

Early Variation of Respiratory Indexes to Predict Death or ICU Admission in Severe Acute Respiratory Syndrome Coronavirus-2-Related Respiratory Failure

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Keywords

COVID-19 · Respiratory distress syndrome · Respiratory insufficiency · Respiratory rate

Abstract

Background: In severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2)-related respiratory failure, the prognostic value of clinically based or blood-gas-based respiratory indexes is unclear. **Objectives:** We aimed to assess the prognostic value of Respiratory Index (RI, oxygen saturation [SpO₂]/respiratory rate [RR]), RR-oxygenation index (ROX, SpO₂/fraction of inspired oxygen [FiO₂]/RR), partial pressure of oxygen (PaO₂)/FiO₂ ratio (P/F), or standard PaO₂/FiO₂ ratio (s_TP/F) at admission and of their variation during hospitalization in SARS-CoV-2-related respiratory failure. **Methods:** In 100 consecutive patients hospitalized due to SARS-CoV-2-related respiratory failure, we assessed the association of RI, ROX, P/F and s_TP/F, and death; secondary outcome was the composite of 7-day death or intensive care unit (ICU) admission. **Results:** ROX <3.85 at admission (hazard ratio [HR] 2.95, 95% confidence interval [CI] 1.29–6.77) and decreasing RI or P/F during hospitalization (RI: HR 1.05, 95% CI: 1.00–1.09; P/F: HR 1.01, 95% CI: 1.00–1.02) were predictors of in-hospital death. RI ≤3.8, ROX <3.85, and P/F <100 at admission were predictors for death or ICU admission (RI: HR 3.77, 95% CI: 1.30–10.98; ROX: HR 4.56, 95% CI: 1.90–10.96; P/F: HR 7.37, 95% CI: 1.59–

34.2). The decrease of RI (HR 1.14, 95% CI: 1.03–1.25), ROX (HR 1.45, 95% CI: 1.11–1.88), P/F (HR 1.08, 95% CI: 1.01–1.15), or s_TP/F (HR 1.05, 95% CI: 1.01–1.08) during hospitalization was associated with 7-day death or ICU admission. **Conclusions:** In patients with SARS-CoV-2-related respiratory failure, easy-to-calculate clinically based respiratory indexes at admission and their variation during hospital stay can be used to assess and monitor the risk for death or ICU admission.

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Introduction

Pneumonia resulting from infection with severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2) has a high mortality, predominantly caused by deteriorating respiratory failure [1]. Early detection of patients with SARS-CoV-2-related pneumonia who are likely to deteriorate is of great importance and may aid in delivering personalized patient care and optimizing the use of limited resources.

The dominant respiratory feature of SARS-CoV-2-related pneumonia is arterial hypoxemia due to a combination of ventilation-perfusion mismatch and intrapulmonary shunt [2]. In this setting, the optimal respiratory measure to predict clinical outcome is uncertain [3, 4].

The ratio of arterial (partial pressure of oxygen [PaO₂]) to inspired (FiO₂) partial pressure of oxygen (P/F ratio)

is used to diagnose and assess the severity of patients with acute respiratory distress syndrome (ARDS) and guide clinical decisions [5, 6]. However, this index has no role in any biological process; rather, PaO₂ and arterial oxygen saturation (SpO₂) are directly related to oxygen delivery to the brain and the myocardium [2, 7]. Moreover, P/F does not reflect respiratory muscle effort [8, 9]. A correction of PaO₂ by arterial carbon dioxide tension (PaCO₂) – namely, standardized PaO₂ – was suggested to overcome this latter limit [10]. The role of standard P/F (s_TP/F) ratio was recently found to be accurate and superior to P/F in predicting in-hospital mortality in coronavirus disease 2019 (COVID-19) [11].

Two clinically based measures, both including respiratory rate (RR), may have a role in predicting clinical course of COVID-19 patients. RR-oxygenation index (ROX index) can predict the risk of intubation in patients with pneumonia/ARDS admitted to the intensive care unit (ICU) and treated with a high-flow nasal cannula (HFNC) [12–14]. Its prognostic role seems maintained in COVID-19 pneumonia [15, 16]. Respiratory index (RI, SpO₂ to RR ratio) was validated in prognostic stratification of patients with acute pulmonary embolism, but its role in severity assessment of patients with ARDS is unknown [17]. The purpose of this study was to assess whether clinically based respiratory indexes including RR (RI and ROX) at admission and their variation during hospital stay can better predict death or ICU admission in comparison with blood-gas-based (P/F and s_TP/F) indexes in hospitalized patients with SARS-CoV-2-related pneumonia.

Materials and Methods

Study Design and Population

Consecutive adult patients admitted to the acute care COVID Unit at the Internal and Cardiovascular Medicine department, Perugia, Italy, from March to May 2021 due to SARS-CoV-2 pneumonia and respiratory failure were included in the study. Exclusion criteria were as follows: admission from other departments than Emergency Room (ER), pregnancy, or absence of SpO₂, RR, PaO₂, PaCO₂, or FiO₂ assessment.

The primary study outcome was in-hospital death. The secondary study outcome was the composite of 7-day death or ICU admission.

The following general data were collected: age, gender, comorbidities (history of chronic obstructive pulmonary disease, cancer, systemic arterial hypertension, obesity), clinical parameters at admission and during hospital stay before admission to ICU (heart rate, SpO₂, RR, PaO₂, PaCO₂, FiO₂), use of supplemental oxygen therapy (conventional or HFNC), or noninvasive respiratory strategies (Noninvasive Mechanical Ventilation or Continuous Positive Airway Pressure).

SpO₂ was assessed by standard pulse oximetry. RR was derived either from surface leads in case of patients with continuous monitoring or by health care personnel's direct assessment both in the presence or absence of respiratory support. FiO₂ was derived either by FiO₂ sensor (in ventilator or HFNC with this feature) or estimated through validated formulas considering administered oxygen liters or manufacturer's instructions in conventional oxygen support devices (i.e., Venturi masks).

The following respiratory indexes were calculated: RI (SpO₂/RR ratio), P/F ratio, s_TP/F ratio, ROX index (SpO₂/FiO₂/RR ratio). s_TP/F was calculated according to the formula: $1.66 \times \text{PaCO}_2 + \text{PaO}_2 - 66.4$ mm Hg [10, 11]. Continuous measures were then categorized according to validated cutoff values: RI ≤ 3.8 , ROX < 3.85 , P/F ratio at four levels > 300 , $300-200$, $200-100$, < 100 , and s_TP/F ratio ≤ 170 [5, 11, 13, 17].

Statistical Analysis

Patients' characteristics and respiratory measures were described by descriptive statistics. Differences in continuous variables were tested by the independent *t* test if normally distributed or by the Mann-Whitney U test otherwise and presented as mean \pm standard deviation or median and interquartile range, respectively. Differences in categorical characteristics were compared by Fisher exact test and/or the χ^2 test, and values were presented as percentages.

We assessed the discriminatory ability for respiratory indexes at admission by calculating the area under the curve (AUC), with AUC = 0.5 for prediction no better than chance and AUC = 1.0 for perfect discriminatory ability. We evaluated calibration with the modified Hosmer-Lemeshow χ^2 statistic. Respiratory indexes were used as continuous and dichotomized versions to assess their association with study outcome events by the Cox proportional-hazards model, including significant stratification factors as covariates.

To assess the effect of variation of respiratory indexes in the risk for study outcome events, we built a joint model [18, 19]. In particular, we modeled respiratory indexes and time to clinical outcome to understand the relationship between indexes and the risk for outcome events.

The two components of the joint model were as follows:

- A linear mixed model for the time-course of respiratory indexes;
- a proportional-hazards model for the time to clinical outcome with time-varying random effects.

The final set of covariates included in the multivariate analysis was selected among those with a *p* value ≤ 0.15 at univariate analyses. Respiratory indexes were treated as a time-varying, patient-specific random effect. All analyses were performed in R version 3.6.2 (R Foundation for Statistical Computing).

Results

From March to May 2021, 107 patients with SARS-CoV-2-related respiratory failure were admitted to the acute care COVID Unit at the University Hospital in Perugia, Italy. After exclusion of 7 patients due to admission from departments other than ER (6 patients) and absence of pneumonia (1 patient), 100 patients were included in

Table 1. Characteristics of study patients

Clinical feature	Total (n = 100)	Alive (n = 63)	Dead (n = 37)	p value
Age, in years				
Range	28–94	28–91	53–94	<0.001
Mean ± SD	65±15	59±13	76±9	
Male sex, n/N (%)	65/100 (65)	40/63 (63)	25/37 (68)	0.680
Cancer, n/N (%)	4/100 (4)	1/63 (2)	3/37 (8)	0.108
SAH, n/N (%)	58/100 (58)	33/63 (52)	25/37 (68)	0.137
CHF, n/N (%)	4/100 (4)	1/63 (2)	3/37 (8)	0.108
COPD, n/N (%)	8/100 (8)	4/63 (6)	4/37 (11)	0.427
Obesity, n/N (%)	19/100 (19)	18/63 (29)	1/37 (3)	0.001
DNR, n/N (%)	25/100 (25)	5/63 (8)	20/37 (54)	<0.001
Hospital stay, in days				
Range	1–44	2–25	1–44	<0.001
Mean ± SD	12±8	10±5	16±10	

SD, standard deviation; SAH, systemic arterial hypertension; CHF, congestive heart failure; COPD, chronic obstructive lung disease; DNR, do not resuscitate. *p* value <0.05 for statistical significance.

the study. Table 1 shows the main patients' features according to clinical outcome during hospitalization. The mean age was 65 ± 15 years; the most prevalent comorbidity was systemic arterial hypertension (58%). Fifty-two patients required Noninvasive Mechanical Ventilation within 24 h from admission and 34 during the hospitalization.

Mean values of respiratory indexes at admission and during hospital stay are reported in online supplementary Table 1 (for all online suppl. material, see www.karger.com/doi/10.1159/000522275). Thirty-seven patients (37%) died during hospital stay; 25 patients (25%) were admitted to ICU, 18 of which died during ICU stay. Respiratory indexes at admission and during the hospital stay significantly differed between patients experiencing and not experiencing death or ICU admission (online suppl. Table 1).

Respiratory Indexes and In-Hospital Death

Patients' characteristics associated with in-hospital death are reported in online supplementary Table 2. ROX <3.85 at admission was a predictor for in-hospital death, while RI <3.8, P/F <100, and s_T P/F <170 at admission were not (Table 2). RI and ROX at admission showed adequate calibration and good discriminatory power for in-hospital death (Fig. 1 and online suppl. Table 3).

Univariate analysis for determinants of mean respiratory indexes over time is reported in Table 3. Variation of RI and P/F during the hospital stay was independently associated with in-hospital death by the survival joint model, while variation of ROX and s_T P/F was not (Table 4).

Table 2. Respiratory indexes at admission and risk for in-hospital death according to Cox proportional-hazards model (multivariate analysis)

Variable	HR	95% CI	p value
RI <3.8	0.97	0.44–2.16	0.942
Age	1.08	1.04–1.12	<0.001
P/F 200–300	1.35	0.74–4.20	0.603
P/F 100–200	0.91	0.27–3.07	0.875
P/F <100	1.77	0.54–5.78	0.343
Age	1.08	1.04–1.12	<0.001
s_T P/F <170	0.837	0.41–1.72	0.629
Age	1.082	1.04–1.12	<0.001
ROX <3.85	2.95	1.29–6.77	0.0106
Age	1.089	1.05–1.13	<0.001

HR, hazard ratio; CI, confidence interval; SE, standard error; RI, respiratory index; P/F, PaO₂/FiO₂ ratio; s_T P/F, standard P/F ratio; ROX, RR-oxygenation index.

In particular, every 0.1-unit decrease in RI was associated with a 5% increase in the risk of in-hospital death and every 1-unit decrease in P/F with a 1% increase. Only increasing age and congestive heart failure were found to be associated with the risk of in-hospital death.

Respiratory Indexes and Death or ICU Admission at 7 Days

None of the patients' characteristics was independently associated with death or ICU admission at 7 days (on-

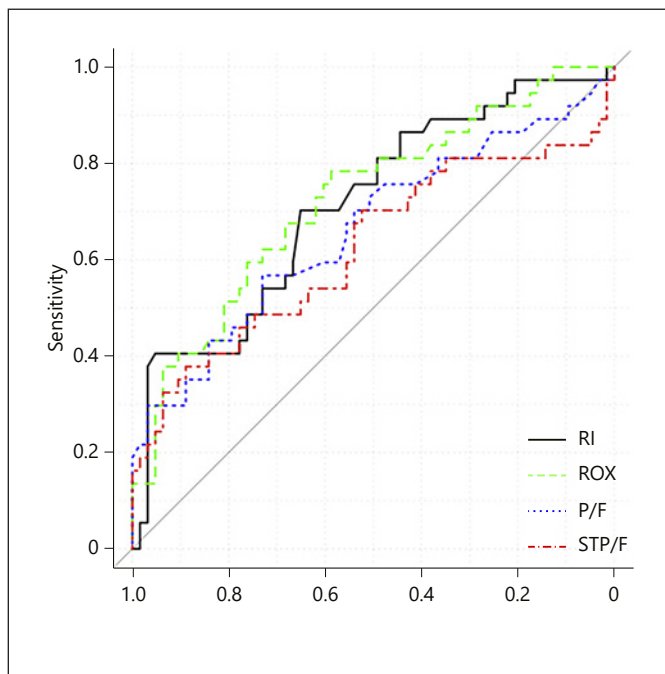


Fig. 1. Receiver operating characteristic for respiratory indexes at admission and in-hospital death. RI, respiratory index; P/F, PaO₂/FiO₂ ratio; _{ST}P/F, standard P/F ratio; ROX, RR-oxygenation index.

line suppl. Table 4). RI ≤ 3.8, ROX < 3.85, and P/F < 100 at admission were predictors for in-hospital death and ICU admission at 7 days by Cox regression models, while _{ST}P/F ≤ 170 was not (online suppl. Table 5). RI and ROX showed adequate calibration and good discriminatory power for death or ICU admission at 7 days (online suppl. Table 3).

Univariate analysis for determinants of mean respiratory indexes over time is reported in online supplementary Table 6. Decreasing RI, ROX, P/F, and _{ST}P/F were found to be independent predictors of death or ICU admission at 7 days by the survival joint model (online suppl. Table 7). In particular, every 0.1-unit decrease in RI was associated with a 14% increase in the risk of death or ICU admission at 7 days. Every 1-unit decrease in ROX, P/F, or _{ST}P/F was associated with a 45%, 8%, or 5% increase in the risk of death or ICU admission at 7 days, respectively.

Discussion/Conclusions

Our study shows that, in patients with SARS-CoV-2-related respiratory failure, decreasing RI or P/F during hospital stay are independent predictors of in-hospital

Table 3. Univariate analysis for determinants of mean respiratory indexes over time

Variable	Estimate	SE	p value
<i>RI</i>			
Age	-0.11	0.05	0.0219
Sex (female)	-3.96	1.41	0.0061
Cancer	-1.31	3.61	0.7167
SAH	-0.47	1.44	0.7458
Heart failure	2.38	3.71	0.5224
COPD	-0.67	2.57	0.7951
Obesity	1.86	1.76	0.2944
DNR	-2.80	1.61	0.0862
<i>P/F</i>			
Age	-1.59	0.32	<0.001
Sex (female)	-3.77	10.63	0.7238
Cancer	14.57	27.18	0.5930
SAH	-10.83	10.22	0.2920
Heart failure	-31.62	26.17	0.2298
COPD	-0.28	19.18	0.9883
Obesity	6.36	12.74	0.6188
DNR	-39.35	11.30	<0.001
<i>_{ST}P/F</i>			
Age	-1.45	0.32	<0.001
Sex (female)	5.67	10.48	0.5901
Cancer	-1.90	26.16	0.9424
SAH	-8.01	10.06	0.4279
Heart failure	10.83	26.65	0.6854
COPD	7.42	18.56	0.6902
Obesity	7.72	12.61	0.5416
DNR	-30.62	11.30	0.0080
<i>ROX</i>			
Age	-0.07	0.02	<0.001
Sex (female)	-0.41	0.57	0.4743
Cancer	-0.28	1.37	0.8408
SAH	-0.54	0.55	0.3302
Heart failure	-2.00	1.41	0.1583
COPD	-0.91	0.99	0.3617
Obesity	0.64	0.68	0.3497
DNR	-1.71	0.60	0.0055

HR, hazard ratio; SE, standard error; RI, respiratory index; P/F, PaO₂/FiO₂ ratio; _{ST}P/F, standard P/F ratio; ROX, RR-oxygenation index; SAH, systemic arterial hypertension; CHF, congestive heart failure; COPD, chronic obstructive lung disease; DNR, do not resuscitate.

death. RI, ROX, and P/F at admission are independent predictors for death or ICU admission at 7 days, while _{ST}P/F is not. The decrease of RI, ROX, P/F, and _{ST}P/F during hospital stay is independently associated with in-hospital death or ICU admission at 7 days.

COVID-19 pandemic led clinicians to provide medical care to a huge number of patients suffering from a single

Table 4. Risk factors for in-hospital death according to the survival joint model

Variable	HR	95% CI	p value
RI	1.05	1.00–1.09	0.0322
Age	0.92	0.88–0.96	<0.001
CHF	0.23	0.06–0.88	0.0324
P/F	1.01	1.00–1.02	0.0046
Age	0.92	0.87–0.98	0.0057
CHF	0.29	0.07–1.28	0.1023
_{ST} P/F	1.01	0.99–1.03	0.4412
Age	0.94	0.90–0.95	0.0086
CHF	1.01	0.99–1.03	0.0417
ROX	1.05	1.0–1.11	0.0556
Age	0.93	0.90–0.97	<0.001
CHF	0.24	0.06–0.92	0.0373

HR, hazard ratio; CI, confidence interval; SE, standard error; RI, respiratory index; P/F, PaO₂/FiO₂ ratio; STP/F, standard P/F ratio; ROX, RR-oxygenation index.

disease. This was severe respiratory failure for the majority of hospitalized COVID-19 patients [1]. In this view, although dramatic, COVID-19 was a tremendous experience for clinicians dealing with respiratory medicine and rose several questions on the individual prognostic value of different respiratory parameters.

The role of hypoxemia as a driver for mortality was well-known before COVID-19 [7]. However, this may be influenced by oxygen therapy and requires blood-gas analysis to monitor patient status. Whether blood-gas-based indexes are better than clinically based ones for prognostic assessment of patients with COVID-19 is undefined. In our study, easy-to-calculate and promptly available respiratory indexes either at admission or during hospital stay (RI and ROX) have similar prognostic values as blood-gas-based measures. In particular, as variation of RI and ROX during hospital stay was associated with death or ICU admission, a periodic assessment of these indexes could represent a signal of need for closer observation and clinical deterioration. Moreover, clinically based indexes make prognostic evaluation rapidly available and could reduce the need for repeated blood-gas analysis.

The pathway of breathing control is frequently impaired in COVID-19 patients with abnormal relationships between respiratory drive and oxygenation; in this setting, breathing efforts can amplify the severity of lung injury [20]. RR is probably the most under-reported and

under-regarded vital parameter [21]. Since clinically based respiratory indexes include RR in their formulas, our results renew the need for improving physicians' awareness on RR evaluation in COVID-19 patients as a common surrogate of respiratory muscle effort and, probably, as a determinant of lung injury in nonintubated patients. This concept is similar to that of ventilator-induced lung injury [22].

Upgrading from non-invasive to invasive mechanical ventilation is often based on physician judgment and may be physician-dependent [2]. P/F can be used to drive decisions on upgrading to invasive mechanical ventilation. In this view, our choice to consider in-hospital death as the primary outcome is aimed at avoiding bias regarding physician-dependent decision on ICU admission. On the other side, death in ICU can be caused by emergent non-COVID-related complications [2]. Thus, considering death or ICU admission at 7 days as the secondary outcome may reduce the background noise of hospital-acquired infection and ventilator-induced lung injury.

The high in-hospital mortality observed in our study is consistent with previous findings in COVID-19 patients with severe respiratory failure [23]. Almost all patients in our study had severe respiratory failure and qualified for ARDS criteria at admission (83%). Notably, about one-fourth of patients were not candidates for ICU admission because of important comorbidities. Whether our results also apply to patients with less severe respiratory failure remains to be defined.

Our study has some limits. As with many other studies in COVID-19 patients, this is a retrospective analysis. Second, despite the not negligible amount of longitudinal data and considerable event rate, some analyses may need a larger number of patients and parameters assessments to compare the relative value of individual respiratory indexes.

Third, we used previously validated cutoff levels at admission for individual respiratory indexes in a context different from COVID-19, except for _{ST}P/F ratio; thus, further studies in future may identify different and more accurate cutoff values in this kind of patient. Lastly, interpretation of the association between increased mortality and unit variation of the singular respiratory measure during hospitalization must take into account the difference in scaling, with the subsequent inappropriateness of direct comparison.

Our study has also some strengths. First, we validate the easy-to-calculate RI as a useful and prompt index for risk stratification in SARS-CoV-2 pneumonia either at admission and during hospitalization. Second, our study is by far

the first one to assess longitudinal variations of respiratory measures over time as predictors of unfavourable outcomes in patients with SARS-CoV-2 pneumonia, providing an adequate sample size for the credibility of the results. In conclusion, our study shows that simple, clinically based respiratory indexes (RI and ROX) at admission and their variation during hospital stay are useful to predict unfavourable outcomes in patients with SARS-CoV-2-related respiratory failure.

Statement of Ethics

The study protocol was reviewed and approved by the local Ethics Committee (Comitato Etico Regionale dell'Umbria, approval number CRU Unipg 20-05 SARS-CoV-2). Written informed consent was not required (Comitato Etico Regionale dell'Umbria).

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Conflict of Interest Statement

The authors have no conflicts of interest to declare.

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Author Contributions

G.M. and C.B. were involved in primary study design, statistical analyses, results interpretation, drafting of the manuscript, and are responsible for the integrity of the work as a whole.

Data Availability Statement

All data generated or analyzed during this study are included in this article or its online supplementary material files. Further inquiries can be directed to the corresponding author.