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10	ISWI chromatin remodeler SMARCA5 is essential for meiotic gene expression and male
11	fertility in mammals
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27 Abstract

28

29 Regulation of the transcriptome to promote meiosis is important for sperm development and 30 fertility. However, how chromatin remodeling directs the transcriptome during meiosis in male

31 germ cells is largely unknown. Here, we demonstrate that the ISWI family ATP-dependent

32 chromatin remodeling factor SMARCA5 (SNF2H) plays a critical role in regulating meiotic

33 prophase progression during spermatogenesis. Males with germ cell-specific depletion

34 of SMARCA5 are infertile and unable to form sperm. Loss of *Smarca5* results in failure of

35 meiotic progression with abnormal spermatocytes beginning at the pachytene stage and an

36 aberrant global increase in chromatin accessibility, especially at genes important for meiotic

37 prophase.

38 39

40 Introduction

41

42 Meiotic cell division is a unique process exclusive to germ cells and essential for production of

43 haploid gametes. Meiotic entry, progression and exit are actively regulated processes, and their

44 failure leads to subfertility or sterility. Regulation of meiotic progression requires a specialized

45 epigenome and is supported by extensive chromatin remodelling before, during and after meiosis

46 in both male and female germ cells. Epigenome remodeling during meiosis is important for

47 transcription of meiotic regulatory genes, suppression of retrotransposons that can threaten

genomic integrity, and homologous recombination required for proper chromosome segregation
 and generation of genetic diversity (Peters et al. 2001; Tachibana et al. 2007; Sasaki and Matsui

50 2008). For example, the genome-wide deposition pattern of the histone modification H3K9me2

51 changes dynamically during the transition from pre-meiotic spermatogonia to primary

52 spermatocytes in prophase I of meiosis, and knockout of the H3K9 methyltransferases *Suv39h1*

53 or Suv39h2 correspondingly leads to abnormal meiotic prophase in males. DNA methylation and

54 small RNA pathways are also actively regulated, well characterized epigenetic mechanisms

55 necessary for silencing of retrotransposons during meiosis, and perturbation of either DNA

56 methylation or the piRNA pathway leads to male sterility (Bourc'his and Bestor 2004; Aravin et

al. 2007). However, the complete set of mechanisms that regulate the complex chromatin

58 remodelling required for progression through meiosis is not yet defined.

59

60 ATP-dependent chromatin remodelers can activate expression of stage-specific genes while

61 supressing inappropriate transcription during development by altering interactions between

62 histones and DNA. There are four classes of ATP dependent remodelers: SWI/SNF

63 (switch/sucrose non-fermentable), ISWI (imitation switch), CHD (chromodomain helicase DNA-

64 binding) and INO80 (SWI2/SNF2 related (SWR)), all of which share a similar ATPase domain

65 (Cote et al. 1994). SWI/SNF, CHD, and INO80 family members have all been shown to play

66 important roles in spermatogenesis and early embryogenesis, but the role of the ISWI remodelers

67 in spermatogenesis is unknown (Bultman et al. 2006), Kim, Fedoriw et al. 2012, Li, Wu et al.

68 2014), (O'Shaughnessy-Kirwan et al. 2015; Suzuki et al. 2015), (Wang et al. 2014; Serber et al.
69 2016).

69 70

71 Mammals have two ISWI paralogs, *Smarca1* (*Snf2l*) and *Smarca5* (*Snf2h*), which have distinct

expression profiles and functions (Lazzaro and Picketts 2001). Deletion of *Smarca1* has no

73 discernible phenotype in adult mice (Yip et al. 2012), whereas Smarca5 is essential for survival 74 beginning in the earliest stages of embryogenesis. Oocyte-specific conditional deletion of 75 Smarca5 prevents ovulation due to failure to re-enter meiosis following arrest during fetal stages, 76 and female germline conditional knockout mice are sterile (Zhang et al. 2020). Recently, 77 Smarca5 has also been shown to be an important regulator of zygotic genome activation (ZGA) 78 in mice (Oana Nicoleta Kubinyecz 2023), and Smarca5 knockout embryos show peri-79 implantation lethality because of failure to proliferate in both the inner cell mass and 80 trophectoderm (Stopka and Skoultchi 2003). We hypothesized that SMARCA5 could play an 81 important role in male meiosis similar to its requirement for meiotic progression in females. 82 83 Here, we conditionally deleted Smarca5 specifically in mouse male germ cells at two stages of 84 spermatogenesis, and found that Smarca5 is essential for male meiotic progression. Loss of 85 Smarca5 from male germ cells leads to sterility, accumulation of aberrant pachytene-like cells, 86 reduced numbers of post-meiotic round spermatids, and complete absence of elongated 87 spermatids and epididymal sperm. Cells that have entered meiosis in *Smarca5* cKO testes have 88 increased rates of apoptosis and elevated expression of LINE1 retrotransposons. Single-cell 89 transcriptomics confirmed the accumulation of an abnormal pachytene-like cell population, 90 concommitant with extensive transcriptional misregulation. At the chromatin level, Smarca5 91 cKO germ cells exhibited extensive gains in chromatin accessibility, including at genes whose 92 proper regulation is essential for meiotic progression. This effect is consistent with previous 93 reports that ISWI remodellers act to compact chromatin but contrasts with the reported role of 94 SMARCA5 in promoting chromatin opening and gene activation during oocyte meiosis. 95 Therefore, even though Smarca5 is essential for promoting meiotic gene expression and meiotic 96 progression in both male and female germ cells, its effects differ between the sexes, reflecting 97 different regulatory requirements in male and female meiosis. Together, our results reveal that 98 SMARCA5 facilitates germ cell progression through male meiosis by coordinating proper 99 chromatin accessibility and transcriptional regulation required for successful gamete 100 development. 101

102

103 **Results and Discussion**

104

105 To address the role of SMARCA5 in spermatogenesis, we generated a germ cell-specific 106 knockout of Smarca5 (Smarca5 cKO) by crossing mice carrying a conditional allele of Smarca5 107 $(Smarca5^{fl/fl})$ (Alvarez-Saavedra et al. 2014) with mice carrying the Ddx4-Cre allele (Gallardo et 108 al. 2007; Hu et al. 2013). Expression of Ddx4-Cre begins around E10.5-11.5 in both male and 109 female germ cells and continues in the germ cell lineage throughout life, with excision of the 110 conditional allele complete by the time of birth. Smarca5 cKO mice are thus expected to express 111 only a truncated SMARCA5 protein without the ATPase domain at all stages of spermatogenesis 112 and all postnatal ages (Supplemental Fig S1A-B). Western blot and immunostaining in testis 113 confirmed a significant reduction of SMARCA5 protein in adult mouse testis, with residual 114 signal in the Western blot likely explained by the somatic cells present in whole-testis samples 115 (Fig 1A, 1B). Immunostaining showed strongest expression in spermatogonia and early-stage

116 spermatocytes at the periphery of the tubules, consistent with previous reports that SMARCA5

- 117 protein expression is highest in spermatocytes (Fig 1B) (Chong et al. 2007). We further
- 118 confirmed that the phenotype in Ddx4-Cre cKO females matched that of the previously reported

119 Zp3-Cre-driven Smarca5 conditional knockout females, which were infertile due to lack of

- ovulated oocytes (Supplemental Fig S1C) (Zhang et al. 2020). We conclude that our *Smarca5* cKO mice appropriately model loss of SMARCA5 in male germ cells.
- 122

122 123 We found that *Smarca5* cKO male mice are infertile, as no pups were born when they were

allowed to mate freely with wild type female mice for six months (**Fig 1C**). Testes of *Smarca5*

125 cKO mice were much smaller than controls (**Fig 1D**). By histology, there were very few round

- spermatids and almost no elongating spermatids and mature sperm in the seminiferous tubules,
- 127 and epididymides were devoid of sperm (Fig 1E, 1F). Interestingly, we also observed that

128 heterozygous *Smarca5* male mice were subfertile and had testis-to-body-weight ratios

129 intermediate between wild type and cKO, suggesting dosage sensitivity (Fig 1C, 1D). We

130 conclude that SMARCA5 is required for male fertility and normal spermatogenesis.

131

132 To further support the defects observed by histology, we quantified the proportions of cells at

- 133 different spermatogenic stages by flow cytometry, using the nucleic acid dye propidium iodide
- 134 (PI) to detect populations of cells with different chromosomal complements. In agreement with
- 135 the absence of elongated spermatids and mature sperm seen by histology, there was a significant
- 136 reduction in the fraction of elongating spermatids and a trend toward reduced round spermatids
- 137 in Smarca5 cKO testes, suggesting a defect during or before meiosis (Fig. 2A). Conversely, there

138 was a significant increase in the spermatogonia and spermatocyte populations, suggesting an

abnormal accumulation of cells due to failure to progress through meiotic prophase. *Smarca5*

140 cKO testes showed evidence of multiple defects that could contribute to failure of meiotic

141 progression, including elevated levels of the DNA damage marker γ H2A.X (**Fig 2B**,

142 Supplemental Fig S1D), higher numbers of apoptotic cells as assayed by TUNEL (Fig 2C), and

143 higher expression of LINE1 protein indicating aberrant activation of transposable elements (Fig.

144 2D). De-repression of transposable elements could be the direct cause of germ cell loss and
 145 infertility, or could be an indirect effect of changes in epigenetic state caused by the loss of

infertility, or could be an indirect effect of changes in epigenetic state causedSMARCA5 chromatin remodeling activity.

147

148 To better understand the timing and nature of meiotic defects induced by loss of SMARCA5, we

149 prepared meiotic spreads from control, heterozygous, and *Smarca5* cKO testes. We confirmed

150 that SMARCA5 expression is normally present in nuclei of control and heterozygous

spermatocytes, and that its expression was severely depleted or absent in *Smarca5* cKO cells

152 (Fig 3A). To evaluate when defects arise during meiosis in the absence of SMARCA5, we

153 examined spreads co-stained for the synaptonemal complex protein SYCP1 and the DNA

154 damage marker γ H2A.X. At the leptotene stage, γ H2A.X is ordinarily widespread due to the

155 presence of naturally induced double-strand DNA breaks, and SYCP1 is beginning to assemble

156 in linear patches at the chromosomal axes. We found that control, heterozygous and *Smarca5*

157 cKO testes all contain similar numbers of normal-appearing leptotene spermatocytes based on

158 SYCP1 and γH2A.X localization, indicating that *Smarca5* cKO cells can initiate meiotic

159 prophase (Fig 3B). However, spreads from *Smarca5* cKO testes had few normal-appearing

160 pachytene nuclei. Ordinarily, at the pachytene stage SYCP1 is fully assembled along the

161 chromosomal axes and γH2A.X is restricted to the X or Y chromosome (the sex body). About

162 half of *Smarca5* cKO pachytene-like cells have normal-appearing SYCP1 assembly along the

163 chromosomal axes, but ectopic retention of gH2A.X outside of the sex body, indicating failure to

164 fully resolve double-strand breaks during homologous recombination and repair (**Fig. 3C**, third

- 165 row). In the other half of cKO pachytene-like cells, γ H2A.X was appropriately restricted to the
- 166 sex body but SYCP1 failed to assemble correctly on the chromosomes (Fig 3C, fourth row).
- Together, these data indicate that spermatocytes can initiate meiosis in the absence of 167
- 168 SMARCA5, but fail to progress appropriately through the pachytene stage due to defective
- 169 homolog pairing and repair of chromosome breaks.
- 170
- 171 It is possible that meiotic defects observed using the early-acting Ddx4-Cre are secondary to
- 172 earlier developmental defects in spermatogonial differentiation. To address this possibility, we
- 173 generated a later deletion of *Smarca5* using the same *Smarca5*^{fl/fl} allele and a *Spo11-Cre*
- 174 (Smarca5;Spo11-Cre cKO, Supplemental Fig S2A) (Lyndaker et al. 2013). Spo11-Cre is 175
- expressed in spermatocytes beginning at the very early stages of meiotic prophase, allowing for 176 normal expression of SMARCA5 during spermatogonial differentiation. Smarca5;Spo11-Cre
- cKO mice were also sterile, with much smaller testes and a lower testis-to-body-weight ratio 177
- 178 compared to control (Supplemental Fig S2B, S2C). Like the Ddx4-Cre cKO, Smarca5;Spo11-
- 179 Cre cKO male testis also lacked elongated spermatids and mature sperm by histology, and the
- 180 epididymis was devoid of sperm (Supplemental Fig S2D, S2E). Smarca5; Spo11-Cre cKO
- 181 testes also displayed elevated levels of gH2A.X (Supplemental Fig S2F). The absence of
- 182
- elongated spermatids and mature sperm in Smarca5; Spo11-Cre cKO males solidifies our original
- 183 observation that SMARCA5 is essential for appropriate progression of meiotic prophase in the 184 mammalian male germ line. Notably, the *Smarca5;Spo11-Cre* cKO phenotype was not
- completely identical to the *Ddx4-Cre* phenotype: *Smarca5;Spo11-Cre* cKO testes contained 185
- 186 more round spermatids, and there was no phenotype evident in heterozygotes (Supplemental
- 187 Fig S2C, S2D). These differences may be due to the persistence of translated protein and 188
- Smarca5 RNA carried over from spermatogonia before Smarca5 deletion occurs in the Spo11-
- 189 Cre cKO, or may reflect a separate role for SMARCA5 in spermatogonial development.
- 190

191 SMARCA5 is an ATPase-dependent chromatin regulator, so its loss is expected to result in 192 altered chromatin configuration and transcriptional changes at target genes. To understand the 193 transcriptomic changes that occur due to loss of SMARCA5 in male germ cells, we performed 194 10x single cell RNA sequencing (scRNA-seq) in Smarca5 cKO testes and compared these data 195 to an existing control dataset collected under identical conditions (GSE216343). Following 196 filtering and harmonization, the final dataset included 7866 control and 7850 cKO cells 197 distributed into 18 cell clusters (Fig 4A and Supplemental Fig S3A-D). Cluster identities were 198 assigned based on established gene expression markers for spermatogenic populations (Green et 199 al. 2018; Hermann et al. 2018; Lukassen et al. 2018) (Supplemental Fig S3E). Comparison of 200 cell numbers across clusters between the two conditions was largely consistent with the cell 201 population differences observed by histology and flow cytometry: numbers of premeiotic 202 spermatogonia were equivalent, while postmeiotic elongating spermatids were strongly depleted 203 in the Smarca5 cKO (Fig 4B, 4C). As expected, there was an accumulation of cells assigned to 204 early and mid-pachytene spermatocyte clusters in Smarca5 cKO testes, supporting the 205 conclusion that cKO cells fail to progress or progress more slowly through this stage. 206 Interestingly, two overlapping clusters were defined at mid-pachytene, where one ("mid-207 pachytene A") was strongly enriched in the Smarca5 cKO condition and the other ("mid-208 pachytene B") was present only in control. We interpret this to mean that Smarca5 cKO 209 spermatocytes do not attain a normal pachytene-like expression state but instead stall in an

210 alternative, abnormal pachytene state. Meanwhile, a subset of cells continue to progress

211 transcriptionally but cannot develop into normal sperm.

212

213 Smarca5 mRNA was predominantly expressed in spermatogonial populations, beginning early in 214 spermatogenic development and continuing to the stage immediately preceding the major 215 transcriptional and morphological defects observed in Smarca5 cKO testes (Fig 4D). Low-216 moderate expression of *Smarca5* transcript continues through the end of meiosis, and was 217 significantly downregulated in most of the corresponding cell clusters in the cKO condition (Fig 218 4E). Examination of differential gene expression between control and cKO in each cluster 219 revealed that transcriptional changes begin at the undifferentiated spermatogonia stage (Fig 4F, 220 Supplemental Tables S1 and S2). Differentially expressed genes (DEGs) were biased toward 221 downregulation prior to meiosis and upregulation during meiotic prophase, while after meiosis 222 many more transcriptional changes occur in both directions, which may be due to indirect 223 regulation or secondary effects of meiotic failure. Both up- and down-regulated DEGs were 224 enriched for functional categories related to spermatogenesis and cell cycle regulation (Fig 4G). 225 Upregulated DEGs additionally were enriched for functions related to meiotic prophase, such as 226 homologous recombination, chromosome organization, and piRNA processes. In contrast, 227 downregulated genes were more enriched for functions related to spermatid differentiation, such 228 as flagellated sperm motility and spermatid development. Genes associated with meiosis I 229 (GO:0007127) were mildy but significantly downregulated prior to meiosis and then upregulated 230 in late meiotic and postmeiotic stages, suggesting defective activation and developmental timing

231 of expression of genes required for meiotic progression (Fig 4H).

232

233 We next assessed changes in chromatin accessibility induced by loss of SMARCA5. We isolated 234 control and Smarca5 cKO differentiating (cKIT+) spermatogonia by flow cytometry and 235 collected ATAC-seq data from two biological replicates of each genotype (Supplemental Fig 236 S4A, Supplemental Tables S3 and S4). cKIT+ spermatogonia represent a developmental time 237 point coinciding with the peak of SMARCA5 mRNA expression and immediately preceding the 238 onset of major phenotypic defects observed in the Smarca5 cKO. Therefore, we anticipated that 239 chromatin changes in these cells were most likely to be direct effects of SMARCA5 loss rather 240 than secondary to other cellular or tissue defects. We observed a global increase in accessibility 241 at transcription start sites (TSS) in Smarca5 cKO germ cells (Fig 5A, 5B). While there were 242 some peaks gained in the Smarca5 cKO condition, the majority of peaks found in the cKO were 243 also found in control (Fig 5C, Supplemental Tables S5 and S6), suggesting that loss of 244 SMARCA5 permits additional chromatin opening at sites that are already accessible in wild type 245 cells. Consistent with this model, clustering based on ATAC-seq signal revealed that among TSS 246 with strong (cluster 1) or moderate (cluster 2) gains in accessibility, most were already at least 247 partially accessible in control. On the other hand, inaccessible TSS largely remained inaccessible 248 in *Smarca5* cKO cells (Fig 5D). Interestingly, genes whose expression was upregulated in the 249 differentiating spermatogonia/meiotic entry cluster (Fig 4) displayed increased accessibility 250 centered on the TSS as well as at upstream nucleosomal regions (Fig 5E). Genes whose 251 expression was downregulated in differentiating spermatogonia also gained accessibility, but this 252 was limited to the TSS region (Fig 5E). Among the upregulated DEGs that gained TSS 253 accessibility was Svcp3, a component of the synaptonemal complex whose overexpression could 254 contribute to the synapsis defects observed in meiotic spreads (Fig 5F). Additional genes 255 important for meiosis and transcriptionally misregulated at either the differentiating

- spermatogonia or early pachytene stages also showed gains in accessibility (Fig 5F,
- 257 **Supplemental Fig S4B**). We conclude that loss of SMARCA5 substantially disrupts normal
- chromatin remodeling during the early stages of male meiotic prophase, leading to aberrant gene
- expression, failure to generate normal post-meiotic germ cells, and sterility.
- 260

Regulation of meiosis is important for formation of functional gametes, and meiotic defects are a

- leading cause of infertility in both sexes. We found that the chromatin remodeler SMARCA5 isessential for meiotic progression in mammalian male germ cells. SMARCA5 regulates meiosis
- 264 in spermatogenesis by maintaining a restricted chromatin architecture to ensure appropriate
- timing and levels of gene expression, and its loss leads to aberrantly high chromatin accessibility
- across the genome and widespread transcriptional defects. This effect contrasts with its role in
- 267 female germ cells, where SMARCA5 acts to open chromatin and promote meiotic gene
- 268 expression. This difference in function between the sexes may relate to the differences in male
- and female nuclear states during the relevant stages of meiotic prophase, since growing and
- 270 fully-grown germinal vesicle (GV) oocytes are highly differentiated, while spermatogonia may
- 271 have a more flexible, precursor-like chromatin architecture even as they approach meiotic entry.
- 272 Interestingly, we also observed elevated expression of the LINE1 retroelement in *Smarca5* cKO
- 273 spermatocytes, suggesting that aberrant chromatin opening in the absence of SMARCA5 may
- 274 permit unlicensed derepression of retrotransposons. SMARCA5 thus may be important for
- shaping the meiotic transcriptome in males by guiding transcriptional activity towards required
- 276 genes and restricting promiscuous expression from other loci.
- 277

279 Materials and Methods

280

281 Mice

282 All mice were maintained and euthanized under standard conditions according to the principles

and procedures described in the National Institutes of Health Guide for the Care and Use of

- 284 Laboratory Animals. These studies were approved by the Yale University Institutional Animal
- 285 Care and Use Committee under protocol 2023-20169. *Smarca5* cKO mice were generated by
- crossing *Smarca5* ^{fl/fl} mice (Alvarez-Saavedra et al. 2014) with *Ddx4-Cre* (Gallardo et al. 2007;
- Hu et al. 2013) or *Spo11-Cre* (Lyndaker et al. 2013). For fertility testing, *Smarca5* cKO or
- 288 littermate control (*Cre*-negative, *Smarca5*^{fl/+}) males were co-housed with wild type females for
- six months starting at three months of age and litter sizes were recorded at weaning.

291 Histology

- 292 Testes and epididymides were dissected at 3-4 months of age. For Smarca5;Spo11-Cre, a
- 293 heterozygous littermate control was used instead of the standard control. After isolation, tissues
- 294 were briefly washed with phosphate-buffered saline (PBS) and fixed in Hartman's fixative
- 295 (Millipore-Sigma; H0290-500ML) for 48 h at room temperature (RT). Samples were then
- 296 washed in 70% ethanol, dehydrated and embedded in paraffin wax. 4 µm thick sections were
- 297 prepared on glass slides, cleared in xylene and dehydrated in a graded series of ethanol. The
- sections were then stained with hematoxylin and eosin (H&E). H&E images were acquired with
- a bright-field microscope (Zeiss Axio Lab A1 Bright Field Microscope, 20×0.5 NA or 40×0.75
 NA objectives).
- 301

302 Immunofluorescence staining

303 Paraffin wax-embedded testis sections were deparaffinized in xylene, dehydrated in a graded

- 304 series of ethanol, boiled in 10 mM sodium citrate buffer (pH 6) in a microwave oven for 20 min
- to retrieve the antigen, washed in PBS, permeabilized in 0.5% Triton X-100 for 10 min, blocked
- in blocking buffer (5% BSA+0.1% Triton X-100) for 1 h at RT and then incubated overnight at
- 307 4°C with primary antibodies diluted in blocking buffer. Slides were washed with PBS and 308 stained with fluorophore-conjugated secondary antibodies, diluted at 1:500 in blocking buffer
- and incubated at RT for 1 h in the dark. Slides were counterstained with Hoechst 33342 (Thermo
- 310 Fisher Scientific) for 5 minutes at RT in the dark and finally mounted in Antifade mounting
- 311 medium (Vector Labs). Images were acquired with an LSM 980 airyscan confocal microscope
- 312 (Zeiss) or a Stellaris DIVE (Leica) microscope equipped with 405, 488 or 555/561 nm lasers,
- and fitted with a 63×1.4 NA objective. Images were processed with Zen acquisition software and
- 314 ImageJ. Catalog numbers and dilutions of primary and secondary antibodies used in this study
- 315 are listed in the table below.
- 316

317 Preparation of testicular cell suspensions for flow cytometry

- 318 Testicular cells from adult (3 month old) Smarca5 cKO male mice and littermate (Cre-negative,
- 319 *Smarca5*^{fl/+}) controls were prepared for flow cytometry analysis as described previously (Malla
- 320 et al. 2023). Testes were dissected, the tunica albuginea was removed, and the seminiferous
- 321 tubules were minced in Ca^{2+} and Mg^{2+} -free PBS (Gibco). Cells were dispersed by gentle
- 322 aspiration, filtered using a 40 μ m nylon filter, and washed in PBS by centrifuging at 800×g for 5
- 323 min. The cells were re-suspended in PBS, fixed in 70% chilled ethanol, and stored at 4°C for 24
- h or at -20° C for up to 1 week until further analysis. Immediately before analysis, 1×10^{6} -

- $325 \quad 2 \times 10^6$ ethanol-fixed testicular cells were washed three times with PBS and treated with 0.25%
- 326 pepsin solution for 10 min at 37°C. Finally, cells were stained with propidium iodide (PI)
- 327 staining solution (25 μg/ml PI, 40 mg/ml RNase A and 0.03% Nonidet P-40 in PBS) at RT for 20
- 328 min. The PI-stained cells were analyzed on Bio-Rad S3e cell sorter (Bio-Rad; excitation 488 nm;
- 329 emission 585/40 nm) as described previously (Krishnamurthy et al. 2000). Analysis was
- 330 performed using FlowJo software, with gates set based on SSC and PI-A and applied identically
- 331 between control and *Smarca5* cKO samples.
- 332

333 Preparation and staining of meiotic spermatocyte spreads

- 334 Meiotic chromosome spreads were prepared as described previously (Peters et al. 1997; Malla et
- al. 2023). Testes from young adult (3 month old) *Smarca5* cKO and littermate control male mice
- were dissected in cold PBS, and the tunica albuginea and extracellular material were removed.
 After two quick washes in cold PBS, the seminiferous tubules were incubated in hypotonic
- After two quick washes in cold PBS, the seminiferous tubules were incubated in hypotonic extraction buffer [30 mM Tris (pH 8.2), 50 mM sucrose, 17 mM trisodium citrate dihydrate, 5
- mM EDTA, 0.5 mM dithiothreitol and 0.5 mM phenylmethylsulfonyl fluoride] containing 1X
- 340 protease inhibitor cocktail (Roche, 11836153001) for 1 h at RT. The tubules were then removed
- from the hypotonic buffer, transferred to a glass slide, and minced to release the cells. $10 \,\mu$ l of
- 342 cell suspension was diluted with 40 µl 100 mM sucrose and spread onto a glass slide pre-dipped
- in 1% paraformaldehyde (PFA) containing 0.15% Triton X-100. Slides were dried for 6 h in a
- humidified chamber before proceeding with immunofluorescence staining. After two washes in
- PBS, the dried slides were treated with 2% PFA and 0.15% Triton X-100 for 10 min at RT and
- then blocked in blocking buffer (5% BSA+0.1% Triton X-100) for 1 h at RT. Slides were then
- incubated with primary antibody diluted in blocking buffer overnight at 4°C. After three washes
- in PBS, slides were stained with fluorophore-conjugated secondary antibodies. All secondary
- antibodies were used at 1:500 dilution in blocking buffer and incubated at RT for 1 h. Slides
 were counterstained with Hoechst 33342 (Thermo Fisher Scientific) for 5 minutes at RT in the
- dark and finally mounted in antifade mounting medium (Vector Labs). Images were captured
- 352 with LSM 980 airyscan confocal microscope (Zeiss) or Stellaris DIVE (Leica) microscope
- equipped with 405, 488 or 555/561 nm lasers, and fitted with a 63×1.4 NA objective. Images
- 354 were processed with Zen acquisition software and ImageJ.
- 355

356 TUNEL assay

- 357 TUNEL assay was performed on paraffin embedded sections using the Abcam TUNEL Assay
- 358 HRP-DAB kit (ab206386) as per the manufacturer's protocol.
- 359

360 Sorting of cKIT-positive spermatogonia and ATAC-seq

- 361 A polypropylene collection tube was coated with 3 ml Collection Buffer (20% FBS in 1X PBS)
- 362 for 2 hours at RT with end-over-end mixing. Seminiferous tubules were mechanically isolated
- 363 from the tunica and transferred to a 15ml conical tube containing 5ml Digestion Solution I [0.75
- 364 mg/ml collagenase type IV (Gibco, 17104-019) in Dulbecco's modified Eagle's media (DMEM,
- Gibco, 11965092) supplemented with 1:1000 with 1 mg/ml DNase I (StemCell Technologies,
- 366 07900)] warmed to 37 °C, followed by incubation at 37 °C for 10 mins with end-over-end
- rotation. 5ml of cold DMEM was added and tubules allowed to settle on ice. The supernatant
- 368 was discarded and the tubules were washed again with 5ml cold DMEM to deplete Leydig cells.
- 369 5ml of DMEM was added followed by centrifugation at 500xg for 5 mins at 4°C. The
- 370 supernatant was removed and 5ml of Digestion Solution II [Accutase (Gibco, A1110501)

371 supplemented with 1 mg/ml DNase I at 1:1000 at room temperature)] was added to the tubules 372 followed by incubation with end-over-end rotation for 10 mins at RT with gentle pipetting after 5 373 mins to disperse the tubules. 5ml of cold Complete Media (10% FBS in DMEM) was added and 374 the sample was centrifuged at 500 xg for 5 mins at 4 °C. The cell pellet was then resuspended in 375 5 ml of cold Complete Medium, filtered through a 100 µm strainer, and the cell suspension was

- transferred to a fresh 15 ml conical tube and centrifuged at 500 xg for 5 mins at 4 °C. The pellet was resuspended in 1 ml of cold Complete Medium with 1 μ l (0.2 μ g) of PE-conjugated anti-KIT
- antibody and incubated for 20 mins on ice protected from light with occasional mixing. An
- aliquot of unstained cells (~ 0.5 ml) was reserved for gating. 4 ml cold Complete Medium was
- added to the tube followed by centrifugation at 500 xg for 5 mins at 4°C. The cell pellet was
- 381 washed twice with 5 ml of cold Complete Medium and resuspended in 3 ml of cold FACS buffer
- 382 (5% FBS in 1X PBS). Cells were filtered through a 70 μm strainer and transferred to a 5 ml
- 383 polypropylene round-bottom tube on ice. For sorting, the coated collection tube was filled with 1
- 384 ml Collection Buffer. Samples were gated to remove debris and select singlets, and cKIT+ cells
- 385 were gated relative to the unstained aliquot in the FL2 channel.
- 386

387 Sequencing and data analysis for ATAC-seq

- 388 Approximately 50,000 sorted cKIT+ cells were processed for each ATAC-seq library using the
- 389 Active Motif kit (53150) as per the manufacturer's protocol. ATAC-seq libraries were sequenced
- 390 on an Illumina NovaSeq instrument at a read depth of 30 million paired-end reads per library.
- 391 Low quality reads were filtered and the adaptors were trimmed using Cutadapt (Martin 2011).
- ATAC-seq reads were aligned to the mm10 reference genome using bowtie2 (Langmead and
- 393 Salzberg 2012) in very sensitive mode. Reads aligning to mitochondrial DNA were discarded 394 and PCR duplicates were removed using Picard tools (https://broadinstitute.github.io/picard).
- Bigwig tracks were generated using barcoverage from the deeptools package and peaks were
- called using MACS2 (Zhang et al. 2008) with the –broadpeak option. After confirming a high
- 397 correlation between replicates, replicate peaks were combined using the merge function in
- 398 BEDTools (Quinlan and Hall 2010). Multibamsummary and computematrix from deeptools
- 399 (Ramirez et al. 2014) was used for further analysis. Peaks were assigned to the single nearest
- 400 gene within 1000 base pairs using the Genomic Regions Enrichment of Annotations Tool
- 401 (GREAT).
- 402

403 Single cell RNA sequencing

- 404 15,000 dissociated *Smarca5* cKO testis cells ("cKO") were processed using a Chromium Next
- 405 GEM Single Cell 3' v3.1 kit (10X Genomics) and sequenced using an Illumina NovaSeq
- 406 machine with 150 base pair paired-end reads at a depth of 250 million reads. A previously
- 407 published wild type dataset ("control") generated by our lab under identical conditions
- 408 (GSE216343: GSM6670717, GSM6670718, and GSM8289596) was used for comparison.
- 409 Sequences were transformed into raw count matrices based on an mm10 reference using
- 410 CellRanger (10X Genomics) and loaded into an R environment (R 2023) with Seurat 4.1.0 (Hao
- 411 et al. 2021). The SoupX pipeline (Young and Behjati 2020) was used to remove ambient RNA
- 412 contaminants from both datasets. These datasets were transformed into Seurat objects, merged,
- 413 and filtered to remove doublets or multiplets (nCount_RNA < 25,000), dead or dying cells 414 (nFacture RNA < 7,000) or cells with thick with the set of (2,100) A (2,100)
- 414 (nFeature_RNA < 7,000), or cells with high mitochondrial content (> 10%). After normalizing
 415 and scaling, ElbowPlot() was used to determine optimal dimensionality (19 dimensions). PCA
- and scaling, ElbowPlot() was used to determine optimal dimensionality (19 dimensions). PCA
 was used as a method for linear dimensional reduction. Clusters were identified at a resolution of

- 417 0.5, RunUMAP() was used to generate UMAP objects, and clusters were further defined using
- 418 key spermatogenic genes (Malla, Rainsford 2023; Walters, Rainsford 2024). Three clusters were
- 419 removed from further analysis due to dead or dying cells, and ambiguous match to known
- 420 testicular cell populations. The resulting dataset was normalized, scaled, and dimensionally
- 421 reduced. A new UMAP was created at a resolution of 0.5, and integrated using Harmony
- 422 (Korsunsky et al. 2019. The final UMAP was generated using the integrated dataset with 17
- 423 dimensions at a 0.5 resolution. Clusters were defined again using key spermatogenic or testicular
- 424 somatic cell markers, and differentially expressed genes were identified by the FindMarkers()
- 425 function. Graphs were created in R using the ggplot2 package {Wickham, 2016 #35). Gene
- 426 ontology analysis was performed in R using the GOStats package (Falcon and Gentleman 2007).
- 427

428 Data availability

- 429 Single cell RNA-seq and ATAC-seq data generated for this study is available at the NCBI GEO
- 430 repository under accession number GSE279216. Control scRNA-seq data has been previously
- 431 published under accession number GSE216343 (GSM6670717, GSM6670718, and
- 432 GSM8289596).
- 433

434 Antibodies used in this study

Antibouits used in this study								
Antibody	Company/Cat. #	Application	Dilution					
SYCP3	Abcam, ab97672	IF	1:300					
MVH	Cell Signaling	IF	1:400					
	Technology, 8761S							
SMARCA5	Abcam, ab3749	IF	1:300					
SMARCA5	Thermo Scientific	WB	1:1000					
	PA5-52601							
Gamma H2AX	Abcam, ab2893	IF	1:400					
Gamma H2AX	Millipore, 05-636	IF	1:400					
SYCP1	Abcam, ab15090	IF	1:400					
GAPDH	Santa Cruz, sc32233	WB	1:200					
LINE1	Abcam, ab216324	IF	1:400					
Rabbit IgG	Invitrogen, A11034	IF	1:500					
(Alexa Fluor 488)								
Mouse IgG	Invitrogen, A11031	IF	1:500					
(Alexa Fluor 568)								

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437

438 Competing interest statement

- 439 The authors declare no competing interests.
- 440

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- 445 Facility, and the Yale Center for Cellular and Molecular Imaging for resources and assistance.
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- supported by the National Institute of Child Health and Human Development (R01HD098128
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- 449

450 Author contributions

- 451 Conceptualization: SK, BJL; Investigation: SK, ABM, SRR; Validation: SK, ABM, SRR;
- 452 Formal analysis: SK, ABM, SRR, BJL; Visualization: SK, BJL; Writing original draft: SK;
- 453 Writing review & editing: BJL; Resources: BJL; Supervision: BJL; Funding acquisition: BJL
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563 Figure Legends

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565 Figure 1. *Smarca5* is essential for male fertility in mouse. A, Western blot for SMARCA5

566 from wild type and *Smarca5* conditional knockout (cKO) adult whole testes. GAPDH is a

567 loading control. **B**, Immunostaining of SMARCA5 in paraffin sections from control and

- 568 Smarca5 cKO adult testes. DNA is stained with DAPI. Scale bar, $20\mu m$. C, Fertility test for wild 569 type, Smarca5 heterozygote, and Smarca5 cKO (n=3-5). Each dot represents mean litter size for
- 509 type, *smarcus* neurozygote, and *smarcus* exec (n=5-5). Each dot represents mean neurosize for 570 one male. Error bars represent standard deviation. No pups were born from *Smarcus* cKO males.
- p = 0.05, p = 0.01, p = 0.01, unpaired Welch's t-test.**D**, Left, gross image of whole testis
- 572 isolated from control, heterozygous, and *Smarca5* cKO adult male mice. Each tick on the ruler
- 573 represents 1mm. Right, testicular weight relative to body weight plotted for n=3-4 control,
- 574 heterozygous, and *Smarca5* cKO testes. *p<0.05 **p<0.01 ***p<0.001, unpaired t-test. E-F,
- Hematoxylin and eosin (H&E) staining of paraffin-embedded sections from control and *Smarca5*cKO adult testis (E) and epididymis (F). Scale bars, 100µm.
- 577

578 Figure 2. Deletion of *Smarca5* results in defective meiotic progression and LINE1

579 **derepression.** A, Flow cytometry for testicular cells from wild type or *Smarca5* cKO males

580 stained with propidium iodide to measure DNA content. Sg, spermatogonia; Sc, spermatocytes;

581 RS, round spermatids; ES, elongating spermatids. **B**, Left, immunostaining of γH2AX in paraffin

582 sections from wild type and *Smarca5* cKO adult testes. DNA is stained with DAPI. Scale bar,

583 20 μ m. Right, Fraction of tubules with nucleus-wide γ H2AX staining across n=3 animals. C,

584 Left, TUNEL assay for wild type, *Smarca5* heterozygous and *Smarca5* cKO adult testis paraffin

585 sections. Right, fraction of TUNEL+ tubules across n=3 animals. Scale bar, 200 μ m. **D**,

586 Immunostaining for LINE1 ORF1 protein in adult testis from control, heterozygote, and

587 Smarca5 cKO. DNA is stained with DAPI. Scale bar, 20μm. For all panels, *p<0.05, **p<0.01,
 588 **p<0.01, unpaired t-test.

589

590 Figure 3. Spermatocytes lacking *Smarca5* exhibit defective chromosome synapsis in meiotic

591 **prophase I. A,** Immunofluorescence staining of SMARCA5 and the synaptonemal complex

- 592 marker SYCP3 in meiotic spreads from wild type, *Smarca5* heterozygous and *Smarca5* cKO
- adult testes. DNA is stained with DAPI. Scale bar, 10µm. B, Immunofluorescence staining of
- 594 synaptonemal complex marker SYCP1 and DNA damage marker γH2AX in leptotene
- 595 spermatocytes from wild type, *Smarca5* heterozygous and *Smarca5* cKO adult testes. DNA is
- stained with DAPI. Scale bar, 20 μ m. C, Immunofluorescence staining of SYCP1 and γ H2AX in
- 597 pachytene and pachytene-like spermatocytes from wild type, *Smarca5* heterozygous and
- 598 Smarca5 cKO adult testes. DNA is stained with DAPI. Scale bar, 20μ m. For all panels, each
- 599 image shows a single nucleus.
- 600

601 Figure 4. Transcriptomic dysregulation and accumulation of abnormal prophase I cells in

602 the absence of SMARCA5. A, UMAP plot in control adult testes showing normal progression

603 through meiosis and spermatogenesis. **B**, UMAP plot showing the same clusters in *Smarca5*

604 cKO adult testes. C, Fraction of total cells in each cluster. The mid-pachytene – B cluster has

205 zero cells in the cKO condition. Spg, spermatogonia. **D**, Expression of the *Smarca5* transcript

606 projected on the wild type UMAP plot. E, Change in *Smarca5* expression in each cluster.

- $^{\circ}$ *p<0.05 with correction for multiple hypothesis testing. **F**, Numbers of significant (p<0.05 after
- 608 multiple testing correction) differentially expressed genes (DEGs) between wild type and

- 609 Smarca5 cKO in each cluster. G, Selected enriched Gene Ontology categories among
- 610 upregulated and downregulated differentially expressed genes. **H**, Log2 fold change for
- 611 differentially expressed genes in each cluster that fall into the Meiosis I GO category (GO:
- 612 0007127). *p<0.05, **p<0.01, ***p<0.001, two-sided one-sample t-test compared to an
- 613 expected value of 0.
- 614
- 615 Figure 5. Loss of *Smarca5* leads to increase in chromatin accessibility. A, Metagene plot
- 616 showing ATAC-seq signal at all transcription start sites (TSSs) in control and *Smarca5* cKO
- 617 differentiating spermatogonia. **B**, Genome browser snapshots showing ATAC-seq signal in
- 618 control and *Smarca5* cKO differentiating spermatogonia. *Lhx5* is an example of a gene with no
- 619 change in accessibility; *Prkab1* and the HoxA cluster show gains in accessibility. **C**, Overlap
- between ATAC-seq peaks called in control and *Smarca5* cKO germ cells. **D**, Clustering of
- ATAC-seq signal at TSS showing strong gain in accessibility (cluster 1), moderate gain in
- accessibility (cluster 2) and no change (cluster 3). E, Metagene plots showing ATAC-seq signal
- 623 at genes whose expression is up- or down-regulated in the differentiating spermatogonia (see Fig
- 4). F, Genome browser snapshots at *Sycp3* and *Tex101*, genes whose expression is upregulated in
- 625 Smarca5 cKO differentiating spermatogonia.
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629 Supplemental Material

630 Supplemental Figure S1. Validation of *Smarca5* cKO mice. A, Design of the *Smarca5*

- 631 conditional allele and allele resulting from Cre excision (Alvarez-Saavedra et al. 2014). **B**,
- 632 Genetic cross to generate *Smarca5* cKO males. C, Oocytes generated from *Smarca5* cKO
- 633 females following super-ovulation. Left, example clutches from a single female. Right,
- 634 quantitation from n=3 animals. p<0.05, p<0.01, p<0.001, unpaired t-test. **D**,
- 635 Representative low-magnification images of γH2A.X staining in control and cKO testes. Scale
- 636 bar, 50µm.
- 637

638 Supplemental Figure S2. Defective spermatogenesis following conditional knockout of

- 639 Smarca5 in early meiotic prophase using Spo11-Cre. A, Western blot for SMARCA5 in wild
- 640 type and *Smarca5* conditional knockout (cKO) adult whole testes where cKO is driven by either
- 641 Ddx4-Cre (middle lanes) or Spo11-Cre (right lanes). GAPDH is a loading control. Arrow
- 642 indicates expected size for SMARCA5. **B**, Fertility of control and *Smarca5* cKO males (n=3-4).
- Each dot represents mean litter size for one male. Error bars represent standard deviation. No
- 644 pups were born from *Smarca5;Spo11-Cre* cKO males. *p<0.05, **p<0.01, ***p<0.01, unpaired
- 645 Welch's t-test. C, Left, gross image of whole testis from control, Smarca5;Spo11-Cre
- 646 heterozygous and Smarca5; Spoll-Cre cKO adult male mice. Each tick on the ruler represents
- 647 1mm. Right, testicular weight relative to body weight plotted for n=2-3 control, heterozygous
- and cKO testes. The same mice were plotted in the control condition for both *Ddx4-Cre* and
- 649 Spoll-Cre comparisons (see Fig 1D). *p<0.05 **p<0.01 ***p<0.001, unpaired t-test. D-E,
- 650 Hematoxylin and eosin (H&E) staining of paraffin-embedded sections from *Smarca5;Spo11-Cre*
- heterozygous and cKO adult testis (**D**) and epididymis (**E**). Scale bar, 50 μm. **F**, Representative
- 652 images (left) and quantitation (right) of γ H2A.X staining in *Spo11-Cre* heterozygous and cKO
- 653 testes. Scale bar, 20μ m. *p<0.05, unpaired t-test.
- 654
- 655 Supplemental Figure S3. Quality control and validation for scRNA-seq data. A, Feature
- 656 counts, transcript counts, and mitochondrial reads for original datasets before filtering and
- 657 Harmony. **B**, First two principal components for WT and cKO after Harmony. **C**, Elbow plot
- after Harmony. **D**, UMAP plot after Harmony showing WT and cKO distributions. **E**,
- 659 Representative expression markers selected from the sets used to assign identities to each cluster 660 projected onto control UMAP plots.
- 660 661
- 662 Supplemental Figure S4. ATAC-seq in cKIT+ differentiating spermatogonia. A, Scatter
- plots showing correlation in ATAC-seq signal between biological replicates. **B**, Genome browser snapshot showing ATAC-seq signal at *Sycp1*, a DEG downregulated in early pachytene cells that gains accessibility in differentiating spermatogonia.
- 666667 Supplemental Table S1. Upregulated differentially expressed genes.
- 668
- 669 Supplemental Table S2. Downregulated differentially expressed genes.
- 670
- 671 Supplemental Table S3. Control ATAC-seq peaks, merged replicates.672
- 673 Supplemental Table S4. *Smarca5* cKO ATAC-seq peaks, merged replicates.
- 674

- 675 Supplemental Table S5. Control-only ATAC-seq peaks.
- 676
- 677 Supplemental Table S6. *Smarca5* cKO-only ATAC-seq peaks.

678

Figure 1



Figure 1. *Smarca5* is essential for male fertility in mouse. A, Western blot for SMARCA5 from wild type and *Smarca5* conditional knockout (cKO) adult whole testes. GAPDH is a loading control. **B**, Immunostaining of SMARCA5 in paraffin sections from control and *Smarca5* cKO adult testes. DNA is stained with DAPI. Scale bar, 20µm. **C**, Fertility test for wild type, *Smarca5* heterozygote, and *Smarca5* cKO (n=3-5). Each dot represents mean litter size for one male. Error bars represent standard deviation. No pups were born from Smarca5 cKO males. *p<0.05, **p<0.01, ***p<0.01, unpaired Welch's t-test. **D**, Left, gross image of whole testis isolated from control, heterozygous, and *Smarca5* cKO adult male mice. Each tick on the ruler represents 1mm. Right, testicular weight relative to body weight plotted for n=3-4 control, heterozygous, and *Smarca5* cKO testes. *p<0.05 **p<0.01, unpaired t-test. **E**-**F**, Hematoxylin and eosin (H&E) staining of paraffin-embedded sections from control and *Smarca5* cKO adult testis (**E**) and epididymis (**F**). Scale bars, 100µm.



Figure 2. Deletion of *Smarca5* **results in defective meiotic progression and LINE1 derepression. A,** Flow cytometry for testicular cells from wild type or *Smarca5* cKO males stained with propidium iodide to measure DNA content. Sg, spermatogonia; Sc, spermatocytes; RS, round spermatids; ES, elongating spermatids. B, Left, immunostaining of γH2AX in paraffin sections from wild type and *Smarca5* cKO adult testes. DNA is stained with DAPI. Scale bar, 20µm. Right, Fraction of tubules with nucleus-wide γH2AX staining across n=3 animals. C, Left, TUNEL assay for wild type, *Smarca5* heterozygous and *Smarca5* cKO adult testis paraffin sections. Right, fraction of TUNEL+ tubules across n=3 animals. Scale bar, 200µm. D, Immunostaining for LINE1 ORF1 protein in adult testis from control, heterozygote, and *Smarca5* cKO. DNA is stained with DAPI. Scale bar, 20µm. For all panels, *p<0.05, **p<0.01, ***p<0.01, unpaired t-test.

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control		
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cKO

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Figure 3. Spermatocytes lacking *Smarca5* **exhibit defective chromosome synapsis in meiotic prophase I. A**, Immunofluorescence staining of SMARCA5 and the synaptonemal complex marker SYCP3 in meiotic spreads from wild type, *Smarca5* heterozygous and *Smarca5* cKO adult testes. DNA is stained with DAPI. Scale bar, 10μ m. B, Immunofluorescence staining of synaptonemal complex marker SYCP1 and DNA damage marker γ H2AX in leptotene spermatocytes from wild type, *Smarca5* heterozygous and *Smarca5* cKO adult testes. DNA is stained with DAPI. Scale bar, 20μ m. C, Immunofluorescence staining of SYCP1 and γ H2AX in pachytene and pachytene-like spermatocytes from wild type, *Smarca5* heterozygous and *Smarca5* cKO adult testes. DNA is stained with DAPI. Scale bar, 20μ m. For all panels, each image shows a single nucleus.

Figure 3



Figure 4. Transcriptomic dysregulation and accumulation of abnormal prophase I cells in the absence of SMARCA5. A, UMAP plot in control adult testes showing normal progression through meiosis and spermatogenesis. **B**, UMAP plot showing the same clusters in *Smarca5* cKO adult testes. **C**, Fraction of total cells in each cluster. The mid-pachytene – B cluster has zero cells in the cKO condition. Spg, spermatogonia. **D**, Expression of the *Smarca5* transcript projected on the wild type UMAP plot. **E**, Change in *Smarca5* expression in each cluster. *p<0.05 with correction for multiple hypothesis testing. **F**, Numbers of significant (p<0.05 after multiple testing correction) differentially expressed genes (DEGs) between wild type and *Smarca5* cKO in each cluster. **G**, Selected enriched Gene Ontology categories among upregulated and downregulated differentially expressed genes. **H**, Log2 fold change for differentially expressed genes in each cluster that fall into the Meiosis I GO category (GO: 0007127). *p<0.05, **p<0.01, ***p<0.001, two-sided one-sample t-test compared to an expected value of 0.



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Figure 5