



Establishment and validation of a prognostic immune-related lncRNA risk model for acute myeloid leukemia

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Background: Acute myeloid leukemia (AML) is a cancer arising in the bone marrow and is the most common type of adult leukemia. AML has a poor prognosis, and currently, its prognosis evaluation does not include immune status assessment. This study established an immune-related long non-coding RNA (lncRNA) prognostic risk model for AML based on immune lncRNAs screening.

Methods: To construct training and validation cohorts, The Cancer Genome Atlas (TCGA) and Gene Expression Omnibus (GEO) public databases were accessed to obtain gene expression profiles and clinical data. The correlation between lncRNAs and immunity genes was analyzed using the “limma” package, and the immune-related lncRNAs were obtained. Through least absolute shrinkage and selection operator regression, a prognostic model was established with immune-related lncRNAs. Using the median risk score, patients were divided into high- and low-risk groups. The Kaplan-Meier method was used for survival analysis, whereas the accuracy of the risk model was evaluated using time-dependent receiver operating characteristic curves, risk score distribution, survival status, and risk heat maps. We utilized univariate and multivariate Cox regression to examine the association between risk score and clinical variables and AML survival and prognosis.

Results: In the immune-related lncRNA prognostic risk model, the prognosis was better for low-risk than for high-risk patients, indicating risk score of this model as an independent indicator of prognosis. The area under the curve value for 1-, 3-, and 5-year survival of TCGA patients was 0.817, 0.859, and 0.909, respectively, whereas that of GEO patients (of dataset GPL96-GSE37642) was 0.603, 0.652, and 0.624, respectively. Gene set enrichment analysis revealed the enrichment of multiple pathways, such as antigen processing, B-cell receptor signaling pathway, natural killer cell-mediated cytotoxicity, and chemokines, in high-risk patients.

Conclusions: In this study, immune-related lncRNA prognostic risk models effectively predicted AML survival and provided potential treatment targets.

Keywords: Acute myeloid leukemia (AML); long non-coding RNA (lncRNA); immunology; risk model; prognosis

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Introduction

Acute myeloid leukemia (AML) is a cancer arising from myeloid hematopoietic stem or progenitor cells (1). AML incidence increases with age and ranks the first in all adult acute leukemia types. In its pathogenesis, leukemia cells proliferate clonally and hematopoietic stem cells are prevented from differentiating, resulting in the disorder of normal hematopoiesis (2). Currently, the risk stratification of AML is mainly based on molecular biology and cytogenetic analyses adopted by the 2017 European LeukemiaNet (ELN) AML recommendations. According to this risk stratification, patients are treated with either chemotherapy alone, or chemotherapy combined with stem cell transplantation. Despite these treatment methods, the 5-year survival rates and median survival times AML patients are low and their prognosis is poor. Recent studies have found that AML cells interact with immune cells and cytokines in the immune microenvironment of the bone marrow, allowing leukemic cells to escape immune surveillance (3) and ultimately leading to immune drug resistance. With the development of single-cell RNA sequencing (scRNA-seq) technology, it was found that immune cells play a vital role in anti-tumor effects (4). The findings have suggested the close relation between the immune status and prognosis of patients with AML. However, the current mechanism of prognosis evaluation for AML is relatively simple and it does not involve the evaluation of the immune status. Therefore, this study assessed immune status within the prognosis evaluation

of AML by screening for immune predictors to improve the existing evaluation mechanism and allow enhanced treatment methods to be developed.

A long non-coding RNA (lncRNA) does not code for a protein (5); thus, lncRNA was believed to be unrelated to gene expression. However, in the increasingly high-throughput sequencing era, more lncRNAs have been annotated, and some studies have reported that the lncRNA promoter sequences are even more conserved than protein-coding genes, indicating that lncRNA plays an important role in gene expression (6). Notably, a study (7) showed that lncRNAs were expressed in an aberrant manner and were found to be involved in gene transcription, RNA ligation, protein transport, and other processes in many neoplastic diseases (8). In AML, lncRNAs, such as *HOTAIRMI*, *NEAT1*, *PVT1*, *CASC15*, and *UCA1*, were found to regulate leukemic cell proliferation, differentiation, and apoptosis (9-14). Hence, the immune regulation of lncRNAs in cancer has become a research hotspot. Importantly, immune-related lncRNAs could provide prognostic information and treatment guidance for cancer patients (15).

In this study, three types of data, namely, transcriptome, high-throughput sequencing chip, and clinical data, were obtained from The Cancer Genome Atlas (TCGA) and Gene Expression Omnibus (GEO) databases and used to conduct a comprehensive analysis of AML immune-related lncRNAs. Based on the analysis results, a prognostic risk model was constructed to provide guidance for the prognostic assessment of patients as well as a theoretical basis for researching new therapeutic targets for AML. We present this article in accordance with the TRIPOD reporting checklist (available at <https://tcr.amegroups.com/article/view/10.21037/tcr-23-429/rc>).

Highlight box

Key findings

- This study provided innovative ideas for studying acute myeloid leukemia (AML) pathogenesis and improved the risk stratification of AML.

What is known and what is new?

- The current mechanism of prognosis evaluation for AML is relatively simple and it does not include the evaluation of immune status.
- Immune-related long non-coding RNAs could provide prognostic information and treatment guidance for AML patients.

What is the implication, and what should change now?

- This study conducted an assessment of immune status within the prognosis evaluation of AML by screening for immune predictors to improve the existing evaluation mechanism and allow enhanced treatment methods to be developed.

Methods

Data collection and organization

The RNA-seq and clinical data of AML patients from the TCGA database were obtained using the UCSC Xena database (<https://xena.ucsc.edu/>). The microarray and clinical data were downloaded from the GEO repository; the GPL96 annotation platform had the largest sample size in the GPL96-GSE37642 dataset; thus, the GPL96-GSE37642 dataset was selected for analysis. Samples with a survival time of <30 days were excluded when screening for clinical data and the TCGA and GPL96-GSE37642 datasets were annotated using the “AnnoProbe” and

Table 1 Detailed clinical features of different immune risk subtypes in training cohort

Clinical features	Overall (n=130)
Age (years), median [range]	55 [21–88]
Male, n (%)	69 (53.1)
Cytogenetics, n (%)	
Poor	27 (20.8)
Intermediate/normal	73 (56.2)
Favorable	30 (23.1)
FAB subtype, n (%)	
M0	12 (9.2)
M1	31 (23.8)
M2	32 (24.6)
M3	13 (10.0)
M4	27 (20.8)
M5	12 (9.2)
M6	2 (1.5)
M7	1 (0.8)

FAB, French-American-British classification systems.

“tinycarray” packages to distinguish messenger RNAs (mRNAs) and lncRNAs. A set of immune genes was obtained from ImmPort for analysis, the “limma” package was used to calculate the correlation between lncRNA and immune-related genes by co-expression analysis. Immune-related lncRNAs were obtained using the filtering coefficients $\text{corFilter} = 0.4$ and $\text{pvalueFilter} = 0.001$. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

Immune-related lncRNA risk model construction

The TCGA and GPL96-GSE37642 datasets were used in the training cohort and validation cohort, respectively. The batch effect between platforms was eliminated using the Combat method so that all data were at the same quantitative level. In the training cohort, using the “survival” package in R, univariate Cox regression analysis was used to detect immune-related lncRNAs involved in prognosis, using variables of $P < 0.05$ as threshold. Further screening was performed using Cox regression analysis and the least absolute shrinkage and selection operator (LASSO) was used to reduce overfitting of the data, and the prognostic

regression model was obtained to generate a risk score for each patient. In this study, the TCGA training cohort and GPL96-GSE37642 validation cohort were further classified into high- and low-risk groups as per the median risk score.

Statistical analysis

In the training cohort, Kaplan-Meier survival curves and the log-rank test were used for comparing survival differences between the high- and low-risk groups. The accuracy of the model was evaluated using the time-dependent receiver operating characteristic (timeROC) curves, risk heatmap, risk score distribution, and survival status, and validated using the validation cohort. Data from the training cohort were analyzed using principal component analysis (PCA) and linearly downsampled to demonstrate the model’s accuracy. Univariate and multivariate Cox regression analyses were used to assess the connection between risk score and clinical factors with the survival and prognosis of AML, and a forest plot was drawn to verify the model. Analysis of the correlation between lncRNAs of the training TCGA cohort and cytogenetic and clinico-pathological criteria was also performed. Gene set enrichment analysis (GSEA) was used to enrich the signaling pathways of the high- and low-risk groups of the training cohort. Statistical analyses were performed using R software (version 4.2; <https://cran.r-project.org/>), with $P < 0.05$ considered a statistically significance.

Results

General information

Patients with clinical and prognostic information in the TCGA (n=130) were included as the training cohort, and those in the GPL96-GSE37642 (n=374) were included as the validation cohort. Data on the TCGA training cohort are shown in *Table 1*.

Screening of prognostic genes in TCGA

We performed co-expression analysis between the 4,241 lncRNAs obtained from TCGA and known immune gene sets downloaded from the ImmPort database (<https://www.immport.org/shared/home>) and obtained 1,991 immune-related lncRNAs. After intersecting these lncRNAs with the GPL96-GSE37642 dataset, we obtained 88 immune-related lncRNAs, with TCGA as the training cohort and

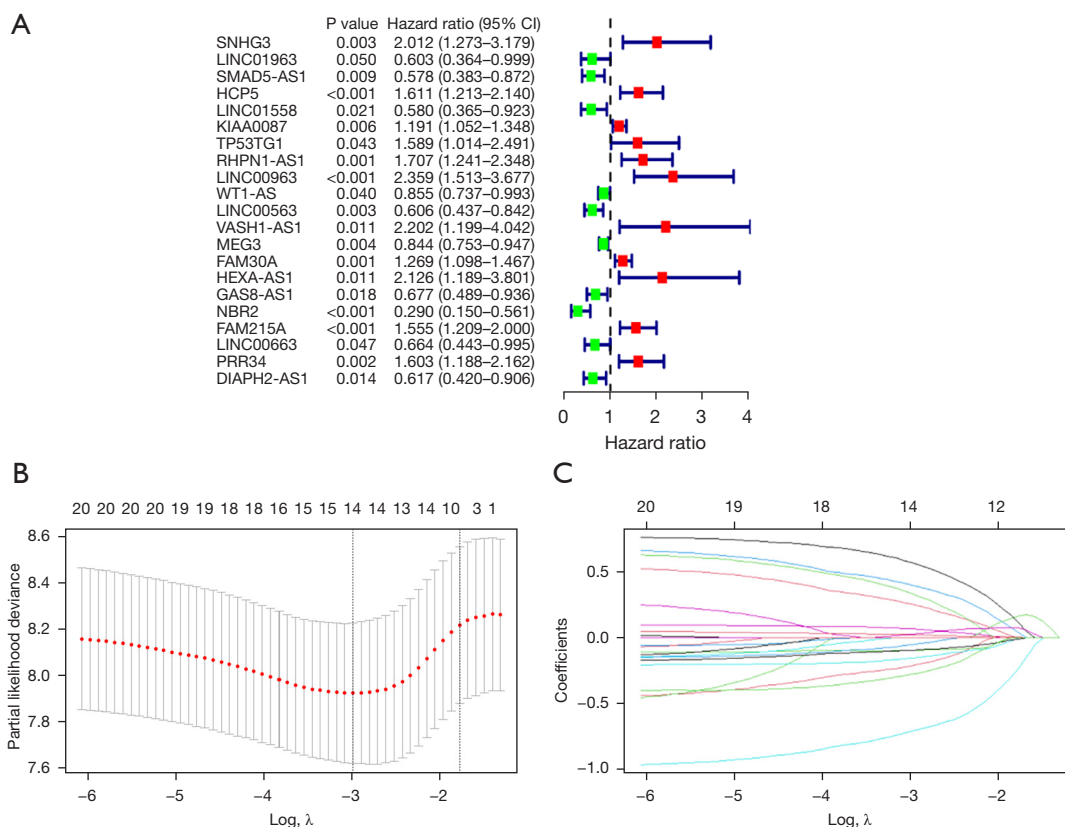


Figure 1 Screening of prognostic genes in TCGA and LASSO regression on the 21 prognostic genes of the TCGA training cohort. (A) Prognostic values of immune related-lncRNAs in univariate Cox regression analysis. (B) One thousand-fold cross-validation to select variants in the LASSO regression using minimum criteria. The dotted vertical lines are drawn at the optimal values using the minimum and 1-SE criteria. (C) LASSO coefficients of immune-related lncRNAs in the training cohort. Each color line represents the change trajectory of the coefficient of the independent variable. CI, confidence interval; TCGA, The Cancer Genome Atlas; LASSO, least absolute shrinkage and selection operator; lncRNA, long non-coding RNA; 1-SE criteria, one standard error of the minimum criteria.

GPL96-GSE37642 as the validation cohort. Subsequently, the “survival” package screened out 21 prognosis-related genes from the TCGA training cohort using Cox regression analysis (Figure 1A).

Establishment of the immune-related lncRNA risk model

We then performed LASSO regression on the 21 prognostic genes of the TCGA training cohort (Figure 1B,1C) and used the cross-validation method to output the optimal λ value. Based on this, we finally screened out 14 lncRNAs to build the risk model, generated the LASSO regression coefficient map, and output the risk score.

Risk score = $SNHG3 \times 0.570 - LINC01963 \times 0.214 - SMAD5-AS1 \times 0.099 + HCP5 \times 0.399 + KIAA0087 \times 0.065 + RHPN1-AS1 \times 0.252 - WT1-AS \times 0.055 - LINC00563 \times$

$0.156 - MEG3 \times 0.096 + FAM30A \times 0.020 + HEXA-AS1 \times 0.333 - NBR2 \times 0.715 + FAM215A \times 0.025 - DIAPH2-AS1 \times 0.281$.

All clinical cases were divided into the high- and low-risk groups as per the median risk score, and the predicted value was further verified using Kaplan-Meier and timeROC curves.

Assessment and validation of immune-related lncRNA risk models

The Kaplan-Meier curves demonstrated that the overall survival (OS) rate of the high-risk group was significantly lower than that of low-risk group, in both the TCGA training ($P < 0.05$) and GPL96-GSE37642 validation cohorts ($P < 0.05$) (Figure 2A,2B). According to the results of the

survival status, distribution of the risk score, and risk heat map, we found that the patient mortality rate gradually increased with increase in the risk score. Importantly, we observed that both the difference in mortality rate and expression of genes between the high- and low-risk groups were statistically significant ($P < 0.05$) (Figure 2C,2D). The area under the curve (AUC) values for the timeROC curves of the 1-, 3-, and 5-year survival of patients in the TCGA training cohort were 0.817, 0.859, and 0.909, respectively, indicating the effectiveness of the model in predicting patient survival time (Figure 2E). The AUC values of the GPL96-GSE37642 validation cohort were 0.603, 0.652, and 0.624 for 1-, 3-, and 5-year survival time, respectively, further supporting the good predictability of the model (Figure 2F).

PCA

In performing PCA on the TCGA training cohort, we identified that 14 related lncRNAs effectively distinguished the low- from the high-risk group (Figure 3A). We also observed high overlaps of immune-related lncRNAs (Figure 3B) and immune genes (Figure 3C). In addition, we revealed that all genes in the low- and high-risk groups exhibited high overlap (Figure 3D).

Multivariate and univariate analysis

In the TCGA training cohort, we subjected the risk score and cytogenetic stratification of patients, and clinico-pathological criteria, such as age, bone marrow blast cell number, leukocyte number, platelet number, and hemoglobin level, to univariate and multivariate independent prognostic analyses. Specifically, univariate Cox regression analyses revealed that age, risk score, and cytogenetic stratification were independent indicators for prognosis [hazard ratio (HR) = 4.363; 95% confidence interval (CI): 3.024–6.296; $P < 0.001$], whereas multivariate Cox regression revealed that the risk score was directly related to the prognosis of AML (HR = 3.705; 95% CI: 2.488–5.518; $P < 0.001$) regardless of other factors (Figure 4A,4B).

Clinical correlation analysis

In the TCGA training cohort, according to the 2017 ELN AML recommendations using cytogenetic indicators, patients were divided into three groups of prognosis:

favorable, intermediate/normal, and poor. We analyzed the correlation between cytogenetic prognosis stratification and immune-related lncRNAs in this study. We detected that the genes *KIAA0087*, *MEG3*, *FAM30A*, *NBR2*, and *RHPN1-AS1* were significantly correlated with cytogenetic indicators ($P < 0.001$). Notably, the genes *LINC01963*, *WT1-AS*, and *FAM215A* were associated with prognosis ($P < 0.05$), whereas the remaining lncRNAs were not associated with cytogenetic indicators (Figure 4C).

Enrichment analysis

In the TCGA training cohort, we identified that pathways related to immunity, including autoimmune diseases, processing and presentation of antigens, chemokines, B-cell receptor signaling pathways, and cytotoxicity mediated by natural killer (NK) cells, were primarily enriched in high-risk patients. Conversely, in low-risk patients, we mainly detected the enrichment of pathways such as drug metabolism (Figure 4D).

Discussion

In AML, myeloid precursors multiply clonally, making it an aggressive hematological malignancy (16). Interestingly, the heterogeneity of AML is also manifested in the immune microenvironment. It is particularly important to note that the effect of AML cells on the bone marrow immune microenvironment leads to drug resistance, relapses, and progression of disease (17). Currently, there is a lack of assessment of the AML immune status for predicting disease prognosis. Therefore, using the TCGA training cohort, we constructed the 14-immune-related-lncRNA model to predict AML prognosis. Patients with AML were divided into high- and low-risk groups as per the median risk score, and the Kaplan-Meier survival analysis, risk score maps, survival status maps, risk heat maps, and timeROC showed that the low-risk group had a significantly greater survival rate than the high-risk group, demonstrating the prognostic value of the risk score. A similar trend was observed among the GPL96-GSE37642 validation cohort risk group, indicating the performance of the model as stable and reliable. The risk heat map indicated that the model included the genes *HCP5*, *FAM30A*, *PHPN1-AS1*, *KIAA0087*, *SNHG3*, *HEXA-AS1*, and *FAM215A* as high-risk immune-related lncRNAs, whereas the genes *SMAD5-AS1*, *LINC00566*, *MEG3*, *WT1-AS*, *LINC01963*, *NBR2*, and *DIAPH2-AS1* were identified as low-risk immune-

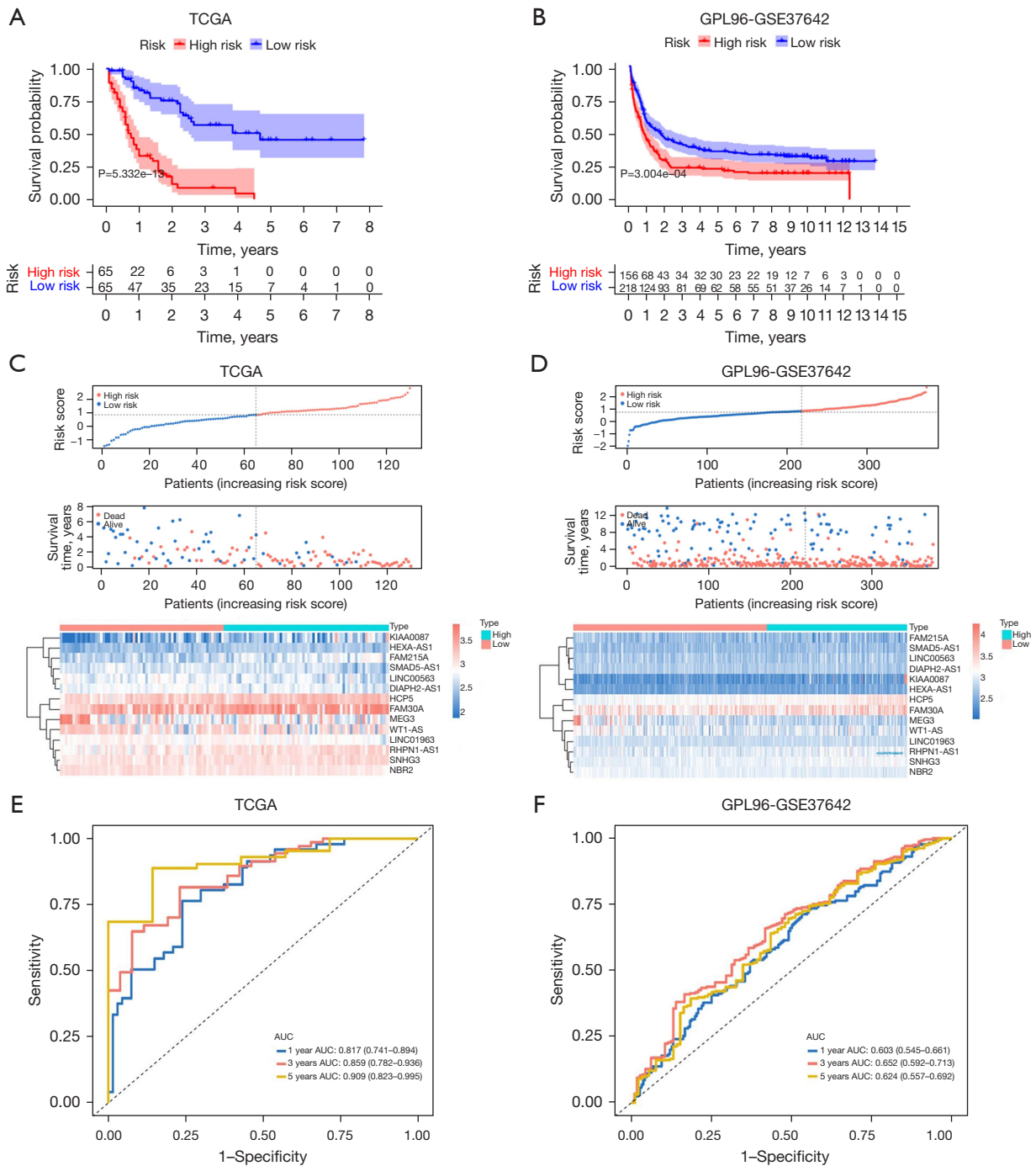


Figure 2 Assessment and validation of immune-related lncRNA risk models. (A) Kaplan-Meier curves of immune-related lncRNA models. (B) Kaplan-Meier curves for the test cohort. (C,D) Risk score distribution, survival status, and gene expression patterns of patients in the TCGA (C) and GPL96-GSE37642 (D) datasets. (E,F) TimeROC curves for the TCGA (E) and GPL96-GSE37642 (F) datasets. TCGA, The Cancer Genome Atlas; AUC, area under the curve; lncRNA, long non-coding RNA; timeROC, time-dependent receiver operating characteristic.

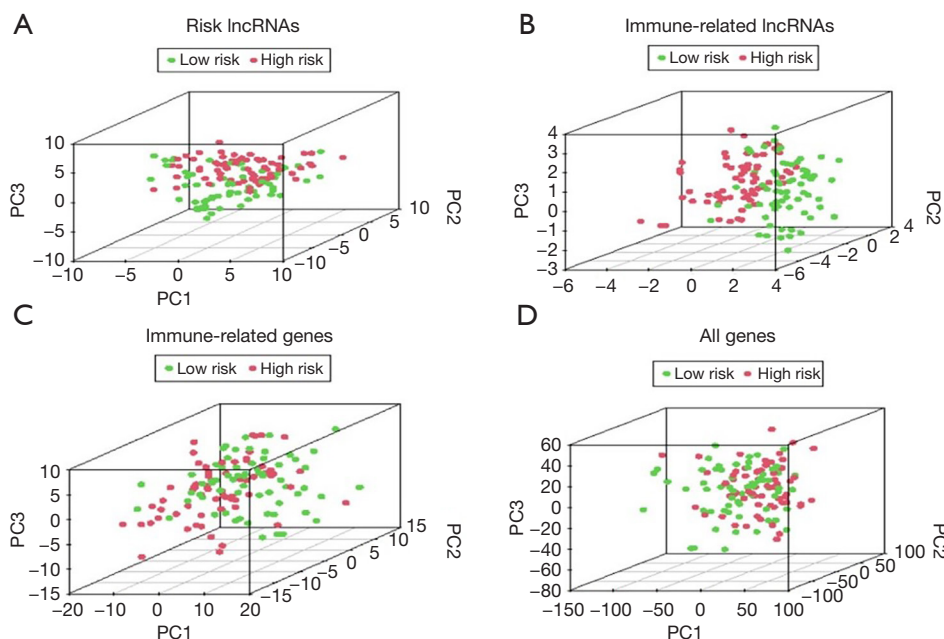


Figure 3 PCA: (A) risk lncRNAs; (B) immune-related lncRNAs; (C) immune-related genes; (D) all genes. lncRNA, long non-coding RNA; PC, principal component; PCA, principal component analysis.

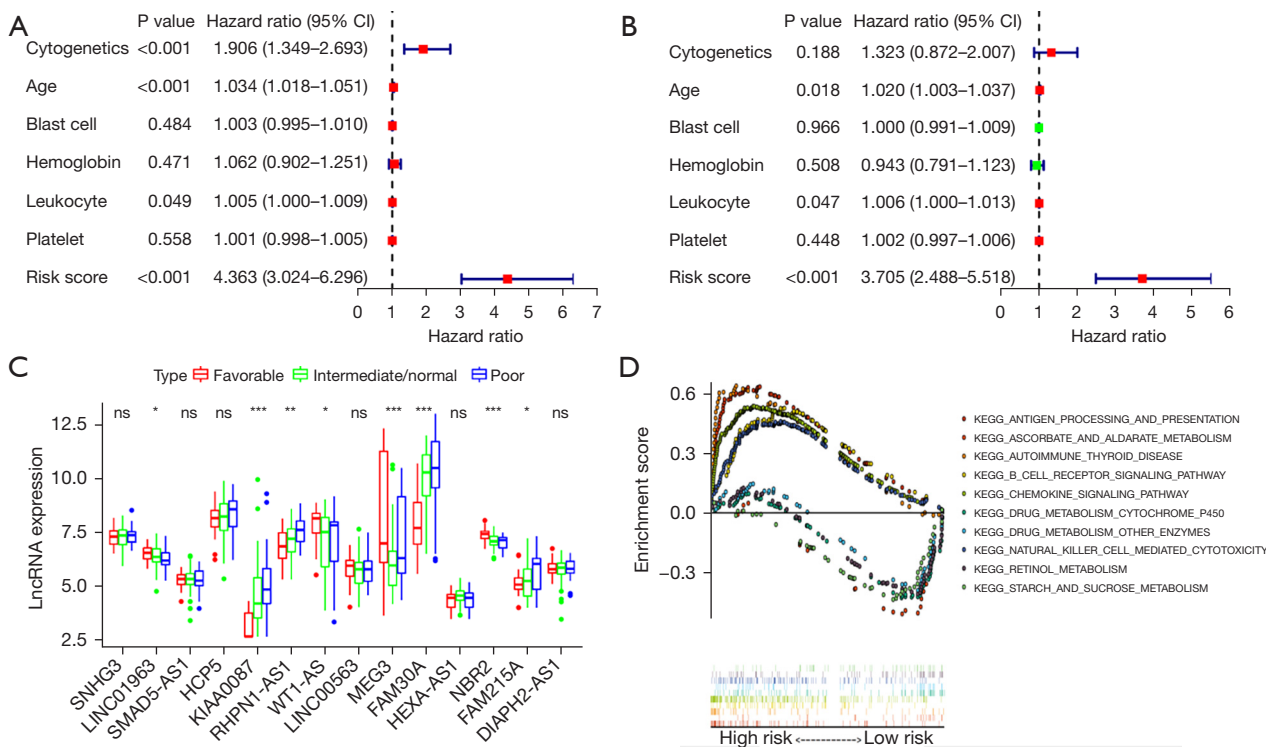


Figure 4 Forest plot of Cox regression analysis of the prognostic risk model. (A) Univariate analysis. (B) Multivariate analysis. (C) Clinical correlation analysis. *, P<0.05; **, P<0.01; ***, P<0.001; ns, no significance. (D) Integrating multiple GSEA of immune-related pathways associated with the immune-related lncRNA risk score. CI, confidence interval; lncRNA, long non-coding RNA; KEGG, Kyoto Encyclopedia of Genes and Genomes; GSEA, gene set enrichment analysis.

related lncRNAs.

In the current study, we found that some lncRNAs used for constructing the model have rarely been reported in previous studies. Here, we identified *HCP5*, which has been linked to a number of cancers, and has been reported to exhibit elevated expression in AML, cholangiocarcinoma, esophageal cancer, and pancreatic cancer, potentially promoting cancer cell growth and metastasis (18). Similarly, *SNHG3* has been mostly identified in solid tumors, which indicated poor prognosis (19). *SMAD5-AS1*, is a lncRNA involved in the regulation of B-lymphocytes (20), and its expression was downregulated in large B-cell lymphoma, promoting proliferation (21). *WT1-AS* binds to *WT1* mRNA, regulating the expression of the *WT1* protein through RNA-RNA interactions (22). Of note, the expression of *WT1* was high in newly diagnosed patients with AML, whereas it decreased in patients after remission (23). *MEG3* plays both oncogenic and tumor suppressor roles in AML, as it has been reported to be upregulated in acute promyelocytic leukemia, and often functions as a tumor suppressor in other myeloid leukemia cells (24). High *LINC01963* expression levels negatively regulate *miR-641* to prevent the progression of pancreatic cancer (25). Moreover, *NBR2* was reported to inhibit tumorigenesis by controlling autophagy. Low expression of *NBR2* in hepatocellular carcinomas has been found to significantly decrease OS (26,27); but this is unclear in AML. In conclusion, current studies of AML lack information on immune-related lncRNAs, which could provide new clues for exploring the pathogenesis of AML and could serve as potential targets for AML research.

In this study, the univariate and multifactorial Cox analyses were performed to study the relationship between risk scores, cytogenetic stratification, and clinicopathological criteria, such as age, bone marrow blasts, leukocyte number, platelet number, and hemoglobin levels, for patients with AML. Interestingly, we determined that risk score could predict the prognosis of AML, further demonstrating that our model was capable of predicting AML prognosis. Moreover, the superiority of the model was demonstrated in three-dimensional space by PCA plots. Currently, AML is prognostically stratified using cytogenetics. Thus, we correlated the lncRNAs identified in this model with cytogenetics and found that the expression of *WT1-AS*, *MEG3*, and *NBR2* was increased in patients with good prognosis, whereas that of *KIAA0087*, *RHPN1-AS1*, *FAM30A*, and *FAM215A* was increased in patients with poor prognosis, further supporting the good prognostic assessment performance of the model and demonstrating

that it can serve as a good predictor for different subgroups, making the current prognostic evaluation system more comprehensive.

Finally, using GSEA, we were able to identify multiple pathways, mainly immune-related, in high-risk patients, including antigen processing, autoimmune disease, NK cell-mediated cytotoxicity, and chemokines. It is known that NK cells are mainly related to immune monitoring of tumor pathogenesis, and there were studies revealing that they mediated antibody-dependent cellular cytotoxicity (ADCC) and could resist leukemia (28,29). In addition, chemokines regulate immune cell migration and adhesion in the tumor immune microenvironment and promote the progression of AML (30).

Conclusions

We performed an immune-related lncRNA expression profile analysis using the TCGA and GPL96-GSE37642 datasets, with TCGA as the training cohort and GPL96-GSE37642 as the validation cohort and found that the immune-related lncRNA prognostic risk model of the training cohort effectively predicted the prognosis and performed the risk stratification of patients with AML, and the immune-related lncRNA prognostic risk model can thus be a biomarker of AML prognosis. The pathogenesis of AML is complex, and multi-target combination therapy may be required in the future. Overall, this study provides an innovative approach for determining AML pathogenesis with an improved risk stratification. However, the study has some limitations, including the small number of patients in the test cohort and the lack of a multi-center database validation. This study also lacks clinical samples experiments to verify the reliability of the risk model, and we will add clinical samples experiments in the further study. Therefore, elucidation of the mechanisms and pathways of immune-related lncRNAs in the current model require further investigation.

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Footnote

Reporting Checklist: The authors have completed the

TRIPOD reporting checklist. Available at <https://tcr.amegroups.com/article/view/10.21037/tcr-23-429/rc>

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Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at <https://tcr.amegroups.com/article/view/10.21037/tcr-23-429/coif>). The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This study is a bioinformatics study based on an existing database and does not involve medical ethical review. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

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