

## Identification and Functional Characterization of Novel Genetic Variations in the *OCTN1* Promoter

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Human organic cation/carnitine transporter 1 (*OCTN1*) plays an important role in the transport of drugs and endogenous substances. It is known that a missense variant of *OCTN1* is significantly associated with Crohn's disease susceptibility. This study was performed to identify genetic variants of the *OCTN1* promoter in Korean individuals and to determine their functional effects. First, the promoter region of *OCTN1* was directly sequenced using genomic DNA samples from 48 healthy Koreans. *OCTN1* promoter activity was then measured using a luciferase reporter assay in HCT-116 cells. Seven variants of the *OCTN1* promoter were identified, two of which were novel. There were also four major *OCTN1* promoter haplotypes. Three haplotypes (H1, H3, and H4) showed decreased transcriptional activity, which was reduced by 22.9%, 23.0%, and 44.6%, respectively ( $p < 0.001$ ), compared with the reference haplotype (H2). Transcription factor binding site analyses and gel shift assays revealed that NF-Y could bind to the region containing g.-1875T>A, a variant present in H3, and that the binding affinity of NF-Y was higher for the g.-1875T allele than for the g.-1875A allele. NF-Y could also repress *OCTN1* transcription. These data suggest that three *OCTN1* promoter haplotypes could regulate *OCTN1* transcription. To our knowledge, this is the first study to identify functional variants of the *OCTN1* promoter.

**Key Words:** Genetic variation, NF-Y, *OCTN1*, Promoter, Transcriptional regulation

### INTRODUCTION

Human organic cation/carnitine transporter 1 (*OCTN1*, *SLC22A4*) belongs to the family of solute carrier (SLC) transporters, and is expressed mainly in the kidney, skeletal muscle, trachea, bone marrow, and fetal liver [1,2]. *OCTN1* is also expressed in the small intestine, liver, brain neurons, and inflammatory joints in mice [3-5]. It is widely accepted that *OCTN1* plays an important role in transporting organic cations, including tetraethylammonium (TEA), in a pH-dependent manner [2,6]. Recently, Gründemann et al. [7] reported that L-ergothioneine is a natural substrate of the *OCTN1* transporter.

Many previous studies reported that a missense variant of *OCTN1* is associated with the susceptibility to, or progression of, human diseases, particularly Crohn's disease [8-15]. For example, Peltekova et al. [8] demonstrated that

L503F of *OCTN1* showed increased transport activity for TEA while it had decreased transport activity for other endogenous compounds including carnitine through *in vitro* uptake assay. In addition, they found that the haplotype consisting of L503F of *OCTN1* and g.-207G>C of *OCTN2* was significantly associated with susceptibility to Crohn's disease. This finding was validated in subsequent studies [9-14].

Although there are few known substrates of *OCTN1*, genetic variants of this transporter could alter the pharmacokinetics or pharmacodynamics of drugs that are *OCTN1* substrates. L503F was previously shown to have decreased transport activity for the anticonvulsant drug gabapentin, and this variant resulted in significantly decreased renal secretion of gabapentin [16]. In another example, Nakamichi et al. [17] reported that metformin is an *OCTN1* substrate, and that its maximum plasma concentration ( $C_{max}$ ) could be changed significantly in *OCTN1* knockout mice.

To date, there have been few studies investigating the effect of genetic variations of *OCTN1* promoter. Recently, 272 DNA samples from ethnically diverse populations were directly sequenced; six *OCTN1* promoter variants were identified and eight haplotypes were functionally characterized [18]. However, no haplotype exhibited significantly

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**ABBREVIATIONS:** *OCTN1*, organic cation/carnitine transporter 1; SLC, solute carrier; EMSA, electrophoretic mobility shift assay; NF-Y, nuclear factor-Y; MZF-1, myeloid zinc finger-1; HGVS, human genome variation society; TFBS, transcription factor binding site; MDR3, multidrug resistance 3; SNP, single nucleotide polymorphism.

altered promoter activity compared with the reference haplotype in that study. Maeda et al. [19] investigated the regulatory mechanisms of *OCTN1* expression, and found that it could be regulated by several transcription factors including RUNX1, Sp1, and NF- $\kappa$ B.

The present study was performed to functionally characterize genetic variations in the *OCTN1* promoter region in Korean individuals, and to determine the mechanism by which *OCTN1* variants alter promoter activity. First, we performed genetic analysis using 48 genomic DNA samples from healthy Korean individuals to identify variants of the *OCTN1* promoter. We then constructed the common *OCTN1* promoter haplotypes, and investigated the function of each haplotype using *in vitro* assays including dual-luciferase reporter and electrophoretic mobility shift assays. In this study, novel promoter variants of *OCTN1* in Korean individuals were identified, and the mechanism responsible for the transcriptional regulation of this gene was determined.

## METHODS

### Identification of *OCTN1* variants

This study was approved by the Institutional Review Board of Ewha Medical Center, Seoul, Republic of Korea (ECT 12-15-02). Forty-eight genomic DNA samples were collected from healthy Korean individuals from the DNA bank of the Korea Pharmacogenomics Research Network at Seoul National University, Seoul, Republic of Korea. All subjects enrolled in this study had an East Asian ethnic background. To identify genetic variants in the promoter region of *OCTN1*, a 2,345 base pair (bp) polymerase chain reaction (PCR) fragment (−2,240 to −105 bp from the translation start site) was amplified and directly sequenced using an automated genetic analyzer (Life Technologies, Carlsbad, CA). Haplotype assembly was performed using the Haploview program (version 4.2, developed by the Broad Institute, Cambridge, MA). Nucleotide location numbers were assigned from the translational start site based

on the *OCTN1* mRNA sequence (GenBank accession number; NM\_003059.2).

### Construction of the *OCTN1* plasmid and its variants

To construct a reporter plasmid containing a promoter region with the *OCTN1* reference sequence, a 2,127 bp of the *OCTN1* gene was amplified using primers containing recognition sites for the restriction endonucleases *Xho*I and *Hind*III (Table 1) from genomic DNA sample from the individual with a reference sequence according to NM\_003059.2. The amplified product was then inserted into the pGL4.11b [*luc2*] vector. Genetic variants in the promoter region were introduced into the pGL4.11b-*OCTN1* vector using a QuikChange<sup>®</sup> II Site-Directed Mutagenesis Kit (Agilent Technologies, Santa Clara, CA) using the primers listed in Table 1. The DNA sequences were confirmed by direct sequencing.

### Measurement of *OCTN1* promoter activity

Reporter assays were performed as described previously [17]. First, reporter plasmids containing either the reference or variant sequences of *OCTN1* were transfected into HCT-116 (human colon carcinoma) cells using Lipofectamine LTX and Plus reagents (Life Technologies). Thirty hours after transfection, reporter activities were measured and quantified using a Dual-luciferase<sup>®</sup> reporter assay system and a Glomax 96-well plate luminometer (Promega). Relative luciferase activity was defined as the ratio of firefly luciferase to renilla luciferase. To examine the effect of nuclear factor- $\kappa$ B (NF- $\kappa$ B) on *OCTN1* promoter activity, reference or variant reporter plasmids were co-transfected with increasing amounts (5~20 ng) of NF- $\kappa$ B-pcDNA3.1(+) vector. The NF- $\kappa$ B-pcDNA3.1(+) vector was constructed previously [20].

### Electrophoretic mobility shift assay of *OCTN1*

Electrophoretic mobility shift assays (EMSAs) were performed as described previously [20]. Nuclear protein ex-

**Table 1.** Oligonucleotide primers used in the construction of *OCTN1* reporter plasmids and electrophoretic mobility shift assays (EMSAs)

Primers for <i>OCTN1</i> promoter cloning <sup>1</sup>	
Reference (−2,102 to +25; 2,127 bp)	
Sense ( <i>Xho</i> I site)	5'- <b>CTC GAG</b> GCA CTT GTC TCT GGT GCA AA-3'
Antisense ( <i>Hind</i> III site)	5'- <b>AAG CTT</b> CGA TCA CCT CGT CGT AGT CC-3'
Primers for <i>OCTN1</i> mutagenesis PCR <sup>2</sup>	
g.-1875T>A	5'-CTG ATC TTG GTC ATC GCC <b>AAA</b> AAC CAT CTC AAT TTC ACA-3'
g.-1745A>G	5'-CTT ATA TAC GTT CTT GTC TCC <b>AGC</b> TTT GCT CTA TTA TAT GGG GTG-3'
g.-1145A>G	5'-GGA GAA AGC TCT <b>GGC</b> AGC CTG CCG CGA-3'
g.-538C>G	5'-GCC CGG GGC <b>GAC</b> GCG GCC C-3'
g.-248C>G	5'-CCC CGC GCC <b>GGG</b> CCG GGG A-3'
Primers for EMSA	
Reference (g.-1875T) <sup>2</sup>	5'-GGT CAT CGC CAA <b>TAA</b> CCA TCT CAA T-3'
Variant (g.-1875A) <sup>2</sup>	5'-GGT CAT CGC CAA <b>AAA</b> CCA TCT CAA T-3'
Consensus NF- $\kappa$ B <sup>3</sup>	5'-ACT TTT AAC <b>CAA T</b> CA GAA AAA T-3'
Mutant consensus NF- $\kappa$ B <sup>4</sup>	5'-ACT TTT AAC <b>CGG GCA</b> GAA AAA T-3'

<sup>1</sup>The restriction endonuclease sites were marked by bold-faced letters with underlines. <sup>2</sup>The SNP sites were marked by bold-faced letters with underlines. <sup>3</sup>The consensus sequence of NF- $\kappa$ B was marked by bold-faced letters with underlines [21]. <sup>4</sup>The changes in consensus sequences were marked by bold-faced letters with underlines.

tracts were obtained from HCT-116 cells, and 20 or 35  $\mu$ g of the extracts were incubated with a  $^{32}$ P-labeled oligonucleotide ( $2 \times 10^5$  counts/min) for 30 min at room temperature. For the competition assay, unlabeled NF-Y consensus or mutant oligonucleotides were added into the nuclear extracts prior to the binding reaction. For the supershift assay, 4.2  $\mu$ l of a mixture of NF-YA (sc-7712, Santa Cruz Biotechnology, Santa Cruz, CA), NF-YB (sc-7711X, Santa Cruz Biotechnology), and NF-YC (sc-7714X, Santa Cruz Biotechnology) antibodies or 2  $\mu$ l of a myeloid zinc finger-1 (MZF-1) antibody (sc-46178X, Santa Cruz Biotechnology) were incubated with nuclear extracts for 30 min at room temperature prior to the binding reaction. Table 1 lists the oligonucleotides that were used in the EMSAs. The reaction mixtures were loaded onto a 6% non-denaturing polyacrylamide gel, and electrophoresed for 70 min at 80 V. The gel was dried, and then exposed to CP-BU film (Agfa, Mortsel, Belgium) for 16 h at  $-80^\circ\text{C}$ . The intensity of each band was measured using ImageJ software (National Institutes of Health, Bethesda, MD).

### Statistical analysis

Statistical analyses were performed using GraphPad Prism 5.0 (GraphPad Software, Inc., La Jolla, CA). p values for the luciferase assay were calculated using one-way analysis of variance followed by Dunnett's two-tailed test. A paired *t*-test was used to compare the effects of NF-Y on the reference and g.-1875T>A variant *OCTN1* promoters.  $p < 0.05$  was considered to be statistically significant.

## RESULTS

### Genetic variations of the promoter region of *OCTN1*

In this study, seven variants of the *OCTN1* promoter region were identified, and two of these were novel (Table

2). The name of each variant follows the Human Genome Variation Society (HGVS) nomenclature. Table 3 lists the frequencies of the common (frequency  $\geq 5\%$ ) haplotypes, which were assembled using the individual genetic data. Haplotype 1 (H1), consisting of all the major alleles except for one minor allele at g.-1745A>G, was the most common haplotype in the study population. Haplotype 2 (H2) includes three minor alleles at g.-1145A>G, g.-538C>G, and g.-248C>G, and contains a reference sequence according to NM\_003059.2. Therefore, this haplotype was used as the *OCTN1* reference haplotype in the present study.

### Effect of genetic variants on *OCTN1* promoter activity

To date, no studies have investigated the function of genetic variants of the *OCTN1* promoter using *in vitro* assays, although the function of proximal promoter haplotypes of *OCTN1* has been reported [18]. Therefore, to examine the effects of the variants on *OCTN1* promoter activity, we constructed the reporter plasmids containing either reference or variants of *OCTN1*, and performed luciferase assays after transfecting these plasmids into HCT-116 cells. Previously four cell lines, ACHN (human kidney adenocarcinoma), HepG2 (human liver carcinoma), HeLa (human uterus carcinoma), and HCT-116 cells were tested for measuring *OCTN1* promoter activity, and among them the *OCTN1*-reference-HCT-116 cells showed the highest promoter activity [18]. Therefore, HCT-116 cells were used to perform the luciferase assays in this study. Fig. 1a shows the relative luciferase activities of the *OCTN1* promoter haplotypes. All three haplotypes containing the single nucleotide polymorphisms (SNPs) showed significantly decreased promoter activities, which were reduced by 23~45% compared with the reference. We next measured the luciferase activity of each SNP present in the haplotypes. Two of the five variants (g.-1875T>A and g.-1745A>G) showed increased promoter activities, whereas two (g.-1145A>G and g.-248C>G) had decreased promoter activities; the activity of

**Table 2.** Frequencies of *OCTN1* genetic variations in the promoter region

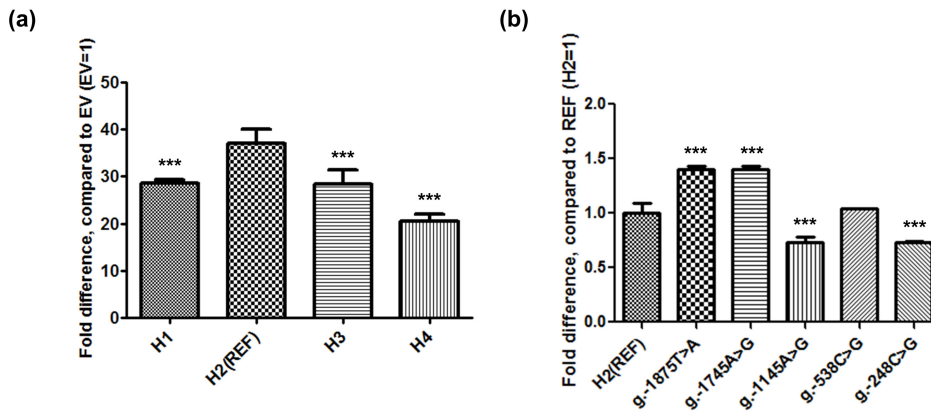
rs Number	Variant	Minor allele	Minor allele frequency	rs Number	Variant	Minor allele	Minor allele frequency
-	g.-2063A>C	C	0.021	rs460089	g.-538C>G	C	0.313
rs3761661	g.-1875T>A	A	0.260	-	g.-510C>T	T	0.010
rs3761660	g.-1745A>G	G	0.375	rs460271	g.-248C>G	C	0.313
rs162887	g.-1145A>G	A	0.313				

The name of each variant follows HGVS nomenclature.  
The position of the variant is based upon the translational start site.

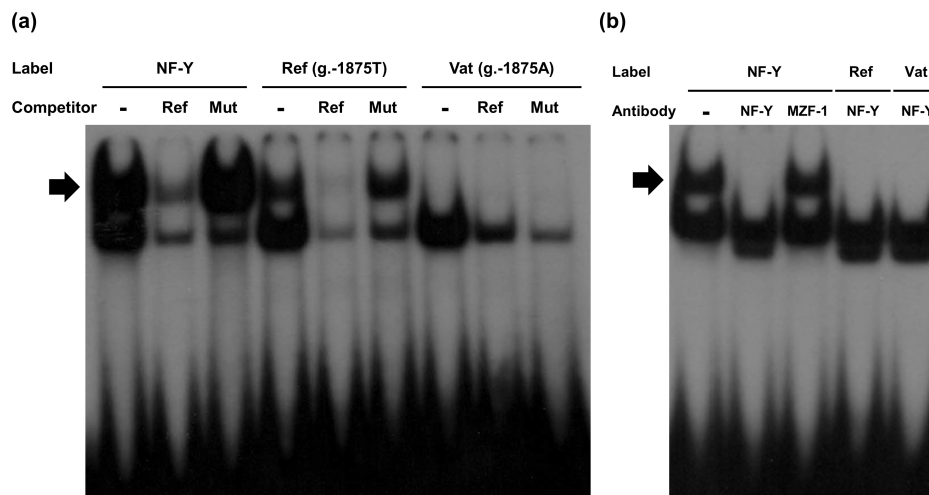
**Table 3.** Frequencies of common *OCTN1* haplotypes in the promoter region

ID	g.-2063 A>C	g.-1875 T>A	g.-1745 A>G	g.-1145 A>G	g.-538 C>G	g.-510 C>T	g.-248 C>G	Frequency
H1	A	T	<u>G</u>	<u>G</u>	<u>G</u>	C	<u>G</u>	0.375
H2	A	T	A	<u>A</u>	<u>C</u>	C	<u>C</u>	0.302
H3	A	<u>A</u>	A	<u>G</u>	<u>G</u>	C	<u>G</u>	0.240
H4	A	T	A	<u>G</u>	<u>G</u>	C	<u>G</u>	0.052

The SNPs were marked in bold-faced letters.  
The minor alleles were marked in letters with underlines.  
Haplotype 2 (H2) was used as the reference haplotype in the present study.



**Fig. 1.** Effect of variants on *OCTN1* promoter activity. Promoter activities were measured 30 h after the transfection of reporter plasmids containing the major *OCTN1* haplotypes (a) or genetic variants (b) into HCT-116 cells. The reporter activity of each construct was compared with empty vector (a, EV, pGL4.11b[*luc2*]) or the reference haplotype (b, H2). The data represent mean $\pm$ SD of triplicate wells in a representative experiment. \*\*\* $p$ <0.001.



**Fig. 2.** Electrophoretic mobility shift analysis of the *OCTN1* reference and g.-1875T>A variant sequences. (a) Labeled oligonucleotides (NF-Y consensus, lanes 1~3; *OCTN1* reference, lanes 4~6; variant, lanes 7~9) were incubated with 35  $\mu$ g of nuclear protein extracts. Competition assays were performed using unlabeled NF-Y consensus (lanes 2, 5, and 8) or mutant (lanes 3, 6, and 9) oligonucleotides. The arrow indicates the position of the DNA-protein complex. (b) Labeled oligonucleotides (NF-Y consensus, lanes 1~3; *OCTN1* reference, lane 4; variant, lane 5) were incubated with 20  $\mu$ g of nuclear protein extracts. Supershift assays were performed using a mixture of three different antibodies against NF-Y (lanes 2, 4, and 5) or an antibody against MZF-1 (lane 3).

g.-538C>G was comparable with the reference (Fig. 1b). These findings were consistent with the luciferase assay results obtained for the *OCTN1* haplotypes: the activities of H1 and H3 were higher than H4. All three haplotypes that exhibited decreased promoter activities contained g.-1145A>G and g.-248C>G. However, H1 and H3 also had the variants g.-1745A>G and g.-1875T>A, respectively, which showed increased promoter activities.

#### **Effect of the variant g.-1875T>A on the binding affinity of NF-Y to the *OCTN1* promoter region**

To further investigate the mechanisms of transcriptional regulation of *OCTN1* promoter variants, we used TFSearch (version 1.3, developed by RWCP, Tokyo, Japan) and MatInspector (Genomatix Software GmbH, Munich, Germany) to identify transcription factors that could bind to the promoter region of *OCTN1* in the vicinity of each variant present in haplotypes 1, 3, or 4. The results predicted that only one variant, g.-1875T>A, might affect the

binding affinity of the transcriptional factor NF-Y. In other words, transcription factor binding site (TFBS) analyses predicted that NF-Y would have a higher binding affinity for the reference g.-1875T allele than for the variant g.-1875A allele.

To validate this prediction, we performed EMSAs, in which labeled oligonucleotides ( $2 \times 10^5$  counts/minute, NF-Y consensus: lanes 1~3; reference, g.-1875T: lanes 4~6; variant, g.-1875A: lanes 7~9, Fig. 2a) were incubated with nuclear protein extracts. Competition assay was also performed by incubating the nuclear extracts with a 50-fold concentration of unlabeled NF-Y consensus (lanes 2, 5, and 8) or mutant (lanes 3, 6, and 9) oligonucleotides prior to the binding reaction (Fig. 2a). The reference probe formed DNA-protein complexes that were detected in the same location as the NF-Y consensus probe, but with a decreased intensity (lanes 1 and 4, Fig. 2a). However, the intensity of the DNA-protein complexes with the variant probe was very weak (lane 7, Fig. 2a). In the competition assay, unlabeled NF-Y consensus oligonucleotides competed with the

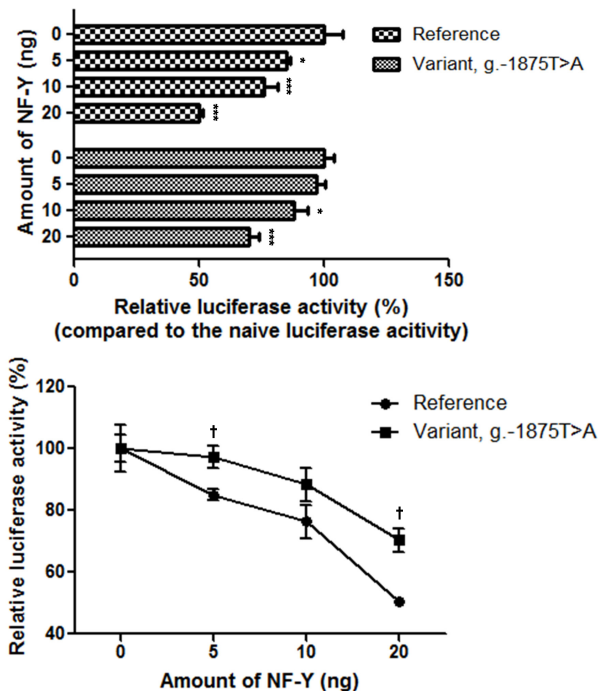
NF-Y or reference probes (lanes 2 and 5, Fig. 2a). However, these binding complexes were unable to compete with unlabeled oligonucleotides containing mutated core NF-Y sequences (lanes 3 and 6, Fig. 2a). We also performed a supershift assay using a mixture of NF-YA, NF-YB, and NF-YC antibodies. A supershift in the presence of antibodies against NF-Y confirmed that NF-Y was present in the complex (lanes 2, 4, and 5, Fig. 2b). To confirm the results of the supershift assay, we performed an additional assay using a non-NF-Y-specific antibody against MZF-1, which did not induce a supershift (lane 3, Fig. 2b).

#### Effect of NF-Y on *OCTN1* promoter activity

The effect of NF-Y on *OCTN1* promoter activity was investigated by overexpressing NF-Y and subsequently conducting luciferase assays. NF-Y overexpression resulted in a dose-dependent reduction in *OCTN1* promoter activity. NF-Y exerted stronger effects on promoter activity in the presence of the reference g.-1875T than the variant g.-1875A (Fig. 3). These findings are consistent with the luciferase assay results obtained using *OCTN1* variants, where g.-1875T>A showed significantly increased promoter activity compared with the reference.

## DISCUSSION

This study was performed to identify and functionally



**Fig. 3.** Effect of NF-Y on *OCTN1* promoter activity. Promoter activities were measured 30 h after the co-transfection of reference or variant reporters and varying amounts of NF-Y plasmids into HCT-116 cells. The reporter activity of each construct was compared with naïve promoter activity. The data represent mean $\pm$ SD of triplicate wells in a representative experiment. \* $p$ <0.05, \*\*\* $p$ <0.001 vs. naïve promoter activity and † $p$ <0.01 vs. reference promoter activity.

characterize genetic variations in the *OCTN1* promoter region in Korean individuals. Direct sequencing identified seven variants, of which six were polymorphic (Table 2). Previously, Tahara et al. [18] performed genetic analysis of the *OCTN1* proximal promoter by screening 272 DNA samples from 68 Caucasian, 68 Chinese, 68 Hispanic, and 68 African individuals. They identified seven genetic variants of the *OCTN1* promoter, and only two of these variants, g.-256C>G and g.-248C>G, were found in Chinese individuals. The minor allele frequency of g.-248C>G in the Tahara et al. study was similar to that in the current study. However, the rare variant g.-256C>G, which had a frequency of 1.5% in the Tahara et al. study, was not observed in our Korean population. In the current study, we screened a wide range of the promoter region of *OCTN1* (up to -2,240 bp from the translational start site), and identified two novel variants, g.-2063A>C and g.-510C>T. The region from -104 bp to -1 bp upstream of the *OCTN1* translational start site was not included in our study's genetic analysis owing to technical problems. Previously, the frequency of a known *OCTN1* promoter SNP within -105 bp of the start site, rs11568501 was examined by genotyping DNA samples from 90 healthy Korean individuals [21]. In that population, this SNP was not detected. In addition, Tahara et al. [18] also were unable to detect any SNP within -105 bp of the *OCTN1* translational start site. Therefore, we expected to find no SNP within the specified range in our population. Furthermore, the frequencies of *OCTN1* promoter haplotypes containing common SNPs in the present study is not affected by performing an additional genetic analysis of *OCTN1*, in which the more proximal promoter region of this gene is included.

Luciferase reporter assays revealed that three of the *OCTN1* haplotypes (H1, H3, and H4) had significantly decreased promoter activity (Fig. 1a). These three haplotypes contained the variants g.-1145A>G, g.-538C>G, and g.-248C>G. Of these variants, g.-1145A>G and g.-248C>G showed significantly decreased promoter activities, while the promoter activity of g.-538C>G was similar to that of the reference. The promoter activities of H1 and H3 were higher than that of H4, possibly because of the presence of g.-1745A>G and g.-1875T>A, respectively, which showed increased promoter activities. In a previous study, the promoter activities of seven *OCTN1* promoter haplotypes containing a combination of several variants of the *OCTN1* proximal promoter were measured [18]. However, no haplotypes exhibited significantly different promoter activities in that study.

TFBS analyses suggested that NF-Y could bind to the *OCTN1* promoter region containing g.-1875T>A, a variant present in haplotype 3, and that the binding affinity of NF-Y would be variant-dependent. Specifically, our data predicted that NF-Y would have a higher binding affinity for the reference g.-1875T allele than for the variant g.-1875A allele. Previously, we reported that NF-Y is a transcriptional factor involved in regulating multidrug resistance 3 (*MDR3*) transcription, and that it could induce the expression of this gene [20].

The consensus DNA sequence of NF-Y is YRRCCAATCAG [22]. Because the CCAAT motif is a common promoter element, NF-Y plays an important role in the transcriptional regulation of various genes [23,24]. In the current study, we observed that *OCTN1* contains a CCAAT motif, with the sequence TCGCCAATAAC. In contrast, the variant g.-1875T>A has the sequence TCGCCAAAAC, which is

a poorer match than the reference. The predictions obtained from TFBS analyses were validated using EMSAs, in which the intensity of the DNA-NF-Y complex was decreased by 70% in the presence of g.-1875T>A (Fig. 2a). In addition, NF-Y repressed *OCTN1* transcription, in contrast to its role in *MDR3* transcription [20] (Fig. 3). Previous studies reported that NF-Y is a bifunctional transcription factor that can either induce or suppress gene expression [25,26].

Recently, several studies reported that a haplotype consisting of the *OCTN1* variant L503F and the *OCTN2* variant g.-207G>C was significantly associated with susceptibility to Crohn's disease. Another study reported that an intronic variant of *OCTN1* was associated with rheumatoid arthritis [3]. However, the results of association studies between *OCTN1* and rheumatoid arthritis remain controversial [27,28].

In conclusion, we identified and characterized genetic variants in the promoter region of *OCTN1* in Korean individuals. We identified three haplotypes of *OCTN1* with significantly decreased promoter activities. In addition, a mechanism by which genetic variants of *OCTN1* regulate promoter activity was determined: g.-1875T>A, a variant present in H3, was associated with significantly increased *OCTN1* promoter activity, and this observation was related to the reduced binding of NF-Y, a repressor of *OCTN1* transcription, to the variant g.-1875A allele. To our knowledge, this is the first study to identify functional variants of the *OCTN1* promoter. Future clinical studies are needed to evaluate the effect of each variant or haplotype of *OCTN1* on disease susceptibility and the pharmacokinetics or pharmacodynamics of drugs.

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