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Research Paper

Accumulated environmental risk in young refugees — A prospective evaluation

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ABSTRACT

Background: Recently, we reported a strong, disease-independent relationship between accumulated preadult environmental risks and violent aggression later in life. Risk factors were interchangeable, and migration was among the explored risks. Alarmed by these data, we assessed collected risk load in young 'healthy' refugees as a specific subgroup of current migration streams and evaluated first signals of behavioral abnormalities.

Methods: In 9 German refugee centers, n=133 young refugees, not previously in contact with the health system, were recruited, many of them unaccompanied minors. Risk factors experienced apart from migration/refuge were carefully assessed: Traumatic experiences before/during/after flight (including war, genocide, human trafficking, torture, murder, slavery, terrorist attacks), urbanicity, physical and sexual abuse, problematic alcohol and cannabis use (lifetime). Evaluation comprised physical exam and psychopathology screening. Findings: Refugees arrived in Germany via Eastern Mediterranean/Balkan route (34.6%), from Africa via Central Mediterranean route (39.1%), by plane (17.3%) or other routes, such as Western Mediterranean or Atlantic (9.0%). Flight reasons were war/expulsion (25.6%), persecution/threats to life (51.9%), economical/others (22.5%). On top of migration/refuge, 42.8% of subjects had ≥3 risk factors; only 4.5% of refugees had no additional risks. Global level of functioning and severity of psychopathology were strongly associated with number of accumulated risks (Jonckheere—Terpstra trend-test: $p=7.61 \times 10^{-7}$ and $p=3.62 \times 10^{-7}$, respectively). Interpretation: Young refugees, arriving in hosting countries with alarming 'risk burden', should be considered as highly vulnerable towards development of global functional deficits, behavioral abnormalities, and neuropsychiatric disorders. Rapid proactive integration or sustainable support of those who will return to rebuild their countries are mandatory.

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1. Introduction

The impact of environmental risk on neuropsychiatric phenotypes has long been recognized [1–7]. However, studies on accumulation of risk factors, their impact in relation to genetic risk, and their effects on human behavior are sparse. When we started working on preadult accumulation of environmental risk factors, first in schizophrenic individuals, we made several surprising discoveries. Accumulation of ≥ 3 risk factors before the age of 18 years led to a nearly 10-year earlier onset of schizophrenia; the environmental risk factors were interchangeable, i.e. they could all replace each other, just the number was important; the impact of environmental risk was definitely

stronger as compared to any common genetic risk [8,9]. From these robust findings, we moved on to compare extreme groups for exploring potential epigenetic alterations. Whereas epigenetic findings turned out to be minor, we encountered another unexpected observation during this follow-up study, namely that early accumulation of environmental risk was associated with violent aggressive and criminal behavior in adulthood [9]. This finding was obtained in 6 independent samples, including healthy cohorts. Migration, both first and second generation, was again among the environmental risk factors [9]. In fact, even once migrants have settled and formed families, their children, the second generation migrants, have an increased and even more pronounced risk of behavioral abnormalities and mental health problems [10].

In recent years, refuge, a specific subgroup of migration, has become a major socio-political issue in Europe. Since 2014, nearly 2 million individuals sought asylum in Germany, thus attributing to

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Research in context

Evidence before this study

In 2005, we started assembling a neuropsychiatric disease population with thus far unprecedented level of phenotyping accuracy, including environmental risk factors. This deep phenotyping provided the ground for our recent discoveries that preadult accumulation of environmental risk factors is associated with a \sim 10-year earlier onset of mental illness, and very robustly with the development of violent aggression/criminality in schizophrenia as well as in the general population. Migration was among the explored risks in these retrospective studies. This in turn led to the present work, aiming at a more prospective assessment of accumulated risks in young refugees and their immediate consequences for behavior, function and psychopathology. Conducting systematic literature searches (Medline, Google Scholar) in preparation of this publication revealed that an appreciable number of papers exists on psychological distress and mental disorders of migrants/refugees, mainly of earlier refuge waves, based on self-reports and questionnaires, but studies specifically targeting accumulation of environmental risk factors in the current refuge situation are rare, and definitely non-existing for young 'healthy' refugees.

Added value of this study

In a situation where migration/refuge has become a major socio-political issue in whole Europe, and where nearly two million individuals sought asylum in Germany over the last 5 years, the present study demonstrates that young 'healthy' refugees carry a considerable load of accumulated early risk factors. Only 6/133 (4.5%) of our young refugee cohort had no additional risks on top of migration. Global functioning and severity of psychopathology were strongly associated with the number of accumulated risks. Importantly, as we report, the risks are all interchangeable, just the number counts, still leaving room for prevention even after the flight.

Implications of all the available evidence

Considering the strong association of preadult risk accumulation with violent aggression and criminality later in life, communities and society in general are challenged to proactively find ways of more efficient integration or to sustainably support the potential of those who will return to their native countries. Re-evaluation of these individuals in several years — in case they are still contactable — will allow to estimate the predictable consequence of societal inactivity, namely a threatening number of subjects that will have developed violent aggression, criminality and radicalism, or severe mental illness.

this country the by far biggest share among EU nations [11,12]. Furthermore, the overall number of refugees is highest ever worldwide and likely to increase further [11]. Reports speak about an enormous burden of mental health disorders in young refugees [12–16]. Similar information is available for earlier migration/refuge waves, all based on self-reports and questionnaires [17–25]. However, data on load of accumulated risks and its consequences are completely lacking.

In our previous work, we integrated retrospective data on migration (first and second-generation) as risk factors [8,9]. The present study was designed to start prospectively evaluating across German refugee asylums the accumulated environmental risk load of young migrants, many of them unaccompanied minors, who were not in previous contact with the health system. We demonstrate here a

dramatic risk burden of these individuals on top of migration, and its stair-pattern like association with global functional deficits, behavioral abnormalities, and neuropsychiatric disorders. A follow-up study in several years will reveal data on the development of violent aggression, criminality and radicalism or of severe mental illness in this cohort.

2. Methods

2.1. Subjects and recruitment

The Ethic Committee of the Georg-August-University, Göttingen, approved the present study, complying with the Helsinki Declaration. All subjects gave written informed consent. For recruitment, we approached refugee centers and asked for their willingness to support our study. Of 15 centers contacted, only 9 felt able to provide us with suitable and willing participants (whom they pre-selected and informed). Upon positive feedback, we provided handouts, posters, flyers or other material (e.g. information and consent forms) for announcement through e.g. social workers/ group meetings. Involved refugee centers (with refugee characteristics comparable all across) were: Waldkraiburg (34), Göttingen (24), Bad-Fallingbostel-Oerbke (22), Mühldorf am Inn (18), Heilbad Heiligenstadt (18), Braunschweig (13), Würzburg (2), Duderstadt (1), Heidelberg (1). Inclusion criteria were recent flight at young age, age at examination <30 years, no previous contact with the health system (individuals considered 'healthy'), and the ability to communicate in English or German to widely avoid the need of interpreters as a potential source of uncertainty.

2.2. Sociodemographic data, level of functioning, and psychopathology parameters

An examination booklet was composed for the purpose of the present study to assure a standardized procedure for evaluation (compare GRAS manual [26]). This manual included semi-structured interviews regarding sociodemographic and flight-related parameters, extracts from questionnaires, as well as ratings using established instruments, available in German and English and validated/routinely used across cultures. They comprised the Positive and Negative Syndrome Scale (PANSS) [27], both the Hamilton and Montgomery-Asberg Depression Scales [28,29], the Child Trauma Questionnaire (CTQ) [30], Global Assessment of Functioning (GAF) [31], Clinical Global Impression Scale (CGI) [32], as well as a brief, language-independent cognition test (performance test system subtest-3; 'Leistungsprüfsystem Untertest-3' [33]), and physical including neurological examination guidelines. As experienced neurologist and psychiatrist and recruiting expert since 2004 (GRAS study [26]), MB performed all recruitment travel, refugee explorations and examinations in collaboration with local institutions.

2.3. Environmental risk exposure

Specific information was derived from history-taking and semistructured interviews. In 8 cases (6%) the support of an interpreter turned out to be necessary. Each subject, having immigrated to Germany, was dichotomously classified as having/not having been exposed to: (1) traumatic experiences before and (2) during flight (war, genocide, slavery, kidnapping, human trafficking, torture, assaults, murder, threats to physical integrity, terrorist attacks, witnessed death of others). Further risk factors were also dichotomously determined according to our previous studies [8,9]: (3) *Urbanicity* was operationalized using information on places of residence and relocation. Each city the subjects lived in was allocated to one of four categories according to its total population. The category was then multiplied by the number of years that the person had spent living there. In cases of relocation, the same procedure was repeated for each new place of residence and values were added up to one urbanicity score per individual which was dichotomously grouped into rural and urban places of residence [8]. Moreover, (4) severe physical abuse (comprising unpredictability of violence, injury due to physical reprimand or objects for corporal punishment by family member or close persons), (5) sexual abuse (forced touches, kissing, attempted or real rape; any kind of clear sexual actions against the participant's will including negative sexual experiences during flight), (6) any cannabis consumption, and (7) alcohol abuse (lifetime) were assessed. In case of contradictory or missing information, subjects were rated 'negative' for the respective risk factor analysis. This conservative approach ultimately led to the exclusion of 'perinatal/birth complications' [8,34] as an originally planned, yet unreliable risk factor in the present cohort, but did not apply to any other risk information. Single risk factors were then accumulated to obtain the combined influence on level of global functioning, behavior and mental state. To test for multicollinearity between the risk factors included in the accumulation model, we calculated the variance inflation factor (VIF). Our results suggest that none of the included factors significantly collinears with any other (VIF \leq 1.38, tolerance > 0.72), allowing us to include them in our models.

Consistency and truth of answers was systematically ensured by various questions targeting the same topic throughout the interview as well as by careful crosschecking of all obtained information including our extensive physical examination. The Rey Memory Test (RMT) [35] was used as additional indicator of simulating or aggravating behavior. RMT suggests that individuals with low score (i.e. <9 out of 15 correct answers) may simulate or aggravate severity of neurocognitive symptoms. In our sample, only 5 out of 133 participants (<4%) performed with such low score. However, in these cases, the following systematic examination and the use of all obtained information led us to the conclusion that their answering throughout our examination (including information on previous contact with the mental health system) was consistent and true.

2.4. Statistical analysis of environmental risk

Group differences for continuous variables were assessed using Mann—Whitney–U or Kruskal—Wallis–H test for comparison of >2 groups. Frequency differences between groups were assessed using Fisher's exact test. As trend tests, Jonckheere—Terpstra or Cochran—Armitage tests were applied. Bonferroni correction accounted for multiple testing (p-values withstanding correction denoted). All statistical analyses as well as the creation of Fig. 1(A) have been carried out using R v3.5.2 (R-Foundation for Statistical Computing, Vienna, Austria) with RStudio v1.1.463 (RStudio Inc., Boston, United States).

2.5. Role of funding

The funder of the study had no role in study design, data collection, data analysis, data interpretation, or writing of the report. The corresponding author had full access to all the data in the study and had final responsibility for the decision to submit for publication.

3. Results

In 9 German refugee centers, a total of n = 133 young refugees (\sim 80% males), not previously in contact with the health system, were recruited from June 2018 to May 2019, many of them unaccompanied minors (age at flight: 18.3 \pm 4.1 years; age at arrival in Germany: 20.2 \pm 4.3; age at examination: 22.1 \pm 3.4). Those who agreed in the first place to participate in the study did complete it (no dropouts among the individuals pre-selected by the refugee centers). Flight routes and countries of origin of this sample of young 'healthy'

refugees are displayed in Fig. 1(A). Refugees arrived in Germany via Eastern Mediterranean/Balkan route (34.6%), from Africa via Central Mediterranean route (39.1%), by plane (17.3%) or other routes, such as Western Mediterranean or Atlantic route (9.0%), Flight reasons were war/expulsion (25.6%), persecution and threats to life (51.9%). economical and others (22.5%). Most individuals had a passport from Afghanistan (27), or came from Nigeria (23), Syria (12) and Iraq (11). Distribution of countries of origin and sample composition may be somewhat biased by our request to avoid the need of interpreters, mainly to assure transparency of information. Except for 8 individuals, where interpreters had finally to be involved, communication in German or English was sufficiently possible. Around 60% of refugees traveled alone, 40% together with family or friends, but the accumulation of risks did not seem to be influenced by this fact (p = 0.292), nor the degree of psychopathology or level of functioning (all p > 0.3). Similarly, being post-flight in a close and stable relationship as a potentially protective factor (only 25%) did not affect accumulated risks nor outcome (all p > 0.3).

We assumed that the route, e.g. the highly dangerous African/Central-Mediterranean versus the Middle East/Eastern-Mediterranean route, would prime flight experiences. Nevertheless, there was no appreciable difference in assessed risk accumulation or outcome measures dependent on the flight route. Evaluation of experienced risks on top of migration identified 42.8% of subjects with \geq 3 risk factors; only 4.5% of refugees had no additional risks (Fig. 1(B)).

Fig. 1(C) gives a dichotomous overview of the presence of risk factors. Over 50% of subjects suffered traumatic experiences before and during the flight, with most individuals coming from urban environment as an additional risk factor. Physical exam uncovered that many asylum seekers had suffered not only from psychological injuries. In fact, 54 individuals (40%) exhibited severe scars/wounds from externally inflicted injuries, such as gunshots, shrapnel or stab wounds, bomb explosions, burning or electrical shocks. All other readouts of physical exam were unremarkable; none of the subjects had any detectable neurological symptoms including soft signs.

Additional physical and sexual abuse (apart from flight experiences) became evident in 27% and 14%, respectively. Drug consumption was, in good agreement with recent literature [36], relatively low, with cannabis and alcohol amounting to 15% and 7%. Importantly, however, among the consumers of cannabis, 4 unaccompanied male refugees (3%), still minors during the flight, revealed clear and thus far undetected psychotic symptoms, 2 of them with suicidality.

Neuropsychiatric and functional outcome evaluation disclosed highly significant associations between accumulation of risk factors on top of migration and global functioning (GAF; Fig. 2(A)) as well as degree of psychopathology (Fig. 2(B)). These findings are illustrated using a psychopathology composite score, consisting of Clinical Global Impression (CGI), *PANSS* total, Hamilton Depression Scale, and Montgomery—Åsberg Depression Scale (Cronbach's alpha 0.952). Striking stair-patterns arise upon graphical presentation (Fig. 2). We tested our risk accumulation models additionally via linear regression and found comparable results for both GAF (intercept = 99.45; amount of risk = -5.85; $F_{(1;\ 131)} = 29.64$; $p = 2.48 \times 10^{-7}$; $R^2 = 0.185$) and psychopathology composite score (intercept = -0.76; amount of risk = 0.34; $F_{(1;\ 131)} = 28.07$; $p = 4.81 \times 10^{-7}$; $R^2 = 0.177$). Importantly, essentially all single psychopathology readouts by themselves were already significant (Table 1).

4. Discussion

In the present investigation, a substantial load of accumulated environmental risk factors became obvious in young 'healthy' refugees on top of migration/refuge.

Pre-flight and flight experiences of these young individuals frequently included extreme poverty, genocide, war, experience of terror, torture, persecution for ethnic or religious reasons or sexual

A Countries of origin and routes of flight to Germany (n=133)

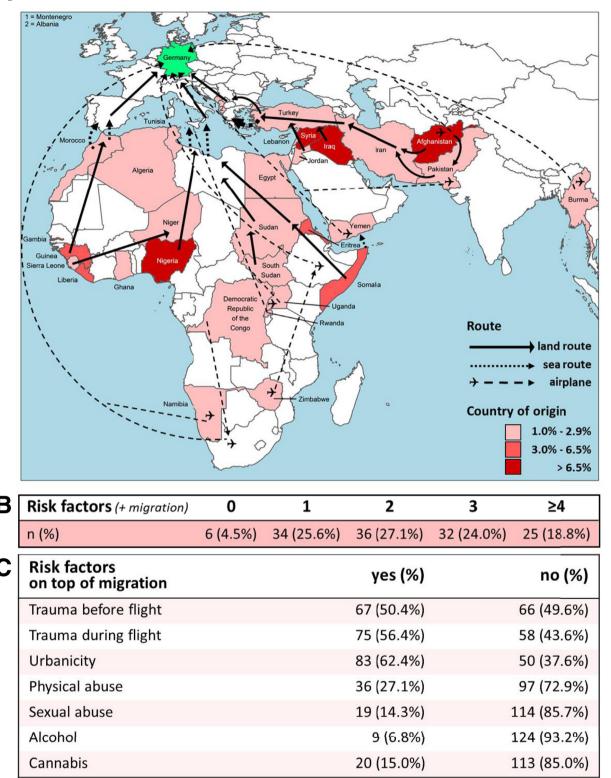


Fig. 1. Countries of origin and routes of flight to Germany as well as overview of the number of experienced risk factors. (A) Map integrating information on countries of origin and routes of flight to Germany (n = 133). (B) Number of experienced risk factors. Note that 43% of subjects have an accumulation of 3 or ≥ 4 risk factors on top of migration. (C) Dichotomous presentation of experienced risk.

orientation, kidnapping, slavery, human trafficking, sexual exploitation, forced labor, physical maltreatment, separation trauma, experiencing or witnessing assaults, massacres, rape and other threats to physical integrity, as well as murder and terrorist attacks.

Post-flight experiences, i.e. problems upon arrival in the hosting country, *here Germany*, comprised problematic living conditions, multiple relocations, non-access to education and labor, maladaptation to language and culture, uncertain legal and residential status. In

A Risk accumulation and functional outcome

B Risk accumulation and psychopathology of Refugees ≤30 years of age (N=133)

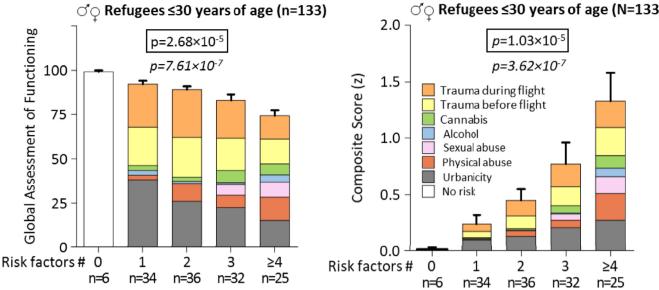


Fig. 2. Accumulation of environmental risk factors in young 'healthy' flight migrants and their association with level of functioning and psychopathological outcome. (A) Risk accumulation and functional outcome rated by the Global Assessment of Functioning (GAF) scale; note the stair-like decrease in daily life functioning in relation to the amount of negative environmental risk experienced. (B) Risk accumulation and severity of psychopathology, rated as composite score of Clinical Global Impression (CGI), total score of Positive and Negative Syndrome Scale, *PANSS*, as well as Hamilton and Montgomery—Asberg Depression Scales; note the stair-like increase in psychopathology with increasing numbers of risks; z-scores adjusted to positive values. Two-sided Kruskal—Wallis *H*-test and one-sided Jonckheere—Terpstra test for trends (below; italics) presented.

addition, social exclusion and hostility towards foreigners and refugees constitute post-flight reality. Especially in young refugees, this devastating situation adds to their already severe identity crisis with all its consequences for self and society. This may at least partly explain the increased suicidality of young migrants seen here and reported elsewhere [37].

As in our previous work [8,9], also in the present study, which directly targeted refugees, the deleterious effect of preadult risk accumulation on human behavior, level of functioning and well-being becomes drastically evident. Again, the composition of risk factors, the 'risk cocktail', is apparently less relevant for the overall outcome of individuals as compared to the number of accumulated risks, which ultimately determines the result. The harm to the juvenile brain, both psychological and physiological, seems to add up in a venomous fashion on brain functions in the sense of *dosis facit venenum*. It is thus not too surprising, that there was no appreciable difference in outcome measures, global functioning and psychopathology, dependent on the

flight route. It appears that environmental hazards, striking at a vulnerable time of brain development, differ in their initial mechanisms of action on the brain, but likely feed into final common deleterious pathways downstream. Knowledge on hypothetical biological mechanisms underlying this accumulation effect are mainly derived from animal models. Molecular pathways and functions affected seem multifaceted, ranging from neuroendocrine and neurotransmitter systems, neuronal/synaptic plasticity and neurogenesis, to changes in the adaptive immune system and interference with developmental myelination, affecting brain connectivity and network function [5,6,38,39].

Coping and help-search strategies are to an essential degree influenced by social status, education, familial, religious, and sociocultural values. We chose mainly individuals with whom communication in German or English was sufficiently possible. This certainly introduced some bias towards higher educational level of included subjects, likely evolving as a protective factor, boosting resilience. Surprisingly, however, we did not see in our sample any appreciable effect of

Table 1Effects of accumulated environmental risk on functioning and psychopathology in young refugees

Number of risk factors on top of migration:	0 n=6 (4.5%)	1 n=34 (25.6%)	2 n=36 (27.1%)	3 n=32 (24.0%)	≥4 n=25 (18.8%)	p value (χ², H)	p value (z, J)*
Global Assessment of Functioning (GAF)	99.17 (2.04)	92.24 (11.94)	89.22 (11.14)	83.19 (18.79)	74.24 (17.03)	2.68 × 10 ⁻⁵ (<i>H</i> =26.36)	7.61 × 10 ⁻⁷ (J =2189)
Clinical Global Impression (CGI)	1.00 (0.00)	1.50 (0.93)	1.75 (1.00)	2.34 (1.54)	3.04 (1.43)	9.44 × 10 ⁻⁶ (<i>H</i> =28.60)	$4.78 \times 10^{-7} (J=4610)$
PANSS total	30.17 (0.41)	31.21 (2.17)	33.19 (4.52)	36.38 (11.44)	39.08 (11.19)	9.16 × 10 ⁻⁴ (<i>H</i> =18.66)	4.17 × 10 ⁻⁵ (<i>J</i> =4369)
PANSS positive	7.00 (0.00)	7.03 (0.17)	7.25 (0.76)	7.81 (2.66)	8.20 (2.61)	0.024 (H=11.27)	0.020 (J=3899)
PANSS negative	7.00 (0.00)	7.06 (0.24)	7.33 (0.86)	9.19 (6.18)	9.12 (3.58)	0.001 (H=17.80)	0.002 (<i>J</i> =4094)
PANSS general	16.17 (0.41)	17.12 (2.10)	18.61 (3.70)	19.38 (4.89)	21.76 (6.35)	0.002 (H=17.23)	7.91 × 10 ⁻⁵ (J =4330)
Diagnoses**	0 (0.0%)	7 (20.6%)	9 (25.0%)	14 (43.8%)	18 (72.0%)	$9.19 \times 10^{-5} (\chi^2 = 23.64)$	$1.63 \times 10^{-6} (z=-4.65)$
Hamilton Depression Scale	0.17 (0.41)	1.71 (3.21)	2.64 (3.77)	3.69 (5.67)	8.00 (7.69)	3.56 × 10 ⁻⁵ (<i>H</i> =25.75)	8.73 × 10 ⁻⁶ (J =4459)
Montgomery-Åsberg Depression Scale	0.17 (0.41)	1.50 (2.79)	3.00 (4.30)	4.59 (7.06)	8.64 (9.72)	2.24 × 10 ⁻⁴ (<i>H</i> =21.76)	$1.13 \times 10^{-6} (J=4444)$
Psychopathology composite score (z)	-0.62 (0.05)	-0.38 (0.49)	-0.17 (0.62)	0.15 (1.08)	0.71 (1.24)	$1.03 \times 10^{-5} (H=28.42)$	$3.62 \times 10^{-7} (J=4624)$
Suicidality	0 (0.0%)	2 (5.9%)	1 (2.8%)	0 (0.0%)	6 (24.0%)	$0.009 (\chi^2=15.48)$	0.013 (z=-2.24)

Urbanicity, physical abuse, sexual abuse, problematic alcohol use, cannabis use, traumatic experiences before flight, and traumatic experiences during flight are included in the accumulation model; data are uncorrected means (SD) or n (%); for statistical analysis, Kruskal-Wallis-H or Fisher's exact test was used. PANSS, Positive and Negative Syndrome Scale; psychopathology composite score consists of scores of Clinical Global Impression, PANSS total, Hamilton Depression Scale, and Montgomery-Åsberg Depression Scale, yielding a Cronbach's alpha of 0.952; suicidality, individuals with recent suicide attempts. **The p values withstanding Bonferroni correction are bolded.** *To test for statistical trends, one-sided Cochran-Armitage trend (qualitative traits) or Jonckheere-Terpstra trend (quantitative traits) tests were used. **'Diagnoses' refer to mental disease diagnoses obtained during exam of refugees, previously considered 'healthy' (mainly PTSD).

supposed protective factors, e.g. flight alone versus with company, or of being in a close relationship post-flight, on number of accumulated risks or on outcome. This lack of associations may be due to the still relatively small sample size, but strong effects at least are unlikely.

Empathic attitude in the host country toward young refugees, addressing health and educational needs as well as secure sheltering, will generate confidence and stability, thus ultimately contributing to a positive outcome of these individuals. However, we should not forget the strong associations shown in 6 independent cohorts of accumulated preadult risk with violent aggression and criminality later in life [9], imposing a clear threat to societal inactivity with regard to integration. Predictable consequences may include a threatening number of subjects that will have developed severe aggression, criminal behavior, and potentially even radicalism, apart from those suffering from severe mental illness.

In fact, also to prevent such consequences, communities and society in general are challenged either to find successful and proactive ways of fast integration in the German/European heritage or to swiftly but sustainably support the potential of those who will return for rebuilding and/or contributing to prosperity and welfare in their native countries. Re-evaluation of still contactable individuals in several years will allow estimating the predictable consequences of societal dormancy, namely a considerable number of high-risk subjects that will have developed violent aggression, criminality and radicalism. Reducing this number should be our common goal.

An important impulse, expected from the present study, is to inform current policies and guidelines and to encourage respective innovative strategies. These include careful initial physical examination, regular health check-ups of refugees and prevention of further risk accumulation. This might be achieved through generation of alternatives to typical refugee accommodations and ghettos, integration from scratch by distributing refugees immediately among other citizens as well as keeping them positively busy. Refugees should be enabled to move away from just being cared-for to self-help plans. A somewhat provocative but ultimately successful idea would be to provide instantaneously jobs/contracts and let them earn their own modest living by supportive work. This requires perhaps modifications of existing legislation, but could then start immediately after the refuge and should be accompanied by regular social worker visits and language training/courses, until the decision of staying or leaving has been made by the authorities. Meanwhile refugees should be free to search in parallel for more adequate jobs with respect to their education or for apprenticeships.

Declaration of Competing Interest

The authors declare no conflict of interest.

Acknowledgments

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