Reconciling heterogeneous dengue virus infection risk estimates from different study designs

Authors and affiliations

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Abstract

Uncovering rates at which susceptible individuals become infected with a pathogen, i.e. the force of infection (FOI), is essential for assessing transmission risk and reconstructing distribution of immunity in a population. For dengue, reconstructing exposure and susceptibility statuses from the measured FOI is of particular significance as prior exposure is a strong risk factor for severe disease. FOI can be measured via many study designs. Longitudinal serology are considered gold standard measurements, as they directly track the transition of seronegative individuals to seropositive due to incident infections (seroincidence). Crosssectional serology can provide estimates of FOI by contrasting seroprevalence across ages. Age of reported cases can also be used to infer FOI. Agreement of these measurements, however, have not been assessed. Using 26 years of data from cohort studies and hospitalattended cases from Kamphaeng Phet province, Thailand, we found FOI estimates from the three sources to be highly inconsistent. Annual FOI estimates from seroincidence was 2.46 to 4.33-times higher than case-derived FOI. Correlation between seroprevalence-derived and case-derived FOI was moderate (correlation coefficient=0.46) and no systematic bias. Through extensive simulations and theoretical analysis, we show that incongruences between methods can result from failing to account for dengue antibody kinetics, assay noise, and heterogeneity in FOI across ages. Extending standard inference models to include these processes reconciled the FOI and susceptibility estimates. Our results highlight the importance of comparing inferences across multiple data types to uncover additional insights not attainable through a single data type/analysis.

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Significance statement

Dengue virus infections are surging globally. Knowing who, where, and how many people are at risk of infection is crucial in determining means to protect them. Here, we compare three current approaches in measuring risk (two involving blood samples and one involving case counts) to estimate the risk of infection. Estimates derived from each method differed greatly. By accounting for rise and falls of antibodies following infections, noise in the antibody titer measurements, and heterogeneity in infection risk across ages, we reconciled the measurements. As measurements from blood samples and case counts are pillars in uncovering risk of most infectious diseases, our results signifies integrating these processes into risk measurements of pathogens beyond dengue virus.

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1 Introduction

- 2 Quantifying historical infection intensity of pathogens is essential to assess infection burden and
- 3 susceptibility of populations through time, insights that are pivotal in predicting future
- 4 transmission potentials and shaping effective intervention strategies (1–3). Infection intensity is
- 5 often quantified as the rate at which susceptible individuals become infected, a concept known
- 6 as the Force of Infection (FOI). For dengue virus (DENV) infections, quantifying infection risk
- 7 through FOI is of particular significance, as infection burden is non-linearly linked to the
- 8 observable disease burden First infection by one of the four DENV serotypes is primarily
- 9 subclinical but the generated immune response is the most widely recognized risk factor for
- 10 severe disease following a second infection by a different serotype (4). The FOI can also be
- 11 used to estimate how immunity is distributed in the population (by age, for example) to identify
- 12 who is at risk of infections having already acquired immunity (5–7). Information on infection risk
- 13 in populations and the distribution of immunity are integral to optimizing the impact of the two
- 14 currently licensed vaccines and avoiding deleterious outcomes (8–14).
- 15 Typically, two main sources of data are employed to estimate historical infection intensity, or
- 16 FOI, in populations: serological data and case count data. In parallel, two different study designs
- 17 have been used to estimate forces of infection: longitudinal and cross-sectional. Longitudinal
- 18 serological studies are often considered the gold standard, as they directly track the transition of
- 19 seronegative individuals to seropositive (seroincidence) (7, 15). Cross-sectional serological
- 20 data, which includes individuals of different ages, can provide estimates of FOI by drawing upon
- 21 differences in exposure histories across birth cohorts (16–20). Similarly, age-stratified case
- 22 count data can extract information from age distribution of cases over time which reflects the
- 23 variation in exposure histories among different age groups (21–24). To infer FOI from age-
- stratified case counts, models are employed to link the infection process with the generation of
- 25 reported cases. The model typically accounts for reporting rates, but can also include processes
- 26 that influence illness manifestations (23).
- 27 These approaches rely on different assumptions about antibody responses following infection,
- 28 age-specific differences in infection risk, the role of cross-reactivity from infection or vaccination
- 29 from related viruses, accuracy of the serological assay, and how immunity preceding infections
- 30 affects the risk of symptoms. However, the importance of these different assumptions on the
- 31 resulting FOI estimates is largely unknown. Further, little is known about the consistency in
- 32 estimates derived from the different approaches. In this study, we leverage 26 years of data
- 33 from a single location to compare FOI estimates obtained from various data types. In this single
- 34 location both serological and clinical case data is available from longitudinal cohorts and from a
- 35 passive surveillance system. We compare estimates derived from different subsets of the
- 36 available data, identify the sources of discrepancies and develop methods to improve estimates
- 37 through joint inference when multiple data types are available.

38 Results

39 Dengue data in Kamphaeng Phet

- 40 Kamphaeng Phet province, Thailand (KPP) represents a dengue hyper-endemic region with
- 41 four consecutive longitudinal cohort studies conducted from 1998 to the present: Kamphaeng
- 42 Phet Prospective Study 1 (KPS1, 1998-2002), KPS2 (2004-2007), KPS3 (2010), and
- 43 Kamphaeng Phet Family Cohort Study (KFCS, 2015-ongoing) (25–28), Figure 1 and Table S1.
- 44 KPS1 and KPS2 were school children cohorts while KPS3 was a one-year cohort of children in
- 45 the community. KFCS is a community cohort focused on multi-generational households (28).
- 46 Individuals were bled every 3, 6, 6, and 12 months in these cohorts, respectively, and tested for
- 47 anti-DENV antibodies via hemagglutination inhibition assay (HAI). Percentages of seropositive
- 48 samples (geometric mean titer (GMT) >=10) increased with age except for samples obtained at
- 49 very young ages, attributable to the presence of maternally-derived antibodies and cross-
- reactive antibodies from Japanese Encephalitis vaccination (29, 30), Figure 1b. Among
- 51 participants aged nine, 75%, 57%, 53%, and 49% have GMT>=10, respectively. All individuals
- 52 in KFCS have GMT>=10 after age 30 (97% with GMT>=20).
- 53 Within Mueng, the capital district, the Kamphaeng Phet Provincial Hospital (KPPH) serves as
- 54 the sole tertiary care facility in the province. Between 1994 and 2020, KPPH reported a total of
- 55 17,773 cases suspected of dengue among KPP residents (of which 12,819 were lab confirmed),
- representing an annual incidence of 0.5 to 3.3 cases per thousand population (**Figure 1c**).
- 57 Mueng residents accounted for 55% of these cases.

58 Inferred force of infection (FOI) differs across data sources

59 Considering the cohorts as both longitudinal measures (multiple samples per individual) and 60 cross-sectional data (single sample per individual), we estimated the annual per-serotype FOI

- 61 between 1998 and 2019 using standard models for each data type (16–20), **Figure S1**. Bleeds
- taken before age three were excluded to avoid interference from maternally-derived antibodies
- and/or cross-reactive antibodies from Japanese Encephalitis vaccination. We derived case-
- based FOI by fitting a model which takes into account differences in symptomatic rates across
 the four possible infections of individuals (one by each serotype) and variations in time and age
- 66 for DENV-infected individuals to seek care at KPPH (23). We excluded cases under age one as
- 67 their symptomatic rate upon first DENV infection differs from the others due to maternally-
- 68 derived immune-enhancement (31). All models assumed that infection risks in the excluded
- 69 ages remained similar to the rest of the population despite differences in test positive
- ages remained similar to the rest of the population despite differences in test positive
- 70 tendencies or clinical presentations.
- 71 Applying a standard geometric mean titer (GMT) threshold of 10 to define seropositivity of
- serological samples, we found that the seroincidence-derived annual FOIs were consistently
- higher than the case-derived annual FOIs (3.40-fold on average, 95%CI: 2.46 to 4.33, Figure
- 74 2a) and seroprevalence-derived annual FOIs (95%CI: 1.70, 3.96-folds). Ratios between cross-
- 75 sectional seroprevalence-derived annual FOIs and case-derived annual FOIs did not appear to
- 76 vary systematically (95%CI: 0.72, 1.23-folds), with moderate correlation between the two
- 77 (correlation coefficient=0.46). The estimates derived from both serological sources exhibited

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- 78 wide uncertainty. Raising the GMT threshold to 20 to mitigate false positives from e.g.,
- 79 individuals seropositive from JEV vaccination, did not lower FOI estimates from seroincidence
- 80 (95%CI: 1.95, 3.55-folds). However, it led to systematically lower seroprevalence-derived FOI
- relative to case-derived FOI (0.43 to 0.81-fold, **Figure S2a**). The discrepancy patterns remained
- similar when compared to case-derived FOIs inferred from lab-confirmed cases (Figure S3).
- 83 These discrepancies and uncertainties in FOI values resulted in notable differences in the
- 84 reconstructed susceptibility fractions across the different approaches (see **Figure 2b**). For
- instance, in the most recent year of the study (2019), case-derived reconstructions suggested
- 86 56% of 9yrs old remained DENV-naive (95%CI: 49%, 64%) while seroprevalence-derived and
- 87 seroincidence-derived reconstructions suggested 40% (95%CI: 30%, 51%) and 24% (95%CI:
- 88 12%, 37%) of 9yrs old remained DENV-naive, respectively.

89 Simulations to study effects of violated model assumptions on inferred FOI

90 To identify sources of the FOI discordance, we performed an extensive suite of simulations in 91 which data generation and true infection rates were known. We analyzed simulated data using 92 our different approaches described above. Our simulations incorporated varying assumptions of 93 the effects of waning antibody titers, measurement error in assay readouts, and titers against 94 cross-reactive pathogens. Prior research has demonstrated that following primary DENV 95 infections, antibody titers rise rapidly but then wane exponentially to a steady titer approximately 96 5-times lower within a year (7). After a subsequent infection by a different DENV serotype, titers 97 increase to levels that are robust to detection. Measurement error in assay readouts can lead to titers falling below seropositivity thresholds, while individuals without prior exposure to DENV 98 99 may exhibit seropositivity due to titers against other flaviviruses (29, 32), Figure 3a. 100 Additionally, variations in infection risk across different age groups are possible (23). We 101 simulated infection timings of 500,000 individuals with defined FOI by year to eliminate

- 102 imprecisions in estimates resulting from insufficient statistical power to study effects of these
- 103 processes on the inferred FOI.
- 104 We found that in the absence of random measurement error and when the seropositivity
- 105 threshold is low enough to correctly discriminate DENV-exposed individuals from naives,
- 106 waning monotypic titers do not lead to biased FOI estimates from either serological data types
- 107 (Figure S4a-b and Figure S5a). However, when the simulation included random measurement
- 108 error, using a low threshold led to false positives which inflated seroincidence-derived FOI
- 109 (Figure S4c). The inflation was exacerbated by the presence of cross-reactive titers (Figure 3d,
- **Figure S4d**). While raising the threshold to define seropositivity helped mitigate inflation if titers
- of exposed individuals remained high (Figure S5d), the trade-off for false negatives when
- 112 monotypic titers did wane led to even more pronounced over-estimates of FOIs (Figure S5e-f).
- 113 The over-estimation arose from the greater chance of testing positive in the follow-up bleed in
- 114 DENV-exposed individuals that tested falsely negative at pre-interval compared to truly DENV-
- naive individuals. In fact, the over-estimation can be severe even at lower thresholds where
- 116 fewer false negative individuals were expected (Figure S4e-f, see also Supplementary
- 117 Mathematical Analysis).

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- 118 In the absence of waning titers, seroprevalence-derived FOI appeared robust to assay noise
- and cross-reactive titers at both seropositivity thresholds (**Figure S4c-d**, **Figure S5c-d**).
- 120 Expectedly, false negativity due to waning monotypic titers led to underestimation of FOI, which
- became more extreme with higher seropositivity thresholds (Figure S4e-f, Figure S5e-f).
- 122 We found that age-specific differences in risk of infection could also cause discrepancies in FOI
- 123 estimates. Even when the susceptibility status of individuals could be perfectly ascertained,
- 124 seroincidence-derived FOI estimates were systematically different from seroprevalence-derived
- 125 FOI. (Figure 4e, Figure S4g, Figure S5g). When age-specific risk was present in conjunction
- 126 with waning titers and assay noise, the difference was exacerbated (**Figure 3f**).

127 Correcting for violated assumptions recovers temporal FOI of simulation ground 128 truth at varying efficiencies

129 In highly powered datasets, when only assay noise was present, we found that inflation in

- 130 estimated seroincidence could be perfectly mitigated at both seropositivity thresholds (10 and
- 131 20, **Figure S6a**, **Figure S7a**) by correcting for (presumed known) test positive probabilities
- 132 given the susceptibility status of individuals and the distribution in infection risk by age. In the
- 133 presence of both assay noise and waning monotypic titers, this simple correction, which did not
- take into account exact infection timings of individuals and the variable amounts of titers waned
- across individuals, reduced but did not eliminate the inflation in estimated seroincidence-derived
- 136 FOI (**Figure S6b**, **Figure S7b**). Importantly, the new estimates at a threshold of 20 showed
- 137 greater discrepancies from the ground truth than at a threshold of 10 (1.35 to 1.63-fold
- difference as compared to the ground truth vs 0.81 to 0.98-fold difference). The correction efficiencies remained similar when additional corrections for cross-reactive titers in DENV-
- efficiencies remained similar when additional corrections for cross-reactive titers in DENV naives and non-uniform risk in age were needed (Figure S6c-d, Figure S7c-d). In contrast, the
- simple correction was able to efficiently correct for biases in seroprevalence-derived FOI in all
- 142 cases (Figure S6a-e, Figure S7a-e).
- 143 When we reduced the size of the simulated datasets to match the number and time points of
- samples available in our cohort studies, we found that correlation with the ground truth for both
- serological data types was lower despite the same adjustments to correct for assay noise,
- 146 waning monotypic titers and age-specific differences in risk (**Figure S6g** vs **Figure S6d**).
- 147 Uncertainties in the estimates increased substantially (Figure S6f-g).

148 Reconciled infection risk in KPP is non-uniform across ages

- 149 Taking into account sources of discrepancies in estimation of FOI learnt from the simulation
- 150 studies, namely, age-specific infection risk, antibody kinetics, and assay variability, we
- 151 developed a model that is jointly informed by both serological data types to estimate the shared
- 152 underlying infection risk in KPP and a separate case-based model with age-specific risk
- 153 extension.
- 154 Infection risk estimates from the 'joint serology model' and the extended case-based model
- 155 showed good agreement, **Figure 4a-b**. Both models suggested elevated infection risk in KPP
- between ages 6-17yrs compared to the reference class (age 0-5yrs). Correlation between the

- 157 temporal FOIs was moderate (cor. coef.=0.48) but without systematic differences in magnitudes
- 158 (ratio between serology-based to cased-based FOIs of 0.72 to 1.34). Reconstructions of
- susceptibility by age and year from the two models were highly congruent (cor. coef>=0.97
- 160 without signs of systematic differences, **Figure 4c**).

161 Test positive probabilities are key to the reconciliation

- 162 Using multiple serological data sources with shared underlying processes, we were able to
- 163 characterize factors governing probabilities of falsely testing positive at various thresholds when
- 164 DENV-naive, and testing positive when DENV-exposed, in tandem with the infection risks. The
- 165 factors are namely the post-infection antibody titers captured in the serological samples and
- 166 variability in the assay measurements, **Figure S10**. We estimated that the captured titer rises
- 167 between bleeding intervals of the cohort study participants in response to primary DENV
- 168 infections that occurred during the intervals were comparable across studies: an average rise of
- 169 7.89 log2 (95%CI: 4.83, 13.10). The titers then declined to a steady level of 2.76 log2 (95%CI:
- 170 2.53, 2.96). Standard deviation of assay readouts was estimated to be 0.51 (95%CI: 0.36, 0.64)
- which corresponds to 99.9% to 100% of monotypic titers above a threshold of 10 over the long-
- term (i.e., cross-sectional seroprevalence studies) or 92.7% to 93.7% at a threshold of 20.
- 173 Averaged across the studies, DENV-naive individuals have a 6.2% to 7.3% chance of testing
- positive for DENV at threshold of 10 and <0.2% at threshold of 20.
- 175 We re-inferred temporal FOIs from each of the serological data sources presuming various
- 176 other sets of test positive probabilities and found that congruence with case-derived FOIs were
- 177 reduced (**Figure 4d**, **Figure S11**). Importantly, FOIs from seroincidence varied greatly across
- 178 test positive probabilities leading to pronounced changes in congruence compared to
- 179 seroprevalence-derived FOIs.

180 Discussion

- 181 Leveraging a unique opportunity where over two decades of longitudinal serological data and
- 182 hospital case count data are available from the same community, we assessed the congruence
- in FOI estimated from different data types. We found large discrepancies between the FOI
- 184 estimates. Consequently, susceptibility in the population inferred from the estimates were
- 185 drastically different. Our investigations revealed causes of these discrepancies as a lack of
- accounting for antibody kinetics and assay noise (affecting serological data types), and age-
- 187 specific infection risk (affecting all data types).
- 188 Longitudinal serology is crucial to track infections of individuals and ascertain their evolving
- 189 exposure statuses (5, 7). However, we found identifying DENV infections based on
- 190 seroconversion from negative to positive at a specified threshold was highly sensitive to the
- 191 interplay between antibody kinetics and assay noise. Importantly, the heterogeneous
- 192 seroconversion tendencies across sera pairs could not be efficiently corrected for by applying
- 193 average test positive probabilities across sera pairs. Our findings support the use of individual-
- based titer reconstructions, mechanistically taking into account sources of bias, to detect
- 195 infections (7, 33, 34).

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196 Cross-sectional serology is the most common data source used to infer dengue burden (17, 19, 197 35–38). While this approach bypasses biases in case reporting, our findings highlight that the 198 processes that affect a test coming back positive or not should be considered (39). Applying a 199 single seroreversion rate to all exposed individuals may be sufficient to account for waning 200 antibodies in non-endemic settings. However, given the increased durability of antibodies in 201 multitypically exposed individuals (40), settings with multiple serotypes co-circulating will need 202 to account for heterogeneity in antibody kinetics across individuals with different infection 203 histories. The likely exposure to cross-reacting viruses (e.g., JEV or ZIKV) due to shared vector 204 ecology or vaccination will also require appropriate corrections. Our analyses assumed that 205 cross-reactive titers were evenly present in DENV-naives aged >=3yrs. This may be true for 206 Japanese Encephalitis vaccine-induced titers as children were vaccinated widely at young ages 207 (<=2yr (41)) but would only be true for co-circulating viruses such as ZIKV (29, 42) if FOI of 208 these viruses were extremely high such that all children were exposed before age 3. Further 209 explorations are needed to assess how heterogeneous presence of cross-reactive titers would

- 210 impact estimation of FOI of DENV, especially if these exposures alter antibody kinetics to
- 211 subsequent DENV infections (43).

Age-stratified case data provides an alternative means to estimate past dengue burdens (21–

213 23). As the method involves adjusting for multiple processes to uncover the true age distribution

- of infections (which is informative of the infection burden) from the observed age distribution,
- long periods of surveillance are necessary to reliably estimate FOI. With 26 years of data fine-
- scale age strata, our FOI estimates from case data tracked closely with estimates from our joint
- 217 model (our best approximation of the underlying dengue burden). In settings with less data, the
- ability to disentangle observation processes from infection risk would be more limited making
- simplifying assumptions necessary. Analytical studies and simulations are needed to assess the
- 220 impact of those assumptions on the inferred FOI.

Our inferences revealed evidence of age-specific infection risk, a characteristic often neglected in dengue epidemiology. Whether the variation reflects behavioral, immunological, and/or physiological differences (44–46), the heterogeneity challenges generalizations of risk measured in a sample to the general population. Uncovering processes leading to these differences is key to overcoming this challenge and will facilitate the development and management of interventions.

The importance of characterizing antibody kinetics, assay variability, and distribution of risk in the population demonstrated in our study applies broadly beyond dengue as serological and case data are pillars in quantifying infection burdens in most diseases. Our results highlight the need to compare inferences across multiple data types and analysis methods both to flag blindspots in each of the inferences to mitigate misconclusions and to uncover additional insights not attainable through a single data type/analysis.

233 Material and methods

234 Ethics statement

235 Use of data from the Kamphaeng Phet Hospital were reviewed and approved by Walter Reed Army Institute of Research Institutional Review Board (protocol number 1313 and 1957). The 236 237 study protocol for KPS1 was approved by the Office of the Army Surgeon General, University of 238 the Massachusetts Medical School, and the Ministry of Public Health, Thailand. The protocol for 239 KPS2 was additionally approved by the University of California–Davis and San Diego State 240 University (protocol number 654 and 1042). The KPS 3 and KFCS cohort study was approved 241 by the Thailand Ministry of Public Health Ethical Research Committee; Siriraj Ethics Committee 242 on Research Involving Human Subjects; Institutional Review Board for the Protection of Human 243 Subjects, State University of New York Upstate Medical University; and Walter Reed Army 244 Institute of Research Institutional Review Board (protocol number 1552 and 2119).

245 Empirical data for serological models

- Longitudinal samples from four longitudinal cohort studies in Kamphaeng Phet province were
- 247 included in this study: KPS1 (1998-2002), KPS2 (2004-2008), KPS3 (2010), and KFCS (2016-
- 248 2019) (27). KPS1, 2 and 3 were cohorts of school children while KFCS focused on multi-
- 249 generational households. To generate cross-sectional seroprevalence data from the longitudinal
- samples, one sample was randomly selected per individual. In the family cohort study, KFCS,
- 251 only one randomly selected individual was selected per family to avoid reported
- 252 interdependence between family members (27). Inferences involving seroprevalence data were
- 253 repeated using three independent random samples.
- 254 Antibody titers were measured using hemagglutination inhibition assay (HAI) against DENV1
- 255 (Hawaii strain), DENV2 (New Guinea C strain), DENV3 (H87 strain), and DENV4 (814669 strain
- in KPS1-3 and H241 strain in KFCS) as described elsewhere (46, 47). Measurements were
- done in 2-fold serial dilutions between 1:10 and 1:10240. Titers <10 and >10240 were imputed
- as 5 and 20480, respectively. For each sample, geometric mean titers (GMT) were computed
- from the four serotype-specific titers. The linear scale GMT, A_{linear} , were log transformed via
- equation $\log_2(A_{\text{linear}} / 10) + 1$ so that samples with linear scale titers of <10 to all four
- 261 serotypes were zero.

262 Empirical data for case-based models

- Age-annotated cases suspected for dengue that sought care at the Kamphaeng Phet Provincial
- Hospital (KPPH) between 1994 and 2020 were included in this study. Cases were considered
- 265 lab-confirmed when acute samples from the patients were tested positive for DENV via
- 266 polymerase chain reaction (PCR) or virus isolation, or enzyme-linked immunosorbent assays
- 267 (ELISAs) as per criteria described elsewhere (48–50). Inferences were restricted to Mueng
- residents to match the spatial coverage of the cohort studies. Population age censuses for
- 269 1994-2020 were acquired from the Department of Provincial Administration, Ministry of the
- 270 Interior through the Official Statistics Registration Systems (51).

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271 Simulated data

- 272 We simulated one million observations (two bleeds of three months apart per individual for
- 273 500,000 individuals) to eliminate imprecisions of estimates from insufficient power. Observations
- 274 were made between ages 5-15 years where the occurrence of first infections was expected to
- be concentrated. First, we simulated ages at which the individuals acquired their first, second,
- third, and fourth DENV infections under defined annual (and age-specific) force of infections.
- 277 We then used anti-DENV antibody kinetics reported in Salje, 2018 (6) to generate true
- 278 underlying titers of individuals at the observation time points. For scenarios where assays were
- 279 imperfect, the observed titers were drawn from normal distributions with means equal to the true
- underlying titers and standard deviation $\sigma = 0.49$. In scenarios where non-zero titers in DENV-
- 281 naives due to the presence of cross-reactive titers were considered, titers in DENV-naive
- individuals were drawn from a normal distribution with mean 0.266 (20% of the long-term titer in
- 283 monotypic sera) and standard deviation $\sigma_0 = \sigma = 0.49$.
- To simulate data with power equivalent to empirical serological data, we performed the same
- procedures but with the number of individuals, observation time points and ages matching thosein the cohort studies.

287 Standard models in FOI inferences

- 288 Inferring force of infection (FOI, λ) of dengue from non-serotype-specific datasets typically 289 assumes equivalent FOI across all serotypes in circulation, long-lived protection against the
- infecting serotype, and no cross protection against other serotypes, **Figure S1**. Hence,
- probability that an individual birth cohort *h* has escaped a particular serotype up to age *a* is $p_{esc} = \exp\left(-\sum_{t=h}^{h+a} \overline{\lambda}(t)\right)$ where $\overline{\lambda}(t)$ is the average per-serotype force of infection at time *t*. It
- follows that the probability that the individual has acquired *i* infections is
- 294 $\binom{4}{i} p_{esc}^{4-i} (1-p_{esc})^i$

Assuming that antibodies in infected individuals are robustly above a chosen positivity threshold, FOI can be linked to **cross-sectional serology** via probability of testing positive written as $1 - p_{esc}^4$. In **longitudinal serological studies**, the probability that a seronaive individual at time *t* tests positive at $t + \Delta t$ is similarly $1 - exp(-4\sum_{t=0}^{t+\Delta t} \overline{\lambda}(t))$.

299 Increase in the proportion of individuals in birth cohort h that have acquired at least i infections 300 between time t and $t + \Delta t$ indicates occurrence of the i-th infection during the time interval, 301 $I_{At}(i, h, t)$. Let proportion Q(i) of the i-th infections of individuals result in clinical presentations 302 that are severe enough to trigger care seeking and proportion $\phi(a, t)$ of those severe infections 303 go on to report to KPPH, a being the age of cohort h at time t. We express $\phi(a, t)$ as $\phi(a) \cdot \phi(t)$ 304 where $\phi(a)$ is the piecewise constant age-specific reporting rate and $\phi(t)$ is the piecewise 305 constant time-specific reporting rate. Considering Pop(h, t) the population size of birth cohort h 306 at time t, we would expect the number of dengue cases from this birth cohort in this time interval who reported to KPPH to be 307

308 $\sum_{i \in 1,2,3,4} I_{\Delta t}(i,h,t) \cdot Q(i) \cdot \phi(a) \cdot \phi(t) \cdot Pop(h,t)$

309 For case counts aggregated by age, the expected counts is the sum of expected cases of 310 those birth cohorts contributing to the respective age bins.

311 **Extended models for FOI inferences**

- 312 Standard models for all data types were extended to estimate age-specific infection risks
- relative to the youngest age group. Age groups were defined with consideration of data points 313
- 314 available to inform estimates for the groups and consistency between data types to ease
- 315 comparison, see Table S5.
- 316 The joint serology model estimates parameters characterizing antibody kinetics and assay
- 317 noise in tandem with the infection risks. Probabilities of testing positive given susceptibility
- 318 states of individuals were then derived from those parameters. Due to known significant waning
- 319 in monotypic titers, we allowed for differing probabilities of testing positive in pre-vs post-
- 320 interval bleeds for individuals who have been infected once to reflect their difference in expected
- 321 time since infection. To derive these probabilities, we estimate the level of long-lasting titers 322 Ω_{long} present in both bleeds and the additional short-lived titers Ω_{short} present only in post-
- 323
- interval bleeds (see Figure S10). Cross-reactive titers in DENV-naives, shared across studies,
- 324 were estimated relative to Ω_{long} . Following Salje et al (6), we formulated the relationship
- 325 between true underlying titers of individuals A, standard deviation of assay measurement
- around the true titer σ , and probability of testing positive $P(\bigoplus |A, \sigma)$ as $1 \Phi((A \nu)/\sigma)$ where 326
- 327 Φ is the cumulative density function of a standard normal distribution. Because bleeding
- 328 intervals differed across cohort studies, we allowed Ω_{short} to differ across studies. The test
- 329 positive probability for seroprevalence data was assumed to be the same as pre-interval bleeds. 330
- Likelihood was evaluated against seroprevalence and seroincidence data at two seropositivity
- 331 thresholds (10 and 20) to better inform this relationship.

332 Model fitting

- 333 In all models, we estimate annual FOI from ten years prior to the first observation to the year of 334 the last data point. FOI prior to the ten years were assumed to be constant. We used Bernoulli 335 likelihood to fit to serological data and negative binomial likelihood to fit to case data with priors 336 as defined in Table S2.
- 337 Parameters of all models were estimated from the data using Rstan v2.21.2 (52) with five
- 338 independent chains, each of length 2,000 (200 discarded as warm-up). Posteriors of all chains
- 339 combined were considered converged when R-hat < 1.1 and effective sample size > 300 for all
- 340 parameters. Where inferences were done for three repeated random samples (i.e. models
- 341 involving seroprevalence data), reported parameter estimates were from posterior draws pooled
- 342 across the repeats. Convergence was assessed prior to the pooling.

343 Quantifying congruence

- 344 We quantify congruence between any two sets of estimates via Pearson's correlation and an
- 345 average ratio between their posterior medians. The average ratio was obtained by fitting a linear

- 346 regression with zero intercept between the posterior medians and 95% confidence interval of
- 347 the ratio was calculated as the point estimate +/- 1.96 * standard error.

348 Data and code availability

- 349 Data and code used to generate all results are available at
- 350 <u>https://zenodo.org/doi/10.5281/zenodo.11635046</u>.

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355 Disclaimer

- 356 Material has been reviewed by the Walter Reed Army Institute of Research. There is no
- 357 objection to its presentation and/or publication. The opinions or assertions contained herein are
- 358 the private views of the author, and are not to be construed as official, or as reflecting true views
- 359 of the Department of the Army or the Department of Defense. The investigators have adhered to
- the policies for protection of human subjects as prescribed in AR 70–25.

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Main Text Figures

Figure 1. Study data. a) Map of Kamphaeng Phet province showing spatial coverage of cohort studies (colored) and location of Kamphaeng Phet Provincial Hospital (KPPH, blue point). b) Number of bleeds by year (top) and percentages of with GMT>=10 by age and year of collection (bottom). c) Number of dengue cases reported at KPPH per thousand population by year (top), and by year and age (bottom).

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Figure 3. Biases in serology-derived force of infection (FOI) using simulated data with known true parameters. a) Illustration of anti-DENV antibody kinetics as an individual acquires a cross-reactive (CXR) virus infection or vaccination (i.e., not DENV), one DENV infection, and >1 DENV infections. Measured titers distribute around the true underlying titers with variability depending on the assay characteristics. b) Schematic of biases in serology-derived FOI and their correction efficiencies at low and high seropositivity thresholds. c-f) Antibody kinetics, assay characteristics (rows), and distribution of infection risk in age among susceptible individuals (columns) used to generate observed titer measurements and FOI inferred from those respective simulations using standard models for seroincidence (red) and seroprevalence (yellow).

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