	arcinoma in situ; MIA, mini	nally invasive

adenocarcinoma.

## Characterization of bronchiolar adenoma and its putative variants

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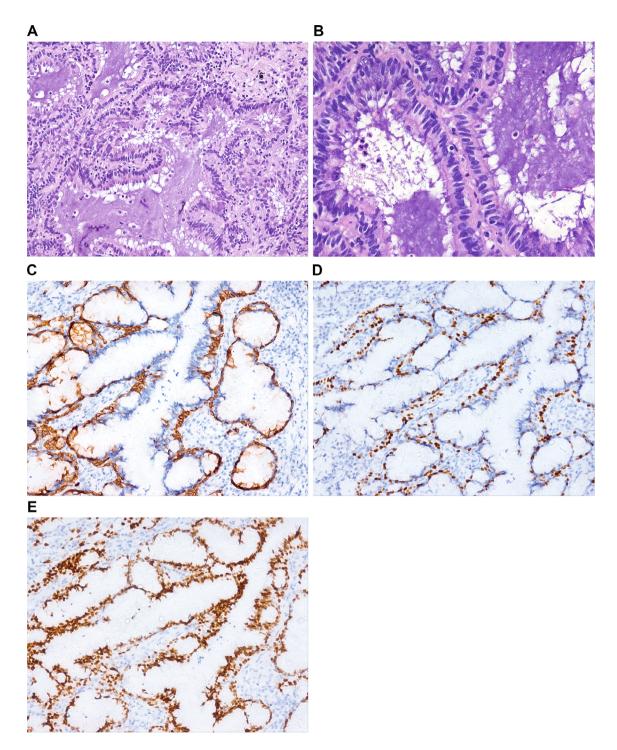


Figure 1. Morphological features of CMPT. (A) The bronchial lumen of CMPT is defined by papillary and glandular structures with abundant mucin. (B) Luminal cells are primarily ciliated columnar and mucinous cells. Clear ciliated structures can be seen on the apical surface of columnar cells. (C) CK5/6 expression is detected in basal cells. (D) p40 expression is detected in basal cells. (E) TTF1 expression is detected in the nonmucinous luminal cells and basal cells.

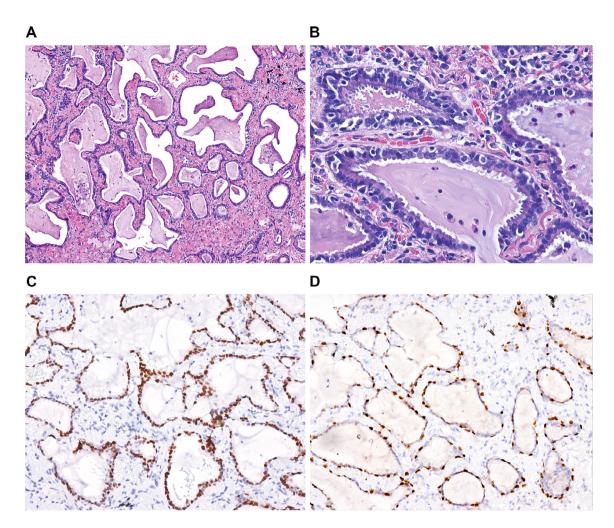


Figure 2. Morphological features of proximal-type BAs with flat architecture. (A) The architecture of such tumors is predominantly flat, and no papillary structures are observed. The glandular lumen is filled with mucin. (B) Luminal cells are primarily ciliated and mucinous. (C) TTF1 expression is detected in the nonmucinous luminal and basal cells. (D) p40 expression is detected in basal cells.

## Results

## Patient characteristics and radiological features

Detailed clinicopathological information for the entire cohort is provided in Table 1. Of the 26 patients analyzed, 6 were males and 20 were females, with a median age of 61 years at diagnosis. Sixteen patients underwent wedge resection or segmentectomy of the lung, while 10 underwent lobectomy. The mean follow-up time was 21 months and ranged from 9 to 40 months. None of the patients experienced disease recurrence or distant metastasis following surgical interventions.

Computed tomography (CT) imaging showed that 20 cases manifested as nodular ground-glass opacities of various sizes, 5 cases were solid nodules, and 1 case

was a lesion that was present with other pulmonary tumors and resected at the time of surgery but was undetectable by CT imaging. The nodules were of variable sizes, with diameters ranging from 0.3 to 2.0 cm. Twenty-one cases had clearly defined borders, while 5 cases were ill-defined with no clear border from the surrounding parenchyma.

#### Histological and IHC findings

Among the 18 cases of BA, 11 were classified as proximal type, with 8 cases of CMPT and 3 cases of flat-type BA. CMPTs were characterized by papillary and glandular architecture, with abundant extracellular mucin production. CMPTs were composed of three types of epithelial cells, including ciliated columnar cells, mucinous cells, and basal cells. Ciliated columnar cells were

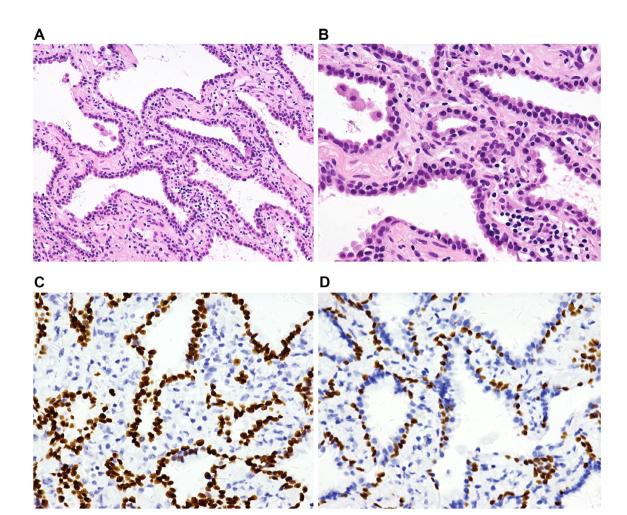


Figure 3. Morphological features of distal-type BAs. (A) Such tumors show a predominantly glandular structure. Papillary structures are rarely observed with minimal extracellular mucin production. (B) Luminal cells are primarily cuboidal or columnar, with Clara cells having an apical dome that is consistent with apocrine secretion. (C) TTF1 expression is detected in the luminal and basal cells. (D) p40 expression is detected in basal cells.

found at the surface of the papillary/glandular architecture, and clusters of mucinous cells were interspersed with ciliated columnar cells, with a continuous underlying layer of basal cells (Figure 1). Ciliated columnar cells expressed TTF1, whereas TTF1 expression was largely absent in mucinous cells. Only one case displayed focal areas of weakly positive TTF1 staining. Basal cells expressed TTF1, p40, p63, and CK5/6. In all cases, the expression of Ki67 was low ( $\sim$ 1%).

The three cases of proximal-type BA fitted the description of flat, proximal-type BA. The tumors formed minimal papillary structures and displayed a predominantly flat architecture with abundant alveolar mucin. The epithelial cells were primarily composed of ciliated columnar cells and a high proportion of mucinous cells, with an underlying continuous layer of basal cells (Figure 2). Notably, in

one such case, regions that lacked a basal cell layer were observed.

Of the 18 BA cases, 7 corresponded to distal-type BAs. The luminal cells were mostly cuboidal or columnar, with an occasional appearance of ciliated columnar and mucinous cells that formed a glandular architecture and contained an underlying continuous basal cell layer (Figure 3). However, four of the distal-type BAs displayed regional monolayered structures in the absence of a basal cell layer and were composed of columnar or cuboidal cells. Some of the cells contained sparse apical cilia and a transparent cytoplasm. Moreover, the monolayered regions were continuous with the bilayered regions (Figure 4).

This study also identified eight lesions that were composed entirely of monolayered columnar or cuboidal epithelial cells, forming glandular or papillary

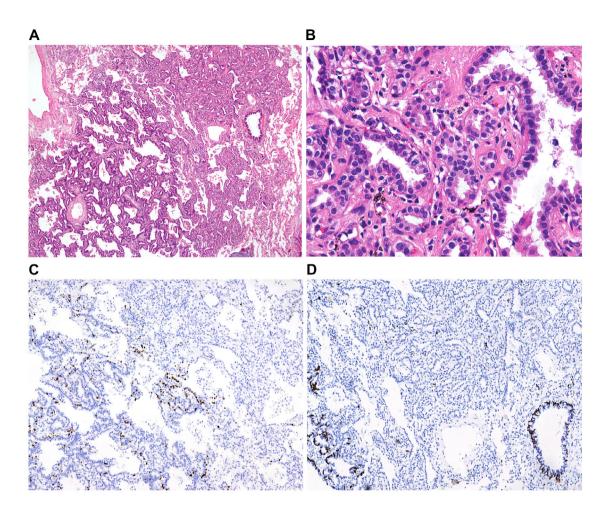


Figure 4. Morphological features of the mixed-type BAs with monolayered lesions. (A) The tumor tissue is composed of two distinct parts. In this case, one region of the tumor is composed of a bilayered structure with both luminal and basal cell layers, whereas the continuous region (upper right, with an enlarged view in B) is composed of only a single layer of luminal epithelial cells. (B) Some of the monolayered cells exhibit clear cytoplasm, with sparse cilia on the apical side, whereas some exhibit a mildly atypical morphology with the presence of intranuclear inclusion bodies. (C) p40 and (D) CK5/6 expression are detected only in the bilayered region of the tumor.

structures. The morphology of the epithelial cells was similar to that of the luminal cells found in classic bilayered BAs with TTF1 expression. Ciliated structures of varying abundance were frequently found on the apical surface, with a few interspersed mucinous cells. The majority of those cells exhibited minimal cytological atypia, with some having slightly enlarged nuclei. No evidence of stromal invasion was detected, and no discernible basal cell layer was observed in any of the cases. Consequently, we termed such lesions 'monolayered BA-like lesions' (Figure 5).

## Molecular profiling

Samples from 25 cases underwent NGS using a targeted panel of 422 cancer-related genes (GeneseeqPrime;

Table 1 and Figure 6A). Driver alterations were detected in 23 cases (Figure 6B and Table 2), including 13 cases (52%) with various EGFR mutations, 4 (16%) with KRAS G12D/V mutations, 3 (12%) with BRAF V600E mutations, 2 (8%) with ERBB2 mutations, and 1 (4%) with a RET fusion. Other variants of unknown significance are also shown in Table 1 and Figure 6A, and no cancer-related mutations were detected in the two cases lacking driver mutations. EGFR exon 20 insertions were detected in eight patients and were the most common EGFR alterations. Among those eight cases, four (4/8, 50%) harbored the p.N771 H773dup mutation, and one case each harbored the p.A767\_V769dup, p.S768\_ D770dup, p.P772 H773insYNP, and p.N771 P772insT mutations. Another case harbored compound G719S/ S768I mutations. The remaining four EGFR-positive

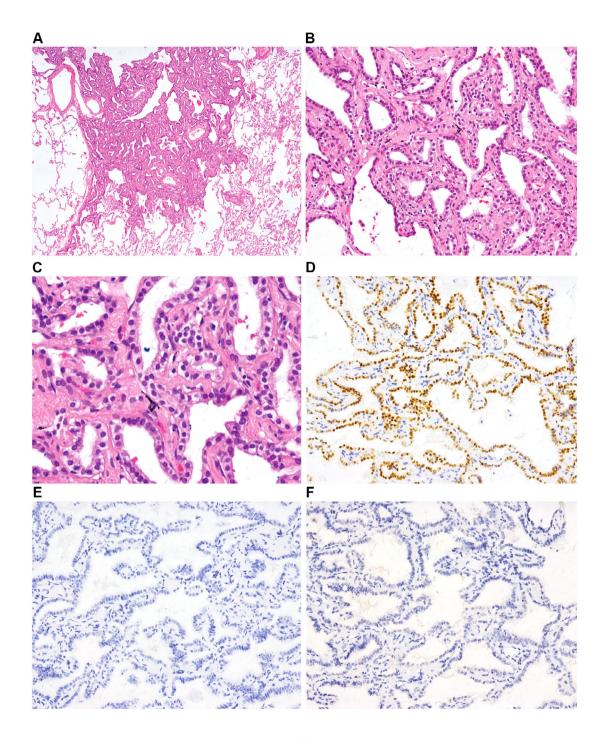
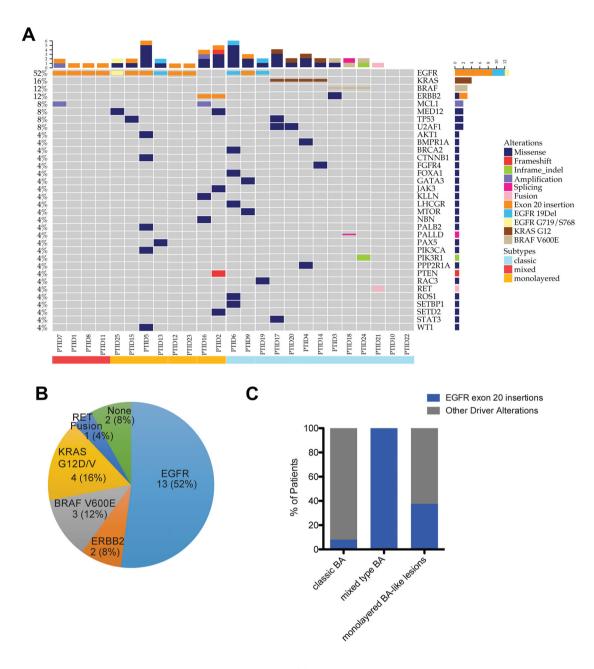


Figure 5. Morphological features of monolayered BA-like lesions. (A) Example of a 0.7-cm nodule in the peripheral lung. At low power, the tumor was made up of glandular or papillary structures. (B) The tumor architecture and cellular composition resemble classic BA, but it is composed entirely of monolayered columnar or cuboidal epithelial cells. (C) Ciliated structures can be seen on the apical surface of the monolayer. The tumor cells appear mildly atypical, some exhibiting a slightly increased nucleocytoplasmic ratio. (D) TTF1 is expressed on the monolayered BA-like lesion. (E) CK5/6 is completely undetected on the monolayered BA-like lesion. (F) p40 expression is also completely undetected on the monolayered BA-like lesion.



**Figure 6.** Distribution of mutations detected in the study cohort. (A) Comutation plot showing all detected mutations in each of the 25 cases that underwent targeted NGS. (B) Pie chart showing the distribution of driver mutations. (C) Comparison of the prevalence of *EGFR* exon 20 insertions in classic BA, BA with monolayered cell lesions, and monolayered BA-like lesions (p = 0.002, Fisher's exact test; false discovery rate = 0.014).

cases carried exon 19 deletions. The four *KRAS*positive cases all exhibited alterations at codon 12, with two cases containing the G12V mutation and two cases containing the G12D mutation. The three *BRAF* mutations were all V600E mutations. *ERBB2* mutations included exon 20 insertions, with one case of the p. Y772\_A775dup and another case with the p. G776delinsLC mutation. The one case of *RET* fusion included *ERC1*:intron 4-*RET*:intron11. All of the driver mutations were mutually exclusive.

Notably, an *EGFR* exon 20 insertion mutation was detected in one case with classic BA (8%), four cases of BAs with monolayered cell lesions (100%), and three cases of monolayered BA-like lesions (37.5%;

Table 2. Comparisons of driver alterations between different bronchiolar lesions.

	Classic BA	Mixed type	Monolayered type	Total	P value (Fisher's exact test)	Adjusted <i>P</i> value (FDR)
EGFR 19del	2		2	4	0.62	0.72
EGFR G719/S768			1	1	0.48	0.67
EGFR 20ins	1	4	3	8	0.002	0.014
ERBB2 20ins			2	2	0.11	0.39
BRAF V600E	3			3	0.25	0.44
KRAS G12D/V	4			4	0.19	0.44
RET fusion	1			1	1	1
Total with driver	11	4	8	23		
No driver	2			2		
Total	13	4	8	25		

FDR, false discovery rate.

p = 0.002, Fisher's exact test, false discovery rate = 0.014; Figure 6C). Exon 20 insertions in ERBB2 were detected in two patients with monolayered BA-like lesions. Conversely, EGFR exon 19 deletions were detected in two cases of classic BAs and two cases of monolayered BA-like lesions (15 versus 25%) but were not found in patients with BAs containing monolayered cell lesions (p = 0.62, Fisher's exact test; Figure 6C). All other driver mutations, including BRAF V600E (23%), KRAS G12D/V (31%), and RET fusion (8%), were found exclusively in patients with classic BA (Figure 6B). In addition to driver mutations, we also noted a potential correlation between the presence of phosphatidylinositol 3-kinase (PI3K) pathway-activating mutations and loss of the basal layer. Overall, two of the eight cases with monolayered BA-like lesions carried PI3K pathway gene mutations that are likely pathogenic. Specifically, we detected AKT1 (p.E17K) and PIK3CA (p.H1047Q) mutations in one case, both of which are cancer hotspot mutations, and a loss-of-function mutation in PTEN in the other case. On the other hand, the functional significance of PI3K pathway gene mutations detected in the two cases of classic BA is unclear.

#### Discussion

It is currently accepted that BA with a bilayered cellular structure is a benign neoplasm that originates from the bronchiolar epithelium [2]. Based on the anatomical position in which they originated, Chang *et al* categorized BAs into proximal and distal types. Proximal-type lesions include the prototypical CMPTs, as well as those with a predominantly flat architecture. In this study, we found a high prevalence of CMPT, accounting for 44.4% (8/18) of all BA cases. The incidence of flat, proximal-type BAs was low, with only three cases. Classic CMPT is a double-layered tumor with prominent papillary architecture and abundant mucin production, which arises from proximal bronchiolar epithelium and consists of ciliated columnar cells, mucinous cells, and basal cells. The flat, proximal-type BAs are morphologically different from CMPTs in that they are mainly characterized by a glandular architecture with ciliated columnar cells and fewer mucinous cells than CMPTs, among which there are also nonciliated columnar cells. Distal-type BAs accounted for 38.9% (7/18) of all BA cases in this study, with tumors primarily composed of nonciliated cuboidal or columnar cells and only few mucinous and ciliated cells on the luminal side of the glandular architecture. Thus, our data indicate that CMPT is the most prevalent subtype of BA.

One shared feature of all types of BA is the presence of a continuous layer of basal cells underlying the glandular epithelial cells. However, we found five cases (four distal-type BAs and one proximal-type BA) that lacked a continuous basal cell layer in some regions of the lesion, which coexisted and shifted with double-layered regions. We also report eight cases that were composed of entirely monolayered columnar or cuboidal cells, which we termed monolayered BA-like lesions. These monolayered lesions formed glandular or papillary structures containing a few interspersed mucinous cells. Cilia of varying abundances were observed on the apical surface. In some regions of the lesions, absence of cilia was also observed. The epithelial cells appeared mildly atypical, with some of the cells exhibiting transparent cytoplasm and slightly increased nucleocytoplasmic ratio, as well as the presence of intranuclear inclusion bodies under high magnification. These epithelial cells were morphologically similar to the luminal cells in classic BA, despite the absence of a discernible basal cell layer. Although we

observed mild morphological atypia given the slight increase in the nucleocytoplasmic ratio in some cells. no obvious cellular atypia or lung stromal invasion was observed. Moreover, the average size of the eight monolayered BA-like lesions was 0.7 cm. Thus, their architecture and cellular morphology were entirely distinct from those of invasive adenocarcinomas. Similarly, these lesions were also morphologically distinct from adenocarcinoma in situ (AIS), which arises from the alveolar epithelium [6]. The neoplastic cells of AIS display features of type II pneumocytes and/or Clara cells, which grow along preexisting alveolar walls. Classic AIS generally consists of rounded or cuboidal cells with a hobnail appearance protruding into the alveolar lumen (so-called 'peg cells'). The presence of ciliated columnar cells or mucinous cells is very rare.

The presence of mild cytological atypia and lack of the basal cell layer in monolayered BA-like lesions suggest that they have the potential to transition into a malignant tumor. We propose that the three types of lesions described in this study (classic BA, BA with monolayered cell lesions, and monolayered BA-like lesions) likely reflect a continuous process of malignant transformation from benign adenoma of the bronchiolar epithelia. In our experience, we have encountered one case of invasive adenocarcinoma with partly BA and monolayered BA-like regions in the surrounding areas of the tumor (see supplementary material, Figure S1). However, whether monolayered BA-like lesions represent atypical hyperplasia of bronchiolar epithelium, a precancerous condition similar to atypical adenomatous hyperplasia of the alveolar epithelium, or whether they would eventually become AIS or even invasive adenocarcinoma warrants further large-scale investigations of similar cases.

Molecular profiling of the study cohort revealed a diverse array of oncogenic driver alterations, including *EGFR* (52%), *ERBB2* (8%), *KRAS* (16%), and *BRAF* (12%) mutations and a *RET* fusion (4%). The molecular characteristics of our classic BA cohort were consistent with the BA cohort reported by Chang *et al* [2], with the incidence of *BRAF* V600E, *KRAS* G12D/V, and *EGFR* mutations being 23, 31, and 23%, respectively, in this study. The association between *RET* fusion and BA was previously unreported.

The overall incidence of *EGFR* mutations in our BA cohort was 52%, which was comparable to the  $\sim$ 50% prevalence of *EGFR* mutations in non-small-cell lung cancer (NSCLC) patients of the East Asian population [7,8]. In contrast to an overall incidence of *EGFR* mutations of 4–10% in NSCLC cases [9], *EGFR* exon 20 insertions in BA samples and its variants accounted for 61.5 and 50% of the total *EGFR*-positive cases in this

study and that of Chang et al's study [2], respectively. In this study, p.N771 H773dup was the most prevalent (4/13; 30.8%) form of EGFR mutation and represented 50% of EGFR exon 20 insertions. By contrast, the incidence of p.N771 H773dup was ~0.5% in lung adenocarcinoma, which is equivalent to 8% of all EGFR exon 20 insertions [2,10]. In addition to BA and its variants. there are other benign tumors that are enriched with EGFR exon 20 insertions, particularly p.N771 H773dup, including fibrous hamartoma of infancy [11] and inverted sinonasal papilloma [12]. p.A767\_V769dup and p.S768 D770dup occurred once each in our cohort and were undetected in the Chang et al's cohort. By contrast, p.A767 V769dup and p.S768 D770dup insertions are the most common subtypes of EGFR exon 20 insertions in NSCLC, with an incidence of 21 and 20%, respectively, which correspond to a prevalence of 0.4–0.8% in NSCLC [9]. The incidence of p.P772 H773insYNP and p.N771\_P772insT in lung adenocarcinomas is low (<0.1%) and has only been reported in a few cases [13-15].

Notably, we also observed significant genetic differences among BA and its variants (BA with monolayered cell lesions and monolayered BA-like lesions), with a marked enrichment of EGFR exon 20 insertions in the BA variants. EGFR exon 19 deletions were found in two cases of BAs and two cases of monolayered BA-like lesions (15 versus 25%, respectively). The specific subtypes of EGFR exon 19 deletions included p.E746 S752delinsA, p.L747 A755>GD, p. E746\_L747>IP, and p.E746\_A750del, which are nonoverlapping with the mutations described in the Chang et al's report (p.E746 S752>V and p.L747 S752del) [2]. The two cases of ERBB2 mutations were exon 20 insertions, including p.Y772 A775dup and p. G776delinsLC, which both occurred in patients with monolayered BA-like lesions. ERBB2 exon 20 insertions occurred in 2-4% of lung cancers, and the reported frequencies of p.Y772 A775dup and p. G776delinsLC are approximately 60% and 5% of all ERBB2 exon 20 insertions in NSCLC, respectively [16-18]. High-throughput sequencing also revealed a number of co-occurring mutations and likely pathogenic PI3K pathway gene mutations in two cases of monolayered BA-like lesions. However, given the limited follow-up time and sample size, the clinical and biological significance of specific driver and cooccurring alterations remains to be explored.

In conclusion, the morphological, immunohistochemical, and genetic characteristics of the 13 classic BA cases in this study were consistent with those reported in previous studies [2]. In the five BA cases with a regional discontinuous basal cell layer and the eight cases that were morphologically indistinguishable from distal-type BAs – with the exception of the absence of a basal cell layer – we found a high proportion of *EGFR* exon 20 insertions. Furthermore, the overall genetic characteristics of these BA variants were considerably different from those of classic BAs and lung adenocarcinomas.

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## Author contributions statement

JS, HB, JCY and XW analyzed and interpreted the clinical and sequencing data. RZ and LZ collected patient samples and clinical information. YH performed histological examination of the lesions. JZ, YS and XW supervised the study. JS, JCY and JZ wrote the manuscript with the help of all authors.

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## SUPPLEMENTARY MATERIAL ONLINE

Figure S1. Invasive adenocarcinoma with partly BA and monolayered BA-like regions

 Table S1. The 422 cancer-related genes included in the GeneseeqPrime Panel

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