


The neuroprotection of hypoxic adipose tissue-derived mesenchymal stem cells in experimental traumatic brain injury

Cell Transplantation
2019, Vol. 28(7) 874–884
© The Author(s) 2019
Article reuse guidelines:
sagepub.com/journals-permissions
DOI: 10.1177/0963689719855624
journals.sagepub.com/home/cil


Hui Ma^{1,2}, Ping Kuen Lam^{1,2}, Cindy See Wai Tong¹, Kin Ki Yan Lo¹,
George Kwok Chu Wong^{1,2}, and Wai Sang Poon^{1,2}

Abstract

Traumatic brain injury is one of the leading causes of mortality and morbidity worldwide. At present there is no effective treatment. Previous studies have demonstrated that topical application of adipose tissue-derived mesenchymal stem cells can improve functional recovery in experimental traumatic brain injury. In this study, we evaluated whether hypoxic preconditioned mesenchymal stem cells could enhance the recovery from traumatic brain injury. Traumatic brain injury was induced with an electromagnetically controlled cortical impact device. Two million mesenchymal stem cells derived from the adipose tissue of transgenic green fluorescent protein Sprague-Dawley rats were cultured under either hypoxic (2.5% O₂ for 18 hours) ($N = 30$) or normoxic (18% O₂) ($N = 30$) conditions, then topically applied to the exposed cerebral cortex within 1 hour after traumatic brain injury. A thin layer of fibrin was used to fix the cells in position. No treatment was given to the animals with traumatic brain injury ($N = 30$). Animals that underwent craniectomy without traumatic brain injury were treated as the sham group ($N = 15$). Neurological functions were evaluated with water maze, Roto-rod and gait analysis. Animals were sacrificed at days 3, 7, and 14 for microscopic examinations and real-time polymerase chain reaction analysis. The rats treated with hypoxic mesenchymal stem cells showed the greatest improvement in neurological function recovery. More green fluorescent protein-positive cells were found in the injured brain parenchyma treated with hypoxic mesenchymal stem cells that co-expressed glial fibrillary acidic protein, Nestin, and NeuN. Moreover, there was early astrocytosis triggered by the infiltration of more glial fibrillary acidic protein-positive cells and microgliosis was suppressed with fewer ionized calcium binding adapter molecule 1-positive cells in the penumbra region of hypoxic mesenchymal stem cells group at day 3. Compared with normoxic mesenchymal stem cells and traumatic brain injury only groups, there was significantly ($p < 0.05$) less neuronal death in both the hippocampus and penumbral regions in sections treated with hypoxic mesenchymal stem cells as determined by Cresyl violet and terminal deoxynucleotidyl transferase-mediated dUTP nick end-labeling staining respectively. The expression of pro-inflammatory genes (interleukin 6, interleukin 1a, interleukin 1b, tumor necrosis factor α) was upregulated and apoptotic gene (Caspase-3) expression was suppressed at day 3. Anti-inflammatory (interleukin 10) and anti-apoptotic (BCL2 associated agonist of cell death) gene expression was upregulated at days 7 and 14. Our study showed that a hypoxic precondition enhanced the beneficial effects of mesenchymal stem cells on neurological recovery after traumatic brain injury.

Keywords

Traumatic brain injury, mesenchymal stem cells, hypoxia, topical application

Introduction

Traumatic brain injury (TBI) is a major health and socio-economic problem throughout the world. It affects people of all ages. Most people suffer from behavioral deficits or TBI-related disability for a long period of time after TBI^{1,2}.

TBI is characterized by both neuronal and white-matter loss in the primary injury and brain atrophy in the secondary injury with functional neurological impairment (secondary injury)³. Direct mechanical impact causes the primary insult.

¹ Department of Surgery, The Chinese University of Hong Kong, China

² Department of Surgery, Chow Tai Fook-Cheng Yu Tung Surgical Stem Cell Research Center, China

Submitted: January 16, 2019. Revised: May 13, 2019. Accepted: May 16, 2019.

Corresponding Author:

WS Poon, Division of Neurosurgery, Department of Surgery, The Chinese University of Hong Kong, Prince of Wales Hospital, Shatin, NT, Hong Kong, SAR, China.

Email: wpoon@surgery.cuhk.edu.hk



The secondary injury involves a series of complex pathophysiological changes such as excitotoxicity, apoptosis, mitochondrial injury, cerebral edema, and inflammation. Current therapies are limited to supportive management including monitoring intracerebral pressure, maintaining cerebral perfusion, optimizing cerebral oxygenation, and fluid support, which focus on reducing the extent of secondary injury⁴.

Mesenchymal stem cells (MSCs) are multipotent, self-renewing cells that can differentiate into osteoblasts, adipocytes, and chondroblasts. It is likely that MSCs secrete a variety of paracrine factors, including interleukins, colony-stimulating factors, prostaglandins, and growth factors, which regulate interactions with the environment. In contrast to pharmacologic agents, which target only a single pathway, MSCs have the potential to accelerate tissue repair by multiple mechanisms. MSCs derived from adipose tissue have been shown to improve outcomes in the rat model of TBI⁵. However, poor survival rate, short survival time, and migration ability limit therapeutic efficacy after cell transplantation⁶. Different strategies including preconditioning of the cell product by heat shock, oxidative stress, or hypoxia have been investigated to solve the problem. Among them, a hypoxic precondition can enhance major MSC features including genetic stability, proliferation capacity, differentiation, and migration ability^{6,7}. Our previous study has shown that topically applied MSCs can migrate from the surface of the cerebral cortex and home in on the injured parenchyma⁸.

In this study, we aimed to investigate whether a hypoxic precondition could enhance the beneficial effects of topical MSCs against experimental TBI.

Materials and Methods

Adipose-derived MSCs

Adipose-derived MSCs (ADMSCs) were harvested from the subcutaneous fat of male transgenic Sprague-Dawley (SD) rats (300–350 g) that expressed green fluorescent protein (GFP) (SDTg(CAG-EGFP) CZ-0040sb; SLC Inc, Shizuoka, Japan). The subcutaneous adipose tissue was washed extensively with sterile phosphate buffered saline (PBS) and then treated with 0.1% collagenase (type I; Sigma-Aldrich, HK) in PBS for 30 minutes at 37°C with gentle agitation. After passing through a 100- μ m mesh filter to remove debris, the filtrate was washed three times and suspended in Dulbecco's modified Eagle's medium supplemented with 10% fetal bovine serum, 100 units/ml penicillin, 100 μ g/ml streptomycin, and 2 mM L-glutamine. The cultures were maintained in an incubator with a humidified atmosphere of 5% CO₂⁸.

Hypoxic preconditioning

Before transplantation, ADMSCs were exposed to an atmosphere of 2.5% O₂ and 5% CO₂, achieved by replacing O₂

with N₂ in an O₂- and CO₂-controlled multi gas incubator while kept in full medium for 18 hours.

Cell phenotyping

ADMSCs at three to five passages were characterized by flow cytometry with a fluorescent-activated cell sorting argon laser (BD Biosciences, San Jose, CA, USA). Cells were washed, then centrifuged for 10 minutes at low speed, before 1x10⁶ cells were fixed in 3% paraformaldehyde for 30 minutes at 4°C. The cells were labelled with phycoerythrin-conjugated antibodies against CD29, CD45, and CD90 (Abcam Inc., Cambridge, UK). Isotype-matched negative controls were used to assess background fluorescence. The data were analyzed by Cell-Quest software (Becton Dickson, HK)⁸.

Adipogenic, chondrogenic, and osteogenic differentiation potential

ADMSCs were seeded at 5000 cells/cm² and cultured in adipogenic, chondrogenic, and osteogenic differentiation culture media according to the manufacturer's protocols (Invitrogen, LifeTechnologiesTM, HK). The differentiated adipocytes were stained with Oil Red O, chondrocytes with Alcian Blue, and osteocytes with Alizarin Red S stain to identify intracytoplasmic lipid, extracellular glycosaminoglycans, and calcium deposits, respectively. All chemicals were purchased from Sigma-Aldrich, Shanghai, China⁸.

Animal experiment

The animals were randomly assigned to one of four experimental groups: sham, *N* = 15; TBI only, *N* = 30; normoxic MSCs, *N* = 30; or hypoxic MSCs, *N* = 30.

Experimental TBI

Adult female SD rats weighing 200–250 g were used for this experiment. All animals were anesthetized through intraperitoneal injection with a solution of ketamine (50 mg/kg) and xylazine (10 mg/kg). During surgery, the body temperature was maintained at 37°C with a warm pad. A piece of skull bone (7 mm x 7 mm, 1.6 mm posterior to the lambda and 2.0 mm right to the midline) was removed with a dental microdrill. TBI was induced by driving the 3 mm diameter tip of an electromagnetically controlled cortical impact (CCI) device at a rate of 3.5 m/s with 2.5 mm of compression. Within 1 hour, 2 million hypoxic (*N* = 30) or normoxic (*N* = 30) MSCs were topically applied to the exposed cerebral cortex and a thin layer of fibrin glue (TISSEEL Baxter, Round Lake, IL, USA) was applied to keep the cells in place and the skin was closed with 3-0 silk sutures. The same procedures were performed in the control rats, but they did not receive MSC transplantation or fibrin (*N* = 30). In total,

15 animals were used for sham surgery and underwent craniectomy without TBI.

Functional evaluation

In all animals, neurological functions were tested within 14 days after TBI by a Morris water maze (Stoelting Co., Wood Dale, IL, USA), Roto-rod training assessment, and catwalk gait analysis (CatWalk™ XT from Noldus, Beijing, China). For each test, there were 10 animals in each of the TBI, normoxic MSC, and hypoxic MSC groups; five animals were assigned to the sham group.

The Morris water maze evaluates the spatial learning and memory. The circular pool was divided into four quarters: NE, SE, SW, and NW. An invisible platform hidden underwater (1.5–2 cm) was placed in NW. The swimming pool was filled the night before the test, so the water was at room temperature. Then 1 day after TBI, rats were placed in a large circular pool and allowed to find the location of an invisible platform using external cues starting from NE, SE, SW, and NW respectively. On the 11th day, the escape platform was removed, and probe test was performed. The activities of the rats were recorded by camera. Parameters including the average distance to platform, average travelled distance, and time used to reach platform were analyzed by SPSS. Animals were sacrificed at day 14 after TBI and the brain was harvested for further investigations.

Roto-rod training assessment was used to evaluate the balance ability of the rats. Then 3 days before TBI, rats were trained on an accelerating (10 to 30 rpm) Roto-rod with three trials each day. After TBI, assessment of balance ability was conducted at day 3, 7, and 14. Each assessment included five trials. Between each trial, the rats were allowed to rest for 30 minutes. With the highest and lowest values excluded, the latency to fall value (in seconds) was measured.

Catwalk gait analysis is an automated quantitative gait analysis system to detect speed-controlled gait deficits. Animals were placed in the runway and three runs with about four step cycles were analyzed by catwalk software. Foot intensity was recorded as parameter.

Microscopic examinations

Animals were sacrificed on day 3, day 7, and day 14 after surgery. Five high-magnification (400x) photos at the penumbral and hippocampus regions of each slide were taken for examination.

Cresyl violet stain was used to identify cell death. Hydrated sections were placed in Cresyl violet solution for 60 seconds. Subsequently, they were washed in tap water and checked under a microscope.

To localize GFP-MSCs, standard immunohistochemical staining was performed using anti-GFP antibody (Abcam, Cambridge, MA, USA) on hydrated paraffin sections. The co-expressions of glial fibrillary acidic protein (GFAP) (Abcam, Cambridge, UK), Nestin (Santa Cruz, Dallas,

Table 1. List of primers used in real-time PCR.

Gene	
GAPDH	forward AGA CAG CCG CAT CTT CTT GT reverse CTT GCC GTG GGT AGA GTC AT
IL-6	forward TCT CTC CGC AAG AGA CTT CCA reverse ATA CTG GTC TGT TGT GGG TGG
IL-1a	forward AAG ACA AGC CTG TGT TGC TGA AGG reverse TCC CAG AAG AAA ATG AGG TCG GTC
TNF- α	forward ACC ACG CTC TTC TGT CTA CTG reverse CTT GGT GGT TTG CTA CGA C
IL-1b	forward GCA ATG GTC GGG ACA TAG TT reverse AGA CCT GAC TTG GCA GAG GA
IL-10	forward TGC CTT CAG TCA AGT GAA GAC reverse AAA CTC ATT CAT GGC CTT GTA
Capase-3	forward TTC ATT ATT CAG GCC TGC CGA GG reverse TTC TGA CAG GCC ATG TCA TCC TCA
BAD	forward GGA GCA TCG TTC AGC AGC AG reverse CCA TCC CTT CAT CTT CCT CAG TC

BAD: BCL2 associated agonist of cell death; IL: interleukin; GAPDH: glyceraldehyde 3-phosphate dehydrogenase; PCR: polymer chain reaction; TNF: tumor necrosis factor.

TX, USA), and NeuN (Millipore, HK) were detected by immunofluorescence staining.

Mouse monoclonal antibody against GFAP (Abcam, Cambridge, UK,) and ionized calcium binding adaptor molecule 1 (Iba1) (WAKO, Osaka, Japan) were used in histochemistry staining to evaluate neuroinflammation.

Terminal deoxynucleotidyl transferase-mediated dUTP nick end-labeling assay

To analyze apoptosis, slides were stained by terminal deoxynucleotidyl transferase-mediated dUTP nick end-labeling using a detection kit (Roche, Basel, Switzerland) according to the manufacturer's instructions. Positive cells were counted.

Real-time polymer chain reaction

Total RNA was isolated using TRIzol® Reagent (Invitrogen, LifeTechnologies™, HK). The polymer chain reaction (PCR) cycle was performed under specific conditions, 95°C for 10 minutes followed by 40 cycles of 95°C for 15 seconds and 60°C for 60 seconds. The threshold cycle (Ct) of each target gene was automatically defined and normalized to the control glyceraldehyde 3-phosphate dehydrogenase (Δ Ct value). The relative differences in the expression levels of each mRNA in $\Delta\Delta$ Ct were calculated and presented as fold induction ($2^{-\Delta\Delta}$ Ct). Real-time PCR primers are listed in Table 1.

Statistical analysis

All data are expressed in mean \pm standard error of mean. Statistical analysis was performed by IBM (Armonk, NY,

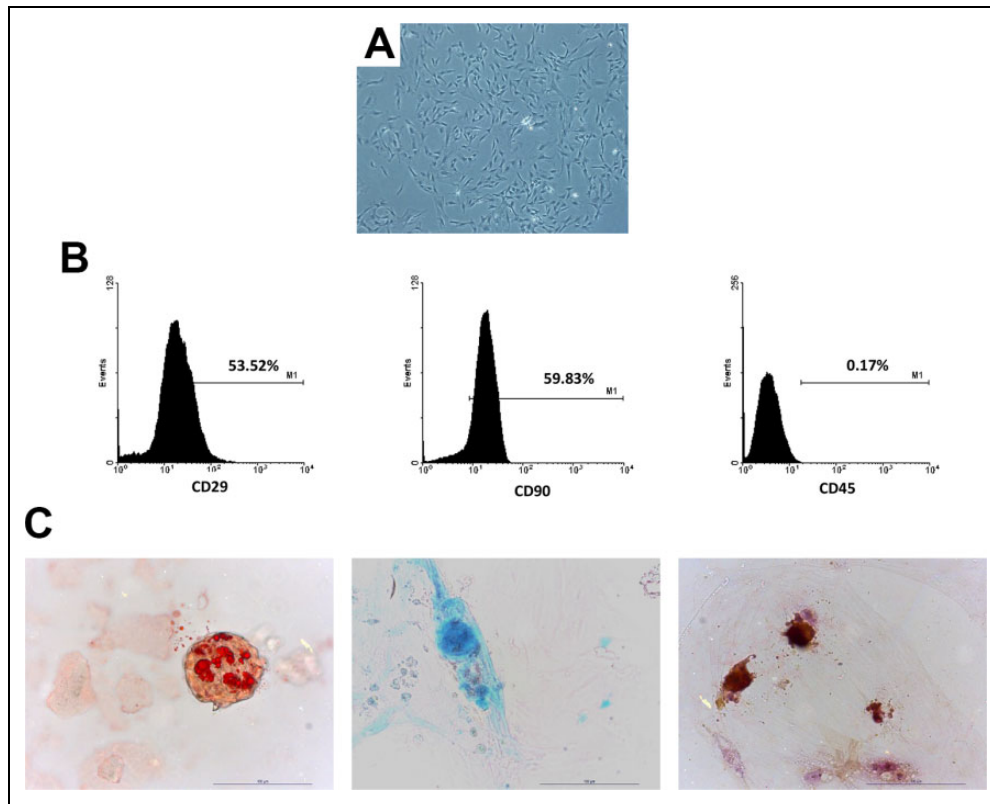


Figure 1. Mesenchymal stem cell (MSC) morphology in culture and characterization. Picture of green fluorescent protein (GFP) MSCs showed a spindle shape in culture (a). Flow cytometry analysis using anti-CD29, anti-CD45, anti-CD90 antibody for both normoxic and hypoxic MSCs (b). Potential of differentiation into adipocytes, chondroblasts, and osteoblasts was confirmed by culture under specific media (c).

USA) SPSS 21.0 software and underwent either one- or two-way analysis of variance (ANOVA). A p value less than 0.05 was reported as significant. Tukey's honestly significant difference (HSD) test was applied as post hoc comparison.

Results

Isolation and characterization of MSCs

MSCs showed spindle-shaped morphology in the culture dishes (Figure 1(a)). The flow cytometry analysis indicated MSCs expressed CD29 and CD90 but not CD45 (Figure 1(b)). Under specific conditions, they differentiated into adipocytes, chondroblasts, and osteoblasts (Figure 1(c)).

Behavioral tests

In the Morris water maze, both normoxic and hypoxic MSCs-treated rats showed a better functional outcome. They took a shorter time to find the location of platform (2 days for normoxic and hypoxic MSCs treated rats, 3 days for control rats). From day 3 to day 7, animals treated with hypoxic MSCs swam for the shortest distance to reach the platform ($p < 0.05$). The therapeutic effects of normoxic MSCs were shown from day 4 ($p < 0.05$). Compared with normoxic MSC

treatment, hypoxic MSC administration further shortened the swimming distance ($p < 0.05$). After 7 days, there was no remarkable difference among the four groups (Figure 2(a)). The swimming speed was apparently affected from the first day after TBI ($p < 0.05$). Within 9 days, rats treated with hypoxic MSCs swam at the highest speed among the four groups ($p < 0.05$). From day 5 to day 9, the improvement in speed was shown in the normoxic MSC group ($p < 0.05$) (Figure 2(b)). In the probe test at day 11, both normoxic and hypoxic MSC-treated rats stayed closer to the island zone (Figure 2(c)), and they entered the island zone more frequently (Figure 2(d)). Track plots showed they stayed close in the island zone (Figure 2(e)).

In the Roto-rod assessment, rats in both the normoxic and hypoxic MSC groups stayed on the rod for a longer time ($p < 0.05$). At day 3 and day 7, rats that received hypoxic MSCs retained the best balance ability among the four groups ($p < 0.05$). The difference between the normoxic and hypoxic MSC groups was not significant at day 14 (Figure 2(f)).

In gait analysis, the foot intensity of the left front limb was significantly greater in the hypoxic MSC group than the normoxic MSC group and the control group when running across the walkway ($p < 0.05$). There was no significant

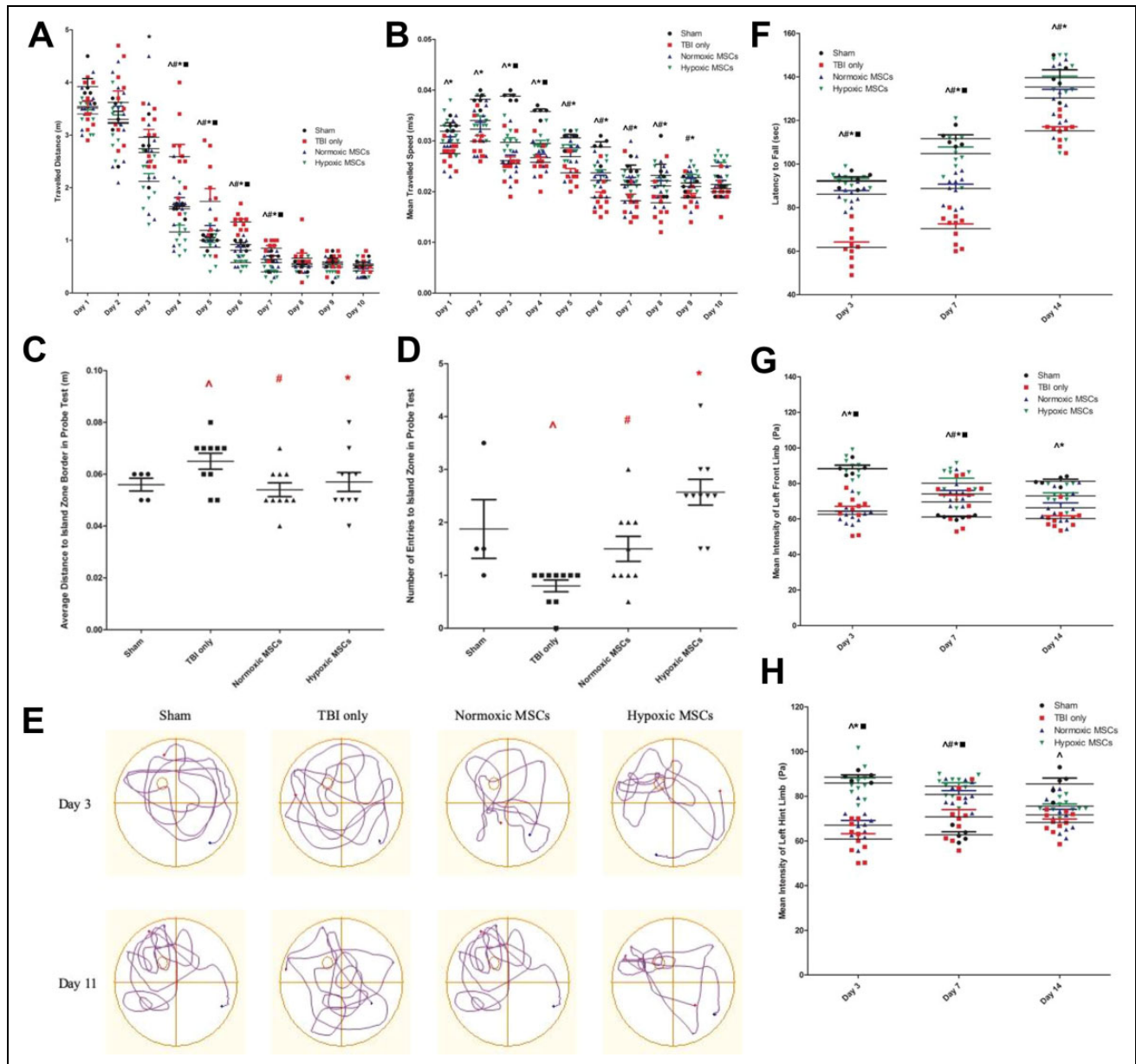


Figure 2. Results of behavioral tests. For each test, there were 10 animals in traumatic brain injury (TBI) only group, normoxic mesenchymal stem cell (MSC) group and hypoxic MSC group respectively; five animals were assigned to the sham group. (a)-(e) Results of water maze. (a) Distance travelled for rats before they reached the platform. From day 3 to day 7, rats treated with hypoxic MSCs swam for the shortest distance before they reached the platform (day 3, $*p = 0.005$ vs TBI only; day 4, $*p < 0.001$ vs TBI only, $\blacksquare p = 0.050$ vs normoxic MSCs; day 5, $*p = 0.0003$ vs TBI only, $\blacksquare p = 0.045$ vs normoxic MSCs; day 6, $*p < 0.001$ vs TBI only, $\blacksquare p = 0.050$ vs normoxic MSCs; day 7, $*p < 0.001$ vs TBI only, $\blacksquare p = 0.039$ vs normoxic MSCs). From day 4 to day 7, rats treated with normoxic MSCs showed improvement compared with control animals (day 4, $\#p = 0.012$ vs TBI only; day 5, $\#p = 0.010$ vs TBI only; day 6, $\#p = 0.001$ vs TBI only; day 7, $\#p = 0.016$ vs TBI only). There was significant memory deficit after surgery in the control group from day 4 to day 7 (day 4, $\wedge p = 0.008$ vs sham group; day 5, $\wedge p = 0.00$ vs sham group; day 6, $\wedge p = 0.050$ vs sham group; day 7, $\wedge p = 0.039$ vs sham group). Difference was not remarkable after day 8. (b) Mean swimming speed. From day 1 to day 8, rats in the control group was significantly affected in swimming speed (day 1, $\wedge p = 0.002$ vs sham group; day 2, $\wedge p < 0.001$ vs sham group; day 3, $\wedge p < 0.001$ vs sham group; day 4, $\wedge p = 0.0001$ vs sham group; day 5, $\wedge p = 0.008$ vs sham group; day 6, $\wedge p < 0.001$ vs sham group; day 7, $\wedge p = 0.003$ vs sham group; day 8, $\wedge p = 0.017$ vs sham group). Rats in the hypoxic MSC group swam faster from day 1 to day 9 and they were shown to swim at the highest speed at day 3 and day 4 (day 1, $*p = 0.006$ vs TBI only; day 2, $*p = 0.040$ vs TBI only; day 3, $*p = 0.023$ vs TBI only, $\blacksquare p = 0.033$ vs normoxic MSCs; day 4, $*p = 0.027$ vs TBI only, $\blacksquare p = 0.050$ vs normoxic MSCs; day 5, $*p = 0.002$ vs TBI only; day 6, $*p = 0.011$ vs TBI only; day 7, $*p = 0.039$ vs TBI only; day 8, $*p = 0.038$ vs TBI only; day 9, $*p = 0.020$ vs TBI only). The swimming speed of rats in the normoxic MSC group showed improvement from day 5 to day 9 (day 5, $\#p = 0.040$ vs TBI only; day 6, $\#p = 0.050$ vs TBI only; day 7, $\#p = 0.037$ vs TBI only; day 8, $\#p = 0.042$ vs TBI only; day 9, $\#p = 0.046$ vs TBI only). (c) Average distance to island zone in probe test. The memory of rats in control group was impaired ($\wedge p = 0.049$ vs sham group). Both normoxic ($\#p = 0.041$ vs TBI only) and hypoxic MSC ($*p = 0.032$ vs TBI only) treated rats stayed closer to the island zone. No difference was

difference between normoxic MSCs and control group at day 14 (Figure 2(g)). The intensity of the left hind limb was highest in rats from the hypoxic MSC group at day 3 and day 7 ($p < 0.05$). There was no remarkable difference between the treatment and control groups at day 14 (Figure 2(h)).

Microscopic examination

At day 7, more GFP+ve cells were found in the penumbral region of the injury in Group A (Figure 3(a)). The normoxic MSCs co-expressed GFAP (a marker of astrocytes) and Nestin (marker of neural progenitor cells) (Figure 3(b) and 3(c)), hypoxic MSCs co-expressed GFAP, Nestin, and NeuN (a marker of neurons) in immunofluorescent staining (Figure 3(d), 3(e), 3(f)). No GFP-positive cells were detected after day 14.

Early reactive astrocytosis was triggered in both the penumbra and hippocampus with more GFAP-positive cells (Figure 4(a) and 4(b)). Microgliosis was suppressed. There were fewer Iba1-positive cells (Figure 4(c), 4(d)) in the hypoxic MSC group at day 3. At days 3, 7, and 14, Cresyl violet staining (Figure 4(e) and 4(f)) showed remarkably less neuron death in both the hippocampus and penumbra in the hypoxic MSC group. Fewer apoptotic cells were found at day 7 and day 14 in both the hippocampus and penumbral region in the hypoxic MSC-treated group (Figure 4(g) and 4(h)).

Real-time PCR

The expression of genes associated with inflammation and apoptosis was analyzed at days 3, 7, and 14. The expression of anti-inflammatory (interleukin (IL)-10) and pro-inflammatory (IL-6, IL-1a, IL-1b, tumor necrosis factor (TNF)- α) genes was significantly downregulated at day 3 in the hypoxic MSC group ($p < 0.05$ vs normoxic MSCs) (Figure 5(a)). At day 7, the expression of pro-apoptotic gene (Caspase-3) was downregulated and anti-inflammatory gene (IL-10) was upregulated remarkably in the hypoxic MSC

group ($p < 0.05$ vs the normoxic MSCs group) (Figure 5(b)). At day 14, the expression of Caspase-3 was downregulated with upregulation of anti-apoptotic gene (BCL2 associated agonist of cell death (BAD)) in the hypoxic MSC group ($p < 0.05$ vs normoxic MSC group) (Figure 5(c)).

Discussion

The US Centers for Disease Control defines TBI as an alteration in brain function because of a bump, blow, jolt to the head or penetrating head injury⁸. At present there is no effective treatment regime and survivors are left with debilitating long-term motor, cognitive, and behavioral deficits. TBI has become a major public health concern. The CCI model of brain injury is the most commonly used model of TBI in neuro-trauma research. It causes both cortical and hippocampal damage resulting in motor and cognitive deficits in rodents⁸.

MSCs have real appeal for tissue engineering and therapeutic applications due to their multipotent differentiation ability, trophic activity, and immunomodulation. There are different routes to delivering MSCs, including intravenous/intra-arterial infusion or local injection. Previous studies have shown that intravenous MSC therapy can improve functional recovery after TBI⁹. Meanwhile, intravenous infusion is adopted more in clinical trials transplanting a large number of MSCs. However, the majority of cells are trapped in the pulmonary microvasculature, which may cause an embolism. Due to the blood-brain barrier, less than 0.001% of infused cells reach the brain tissue after transplantation¹⁰. The risk of arterial embolism and occlusion limits intra-arterial infusion of MSCs. Local injection of MSCs using a Hamilton syringe needle may cause severe bleeding. Compared with systemic infusion, topical application can provide efficient delivery of MSCs to the injured brain. The beneficial effects of topical MSCs on functional recovery post TBI has been demonstrated in our previous study.¹¹

In this study, hypoxic MSCs were found to improve the motor function and hippocampus-mediated visual-spatial

Figure 2. (Continued). shown between two treatments. (d) Number of entries to the island zone in the probe test. Rats in control group entered the island zone fewer times than the sham group ($\wedge p = 0.05$). Both normoxic ($\#p = 0.050$ vs TBI only) and hypoxic MSC ($\#p = 0.003$ vs TBI only) treated rats went through the island zone more frequently. No remarkable difference was shown between two treatments. (e) Schematic pictures of learning phase (day 3) and probe test showed animals in the hypoxic group had the greatest memory improvement. (f) Balance ability tested by Roto-rod training assessment. After the surgery, the balance ability of control animals was significantly affected (day 3, $\wedge p < 0.001$ vs sham group; day 7, $\wedge p < 0.001$ vs sham group; day 14, $\wedge p = 0.001$ vs sham group). Both normoxic and hypoxic MSC treatments improved balance ability (day 3, $\#p < 0.001$ vs TBI only, $*p < 0.001$ vs TBI only; day 7, $\#p < 0.001$ vs TBI only, $*p < 0.001$ vs TBI only; day 14, $\#p = 0.019$ vs TBI only, $*p = 0.011$ vs TBI only). At day 3 and day 7, rats with hypoxic MSC treatment stayed on the rod for the longest time (day 3, $\blacksquare p = 0.042$ vs normoxic MSCs; day 7, $\blacksquare p = 0.005$ vs normoxic MSCs). (g) and (h) Results of catwalk gait analysis. (g) Foot intensity of left front limb. The power of the left front limb was significantly impaired after TBI (day 3, $\wedge p < 0.001$ vs sham group; day 7, $\wedge p = 0.050$ vs sham group; day 14, $\wedge p < 0.001$ vs sham group). Hypoxic MSC-treated rats indicated higher foot intensity (day 3, $*p < 0.001$ vs TBI only, $\blacksquare p < 0.001$ vs normoxic MSCs; day 7, $*p = 0.002$ vs TBI only, $\blacksquare p = 0.042$ vs normoxic MSCs; day 14, $*p = 0.015$ vs TBI only), rats in the normoxic MSC group showed improvement at day 7 only ($\#p = 0.043$ vs TBI only). (h) Foot intensity of left hind limb. The hind limb power was affected remarkably after TBI (day 3, $\wedge p < 0.001$ vs sham group; day 7, $\wedge p = 0.049$ vs sham group; day 14, $\wedge p < 0.001$ vs sham group). Rats that received hypoxic MSCs showed the greatest foot intensity at day 3 and day 7 (day 3, $*p < 0.001$ vs TBI only, $\blacksquare p < 0.001$ vs normoxic MSCs; day 7, $*p < 0.001$ vs TBI only, $\blacksquare p = 0.030$ vs normoxic MSCs). Rats in the normoxic MSC group showed improvement at day 7 only ($\#p = 0.026$ vs TBI only).

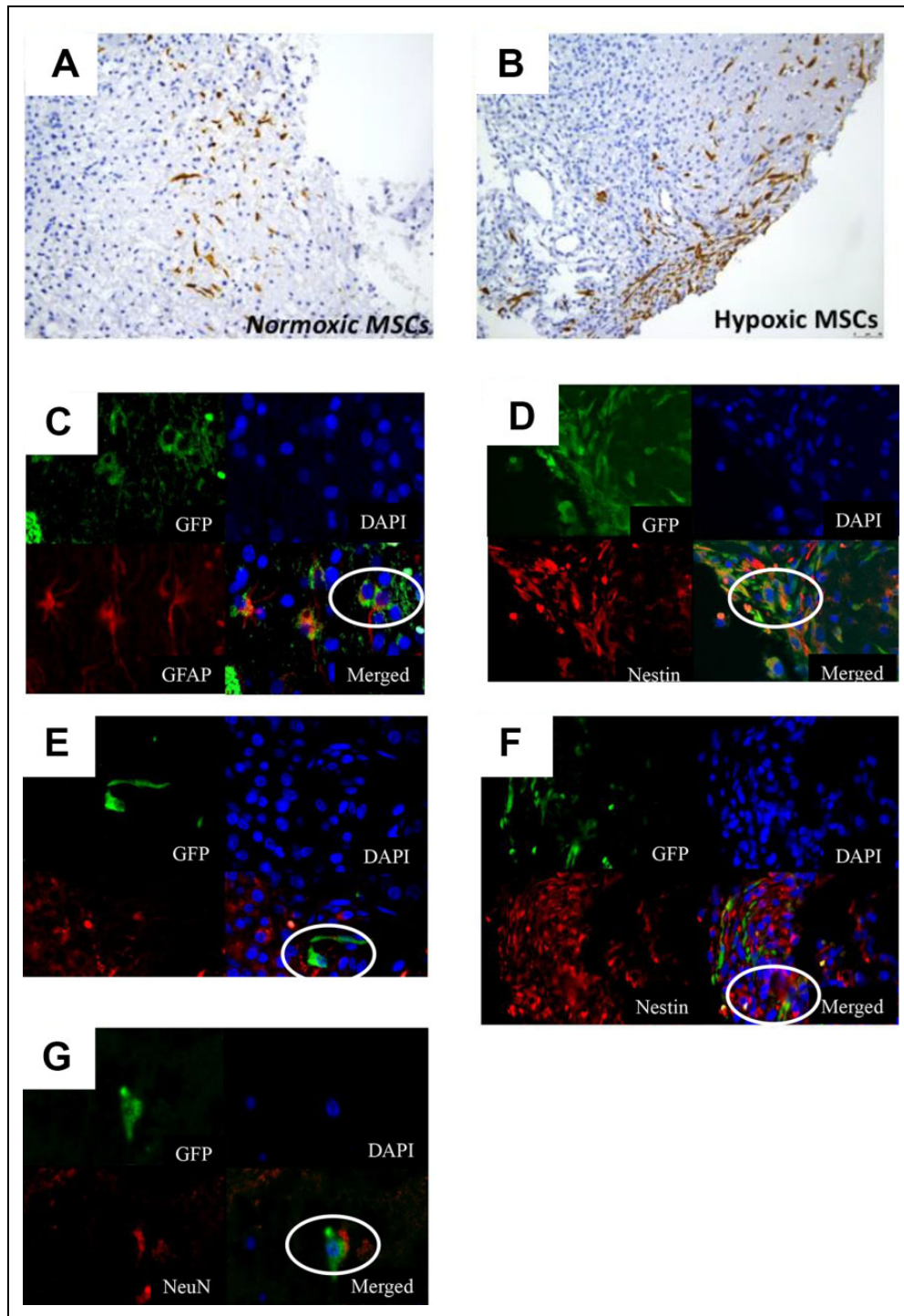


Figure 3. Normoxic/hypoxic mesenchymal stem cell (MSC) homing and in vivo differentiation ability. More green fluorescent protein (GFP)+ve cells were found in the penumbral region of traumatic brain injury (TBI) treated with hypoxic cells 7 days after transplantation (a). The homed MSCs co-expressed markers of astrocytes and neurons (b)-(d).

memory after TBI. In a Morris water maze, animals showed a shorter learning time and stronger ability to position an invisible target. The lack of significance in the probe test between hypoxic and normoxic MSCs might be due to recovery from TBI in both groups after 14 days.

After MSCs were cultured in a hypoxic environment, the capacity of self-renewal was enhanced with a higher proliferative rate, whereas multilineage differentiation potential was maintained. Hypoxia induces different responses in MSCs by transcription factor hypoxia-inducible factor-1

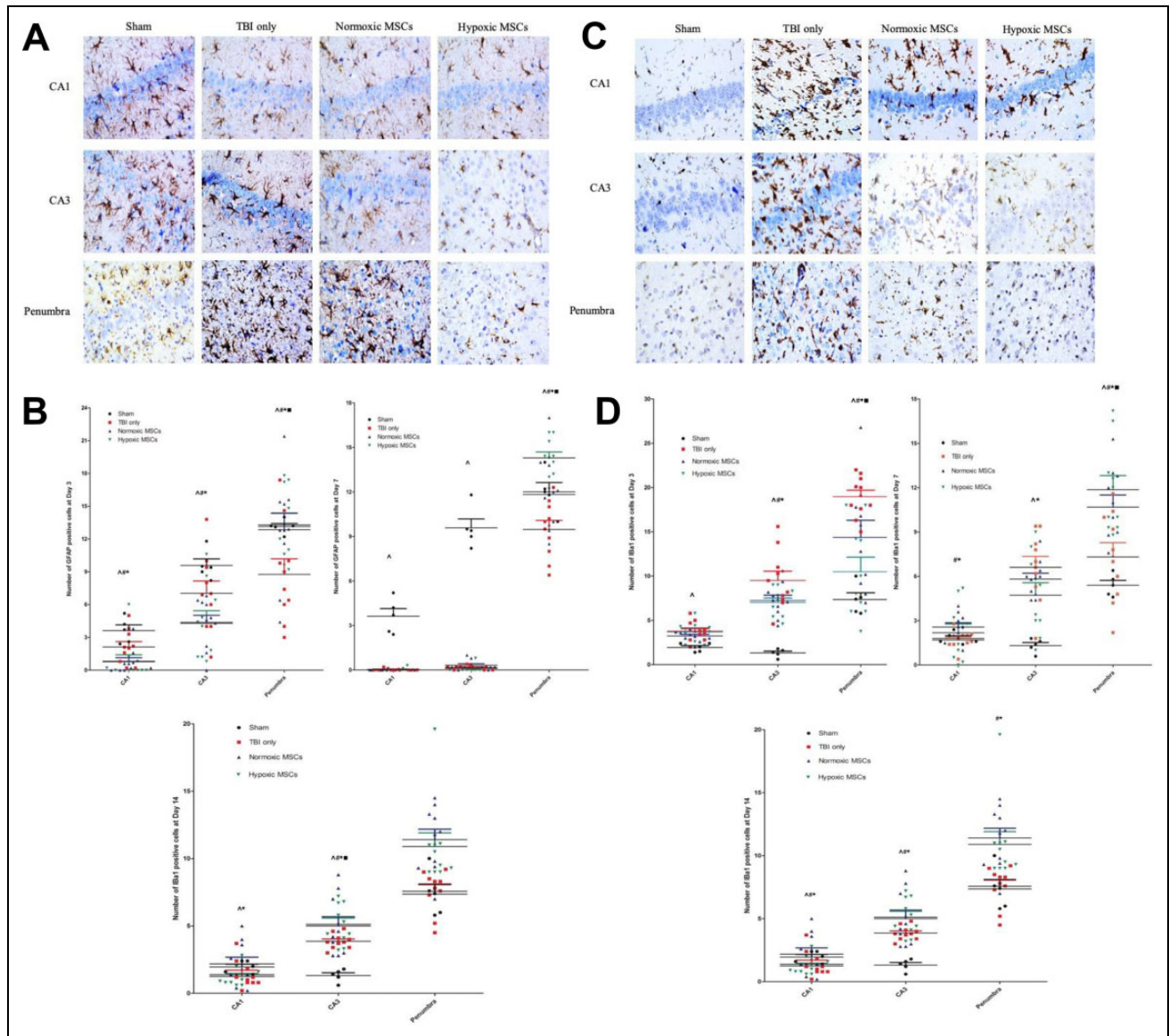


Figure 4. Photos of histochemical staining and quantity results at different time points after treatment in the hippocampus and penumbral region. (a) and (b) At day 3, more glial fibrillary acidic protein (GFAP)+ve cells were observed in both the hippocampus and penumbral region in the hypoxic mesenchymal stem cell (MSC) group (CA1: * $p = 0.036$ vs traumatic brain injury (TBI) only, # $p = 0.018$ vs TBI only, ^ $p = 0.028$ vs sham group; CA3: * $p = 0.050$ vs TBI only, # $p = 0.047$ vs TBI only, ^ $p = 0.049$ vs sham group; penumbra: * $p = 0.008$ vs TBI only, # $p = 0.050$ vs TBI only, ■ $p = 0.050$ vs normoxic MSCs, ^ $p = 0.031$ vs sham group). At day 7, the increased number of GFAP-positive cells was only found in penumbra (* $p < 0.001$ vs TBI only, # $p = 0.033$ vs TBI only, ■ $p = 0.045$ vs normoxic MSC, ^ $p = 0.037$ vs sham). More GFAP+ve cells were found in the sham group in hippocampus (CA1: ^ $p < 0.001$; CA3: ^ $p < 0.001$). At day 14, less astrocytes infiltration was found in the hippocampus in hypoxic MSCs group (CA1: * $p = 0.038$ vs TBI only; CA3: * $p < 0.001$ vs TBI only, ■ $p < 0.001$ vs normoxic MSCs, # $p = 0.041$ vs TBI only). (c)-(d) At day 3, more microglia were activated after TBI in both hippocampus and penumbra (CA1: ^ $p < 0.001$ vs sham group; CA3: ^ $p < 0.001$ vs sham group; penumbra, ^ $p < 0.001$ vs sham group). Fewer ionized calcium binding adapter molecule (Iba)+ve cells were found in the hypoxic MSC group in CA3 and penumbra (CA3: * $p = 0.035$ vs TBI only; penumbra: * $p < 0.001$ vs TBI only, ■ $p = 0.002$ vs normoxic MSCs). Normoxic MSC treatment also reduced the number of Iba+ve cells at CA3 and penumbra (CA3: # $p = 0.034$; penumbra, # $p = 0.036$). At day 7, fewer microglia were found in the hypoxic MSC group in both the hippocampus and penumbra (CA1: * $p = 0.036$ vs TBI only; CA3: * $p = 0.040$ vs TBI only; penumbra: * $p < 0.001$ vs TBI only, ■ $p = 0.035$ vs normoxic MSCs). Normoxic MSC treatment reduced microglia activation at CA1 and penumbra significantly (CA1: # $p = 0.011$; penumbra: # $p = 0.037$). Both normoxic and hypoxic MSCs reduced the number of microglia at day 14, no significant difference was found between the two treatments (CA1: * $p = 0.043$, # $p = 0.010$; CA3: * $p = 0.029$, # $p = 0.043$; penumbra: * $p < 0.001$, # $p < 0.001$). (e)-(f) Number of cell death increased significantly after TBI from day 3 to day 14 in both the hippocampus and penumbra (^ $p < 0.05$ vs sham). At day 3, cell death was reduced remarkably in the hypoxic MSC group in both the hippocampus and penumbra (CA1: * $p = 0.014$ vs TBI only; CA3: * $p = 0.005$ vs TBI only; penumbra: * $p = 0.013$ vs TBI only, ■ $p = 0.022$ vs normoxic MSCs). Normoxic MSCs reduced cell death at the hippocampus only (CA1: # $p = 0.024$; CA3: # $p = 0.038$). At day 7, both normoxic

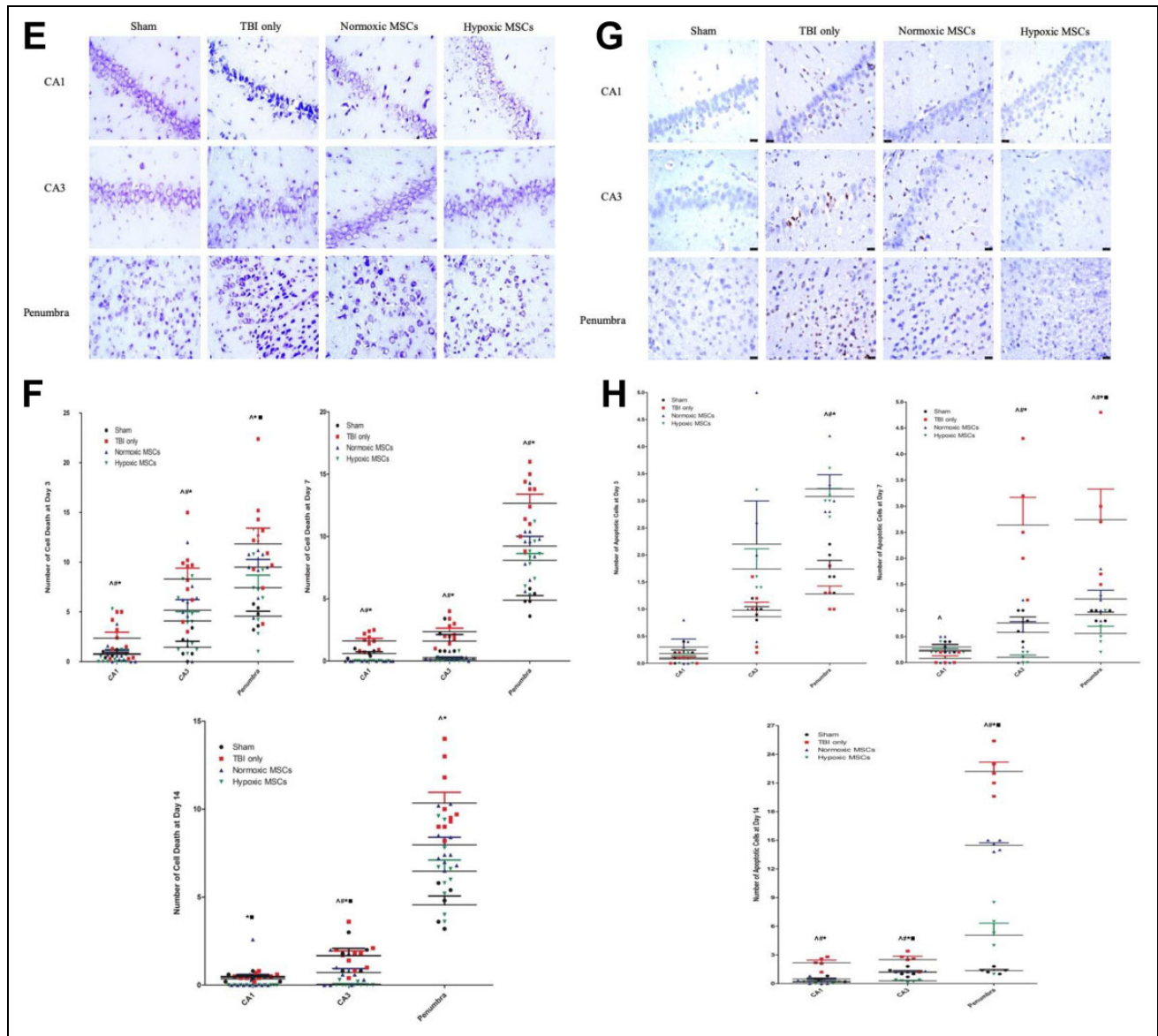


Figure 4. (Continued). and hypoxic MSCs reduced cell death, no significant difference was observed between the two treatments (CA1: * $p < 0.001$, # $p < 0.001$; CA3: * $p < 0.001$, # $p < 0.001$; penumbra: * $p = 0.002$, # $p = 0.017$). At day 14, the hypoxic MSC group showed less cell death in both the hippocampus and penumbra (CA1: * $p = 0.004$ vs TBI only, # $p = 0.038$ vs normoxic MSCs; CA3: * $p < 0.001$ vs TBI only, # $p < 0.001$ vs normoxic MSCs; penumbra: * $p = 0.036$ vs TBI only). (g-h) At day 7 and day 14, normoxic and hypoxic MSCs reduced the number of apoptotic cells significantly in the hippocampus and penumbra (day 7: CA3, * $p < 0.001$, # $p = 0.003$, penumbra, * $p = 0.005$, # $p = 0.034$; day 14: CA1, * $p < 0.001$, # $p = 0.001$, CA3, * $p < 0.001$, # $p = 0.023$ penumbra, * $p < 0.001$, # $p = 0.009$). Hypoxic MSCs further reduced number of apoptotic cells at day 7 and 14 in the penumbra (day 7: # $p = 0.042$ vs normoxic MSCs; day 14: # $p < 0.001$ vs normoxic MSCs).

activation¹². The activation of this molecule plays an important role in the growth, multiplication, differentiation, and gene expression profile of MSCs in their niche by a complex of signals. Hypoxia cultivation provides a better microenvironment that is similar to that of bone marrow for MSC proliferation and differentiation. Homing to a target organ is a key factor in MSC therapy. The migration ability was shown to be stronger after exposure to a hypoxic condition due to the upregulation of chemokine receptors C-X-C chemokine receptor type 4 (CXCR4), CXCR7, and CX3C chemokine

receptor 1¹³. They play an important role in damaged-tissue-specific trafficking and homing of MSCs.

Previous studies have shown that MSCs modulate the inflammatory response including reactive astrocytosis and migration of microglia¹⁴. After injury, astrocytes are activated. They home to the damaged area and protect healthy brain tissue from inflammation. In acute phase after TBI, topical MSC transplantation induced more reactive astrocytes in both the hippocampus and penumbra. However, these reactive astrocytes were properly managed as the number of

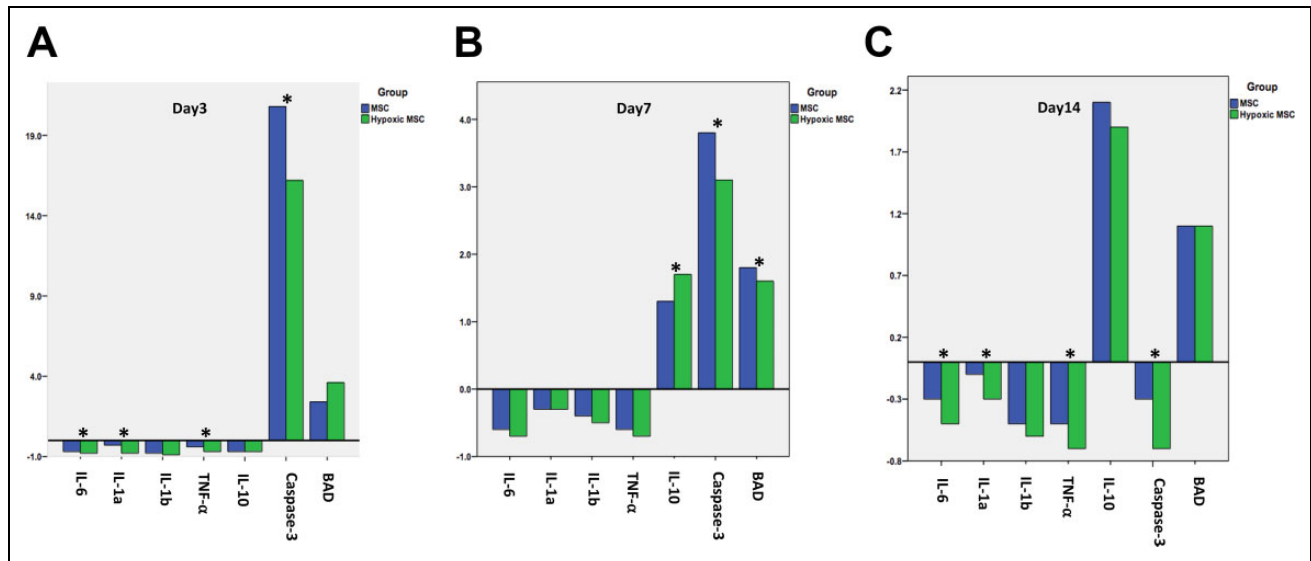


Figure 5. Inflammatory and apoptotic gene expression changes after transplantation. Then 3 days after transplantation, both anti-interleukin (IL)-1, IL-6, tumor necrosis factor (TNF)- α and pro-inflammatory (IL-10) gene expression were downregulated ($*p < 0.05$ vs normoxic), the pro-apoptotic gene (Caspase-3) was upregulated remarkably, but the upregulation in hypoxic group was less ($*p < 0.05$ vs normoxic). From day 7 to day 14, the expression of anti-inflammatory (IL-10) and anti-apoptotic genes increased significantly, with downregulation of the pro-apoptotic gene (Caspase-3) ($*p < 0.05$ vs normoxic).

astrocytes decreased in the subacute/late stage. After TBI, fewer microglia migrated to CA1/CA3 in animals treated with topical MSCs. In the penumbral region, more microglia were detected in the subacute/late stage due to different phenotypes of microglia. M1 and M2 are two subtypes of microglia and have opposite effects on inflammation. The increase in cell numbers could be an anti-inflammatory response mediated by M2 phenotype microglia¹⁵.

The inflammatory response was suppressed by downregulation of pro-inflammatory cytokines and upregulation of anti-inflammatory cytokines. Pro-inflammatory cytokines such as IL-1, IL-6, and TNF- α were downregulated after topical MSC. TNF- α is one of the key activators of the nuclear factor kappa-light-chain-enhancer of activated B cells (NF- κ B) signaling pathway, which regulates a large number of genes associated with inflammation and apoptosis¹⁶. Its downregulation suppresses the NF- κ B pathway after TBI. The anti-inflammatory cytokine IL-10 increased in the subacute/late stage because it is mainly produced by M2 phenotype microglia. Less neuron death and apoptosis were found after topical MSC treatment. The expression of pro-apoptosis gene Caspase-3 decreased in the late stage, whereas anti-apoptosis gene BAD increased in the early phase. However, the reason why more apoptotic cells appeared in the acute phase remained unclear.

The underlying mechanisms of MSC therapy remain to be investigated. There are two main principles by which cells

facilitate therapeutic potential: cell differentiation and paracrine mechanism^{17,18}. Because limited evidence for cell differentiation has been observed, the paracrine mechanism seems ultimately responsible for the repair of injured tissues. Therefore, the therapeutic potential of the conditioned medium (cell supernatant) should be investigated in our future research. The literature has pointed out that conditioned medium is able to capture the benefits of stem-cell therapy. For example, the conditioned medium, particularly from genetically modified MSCs overexpressing Akt-1, demonstrated the ability of cardiomyocyte protection¹⁹. The angiogenic and antiangiogenic factors in the conditioned medium was effective in treating cardiovascular disease²⁰.

However, there are some limitations in the study. Firstly, it was unable to track the cells' migration routine and destination. Double fluorescent staining only showed the cell location at the studying time point. Secondly, different doses of transplanted cells were not tested. We selected the dose according to our previous study. Thirdly, multiple transplantation was not studied because the severity of the CCI model was limited and one-time transplantation was enough for functional recovery. Fourthly, we did not include the topically applied fibrin glue group because no functional improvement was found in our preliminary study. Fibrin was used as an adhesive agent to keep the cells in position.

Conclusion

Topical application is safe and efficient for delivering a large number of MSCs. Hypoxic preconditions can enhance the potential of MSCs in neuroprotection through suppressing inflammation and reducing neuron death.

Author Contributions

HM performed the experiments and wrote the manuscript. CSWT and KKYL helped with the immunohistochemistry staining. PKL, GW and WSP supervised the study and provided language help and writing assistance. All authors reviewed and commented on the manuscript. All authors approved the final version of the manuscript.

Ethical Approval

All procedures were conducted in accordance with the Animals (Control of Experiments) Ordinance Chapter 340, Department of Health, Hong Kong. The study was approved by the Animal Experimentation Ethics Committee of the Chinese University of Hong Kong.

Statement of Human and Animal Rights

All procedures were conducted in accordance with the Animal Experimentation Ethics Committee of the Chinese University of Hong Kong approved protocols.

Statement of Informed Consent

There are no human subjects in this article and informed consent is not applicable.

Declaration of Conflicting Interests

The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

Funding

The author(s) received no financial support for the research, authorship, and/or publication of this article.

References

1. Roozenbeek B, Maas AI, Menon DK. Changing patterns in the epidemiology of traumatic brain injury. *Nat Rev Neurol*. 2013; 9(4):231–236.
2. Taylor CA, Bell JM, Breiding MJ, Xu L. Traumatic brain injury-related emergency department visits, hospitalizations, and deaths—United States, 2007 and 2013. *MMWR Surveill Summ*. 2017;66(9):1–16.
3. Greve MW, Zink BJ. Pathophysiology of traumatic brain injury. *Mt Sinai J Med*. 2009;76(2):97–104.
4. Frattalone AR, Ling GS. Moderate and severe traumatic brain injury: pathophysiology and management. *Neurosurg Clin N Am*. 2013;24(3):309–319.
5. Mastro-Martínez I, Pérez-Suárez E, Melen G, González-Murillo Á, Casco F, Lozano-Carbonero N, Gutiérrez-Fernández M, Díez-Tejedor E, Casado-Flores J, Ramírez-Orellana M, Serrano-González A. Effects of local administration of allogenic adipose tissue-derived mesenchymal stem cells on functional recovery in experimental traumatic brain injury. *Brain Inj*. 2015;29(12):1497–1510.
6. Paquet J, Deschepper M, Moya A, Logeart-Avramoglou D, Boisson-Vidal C, Petite H. Oxygen tension regulates human mesenchymal stem cell paracrine functions. *Stem Cells Transl Med*. 2015;4(7):809–821.
7. Fotia C, Massa A, Boriani F, Baldini N, Granchi D. Hypoxia enhances proliferation and stemness of human adipose-derived mesenchymal stem cells. *Cytotechnology*. 2015;67(6): 1073–1084.
8. Xiong Y, Mahmood A, Chopp M. Animal models of traumatic brain injury. *Nat Rev Neurosci*. 2013;14(2):128–142.
9. Mahmood A, Lu D, Chopp M. Intravenous administration of marrow stromal cells (MSCs) increases the expression of growth factors in rat brain after traumatic brain injury. *J Neurotrauma*. 2004;21(1):33–39.
10. Harting MT, Jimenez F, Xue H. Intravenous mesenchymal stem cell therapy for traumatic brain injury. *J Neurosurg*. 2009;110(6):1189–1197.
11. Lam PK, Lo AWI, Wang KKW, Lau HCH, Leung KKC, Li KTC, Lai PBS, Poon WS. Transplantation of mesenchymal stem cells to the brain by topical application in an experimental traumatic brain injury model. *J Clin Neurosci*. 2013;20(2): 306–309.
12. Ejtehadi M, Shamsasenjan K, Movassaghpour A. The effect of hypoxia on mesenchymal stem cell biology. *Adv Pharm Bull*. 2015;5(2):141–149.
13. Rasmussen JG, Frøbert O, Pilgaard L. Prolonged hypoxic culture and trypsinization increase the pro-angiogenic potential of human adipose tissue-derived stem cells. *Cytotherapy*. 2011; 13(3):318–328.
14. Zhang R, Liu Y, Yan K, Chen L, Chen XR, Li P, Chen FF, Jiang XD. Anti-inflammatory and immunomodulatory mechanisms of mesenchymal stem cell transplantation in experimental traumatic brain injury. *J Neuroinflammation*. 2013;10:106.
15. Cherry JD, Olschowka JA, O'Banion MK. Neuroinflammation and M2 microglia: the good, the bad, and the inflamed. *J Neuroinflammation*. 2014;11:98.
16. O'Neill LAJ, Kaltschmidt C. NF- κ B: a crucial transcription factor for glial and neuronal cell function. *Trends Neurosci*. 1997;20(6):252–258.
17. Kawada H, Fujita J, Kinjo K, Matsuzaki Y, Tsuma M, Miyatake H, Muguruma Y, Tsuboi K, Itabashi Y, Ikeda Y, Ogawa S. Nonhematopoietic mesenchymal stem cells can be mobilized and differentiate into cardiomyocytes after myocardial infarction. *Blood*. 2004;104(12):3581–3587.
18. Yagi H, Soto-Gutierrez A, Parekkadan B, Kitagawa Y, Tompkins RG, Kobayashi N, Yarmush ML. Mesenchymal stem cells: mechanisms of immunomodulation and homing. *Cell Transplant*. 2010;19(6–1):667–679.
19. Gnecci M, Zhang Z, Ni A, Dzau VJ. Paracrine mechanisms in adult stem cell signaling and therapy. *Circ Res*. 2008;103(11): 1204–1219.
20. Rehman J, Traktuev D, Li J, Merfeld-Clauss S, Temm-Grove CJ, Bovenkerk JE, Pell CL, Johnstone BH, Considine RV, March KL. Secretion of angiogenic and antiapoptotic factors by human adipose stromal cells. *Circulation*. 2004;109(10): 1292–1298.