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RESEARCH ARTICLE

Clotting events among hospitalized patients infected with COVID-19 in a large multisite cohort in the United States

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Abstract

Introduction

COVID-19 infection has been hypothesized to precipitate venous and arterial clotting events more frequently than other illnesses.

Materials and methods

We demonstrate this increased risk of blood clots by comparing rates of venous and arterial clotting events in 4400 hospitalized COVID-19 patients in a large multisite clinical network in the United States examined from April through June of 2020, to patients hospitalized for non-COVID illness and influenza during the same time period and in 2019.

Results

We demonstrate that COVID-19 increases the risk of venous thrombosis by two-fold compared to the general inpatient population and compared to people with influenza infection. Arterial and venous thrombosis were both common occurrences among patients with COVID-19 infection. Risk factors for thrombosis included male gender, older age, and diabetes. Patients with venous or arterial thrombosis had high rates of admission to the ICU, re-admission to the hospital, and death.

Conclusion

Given the ongoing scientific discussion about the impact of clotting on COVID-19 disease progression, these results highlight the need to further elucidate the role of anticoagulation

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Abbreviations: VT/VTE, Venous Thrombosis/ Venous Thromboembolism; AT/ATE, Arterial Thrombosis/Arterial Thromboembolism; MI, myocardial infarction; HMH, Hackensack Meridian Health; EHR, Electronic Health Record.

in COVID-19 patients, particularly outside the intensive care unit setting. Additionally, concerns regarding clotting and COVID-19 vaccines highlight the importance of addressing the alarmingly high rate of clotting events during actual COVID-19 infection when weighing the risks and benefits of vaccination.

Introduction

As of September 12th, 2021, the COVID-19 pandemic has claimed the lives of over 4.5 million people, and caused significant morbidity worldwide [[1](#page-10-0)]. Our understanding of this disease has increased substantially since it first emerged in December 2019, and it is now clear that both acute infection and a hyperimmune response to infection lead to multi-organ system effects. Elucidating these effects is crucial for the development of novel therapeutics and effective treatment protocols.

While much regarding the pathophysiology of this novel illness remains unknown, a growing body of evidence suggests that infection with SARS-CoV-2 precipitates a coagulopathy and that thromboses—both venous and arterial—contribute to morbidity and mortality [\[2–4\]](#page-10-0). Numerous case reports and retrospective chart reviews have reported incidences of thromboses in hospitalized COVID-19 patients, ranging from 2 to 79% for venous thromboses or thromboembolism (VT/VTE) [[5](#page-10-0)–[25](#page-11-0)] and 1.4 to 3.6% for arterial thromboses and embolic events (AT/ATE) [[5](#page-10-0),[17,18,20,26](#page-11-0),[27](#page-11-0)]. Several studies have shown high rates of thrombosis despite anticoagulation $[9-11,14-16,18-20,25]$ $[9-11,14-16,18-20,25]$ $[9-11,14-16,18-20,25]$. To date, most of these studies have been limited by small sample sizes, and by a lack of comparative data for patients with non-COVID illness.

A hospital in New York City reported a rate of 1.7% of imaging-confirmed VTE among 921 COVID-19 patients admitted during the city's first wave of the pandemic, as well as 11 patients with ischemic stroke and 2 with limb ischemia [[17](#page-11-0)]. An observational study by Elbadawi, *et al* found acute thrombotic events, including VTE, acute ischemic stroke, and acute myocardial infarction (MI), in 5.5% of 892 hospitalized COVID-19 patients and 8.8% of 296 COVID-19 patients admitted to the ICU. Thrombosis was associated with higher mortality and increased need for intensive respiratory and hemodynamic support [\[5\]](#page-10-0). Al-Samkari, *et al* reported a thrombotic complication rate of 9.5% among 400 hospitalized patients, despite prophylactic anticoagulation. This included 4.7% of 256 noncritically ill patients and 18.1% of 144 critically ill patients for whom endotracheal intubation and mechanical ventilation was clinically indicated [[6](#page-10-0)]. In the largest study to date examining rates of thromboembolic events in COVID-19 patients, Benito *et al* found an incidence for pulmonary embolism of 2.6% among 1275 patients admitted to a hospital in Barcelona in the Spring of 2020 [\[25\]](#page-11-0). While robust, these studies were limited by a lack of comparison to thrombotic events in patients hospitalized for non-COVID illness.

Here we present a retrospective analysis of a large database of COVID-19 patients admitted to a large healthcare network in New Jersey, an epicenter of COVID-19 infection during the initial "surge" in the United States between March and June 2020. We report the incidence of both venous and arterial thrombotic events in a cohort of 4451 COVID-19 patients in comparison to the rate of thrombotic events in non-COVID-19 patients admitted to the hospital during a similar time period in 2019, as well as patients hospitalized for influenza in 2019 or 2020. We also analyze the association between thrombotic events and morbidity—including admission to the ICU—and all cause mortality for these cohorts. Finally, we present the incidence of thrombotic events in COVID-19 patients who were on outpatient anticoagulation regimens prior to their Covid illness.

Material and methods

Patient population

This retrospective, observational, multicenter cohort study within the Hackensack Meridian Health (HMH) network utilized electronic health record (EHR)-derived data of COVID-19 patients who were hospitalized. Our primary objective was to compare the rates of clotting among hospitalized patients with COVID-19 versus patients admitted during the same time period for non-COVID illness or for influenza prior to the COVID-19 pandemic.

Database inclusion criteria for this study included 1) positive SARS-CoV-2 diagnosis by polymerase chain reaction and 2) hospitalization at one of HMH's 13 hospitals between March 1, 2020 and June 29th, 2020. Pregnancy was the only exclusion criteria.

Hackensack Meridian Health Institutional Review Board (HMH IRB) approval was obtained for access to the prospective observational database, registered on clinicaltrials.gov (NCT04347993). The requirement for patient informed consent was waived by the IRB as this project represented a non-interventional study utilizing routinely collected data for secondary research purposes.

Data sources and collection methodology

We collected data from HMH's EHR which is utilized throughout the network. Hospitalized patients were flagged by the EHR if SARS-CoV-2 polymerase chain reaction tests were positive. EHR-generated reports served as our eligible cohort sample. Demographics, clinical characteristics, treatments, and outcomes were manually abstracted by research nurses and physicians from the John Theurer Cancer Center at HMH. Assignment of patients to our data team occurred in real-time but was not randomized. Data abstracted by the team were entered utilizing Research Electronic Data Capture (REDCap). Billing and collections data captured from HMH Business Intelligence was used to compare COVID-19 clotting events in 2020 to prior year data. ICD 10 codes of I21, I26, I63, I74, I80, I81, I82, O22, O88 were used to identify clotting events within the billing data source. A random selection of the billing data was compared to the REDCap data to ensure that all events were captured.

Demographic information was collected by an electronic face-sheet. Gender, race, or ethnicity was self-reported. ICU level support included all patients receiving mechanical ventilator support, patients hospitalized within a dedicated ICU, and patients with assignment to the ICU staff regardless of geographic placement (overflow during pandemic conditions). If multiple positive or indeterminate results were found in a patient's record for SARS-CoV-2, the first initial positive test was used as the date of diagnosis.

Statistical analysis

Statistical analysis was conducted using Stata $@$ 12 (College Station, TX). Differences between groups were analyzed with t-tests or where more than two levels of the group existed with chisquared testing with an alpha level of 0.05 pre-specified as being statistically significant. Risk ratios were calculated for the comparison between COVID and non-COVID patients and between COVID patients and those infected with influenza in 2019 and 2020.

Results

Baseline characteristics

4,451 patients were abstracted into the main database as described in the methods. Baseline characteristics were compared between patients with or without clotting events in the ICU or

Table 1. Baseline characteristics of COVID-19 patients with and without clotting events (venous or arterial) in the non-ICU and ICU settings.

�p-value *<* 0.05 for the difference between patients in each setting (non-ICU or ICU) who had clotting events vs the patients who did not have clotting events, as determined by the chi-squared test.

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non-ICU setting. Several baseline factors, as shown in Table 1, appear to be associated with higher risk of clotting events. The median age of patients with clotting events was 66 vs 60 for patients without clotting events in both the non-ICU and ICU settings (p*<*0.05 for each). There were more male patients with clots in both cohorts (65% vs 57% in the non-ICU setting and 66% vs 57% in the ICU, p*<*0.05 for both). Diabetes also appeared to be a risk factor for increased clotting risk in both the non-ICU and ICU setting (33% vs 28%, p*<*0.05, and 42 vs 27%, p*<*0.05, respectively). Hypertension was a risk for increased clotting only in the ICU setting (61% vs 50%, p*<*0.05).

Venous thrombotic events

We compared rates of clotting in our COVID-19 database to historical controls using EHR generated reports from HMH, as described in our methods, first analyzing venous clotting events. As described in [Table](#page-4-0) 2 and Fig 1A [and](#page-5-0) 1B, 194 of the 4,451 COVID-19 patients in our cohort (4.36%) had a venous thrombotic or thromboembolic event (VT/VTE) vs 295 of 14,854 (1.99%) non-COVID patients hospitalized during the same time period in 2020, representing an increased risk of 219% (RR 2.19, 95% CI 1.84–2.62, p *<* 0.0001). We also analyzed all hospitalized patients from the same time period in 2019, and discovered 494 of 21,517 patients had VT/VTE events (2.30%); when compared to this cohort, the risk ratio for our COVID-19 patients is 1.90 (95% CI 1.61–2.23, p*<*0.0001). The rate of VT/VTE in influenza patients from 2019 and 2020 was also comparable (11/468 patients, or 2.35%) to non-COVID-19 hospitalized patients in 2019 and 2020. Overall, COVID-19 patients had approximately twice the risk of clotting compared to influenza infected patients (risk ratio 1.85, 95% CI 1.02–3.38, p*<*0.05).

Arterial clotting events

Next, we analyzed the rates of arterial clotting events, such as myocardial infarction, stroke, and arterial thrombosis, also shown in [Table](#page-4-0) 2 and in Fig 1C [and](#page-5-0) 1D. We found an increased number of arterial events as compared to venous events, with 411 arterial clotting events in 4,451 COVID-19 patients (9.23%). Of these, 158 were coded as NSTEMI type 2 demand ischemia. When compared to in 2020 non-COVID-19 hospitalized patients, there were no statistically significant differences found; however, rates of AT/ATE in COVID-19 hospitalizations were statistically significantly higher than the rate of arterial events in patients hospitalized in 2019. Notably, in contrast to our COVID-19 population, there were no arterial clotting events

[Table](#page-3-0) 2. Rates and risk ratios of venous and arterial thrombosis in COVID-19 vs non-COVID patients.

�p-value statistically significant at less than 0.05 level. (VT/VTE = Venous Thrombosis/Venous Thromboembolism; AT/ATE = Arterial Thrombosis/Arterial Thromboembolism; MI = Myocardial Infarction).

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documented for patients hospitalized with other influenza-like illnesses in 2019 or 2020 (Table 2 and [Fig](#page-5-0) 1C).

Clotting events and morbidity and mortality

In patients with either VT/VTE, AT/ATE, or both, risk of admission to the ICU was in excess of 80 percent. Risks of hospital readmission or ICU admission were 2–5 times higher in patients who had clotting events than those who did not ([Table](#page-6-0) 3 and [Fig](#page-7-0) 2). In the small number of patients with clots who were not admitted to the ICU, mortality was less than in the general hospital population; however, this is probably biased by the large number of palliative and hospice care patients who passed away on the floor and would not have been admitted to the ICU.

Prior anticoagulation and clotting risk before ICU admission

Lastly, we analyzed if the risk of blood clots differed amongst those who were receiving anticoagulation prior to hospitalization vs those were not [\(Table](#page-7-0) 4). We found no statistically significant difference, although there appeared to be a trend towards less risk of clotting events for the cohort on anticoagulation prior to hospitalization. For example, only 1.7% of patients on anticoagulation prior to hospitalization had venous clotting events, as compared to 4.6% of patients who had no exposure to anticoagulation prior to COVID hospitalization ($p = 0.44$). A similar trend was seen in the subpopulation with arterial clotting events. Because a majority of patients received at least prophylactic anticoagulation in the hospital, generally even before transfer to the ICU, we did not examine pre-hospitalization anticoagulation and ICU clotting events.

[Fig](#page-3-0) 1. Rates and risk ratios of venous and arterial thrombosis in COVID-19 vs non-COVID patients. (A) Our cohort of hospitalized COVID-19 positive patients had higher rates of venous thrombotic events than non-COVID patients admitted to the hospital during the same time period in 2020 and historical controls admitted in 2019. (B) Patients admitted with COVID-19 had an increased risk of venous thromboses of 219% (RR 2.19, 95% CI 1.84–2.62, p *<* 0.0001) vs non-COVID patients admitted during the same time period in 2020, as well as an increased risk of 190% (RR1.90, 95% CI 1.61–2.23, p*<*0.0001) vs historical controls admitted during the same time period in 2019. Overall, COVID-19 patients had approximately twice the risk of clotting compared to influenza infected patients admitted in 2019 or 2020 (RR 1.85, 95% CI 1.02–3.38, p*<*0.05). (C) Rate of arterial thrombotic events in COVID-19 patients were similar to those in non-COVID patients admitted during the same time period in 2020, but higher than patients admitted during the same time period in 2019. (D) COVID-19 patients had an increased risk for arterial clots of 113% (RR 1.13, 95% CI 1.02–1.26) compared to non-flu patients and 115% (RR 1.15, 95% CI 1.04–1.27) compared all patients admitted in 2019. RR compared to influenza patients in 2019 and 2020 could not be calculated, as there were no arterial clots noted among patients admitted with influenza in either year. Bars represent 95% confidence intervals. *p-value statistically significant at less than 0.05 level. (VT/ VTE = Venous Thrombosis/Venous Thromboembolism; AT/ATE = Arterial Thrombosis/Arterial Thromboembolism; RR = relative risk).

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Coagulation parameters and clotting

D-dimer levels drawn within 48 hours of presentation to the hospital were available for 1572 patients, of whom 1172 were initially admitted to the general hospital floors and 400 were initially admitted to the ICU. For both cohorts, we observed no significant difference when comparing the initial D-dimer levels between patients who did or did not develop venous or arterial clots during their admission (Tables [5](#page-8-0) and [6](#page-8-0)).

Discussion

The SARS-Cov-2 novel coronavirus has infected over 40 million patients with over 650,000 deaths documented in the United States to date [\[28\]](#page-11-0). Several characteristics are currently

�p-value statistically significant at less than 0.05 level. (VT/VTE = Venous Thrombosis/Venous Thromboembolism; AT/ATE = Arterial Thrombosis/Arterial Thromboembolism).

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established to be risk factors for severe disease and mortality, such as male gender, age over 60, hypertension, and obesity.

Thromboembolic disease emerged early in the pandemic to be a contributing factor to overall morbidity and mortality in affected patients. Estimates of thrombotic disease vary widely, with previous studies ranging from small case series to multi-center prospective studies [\[5](#page-10-0)[–27\]](#page-11-0). Prior to this publication, the largest cohort examined included 1275 patients at a single center [[25](#page-11-0)].

Our study looks at the largest database to date, with 4451 PCR positive COVID-19 patients admitted to the Hackensack Meridian Healthcare system during the first surge of COVID-19 cases in the Northeastern United States. Analysis of the rate of VT/VTE in the COVID-19 infected population (4.36%) demonstrated a statistically significant increase when compared to non-COVID-19 patients for 2020 (1.99%) as well as patients admitted in the same timeframe in 2019 (2.30%). In addition, we found the rate of VT/VTE in patients admitted with influenza in 2019 (1.91%) was comparable to the rate of VTE in non-COVID patients hospitalized during 2019 and 2020.

We also analyzed the rate of arterial thrombotic events (AT/ATE) in COVID-19 patients, and found the rate to be higher than that of VT/VTE at 9.23%; furthermore, the rate of AT/ ATE was higher than patients hospitalized in 2019 at a rate of 8.15% (RR 1.13, p*<*0.05). Furthermore, rates of admission to the ICU, readmission to the hospital, and death, were all increased in patients with thrombotic events in the COVID-19 patient population, with risk of death highest among patients who developed an arterial clot while admitted to the ICU (100%).

Several theories have postulated the mechanism for increased risk of thromboses in COVID-19 patients, including possible aberrant forms of disseminated intravascular coagulation (DIC) [\[29\]](#page-11-0), contribution of cytokine storm [\[2](#page-10-0)[,30\]](#page-11-0), and thrombotic microangiopathy (TMA) [\[31\]](#page-11-0), among others. The exact mechanism is still unclear. Our study demonstrates that outpatient anticoagulation does not decrease the risk of developing VTE, and other studies have demonstrated that VTE developed in patients who were on anticoagulation during their hospital stay [[11](#page-10-0)], indicating that the underlying mechanism is different than the standard hypercoagulable pathways [\[32–](#page-11-0)[34](#page-12-0)].

[Fig](#page-4-0) 2. Impact of clotting events on risk of ICU admission, re-admission, and death. (A) Rates of ICU admission, readmission to the hospital after discharge, and death for hospitalized COVID-19 patients with and without venous or arterial clots during their initial COVID admission. (B) COVID-19 patients who developed venous and/ or arterial clots during admission were significantly more likely to require escalation to ICU care than their counterparts who did have clotting events. (C) COVID-19 patients who developed arterial clots outside of the ICU were significantly more likely to be readmitted to the hospital. While the risks of readmission for other COVID-19 patients with thrombotic events seem lower, this is likely skewed by the fact that many of those patients did not survive to initial hospital discharge, as illustrated by (D) which shows the significantly higher risk of death among COVID-19 with clotting events than those without. In the small number of patients with clots who were not admitted to the ICU, mortality was less than in the general hospital population; however, this is probably biased by the large number of palliative and hospice care patients who passed away on the floor and would not have been admitted to the ICU. Bars represent 95% confidence intervals. *p-value statistically significant at less than 0.05 level. See [Table](#page-6-0) 3 for RR values. (VT/VTE = Venous Thrombosis/Venous Thromboembolism; AT/ATE = Arterial Thrombosis/Arterial Thromboembolism; RR = Relative Risk).

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(Ref)

[Table](#page-4-0) 4. Risk ratios (95% CI) of clotting events in COVID-19 patients based on the use of anti-coagulation prior **to admission.**

(VT/VTE = Venous Thrombosis/Venous Thromboembolism; AT/ATE = Arterial Thrombosis/Arterial Thromboembolism; AC = Anticoagulation).

(Ref)

Clot Type

<https://doi.org/10.1371/journal.pone.0262352.t004>

Clot Type

[Table](#page-5-0) 5. Comparison of D-dimer levels in the first 48 hours of hospitalization between those with and without thrombosis admitted to the general hospital floors.

�The test performed here is the two sample T-test with unequal variances. If we instead use a non-parametric test to account for the fact that the distributions are likely non normal then we get a Kolomogorov Smirnov p-value of 0.25, which suggests no difference between the groups. (D-dimer levels reported in mcg/mL).

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D-dimers levels are used as markers to indicate thrombotic and inflammatory events, and prior literature has demonstrated a correlation between elevated d-dimer levels and increased risk of venous thromboses and worse outcomes in COVID-19 patients [\[9,](#page-10-0)[35\]](#page-12-0) D-dimers levels were available for analysis in 1572 of our patients, 1172 of whom were admitted to the general wards, and 400 admitted to the ICU. We did not find a statistically significant difference in ddimer levels between patients with or without a clot, but this may be due to the fact that our study was not powered to use d-dimers as an endpoint. Furthermore, during the study period, d-dimers were not uniformly collected for all COVID-19 patients, and only one third of our patients had d-dimers available, further limiting our statistical analysis.

Manifestation of COVID-19-associated thrombosis is quite variable, highlighting the increased risk of both venous and arterial thromboses. Reports have documented cerebrovascular accidents in otherwise young, healthy patients, significantly high rates of clotting in hemodialysis machines [[24](#page-11-0)], and arterial thrombi to the digits (COVID toes) [[36](#page-12-0)]. Pulmonary embolism appears to be the most common manifestation of thrombosis in this patient population.

It is clear that anticoagulation is an essential component in the management of patients with COVID-19, though the exact role is still unclear [\[30\]](#page-11-0). Inpatient prophylaxis does not appear to be protective enough, but full anticoagulation carries a significant risk of bleeding, though it has been associated with decreased mortality in patients requiring mechanical ventilation [[37](#page-12-0)]. There are currently open studies underway exploring the most beneficial form of anticoagulation. Our study clearly demonstrates that emphasis should be placed on prevention of thrombosis to avoid admission to the ICU. Outcomes of patients with thrombosis admitted to the ICU are very poor, with the risk of death of 100% for patients admitted to the ICU with arterial thrombosis, and 84% for patients with venous or arterial thrombosis.

A recent meta-analysis analyzed 102 papers documenting the rate of VTE and ATE in COVID-19 patients. The total number of patients in the study amounted to 64,503, with a cumulative rate of VTE of 14.7% and ATE of 3.9%. The differences in rates may be due to the method of data analysis, due to the fact that at least 33% of the studies were in ICU patients with COVID-19 where the incidence of VTE is much higher, or due to the fact that institutions varied widely in how accessible imaging studies were available to document VTE [\[38\]](#page-12-0).

�The test performed here is the two sample T-test with unequal variances. If we instead use a non-parametric test to account for the fact that the distributions are likely non normal then we get a Kolomogorov Smirnov p-value of 0.21, which suggests no difference between the groups. (D-dimer levels reported in mcg/mL).

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Furthermore, and perhaps an alarming finding, is that similar to other studies [[11](#page-10-0),[32](#page-11-0)], outpatient anticoagulation did not affect the rate of thrombotic events for patients hospitalized with COVID-19, though there was a non-significant trend towards decreased risk of thrombosis present.

It is important to highlight the significance of the increased rate of VTE/ATE in COVID-19 patients. In April 2020, Johnson and Johnson Janssen COVID-19 vaccine's United States' Emergency Use Authorization was temporarily suspended due to several thrombotic events that occurred post vaccination. This adverse outcome was initially documented in six patients, one of whom died. Approximately 6.8 million doses of the vaccine were administered at that time, making the incidence of this complication exceedingly rare. The suspension was subsequently lifted, with a warning. Comparing the rates of VTE in patients receiving the vaccine, the incidence is exponentially smaller than in patients with COVID-19.

Weaknesses of our study include the retrospective nature of the study, which may limit some analyses. Our data was collected from electronic medical records, and database information that was manually entered, which may be prone to human error. Furthermore, the period of time of the data collection was during the height of the initial disease spread in our area, with hospitals within the HMH system being overwhelmed. This may have resulted in limited access to radiologic studies, thereby underestimating the true number of thrombotic events, since many may have gone undiagnosed.

Strengths of our study include the largest database of COVID-19 patients analyzed for thrombotic events to date, resulting in the highest number of thrombotic phenomena in this patient population. Furthermore, data was obtained from a dedicated COVID-19 database, thereby likely reducing the amount of missing information. In addition, this is the largest series to include control groups–both concurrent controls (non-COVID patients in 2020) and historic controls (influenza patients in 2019). Most other studies have been observational studies without a control arm. Our lower rate of thrombotic events relative to other published numbers is likely due to the large number of patients in our study, and our statistically significant findings, indicating that our rates more likely reflect the real incidences of thromboses in COVID-19 patients.

It is important to note that our study, as well as most other studies published on this topic, encompass only the initial circulating strains of SARS-CoV-2. Detailed analyses of clotting events during infections with newer strains of the virus, including the Delta variant, have not yet been published. While anticoagulation remains standard practice for patients admitted to the hospital with severe COVID-19 illness, it is imperative that research into the risks and pathophysiology of clotting during COVID-19 illness, as well as studies comparing the efficacy of various anticoagulation protocols, continue as additional viral strains emerge.

Conclusion

Thromboembolic disease, both venous and arterial, is significantly increased in patients with COVID-19, and is correlated with poorer outcomes. More studies are necessary to elicit the exact mechanism responsible for this phenomenon to allow for preventative measures to be implemented.

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