



Article Influence of Annealing Atmosphere on the Characteristics of Ga₂O₃/4H-SiC n-n Heterojunction Diodes

Young-Jae Lee, Michael A. Schweitz, Jong-Min Oh and Sang-Mo Koo *

Department of Electronic Materials Engineering, Kwangwoon University, 20 Kwangwoon-ro, Nowon-gu, Seoul 01897, Korea; yjmjcj@naver.com (Y.-J.L.); michael.schweitz@schweitzlee.com (M.A.S.); jmOh@kw.ac.kr (J.-M.O.)

* Correspondence: smkoo@kw.ac.kr; Tel.: +82-2-940-5763

Received: 26 December 2019; Accepted: 14 January 2020; Published: 16 January 2020



Abstract: $Ga_2O_3/4H$ -SiC n-n isotype heterojunction diodes were fabricated by depositing Ga_2O_3 thin films by RF magnetron sputtering. The influence of annealing atmosphere on the film quality and electrical properties of Ga_2O_3 layers was investigated. X-ray diffraction (XRD) analysis showed a significant increase in the peak intensities of different faces of β -Ga₂O₃ {(-201), (-401) and (002)}. X-ray photoelectron spectroscopy (XPS) measurement showed that the atomic ratio of oxygen increases under high-temperature annealing. Moreover, an N₂-annealed diode exhibited a greater rectifying ratio and a lower thermal activation energy owing to the decrease in oxygen-related traps and vacancies on the Ga₂O₃ film and Ga₂O₃-metal interface.

Keywords: gallium oxide; silicon carbide; heterojunction diodes; thermal activation energy

1. Introduction

Wide bandgap (WBG) semiconductors find applications in high-power transistors and light detectors. Among the more promising WBG materials, gallium oxide (Ga₂O₃) is uniquely transparent to visible and ultraviolet light [1–3]. It has a bandgap ranging from ~4.6 to ~4.9 eV, resulting in a high electric breakdown field strength of ~8 MV/cm. The Baliga's figure of merit (BFOM) of Ga₂O₃ is 3400, which is roughly four times higher than that of gallium nitride [4,5]. Ga₂O₃ has five crystalline modifications (α , β , γ , δ , and ε), among which the monoclinic β -phase is most stable. Metastable Ga₂O₃ films can be obtained by thermal annealing and can be subsequently converted into β -Ga₂O₃ in a relatively convenient manner. Ga₂O₃ is natively n-doped in the range of 10¹⁶–10¹⁸ cm⁻³ due to oxygen vacancies and can be further n-doped to free carrier densities by adding Si, Sn, or Ge [6–11].

Recrystallization through thermal annealing helps reduce oxygen-related charge traps and is generally an effective method for improving the quality of Ga₂O₃ [12,13]. Therefore, investigating the annealing process for Ga₂O₃ is a promising research direction. Polycrystalline Ga₂O₃ films on glass or sapphire substrates have been converted from amorphous phase through high-temperature annealing [14–16]. Hexagonal silicon carbide (4H-SiC; bandgap of ~3.26 eV) can be used as a substrate to grow β -Ga₂O₃ layers [17]. Hexagonal silicon carbide (a, b = 3.10 Å and c = 10.12 Å) has a low lattice mismatch of ~2 % with Ga₂O₃ (a = 12.33 Å, b = 3.04 Å, and c = 5.80 Å). It also and exhibits a higher thermal conductivity (~4.5 W/cm·°C) than other WBG materials such as GaN (~1.3 W/cm·°C) and Ga₂O₃ (0.5 W/cm·°C), making it a suitable substrate for high power applications.

In this work, heterojunction diodes were fabricated by depositing Ga_2O_3 on a 4H-SiC substrate and annealing the diodes under different annealing gases. The effects of the applied annealing gas on the material properties of the resulting Ga_2O_3 thin films and the electrical performance of the diodes manufactured from this material are investigated.

2. Materials and Methods

As a substrate for the gallium oxide film, we used a n-type 4H-SiC wafer (doping concentration: 5×10^{16} cm⁻³), with a layer of epitaxially grown 4H-SiC (n-type; 1.0×10^{19} cm⁻³), as shown in Figure 1. After cleaning the SiC wafer with SPM solution (H₂SO₄:H₂O₂ = 4:1), we stripped the native silicon dioxide (SiO₂) layer using a buffered oxide etch (BOE). A 200-nm-thick nickel film cathode was formed on the bottom side of the SiC wafer by E-beam evaporation. After Ni deposition, the samples were annealed at 950 °C in N₂ for 10 min by rapid thermal annealing (RTA) for forming ohmic contacts. Gallium oxide thin films were then deposited by radio frequency (RF) sputtering of a Ga₂O₃ (99.99% purity) target. Before deposition, the chamber was evacuated to 2.0×10^{-6} Torr. The films were grown on the epitaxial 4H-SiC layer under 35 mTorr at a pure argon mass flow rate of 4.6 sccm. The RF power was 140 W, and the films were deposited on room temperature. The thickness of the deposited films ranged from 100 to 250 nm. The SiC wafers, with the deposited Ga₂O₃ films, were annealed at 800 °C for 40 min under different atmospheres (pure oxygen and nitrogen gas). An electrode was formed by deposition of 120 nm of nickel on the Ga₂O₃ layer.



Figure 1. Structure of Ga₂O₃/4H-SiC heterojunction diode and fabrication flow.

3. Results

3.1. Material Properties

To compare the influence of different annealing atmospheres on the crystallinity of Ga₂O₃ deposited on the 4H-SiC substrates, X-ray diffraction (XRD) θ –2 θ scans were performed on the as-grown, O₂ and N₂–annealed samples. As shown in Figure 2, all the sample sets show reflections corresponding to polycrystalline Ga₂O₃ with a monoclinic structure from Rietveld refinement by using General Structure Analysis System (GSAS) [18,19]. All the manufactured samples give β -Ga₂O₃ diffraction peaks corresponding to (–201), (–401), and (002) faces. The crystal structures remained stable. In fact, the peak intensities were further enhanced after annealing. In particular, the peak intensities corresponding to the (–201) and (–401) faces significantly increased after N₂ annealing. As explained in the literature, Ga and O atoms migrate under high-temperature annealing and thus help improve the crystallinity of Ga₂O₃. Furthermore, dangling bonds related to oxygen defects at grain boundaries can be passivated by N₂ annealing by incorporating nitrogen atoms at gallium or oxygen lattice sites [20,21]. Consequently, the diffraction peak intensities of the N₂-annealed samples are higher than those of the other samples, as nitrogen appears to improve the crystal quality of the Ga₂O₃ [22,23].



Figure 2. XRD spectra of samples and refinement results of Ga₂O₃ annealed under different atmosphere.

Figure 3a shows the optical transmittance spectra of the samples for wavelengths between 200 and 400 nm. All the samples exhibit a high transmittance (over ~80 %) at wavelengths longer than 300 nm. The oxygen concentration in the Ga₂O₃ crystals will affect the charge states, which in turn will influence such electrical parameters as bandgap and, consequently, the transmittance [24,25]. The optical bandgap is extracted from the linear part of the graph, shown in Figure 3b, for $(\alpha h\nu)^2 = 0$, where h ν is the photon energy, and α is the coefficient of absorption. $\alpha = \ln(100/T)/d$, where T and d is the transmittance and thickness (120 nm) of the Ga₂O₃ films, respectively. For the as-grown samples, the bandgap of the Ga₂O₃ film is found to be ~5.01 eV. The bandgaps of the samples annealed under O₂ and N₂ atmosphere are ~4.91 and ~4.89 eV, respectively. The bandgap of the N₂-annealed sample is close to the typically reported bandgap value of ~4.9 eV for β -phase Ga₂O₃ [26].



Figure 3. (a) Transmittance spectra and (b) $(\alpha h\nu)^2 - h\nu$ plots of Ga₂O₃ film samples annealed under different atmospheres.

Figure 4 shows the XPS spectra of the O 1s peaks of the three different sample sets. The peaks were calibrated using C 1s at 284.6 eV, in which the O 1s peaks were fitted using two Gaussian peaks, corresponding to Ga_2O_3 and GaO_x phases, respectively. After annealing in O_2 and N_2 atmosphere, the peak intensity of the GaO_x phase decreases, whereas that of the Ga_2O_3 phase increases. The GaO_x

peak is reported to have a connection with oxygen vacancies [26]. The magnitude of the peak intensity corresponding to the GaO_x phase was reduced, from 37.5% for the as-grown sample to 20.3% and 13.6% for the O₂ and N₂-annealed samples, respectively. This is considered to indicate a decrease in the number of defects, such as oxygen vacancies and oxygen sites. The atomic ratios of O to Ga in the samples are 1.40, 1.43 and 1.42 for as-grown, O₂ and N₂ annealed Ga₂O₃. These different stoichiometric ratios indicate that an increased concentration of O₂ in the annealing gas can somewhat raise the number of oxygen atoms in the films.



Figure 4. O 1s XPS spectra of the Ga₂O₃ films annealed under different atmospheres.

3.2. Electrical Properties

Figure 5 shows the graph of $1/C^2$ as a function of the reverse voltage bias applied to the $Ga_2O_3/4H$ -SiC diodes. The built-in voltage (V_{bi}) and doping concentration can be extracted from the extrapolated graph of $1/C^2$ versus the voltage. V_{bi} is calculated from the V-axis intercept of the fitted graph. The doping concentration is derived from the slope of $1/C^2$ –V using Equation (1).

$$\frac{1}{C^2} = \frac{2}{qA^2} \frac{\varepsilon_{Ga_2O_3} N_{Ga_2O_3} + \varepsilon_{SiC} N_{SiC}}{N_{Ga_2O_3} N_{SiC} \varepsilon_{Ga_2O_3} \varepsilon_{SiC}} (V_{bi} - V)$$
(1)

The extracted values of V_{bi} and doping concentration of the Ga₂O₃ thin films are 0.47, 0.86, and 1.01 V and 9.59×10^{15} , 1.62×10^{16} , and 2.01×10^{16} cm⁻³ for the as-grown, O₂-annealed, and N₂-annealed samples, respectively. The V_{bi} and doping concentration values increased after annealing, because of the decrease in oxygen-related traps. The increase in the built-in voltage can be attributed to the changes in the dopant concentration and the concentration of interface states of Ga₂O₃.



Figure 5. 1/C²–reverse voltage plots for different diodes measured at room temperature.

Figure 6 shows the typical I–V characteristics of the fabricated Ga₂O₃/4H-SiC n-n diodes both in the logarithmic and linear scales. As shown in the figure, the as-grown diode has a high leakage current (~ 1.60×10^{-5} A) and a low rectifying ratio (~ 3.0×10^{3}) measured at forward (3 V) and reverse biases (–3 V). The rectifying behavior of the O₂ and N₂-annealed diodes is improved. The different samples exhibit a similar leakage current value of approximately 8.1×10^{-11} A. The N₂-annealed diode exhibits a higher on-current when a forward voltage is applied, with a rectifying ratio of ~ 5.0×10^{7} , which may be related to the reduced oxygen trap concentrations after annealing. The threshold voltages of the diodes are ~1.55, ~1.47, and ~1.27 V for the as-grown, O₂ and N₂-annealed samples, respectively. The ideality factor at room temperature can be extracted from Equation (2).

$$\mathbf{I} = I_O \left[e^{\frac{V}{\eta K_B T}} - 1 \right] \tag{2}$$

Here, *I* and *V* are the forward current and voltage, respectively, I_0 is the saturation current, k_B is Boltzmann's constant, *T* is the absolute temperature, and η is the ideality factor. The ideality factor is significantly reduced after the annealing process; the ideality factor of the N₂-annealed diode is 2.8, which is half that of the O₂-annealed diode. The lower ideality factor and the higher built-in voltage of the annealed diodes are attributed to the improved crystallinity and interface properties.



Figure 6. I–V characteristics of diodes at room temperature: (a) log-scale and (b) linear curves.

The thermal activation energy (E_A) is obtained from the ln (I_O)–1/kT plot shown in Figure 7. The graph was plotted in the temperature range of 298–523 K with a temperature step of 25 K, where I_O is the reverse saturation current at -3 V, and T and k are the absolute temperature and Boltzmann's constant, respectively. The extracted activation energy from the experimental measurements are related to trap states at the metal–Ga₂O₃ interfaces and the barrier heights. Low activation energy values suggest a high concentration of the trap states at the interface, which results in increased trap-assisted tunneling or thermionic emission probabilities across the barrier. As shown in Figure 6, the extracted activation energy of the devices increases after annealing. In particular, the activation energy of the N₂-annealed sample (0.504 eV) is twice that of the as-grown sample. The improved rectifying ratio of the N₂-annealed diode is also attributed to the increased activation energy.



Figure 7. $ln(I_O)-1/kT$ curve for activation energy values derived from the increasing temperature.

4. Conclusions

We fabricated polycrystalline β -Ga₂O₃/4H-SiC heterojunction diodes annealed under different gas atmospheres (O₂ and N₂). The material and electrical properties of the diodes were investigated to understand the effects of the different annealing gases on the device characteristics. X-ray diffraction peaks corresponding to the different faces of β -Ga₂O₃ {(-201), (-402), and (002)} were observed to significantly increase, while the bandgap somewhat decreased to ~4.9 eV after annealing. The post-annealing decrease in the GaO_x peak intensity indicates a decrease in the number of oxygen vacancies. With regard to the electrical properties, the leakage current decreased nearly 1000 times after annealing. To summarize, the N₂-annealed sample exhibited higher rectifying ratio and built in voltage, decreased threshold voltage, lower ideality factor, and higher activation energy than the as-grown and O₂-annealed samples. Therefore, we conclude that the performance of N₂-annealed diodes at high temperatures is more stable due to higher activation energy compare with built-in voltage due to a lower concentration of trap states.

Author Contributions: Supervision and conceptualization, S.-M.K.; formal analysis and writing—original draft preparation, Y.-J.L.; writing, M.A.S.; visualization, J.-M.O. All authors have read and agreed to the published version of the manuscript.

Funding: This work was supported by the NRF (2018R1D1A1B07047515) and Korea Electric Power Corporation (R17XA05-60).

Conflicts of Interest: The authors declare no conflicts of interest.

References

- 1. Oshima, T.; Okuno, T.; Arai, N.; Suzuki, N.; Ohira, S.; Fujita, S. Vertical Solar-Blind Deep-Ultraviolet Schottky Photodetectors Based on β-Ga₂O₃ Substrates. *Appl. Phys. Express* **2008**, *1*, 011202. [CrossRef]
- Feng, Z.; Huang, L.; Feng, Q.; Li, X.; Zhang, H.; Tang, W.; Zhang, J.; Hao, Y. MOCVD grown β-Ga₂O₃ metal-oxide-semiconductor field effect transistors on sapphire. *Appl. Phys. Express* 2018, *8*, 8.
- An, Y.H.; Guo, D.Y.; Li, S.Y.; Wu, Z.P.; Huang, Y.Q.; Li, P.G.; Li, L.H.; Tang, W.H. Influence of oxygen vacancies on the photoresponse of β-Ga₂O₃ /SiC n–n type heterojunctions. *J. Phys. D Appl. Phys.* 2016, 49, 285111. [CrossRef]
- Guo, Y.; Li, A.; Feng, Q.; Hu, Z.; Feng, Z.; Zhang, K.; Lu, X.; Zhang, C.; Zhou, H.; Mu, W.; et al. High-Voltage β-Ga₂O₃ Schottky Diode with Argon-Implanted Edge Termination. *Nanoscale Res. Lett.* 2019, 14, 8. [CrossRef] [PubMed]
- 5. Yang, J.; Sparks, Z.; Ren, F.; Pearton, S.J.; Tadjer, M. Effect of surface treatments on electrical properties of β-Ga₂O₃. *J. Vac. Sci. Technol. B* **2018**, *36*, 061201. [CrossRef]

- Dong, L.; Jia, R.; Xin, B.; Zhang, Y. Effects of post-annealing temperature and oxygen concentration during sputtering on the structural and optical properties of β-Ga₂O₃ films. *J. Vac. Sci. Technol. A Vac. Surf. Films* 2016, 34, 060602. [CrossRef]
- Zhang, H.; Deng, J.; Pan, Z.; Bai, Z.; Kong, L.; Wang, J. Structural and optical properties of Nb-doped β-Ga₂O₃ thin films deposited by RF magnetron sputtering. *Vacuum* 2017, 146, 93–96. [CrossRef]
- Zhang, Y.; Yan, J.; Li, Q.; Qu, C.; Zhang, L.; Xie, W. Optical and structural properties of Cu-doped β-Ga₂O₃ films. *Mater. Sci. Eng. B* 2011, 176, 846–849. [CrossRef]
- Takeuchi, T.; Ishikawa, H.; Takeuchi, N.; Horikoshi, Y. High resolution X-ray photoelectron spectroscopy of beta gallium oxide films deposited by ultra high vacuum radio frequency magnetron sputtering. *Thin. Solid Films* 2008, *516*, 4593–4597. [CrossRef]
- Ishibashi, K.; Aida, R.; Takahara, M.; Kudo, J.; Tsunoda, I.; Takakura, K.; Nakashima, T.; Shibuya, M.; Murakami, K. Investigation of the crystalline quality of a gallium oxide thick film grown by RF magnetron sputtering. *Phys. Status Solidi* 2013, *10*, 1588–1591.
- 11. Donmez, I.; Akgun, C.O.; Biyikli, N. Fabrication of AlN/BN bishell hollow nanofibers by electrospinning and atomic layer deposition. *J. Vac. Sci. Technol. A* **2014**, *32*, 4.
- 12. Battu, A.K.; Ramana, C.V. Mechanical Properties of Nanocrystalline and Amorphous Gallium Oxide Thin Films. *Adv. Eng. Mater.* **2018**, *20*, 1701033. [CrossRef]
- Varley, J.; Weber, J.R.; Janotti, A.; van de Walle, C.G. Oxygen vacancies and donor impurities in β-Ga₂O₃. *Appl. Phys. Lett.* **2018**, *GAO2018*, 142106. [CrossRef]
- 14. Rebien, M.; Henrion, W.; Hong, M.; Mannaerts, J.P.; Fleischer, M. Optical properties of gallium oxide thin films. *Appl. Phys. Lett.* **2002**, *81*, 250. [CrossRef]
- Ghose, S. Structural and optical properties of β-Ga₂O₃ thin films grown by plasma-assisted molecular beam epitaxy. *J. Vac. Sci. Technol. B Nanotechnol. Microelectron. Mater. Process. Meas. Phenom.* 2016, 34, 02L109. [CrossRef]
- 16. Kang, H.C. Heteroepitaxial growth of multidomain Ga₂O₃/sapphire(001) thin films deposited using radio frequency magnetron sputtering. *Mater. Lett.* **2014**, *119*, 123–126. [CrossRef]
- 17. Nakagomi, S.; Sakai, T.; Kikuchi, K.; Kokubun, Y. β-Ga₂O₃/p-Type 4H-SiC Heterojunction Diodes and Applications to Deep-UV Photodiodes. *Phys. Status Solidi. A* **2018**, *216*, 1700796. [CrossRef]
- Trukhanov, S.V.; Trukhanov, A.V.; Panina, L.V.; Kostishyn, V.G.; Turchenko, V.A.; Trukhanova, E.L.; Trukhanov, A.V.; Zubar, T.I.; Ivanov, V.M.; Tishkevich, D.I.; et al. Temperature evolution of the structure parameters and exchange interactions in BaFe12–xInxO19. *J. Magn. Magn. Mater.* 2018, 466, 393–405. [CrossRef]
- Pashchenko, A.V.; Liedienov, N.A.; Li, Q.; Tatarchuk, D.D.; Turchenko, V.A.; Makoed, I.I.; Sycheva., V.Y.; Voznyak, A.V.; Kladko, V.P.; Gudimenko, A.I.; et al. Structure, non-stoichiometry, valence of ions, dielectric and magnetic properties of single-phase Bi0.9La0.1FeO3–δ multiferroics. *J. Magn. Magn Mater.* 2019, 483, 100–113. [CrossRef]
- Zhang, Z.; Farzana., E.; Arehart., A.R.; Ringel, S.A. Deep level defects throughout the bandgap of (010) β-Ga₂O₃ detected by optically and thermally stimulated defect spectroscopy. *Appl. Phys. Lett.* 2016, *108*, 052105. [CrossRef]
- 21. Rafique, S.; Han, L.; Zhao, H. Thermal annealing effect on β-Ga₂O₃ thin film solar blind photodetector heteroepitaxially grown on sapphire substrate. *Phys. Status Solidi. A.* **2017**, *214*, 8. [CrossRef]
- Wang, J.; Ye, L.; Wang, X.; Zhang, H.; Li, L.; Kong, C.; Li, W. High transmittance β-Ga₂O₃ thin films deposited by magnetron sputtering and post-annealing for solar-blind ultraviolet photodetector. *J. Alloys Compd.* 2019, 803, 9–15. [CrossRef]
- 23. Sun, R.; Zhang, H.Y.; Wang, G.G.; Han, J.C.; Wang, X.Z.; Kuang, X.P.; Cui, L.; Jin, L.; Tia, J.L. Influence of annealing atmosphere on the structure, morphology and transmittance of N-incorporated Ga₂O₃ films. *Superlattices Microstruct.* **2013**, *60*, 257–262. [CrossRef]
- Trukhanov, S.V.; Lobanovski, L.S.; Bushinsky, M.V.; Khomchenko, V.A.; Pushkarev, N.V.; Troyanchuk, I.O.; Maignan, A.; Flahaut, D.; Szymczak, H.; Szymczak, R. Influence of oxygen vacancies on the magnetic and electrical properties of La_{1-x}Sr_xMnO_{3-x/2} manganites. *Eur. Phys. J. B – Condens. Matter Complex Syst.* 2004, 42, 51–61. [CrossRef]

- Shang, C.; Xia, Z.C.; Zhai, X.A.; Liu, D.W.; Wang, Y.Q. Percolation like transitions in phase separated manganites La_{0.5}Ca_{0.5}Mn_{1-x}Al_xO_{3-δ}. *Ceram. Int.* **2019**, *45*, 18632–18639. [CrossRef]
- 26. Higashiwaki, M.; Sasaki, K.; Murakami, H.; Kumagai, Y.; Koukitu, A.; Kuramata, A.; Masui, T.; Yamakoshi, S. Recent progress in Ga₂O₃ power devices. *Semicond. Sci. Technol.* **2016**, *31*, 034001. [CrossRef]



© 2020 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (http://creativecommons.org/licenses/by/4.0/).