

1 **Biomaterial-based 3D human lung models replicate pathological characteristics**  
2 **of early pulmonary fibrosis**

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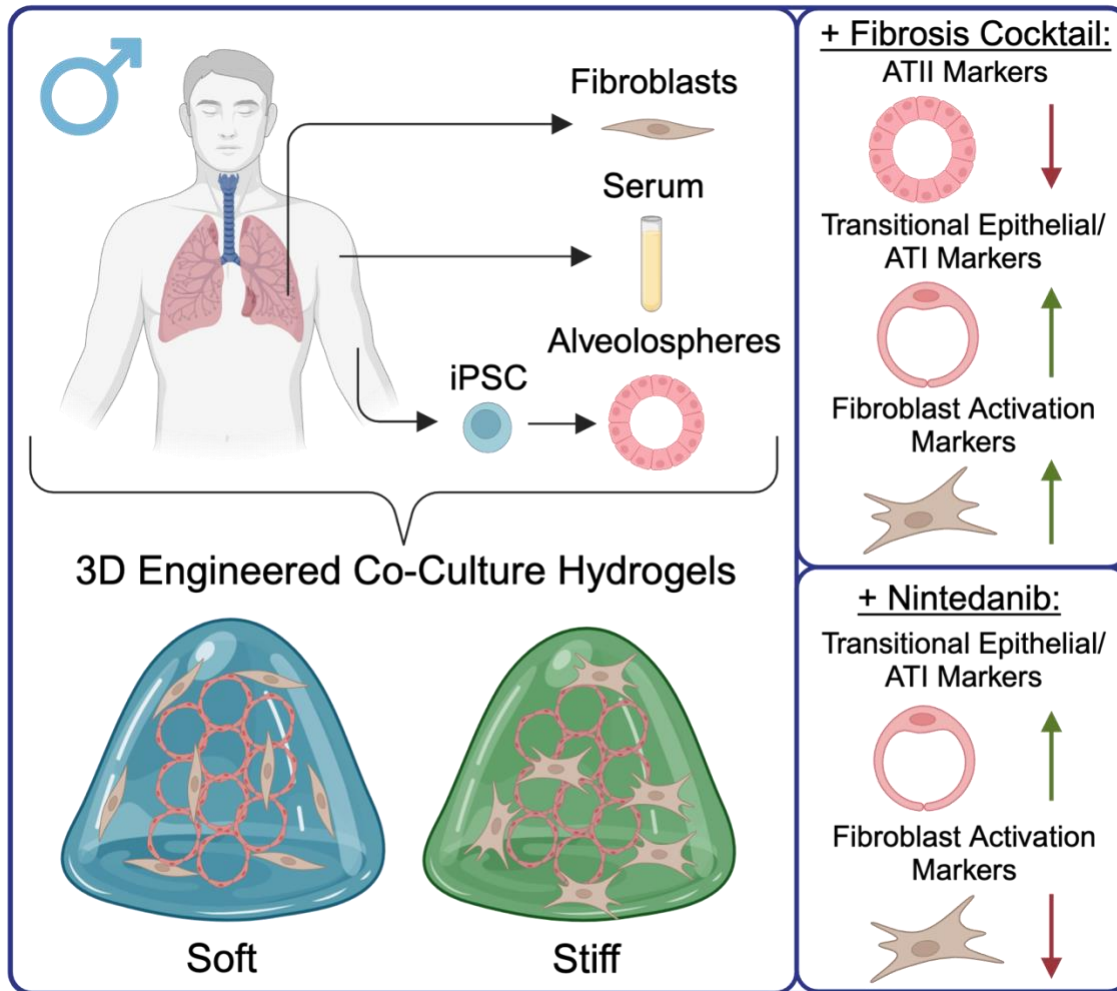
24 **Keywords**

25 Idiopathic pulmonary fibrosis, biomaterials, 3D cell culture, in vitro models, induced

26 pluripotent stem cell-derived alveolar epithelial cells, fibroblast activation

27

28 **Graphical abstract**



29

30 **Abstract**

31 Idiopathic pulmonary fibrosis (IPF) is a progressive and incurable lung disease  
32 characterized by tissue scarring that disrupts gas exchange. Epithelial cell dysfunction,  
33 fibroblast activation, and excessive extracellular matrix deposition drive this pathology  
34 that ultimately leads to respiratory failure. Mechanistic studies have shown that

35 repeated injury to alveolar epithelial cells initiates an aberrant wound-healing response  
36 in surrounding fibroblasts through secretion of mediators like transforming growth factor-  
37  $\beta$ , yet the precise biological pathways contributing to disease progression are not fully  
38 understood. To better study these interactions there is a critical need for lung models  
39 that replicate the cellular heterogeneity, geometry, and biomechanics of the distal lung  
40 microenvironment. In this study, induced pluripotent stem cell-derived alveolar epithelial  
41 type II (iATII) cells and human pulmonary fibroblasts were arranged to replicate human  
42 lung micro-architecture and embedded in soft or stiff poly(ethylene glycol) norbornene  
43 (PEG-NB) hydrogels that recapitulated the mechanical properties of healthy and fibrotic  
44 lung tissue, respectively. The co-cultured cells were then exposed to pro-fibrotic  
45 biochemical cues, including inflammatory cytokines and growth factors. iATIIs and  
46 fibroblasts exhibited differentiation pathways and gene expression patterns consistent  
47 with trends observed during IPF progression *in vivo*. A design of experiments statistical  
48 analysis identified stiff hydrogels combined with pro-fibrotic biochemical cue exposure  
49 as the most effective condition for modeling fibrosis *in vitro*. Finally, treatment with  
50 Nintedanib, one of only two Food and Drug Administration (FDA)-approved drugs for  
51 IPF, was assessed. Treatment reduced fibroblast activation, as indicated by  
52 downregulation of key activation genes, and upregulated several epithelial genes.  
53 These findings demonstrate that human 3D co-culture models hold tremendous  
54 potential for advancing our understanding of IPF and identifying novel therapeutic  
55 targets.

56

57

## 58 **Statement of significance**

59           This study leverages advanced biomaterials and biofabrication techniques to  
60 engineer physiologically relevant, patient-specific, and sex-matched models of  
61 pulmonary fibrosis, addressing the critical need for pre-clinical therapeutic drug  
62 screening platforms. These human 3D lung models successfully replicated key features  
63 of fibrotic lung tissue. Tuning microenvironmental stiffness of 3D PEG-NB hydrogels to  
64 match fibrotic lung values and exposing human iATII cells and fibroblasts to pro-  
65 inflammatory biochemical cues recreated hallmark characteristics of *in vivo* fibrosis  
66 pathogenesis, including epithelial differentiation and loss, as well as fibroblast  
67 activation. The utility of these models was further validated by demonstrating  
68 responsiveness to Nintedanib, a clinically available treatment for IPF. These findings  
69 highlight the transformative potential of well-defined biomaterial-based 3D models for  
70 elucidating complex disease mechanisms and accelerating therapeutic drug discovery  
71 for chronic pulmonary diseases like idiopathic pulmonary fibrosis.

72

## 73 **Introduction**

74           Idiopathic pulmonary fibrosis (IPF) is an incurable respiratory disease that results  
75 in lung tissue scarring and progressive respiratory failure [1]. Hallmarks of the disease  
76 include epithelial cell dysfunction, fibroblast activation, excessive extracellular matrix  
77 (ECM) deposition, and thus disrupted gas exchange [2-5]. The ECM provides structural  
78 support to the lungs and undergoes continuous remodeling, creating a dynamic milieu  
79 rich in biophysical and biochemical cues. Comprised of over 150 different types of  
80 proteins, enzymes, growth factors, and proteoglycans [5], the ECM plays a crucial role in

81 lung homeostasis and disease pathogenesis. In healthy lung tissue, stiffness typically  
82 ranges between 1-5 kPa, whereas fibrotic lung tissue stiffness often exceeds 10 kPa [6,  
83 7]. Strong evidence indicates that cell-matrix interactions are key drivers of fibrosis  
84 progression, yet the mechanisms underlying these responses are not fully elucidated [5-  
85 11]. Due to the complexity of the lung extracellular microenvironment, fully replicating *in*  
86 *vivo* conditions remains a significant challenge. Consequently, many researchers use  
87 reductionist models to investigate specific cellular and molecular interactions within a  
88 more controlled setting [7, 10, 12-14]. While IPF remains idiopathic, there is growing  
89 evidence that genetic and environmental risk factors [15, 16], including older age [17, 18],  
90 history of smoking or exposure to airborne hazards [19, 20], and male sex [17, 21],  
91 predispose individuals to the disease. Therefore, a need remains to engineer dynamic 3D  
92 distal lung models that support the growth of alveolar epithelial and fibroblast cells  
93 together to directly investigate the interactions between these two cell types and the  
94 surrounding microenvironment.

95         The alveolar region of the lungs, the primary site for gas exchange, is particularly  
96 vulnerable to damage in chronic respiratory diseases. Both lung epithelial cells and  
97 fibroblasts play an important part in IPF pathophysiology. Specifically, alveolar epithelial  
98 type II (ATII) cells, a subpopulation of alveolar epithelial cells known to produce surfactant  
99 protein C (SFTPC), function as progenitor cells within the distal lung by proliferating,  
100 differentiating, and replacing lost alveolar type I epithelial (ATI) cells [22-24], which are  
101 specialized for gas exchange and pivotal to functional epithelial repair in lung tissue.  
102 Repeated alveolar injury triggers aberrant wound-healing responses in both epithelial  
103 progenitor cells and surrounding fibroblasts. In IPF, increased impairment of epithelial

104 regeneration results in accumulation of cells stuck in the transition from ATII to ATI  
105 characterized by markers of cell-cycle arrest, downregulation of ATII markers,  
106 upregulation of ATI markers, and high expression of unique genes including keratins,  
107 claudin-4, stratifin, and genes in the transforming growth factor- $\beta$  (TGF- $\beta$ ) pathway [25,  
108 26]. The cell-cycle arrest of cells in transition from ATII to ATI may result in secretion of  
109 chemokines and cytokines such as TGF- $\beta$  that activate nearby fibroblasts and recruit  
110 profibrotic macrophages [25-28]. Persistence and accumulation of transitional alveolar  
111 epithelial cells have been strongly linked to disease initiation and progression, highlighting  
112 dysregulated epithelial repair as a critical area of IPF research [26, 29-31]

113         Primary human cells are widely used in lung models to better replicate human-  
114 specific cellular and molecular processes. However, primary ATII cells rapidly differentiate  
115 to ATI cells within days, which leads to heterogenous cell populations after approximately  
116 one week [32, 33]. To overcome this limitation and reduce confounding cellular variables,  
117 researchers have increasingly used induced pluripotent stem cell (iPSC)-derived ATII  
118 (iATII) cells that can retain a progenitor cell phenotype for months in culture [34, 35].  
119 Traditional *in vitro* models in pulmonary regenerative medicine often only consider one  
120 cell type. Many models rely on culturing cells on substrates with supraphysiological  
121 stiffnesses that do not match lung tissue (e.g., tissue culture plastic), or neglect to  
122 investigate the three-dimensional (3D) interaction between cells and the  
123 microenvironment. Extensive evidence demonstrates that 3D culture systems more  
124 accurately mimic *in vivo* conditions, preserving cellular physiology and molecular  
125 characteristics while enhancing translational relevance [32, 34-37]. When experiments do  
126 maintain a 3D microenvironment, most protocols for iPSC differentiation and organoid

127 culture rely almost exclusively on animal-derived materials, such as Matrigel. These  
128 materials do not provide control over mechanical properties [6, 38], geometric cues [10],  
129 or biochemical composition [39] – factors that all profoundly impact stem cell fate *in vivo*  
130 [40, 41].

131 Poly(ethylene glycol) norbornene (PEG-NB) hydrogels provide a versatile platform  
132 for culturing cells within well-defined 3D biomaterials, offering precise control over  
133 mechanical properties and biochemical cues in the extracellular microenvironment [8, 42].  
134 While engineered hydrogel biomaterials are widely used across many fields, these cell  
135 culture platforms are currently underutilized in pulmonary research [8, 43]. Only a few  
136 studies have used PEG-based hydrogels to model the lung microenvironment [10, 44,  
137 45]. To facilitate dynamic remodeling in 3D, peptide sequences degradable by cell-  
138 secreted matrix metalloproteinase (MMP) enzymes are commonly incorporated into these  
139 hydrogels [46-48]. Combining PEG-NB hydrogels with patient-derived cells improves  
140 physiological relevance of *in vitro* lung models, enables investigation of cell-cell and cell-  
141 matrix interactions driving fibrosis initiation and progression, and may accelerate the  
142 identification and validation of therapeutic targets. Drug development for IPF is  
143 particularly challenging as approximately 90% of preclinical candidates fail to  
144 demonstrate clinical efficacy in human trials [49]. Given the high cost, time-intensive  
145 nature, and stringent regulatory requirements of new drug approvals, there remains a  
146 critical need for improved *in vitro* models that expedite drug discovery.

147 Here we present an engineered 3D lung model that mimics important aspects of  
148 distal lung tissue. iATII spheroids were magnetically aggregated together and embedded  
149 within PEG-NB hydrogels containing pulmonary fibroblasts, replicating the acinar

150 structure and cellular spatial arrangement found within the alveoli [44, 50]. This co-culture  
151 platform provided an environment that facilitated epithelial-fibroblast interactions [10].  
152 Soft (elastic modulus ( $E$ ) =  $5.06 \pm 0.33$  kPa) and stiff ( $E$  =  $18.90 \pm 3.19$  kPa) hydrogel  
153 microenvironments supported cell viability (>75%) while effectively recapitulating the  
154 mechanical properties of healthy and fibrotic lung tissue, respectively. Beyond  
155 mechanical stiffness, pro-inflammatory biochemical cues, previously described as a  
156 fibrosis cocktail, were supplemented into the culture medium to induce epithelial injury  
157 and subsequent fibroblast activation [13, 51, 52]. Gene expression analyses revealed that  
158 epithelial and fibroblast responses within stiff hydrogels exposed to the fibrosis cocktail  
159 closely matched trends measured in pulmonary fibrosis patient tissues. To further validate  
160 the model as a viable platform for pre-clinical therapeutic drug screening, Nintedanib, a  
161 Food and Drug Administration (FDA)-approved anti-fibrotic drug was tested. This  
162 treatment downregulated multiple fibroblast markers and upregulated transitional and ATI  
163 markers, indicating a possible recovery in epithelial repair and a decrease in fibrotic  
164 phenotypes. These findings underscore the potential of this human co-culture model for  
165 studying cell-cell and cell-matrix interactions, as well as its utility in drug screening  
166 applications.

167

## 168 **Materials and methods**

### 169 2.1 PEG-NB synthesis

170 As previously published, terminal residue conjugation of an eight-arm, 10 kg/mol  
171 PEG-hydroxyl macromer (PEG-OH) produced norbornene functionalized end groups  
172 [10, 53]. In brief, PEG-OH (5 g, JenKem Technology) was lyophilized (~ 0.1 mBar, ~ -



173 80°C) and subsequently dissolved in ~35 mL anhydrous dichloromethane (DCM;  
174 Sigma-Aldrich, cat. #270997-1L) under moisture-free conditions in a flame-dried  
175 Schlenk flask. 4-Dimethylaminopyridine (DMAP; 0.24 g, .002 mol, Acros Organics, cat.  
176 #148270050) was added to the flask and pyridine (1.61 mL, 0.02 mol, Sigma Aldrich  
177 494410) was injected dropwise into the reaction mixture. Separately in a second flame-  
178 dried Schlenk flask, N,N'-Dicyclohexylcarbodiimide (DCC; 4.13 g, 0.02 mol, Fisher  
179 Scientific, cat. #AC113901000) was dissolved in anhydrous DCM, again under  
180 moisture-free conditions. To this flask, norbornene-2-carboxylic acid (4.9 mL, 0.04 mol,  
181 Acros Organics, cat. #453300250) was added in a dropwise manner. After 30 minutes  
182 of stirring at room temperature, the reaction mixture was filtered through Celite 545  
183 (EMD Millipore, cat. #CX0574-1). Then, the filtrate was added to the first flask and left to  
184 react for 48 h (while protected from light). A series of wash steps using 5% sodium  
185 bicarbonate, saturated brine (~40 grams of sodium chloride in 100 mL deionized water),  
186 and deionized water removed undesired byproducts. Each time the reacted polymer  
187 was mixed and left to separate out into two phases for approximately 5 minutes using a  
188 separatory funnel. Anhydrous magnesium sulfate (Fisher Scientific, cat. #M65-500) was  
189 added to the organic elute to remove excess water and then filtered out using filter  
190 paper (Cytiva, cat. #1002-150). The organic product was precipitated with cold diethyl  
191 ether (Fisher Scientific, cat. #E1384) and then concentrated with a rotary evaporator.  
192 Following a 4°C overnight incubation, the diethyl ether was removed using vacuum  
193 filtration. The precipitate was vacuum dried at room temperature in a desiccator  
194 overnight, again protected from light. Dialysis with the precipitate occurred at room  
195 temperature over 72 h, where the 3.5 L of deionized water was changed four times

196 daily. After dialysis, the product was collected and lyophilized (~ 0.1 mBar, ~ -80°C) to  
197 obtain a solid white powder.

198 Nuclear magnetic resonance (NMR) spectroscopy confirmed the end-group  
199 functionalization and purity of the PEG-NB. A Bruker DPX-400 FT NMR spectrometer  
200 was used to collect the <sup>1</sup>H NMR spectrum of the product using 184 scans and a 2.5 s  
201 relaxation time. Only synthesis products above 90% functionalization were used  
202 throughout these experiments (Supplemental Fig. 1), and chemical shifts for protons  
203 (<sup>1</sup>H) were recorded relative to deuteriochloroform as parts per million (ppm).

204

## 205 2.2 iATII cell culture and magnetic labeling

206 iATIIs, generously provided by the Kotton Laboratory (Center for Regenerative  
207 Medicine, Boston University) and commercially known as BU3 NGST cells (RRID:  
208 CVCL\_WN82), containing thyroid transcription factor NKX2 homeobox 1 Green  
209 fluorescent protein (NKX2-1<sup>GFP</sup>) and Surfactant protein C tdTomato (SFTPC<sup>tdTomato</sup>)  
210 reporters, were maintained in 40 μL of 8 mg/mL growth factor reduced Matrigel  
211 (Corning, cat. #356231) and CK + DCI medium as previously described [34, 35]. During  
212 routine passaging, 0.05% Trypsin-EDTA (~15 min, Gibco, cat. #25-300-062) was used  
213 to dissociate iATIIs back into a single cell state [34, 35]. Nanoshuttle (1 μL per every  
214 10,000 cells, Greiner Bio-One, cat. #657846) was then added to a proportion of the  
215 iATIIs so that the cells could be magnetically aggregated a few days later. This was  
216 done by pipetting the cells and Nanoshuttle up and down gently until visibly  
217 homogenous (1-2x) and then centrifuging at 300 x g for 5 minutes at 4°C. This process  
218 was repeated an additional two times before the iATII pellet was resuspended in 40 μL

219 of 8 mg/mL Matrigel and standard passaging protocols were resumed [34, 35].  
220 Nanoshuttle is a nanoparticle assembly that consists of gold, iron oxide, and poly-L-  
221 lysine. This mixture enables the Nanoshuttle beads to attach to the cell membranes  
222 electrostatically. iATIIs with Nanoshuttle were left to grow into small alveolospheres for  
223 4-5 days prior to use in experiments.

224

### 225 2.3 Fibroblast cell culture

226 Frozen vials of patient-specific human lung fibroblasts (Table 1) were thawed and  
227 expanded at 37°C and 5% CO<sub>2</sub> in T75 flasks containing growth medium (Dulbecco's  
228 Modified Eagle Medium (DMEM), 10% v/v charcoal-stripped fetal bovine serum (CS-  
229 FBS, Table 1), and 1% v/v penicillin/streptomycin). All fibroblasts used in experiments  
230 were seeded between passages two and seven.

231

232 Table 1. Human cell and serum information.

<b>Material</b>	<b>Identifier</b>	<b>Donor Information</b>
Fibroblasts	hNLF01	71-year-old male
Fibroblasts	hNLF40A	69-year-old male
Fibroblasts	hNLF15A	60-year-old male
iATIIs	BU3 NGST	32-year-old male
Serum	1146887	65-year-old male

233

### 234 2.4 Preparation of the embedding hydrogel

235 The initial PEG-NB weight percent determined whether the embedding hydrogel  
236 corresponded to a soft (5.25 wt%) or stiff (7.75 wt%) formulation, with a 0.7 ratio of  
237 thiols to norbornenes. A matrix metalloproteinase-9 (MMP9)-degradable peptide (Ac-

238 GCRD-VPLSLYSG-DRCG-NH<sub>2</sub>, GL Biochem) was used as a crosslinker and both  
239 fibronectin (CGRGDS, 2 mM, GL Biochem) and laminin (CGYIGSR, 2 mM, GL  
240 Biochem) mimetic peptides, as well as 2 mg/ml Laminin/Entactin (Corning, cat.  
241 #354259) were incorporated into the formulation to enhance cell adhesion. Lithium  
242 phenyl-2,4,6-trimethylbenzoylphosphinate (LAP, 1.1 mM) acted as the photoinitiator.  
243 Cell culture medium (CK + DCI) was used to reconstitute the PEG-NB, MMP9-  
244 degradable peptide crosslinker, CGRGDS, and CGYIGSR. The individual volumes of  
245 each component were mixed, and the overall pH of the final hydrogel precursor  
246 solutions (soft: 5.25 wt% PEG-NB, 13.29 mM MMP9, 2 mM CGRGDS, 2 mM  
247 CGYIGSR, 2 mg/mL laminin/entactin, and 1.1 mM LAP; stiff: 7.75 wt% PEG-NB, 19.62  
248 mM MMP9, 2 mM CGRGDS, 2 mM CGYIGSR, 2 mg/mL laminin/entactin, and 1.1 mM  
249 LAP) were adjusted to pH~7-8 as needed.

250

## 251 2.5 Rheological characterization of acellular hydrogels

252 Hydrogels for rheological evaluation were prepared by pipetting 40  $\mu$ L of final  
253 hydrogel precursor solution between two glass slides covered in parafilm and separated  
254 by a 1 mm gasket. After ultraviolet (UV) light exposure at 365 nm with 10 mW  
255  $\text{cm}^{-2}$  intensity (Omniculture, Lumen Dynamics) for 5 min, these samples polymerized.  
256 Hydrogels were then swollen in phosphate buffered saline (PBS) overnight prior to  
257 characterization. The elastic modulus of the hydrogels (e.g., stiffness) was measured  
258 with an 8-mm parallel plate geometry on a Discovery HR2 rheometer (TA Instruments)  
259 as previously described [14, 53]. In brief, a hydrogel was placed onto the Peltier plate  
260 (37°C) and the geometry was lowered until it was in contact with the hydrogel surface

261 and an axial force of 0.03 N was registered. The storage modulus ( $G'$ ) plateau was  
262 determined by measuring the storage modulus at different 5% increments of  
263 compression until a maximum was reached [10, 53]. The storage modulus plateau for  
264 the soft hydrogels occurred at 25% compression, and 30% compression for the stiff  
265 hydrogels. The hydrogel samples then underwent a frequency oscillation with  
266 logarithmic sweep of frequencies (1-100 rad s<sup>-1</sup>) and 1% strain. From here, the elastic  
267 modulus ( $E$ ) was calculated under the assumption that the hydrogels were  
268 incompressible and exhibited bulk-elastic characteristics with a Poisson ratio of 0.5 [7,  
269 54-56].

270

## 271 2.6 Formation of 3D acinar structures

272 Both enzymatic (2 mg/mL dispase; Thermo Fisher Scientific, cat. #17105-041)  
273 and manual pipetting were used to free the iATII alveolospheres from Matrigel  
274 constructs over 30 minutes. The alveolospheres were then rinsed in DMEM and  
275 pelleted, using centrifugation at 300 x g for 5 minutes and 4°C. This washing step was  
276 completed a total of 3x to ensure the enzyme was completely removed. Following the  
277 last spin, the alveolospheres were resuspended in CK + DCI medium (Supplemental  
278 Table S1; [34, 35]) supplemented with 10  $\mu$ m Y-27632 (Tocris, cat. #1254), counted,  
279 and then transferred into 24-well cell-repellent plates (Greiner Bio-One, cat. #662970) at  
280 a concentration of 400 spheres and 250  $\mu$ L of CK + DCI + 10  $\mu$ m Y-27632 medium per  
281 well. Magnetic levitation drives (Bio-Assembler, Greiner Bio-One, cat. # 662840) were  
282 added to the plates and then transferred onto an orbital shaker (~60 rpm) within a cell  
283 culture incubator (37°C, 5% CO<sub>2</sub>) to facilitate aggregation of alveolospheres into 3D

284 acinar structures. After 3 hours, the magnetic levitation drives were removed and  
285 replaced with magnetic concentrating drives (Greiner Bio-One, cat. # 662840) and the  
286 epithelial cells were allowed to settle over approximately 5 minutes. At this point,  
287 medium was removed manually from each well and a hydrophobic pen (Vector  
288 Laboratories, cat. #H-4000) was used to draw a circle around the cells. This barrier  
289 ensured that the alveolospheres were completely encapsulated in the hydrogel  
290 precursor solution prepared in the subsequent steps. It was important to minimize the  
291 amount of time the iATILs were left without medium to ensure high viability.

292

## 293 2.7 Hydrogel embedding of 3D acinar structures

294 Just prior to embedding, fibroblasts were dissociated into single cell with trypsin,  
295 assessed for viability with Trypan Blue, and counted on a hemocytometer. Fibroblasts  
296 were pelleted and then resuspended in the precursor hydrogel solution, so the final  
297 concentration was 1,000 fibroblasts/ $\mu\text{L}$ . A total of 40  $\mu\text{L}$  of embedding hydrogel  
298 precursor solution containing fibroblasts (40,000 fibroblasts total per sample) was added  
299 directly on top of the exposed alveolosphere aggregate. Five minutes of UV light  
300 exposure (365 nm, 10 mW  $\text{cm}^{-2}$ , Omnicure, Lumen Dynamics) polymerized the  
301 hydrogels. Prior work has established that there are no significant differences in cell  
302 viability and transcriptome when cells are exposed to 365 nm light for this length of time  
303 [57]. Afterwards, these samples were carefully transferred into new 24 well plate wells  
304 that contained CK + DCI medium [34, 35] that was supplemented with 10  $\mu\text{m}$  Y-27632  
305 and 1% serum from a 65-year-old male patient (Table 1) for 48 h (37°C and 5%  $\text{CO}_2$ ).

306

## 307 2.8 Fibrosis cocktail exposure

308 Samples were maintained at 37°C with 5% CO<sub>2</sub> in CK + DCI medium [34, 35]  
309 supplemented with 1% serum from a 65-year-old male patient (Table 1). For fibrotic  
310 activation experiments, samples were either exposed to a fibrosis cocktail (FC) or  
311 vehicle control (VC). The fibrosis cocktail contained 5 ng/ml recombinant transforming  
312 growth factor beta (TGF- $\beta$ ; PeproTech, cat. #100-21), 10 ng/ml platelet-derived growth  
313 factor AB (PDGF-AB; Thermo Scientific, cat. #PHG0134), and 5  $\mu$ M 1-Oleoyl  
314 Lysophosphatidic Acid (LPA; Cayman Chemical Company, cat. #62215) [13, 51, 58,  
315 59]. Dosing began on day 2 and continued until day 8, where each well was replenished  
316 with medium (1 mL/well) containing the FC or VC (PBS supplemented with 0.1% bovine  
317 serum albumin (BSA)) every 48 h.

318

## 319 2.9 Live-dead and immunofluorescence staining

320 Commercially available human pulmonary fibroblasts (HPFs) were used for all  
321 viability studies (passage 2-7). A ReadyProbes Cell Viability Imaging Kit (Thermo  
322 Fischer Scientific, R37609) quantified the number of live cells in each construct.  
323 Samples treated with FC or VC were collected for imaging after 2, 4, 6, or 8 days. Prior  
324 to imaging, 1 drop of NucBlue (nuclei) and 1 drop of NucGreen (dead) was added to  
325 each 1 mL of cell culture medium to make a staining medium. Samples were transferred  
326 into 24-well plate wells containing 300  $\mu$ L of staining medium and on an orbital shaker  
327 for 1 h (37°C, 5% CO<sub>2</sub>). Afterwards, samples were transferred onto a glass slide and  
328 covered in PBS to maintain hydration during imaging. A hydrophobic pen was used to  
329 confine the PBS to the sample area.

330 The fluorescently stained samples were imaged on an Olympus CKX53 upright  
331 microscope adapted for fluorescent capabilities with DAPI and FITC filters. Six random  
332 points in the construct were imaged at 4x using 100 ms exposures for the DAPI (nuclei)  
333 channel, 10-20 ms for the FITC (dead) channel, and 100 ms for the TRITC (SFTPC)  
334 channel. Exposures were kept constant each day of imaging and between samples that  
335 were directly compared. Images were post-processed and analyzed with ImageJ  
336 software (NIH). Total cell viability was quantified using Equation 1.

337 
$$\text{Equation 1: Percentage of Live Cells} = 1 - \left( \frac{\text{NucGreen Area}}{\text{NucBlue Area}} \right) * 100$$

338 Also, to visually assess where fibroblasts were within the hydrogel relative to the iATIIIs,  
339 CellTracker Green CMFDA (10  $\mu$ M, Thermo Fisher, cat. #C7025) was used for non-  
340 viability related samples. This fluorescently tagged the fibroblasts green after incubating  
341 the CellTracker Green CMFDA on the cells for 45 min in serum free medium. Following,  
342 the fibroblasts were dissociated with trypsin, embedded, and imaged while in culture.

343

## 344 2.10 Magnetic-activated cell sorting (MACS)

345 Epithelial cells and fibroblasts were purified out of co-culture using magnetic  
346 column isolation based on the expression of EpCAM (CD326), which is a cell surface  
347 marker that is found on ATII, transitional epithelial, and ATI cells [10, 60, 61]. On days 6  
348 and 8, an enzymatic digestion solution containing 5 mg/mL dispase and 1 mg/mL  
349 elastase (Worthington Biochemical, cat. #LS002292) was prepared fresh in DMEM. Old  
350 medium was removed from each well and replaced with 700  $\mu$ L of the enzymatic  
351 digestion solution. Both enzymatic and manual pipetting were used to free the cells from



352 hydrogel constructs, which took up to 1 h. The dispase and elastase enzymes targeted  
353 the MMP9-degradable crosslinker sequence and helped facilitate degradation. Four to  
354 six samples of the same experimental group were pooled together to form each  
355 technical replicate. Once hydrogel degradation occurred, the solution of iATIIIs and  
356 fibroblasts was transferred into 15 mL test tubes, diluted 1:1 with DMEM, and then  
357 centrifuged at 300 x g for 5 minutes and 4°C to create a cell pellet. Trypsin-EDTA  
358 (0.05%; Gibco, cat. #25-300-062) was added to the cell pellets and then transferred into  
359 6-well plates to allow for the alveolospheres to dissociate into single cells for  
360 approximately 16 min, with manual pipetting (~2x) at the halfway timepoint. To  
361 deactivate the trypsin, a 10% v/v CS-FBS in DMEM medium was then added to the  
362 samples and then centrifuged for 5 mins at 300 x g for 5 minutes and 4°C. Next, the  
363 supernatant was manually discarded, and the cell pellets were resuspended in 10 µL of  
364 anti-CD326 (epithelial cell adhesion molecule (EpCAM)) Microbeads (Miltenyi Biotec,  
365 cat. #130-061-101) and 70 µL of buffer that consisted of 2 mM  
366 ethylenediaminetetraacetic acid (EDTA; ThermoFisher, cat. #AM9260G) and 0.5%  
367 bovine serum albumin (BSA; Sigma-Aldrich) in PBS (PEB buffer). These samples were  
368 incubated at 4°C for 15 min to allow bead binding to cells before an additional 1 mL of  
369 PEB buffer was added to each and the test tubes were centrifuged at 300 x g for 5  
370 minutes and 4°C. From each cell pellet, the supernatant was removed, and the cells  
371 were resuspended in 500 µL of PEB buffer, ensuring there were no cell clumps.

372 iATIIIs were positively selected using a MiniMACS Separator and Starting Kit  
373 (Miltenyi Biotec, cat. #130-090-312) set up and MS columns (Miltenyi Biotec, cat. #130-  
374 042-201) according to the manufacturer's protocol. Briefly, the MS columns were primed

375 by passing 500  $\mu$ L of PEB buffer through the columns. Then, a test tube was placed  
376 beneath the column and the cells in 500  $\mu$ L of PEB buffer was passed through to allow  
377 the EpCAM<sup>+</sup> cells bound to beads to be trapped in the magnetic field. An additional two  
378 rinse steps of 500  $\mu$ L of PEB were done to ensure all EpCAM<sup>-</sup> fibroblasts were collected  
379 in the test tube below. Lastly, a new test tube was placed beneath the column at this  
380 point, and 1 mL of PEB buffer was added to the column. The column was then removed  
381 from the magnet holder, and the plunger was compressed to elute the positively  
382 selected EpCAM<sup>+</sup> cells into the second test tube. Each respective test tube containing  
383 cells was centrifuged one final time at 300 x g for 5 minutes and 4°C.

384

## 385 2.11 RNA isolation and cDNA synthesis

386 Immediately following MACS isolations, the supernatant was removed from the  
387 iATII and fibroblast cell pellets, and 300  $\mu$ L of TRIzol Reagent (Fisher Scientific, cat.  
388 #15-596-026) was added to each test tube. The samples were pipetted and briefly  
389 vortexed before being stored at -20°C for up to one month. After samples were thawed,  
390 the sample volume was transferred into a 1.5 mL Eppendorf tube. 100  $\mu$ L of 1-bromo-3-  
391 chloropropane (BCP; Fisher Scientific, cat. #NC9551474) was added to each Eppendorf  
392 tube. Next, each Eppendorf tube was briefly vortexed, incubated at room temperature  
393 for 5 min, and incubated on ice for an additional 5 min. At this time, the Eppendorf tubes  
394 were centrifuged at 12,000 x g for 15 min, so the clear aqueous layer of the sample  
395 could be transferred into a separate RNase-free 1.5 mL microcentrifuge tube. An equal  
396 amount of 100% ethanol (EtOH) was added to the clear RNA layer volume and the two  
397 were briefly vortexed. Up to 700  $\mu$ L of total volume was transferred into a RNeasy Plus

398 Micro Kit column (Qiagen, cat. #74034) and purified according to the manufacturer's  
399 instructions. RNA quantity and purity, as assessed by the ratio of 260 nm and 280 nm  
400 ( $A_{260}/A_{280}$ ) absorbance readings, were measured using a BioTek plate reader and a  
401 Take3 Micro-Volume Plate. The isolated RNA was then converted into cDNA using a  
402 high-capacity cDNA Reverse Transcription Kit (Applied Biosystems, cat. #4368814)  
403 according to the manufacturer's protocol.

404

## 405 2.12 Assessment of cell specific gene expression

406 Reverse transcription-quantitative polymerase chain reaction (RT-qPCR)  
407 assessed gene expression for a variety of different ATII (*SFTPC*, *LAMP3*), ATII-ATI  
408 (*KRT17*, *CLDN4*), ATI (*PDPN*, *AQP5*), aberrant basaloid cell (*FN1*), and fibroblast  
409 activation (*COL1A1*, *FN1*, *CTGF*, *CTHRC1*, *LTBP2*) markers. iTaq Universal SYBR  
410 Green Supermix (Bio-Rad, cat. #1725121) and a CFX Opus 96 (Bio-Rad) were used for  
411 all experiments. Fibroblast gene expression was normalized to ribosomal protein L30  
412 (*RPL30*), which served as the housekeeping gene, whereas epithelial gene expression  
413 was normalized to ribosomal protein S18 (*RPS18*). All human primers were acquired  
414 from Integrated DNA Technologies (Supplemental Table S2). All Ct values were natural  
415 log transformed to normalize the data for statistical analyses [62], and then relative  
416 gene expression was calculated using a  $2^{-\Delta Ct}$  approach. After statistical analyses were  
417 computed, values were untransformed (by taking the exponent of the natural logged  
418 value) and presented in Fig.s.

419

## 420 2.13 Validating response to anti-fibrotic drug treatment

421 To narrow down conditions for therapeutic drug testing, the influence of the age  
422 of patient fibroblasts, substrate elastic modulus, exposure, and time on either epithelial  
423 gene expression or fibroblast activation gene expression were investigated with a  
424 design of experiment (DOE) approach using JMP software (Pro 18 Version, SAS). The  
425 resulting least-squares regression model identified the stiff hydrogel formulation as the  
426 most fibrotic microenvironment. Therefore, all subsequent drug studies were only  
427 conducted with patient specific fibroblast cells in stiff hydrogels and gene expression  
428 was assessed at the day 8 timepoint. For drug treatment, 10  $\mu$ M of Nintedanib (Tocris,  
429 cat. #7049) in dimethyl sulfoxide (DMSO) was supplemented into the cell culture  
430 medium (CK + DCI + 1% male serum) simultaneously with the FC components starting  
431 on day 4 and replenished every 48 h at the subsequent medium changes. Meanwhile,  
432 samples that were kept in cell culture medium (CK + DCI + 1% male serum) with only  
433 the FC components served as controls.

434

#### 435 2.14 Statistical methods

436 For each viability timepoint, images were acquired from six individual hydrogels  
437 (n=6 technical replicates per experimental condition). Unpaired t-tests (GraphPad  
438 Prism) were used to calculate statistical significance for day 2 viability results, whereas  
439 two-way ANOVAs followed by Tukey's honest statistical difference (HSD) tests  
440 (GraphPad Prism) were applied to compare VC and FC results on days 4, 6, and 8.  
441 Similarly, for rheological measurements, six separate hydrogels (n=6 technical  
442 replicates per stiffness) were measured. An unpaired t-test was used to calculate  
443 statistical significance for these data sets (GraphPad Prism). For all MACS isolations, 4-

444 6 samples of the same experimental group were pooled together to form each biological  
445 replicate. In total, the RT-qPCR results presented in Figs 4 and 5 considered three  
446 biological replicates (3 separate patient specific fibroblast lines, N=3). Each relative  
447 gene expression value was then entered back into the DOE and a standard least  
448 squares model was applied to fit the model and identify best fit lines. The JMP software  
449 identified a multi-factorial design and approached the statistical analysis similarly to a  
450 three-way ANOVA. For the drug testing, 6 hydrogels were pooled together, and RT-  
451 qPCR results were collected using the 3 separate patient specific fibroblast lines (N=3).  
452 Statistical significance was determined with paired t-tests for these results.

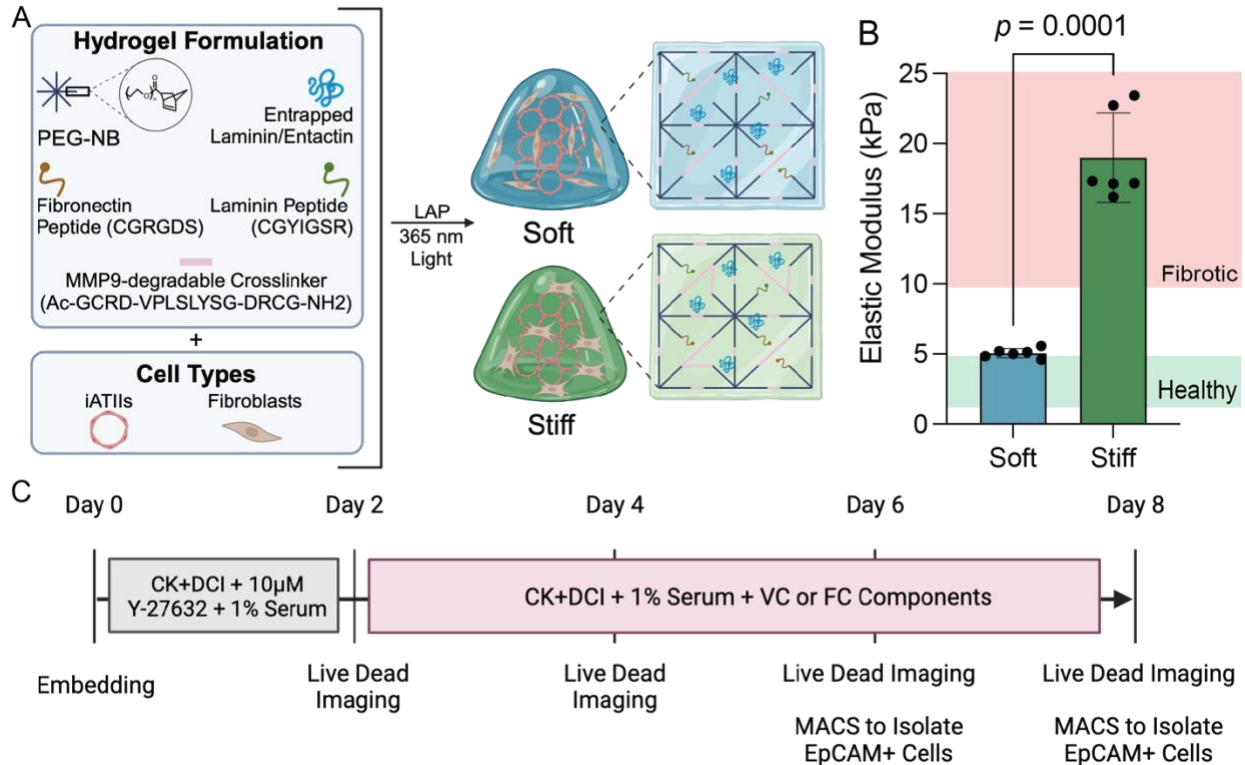
453

## 454 **Results**

### 455 3.1 PEG-NB hydrogels recapitulated key aspects of fibrotic tissue

456 To engineer a cellular microenvironment that recreated fibrosis progression *in*  
457 *vitro*, iATIIIs and fibroblasts were embedded in well-defined, tunable stiffness 3D PEG-  
458 NB hydrogels (Fig. 1A). These hydrogel formulations were comprised of an eight-arm,  
459 10 kg/mol PEG macromer that was 93% functionalized with norbornene end groups  
460 (Supplemental Fig. S1), an MMP9-degradable peptide crosslinker, a fibronectin mimetic  
461 peptide (CGRGDS), a laminin mimetic peptide (CGYIGSR), and entrapped  
462 laminin/entactin protein complex (Fig. 1A). Both the pendant peptides and the  
463 laminin/entactin protein complex facilitated increased cellular adhesion to hydrogels.  
464 Modification of the PEG weight percent varied the elastic modulus (e.g., stiffness) to  
465 produce hydrogels with discrete stiffnesses. Soft hydrogels demonstrated an elastic  
466 modulus of  $5.06 \pm 0.33$  kPa, while stiff hydrogels exhibited an elastic modulus of 18.90

467  $\pm 3.19$  kPa, effectively matching the mechanical properties of healthy and fibrotic lung  
468 tissue [6, 7], respectively (Fig. 1B). iPSC-derived iATII and fibroblasts were arranged  
469 within these hydrogels to replicate 3D lung micro-architecture. First, Nanoshuttle,  
470 magnetic nanoparticles attached to poly-L-lysine, was attached to iATII cell membranes  
471 through electrostatic attraction. The magnetized iATII alveolospheres were aggregated  
472 together within a magnetic field using bioassembler magnetic drives (Greiner) to form  
473 acinar like structures. Then, fibroblasts were distributed throughout the embedding  
474 hydrogel precursor solution and added on top of the iATII aggregates. As a result, the  
475 samples consisted of an epithelial core surrounded by fibroblasts, which enabled  
476 epithelial-fibroblast crosstalk within 3D lung models. Fig. 1C describes the different  
477 experimental outputs and mediums that were used over the course of the 8-day  
478 experimental timeline. Samples were kept in CK+DCI medium supplemented with 1%  
479 human serum and a rock inhibitor for the first 48 hours. On day 2, the medium was then  
480 switched to CK+DCI medium supplemented with 1% human serum and either the  
481 fibrosis cocktail (FC) or vehicle control (VC) components. This medium was replenished  
482 every 48 h until day 8. Cell viability was assessed on days 2, 4, 6, and 8 while magnetic  
483 column isolations to separate epithelial and fibroblast cellular subpopulations occurred  
484 on days 6 and 8 (Fig. 1C).



485  
486

**Fig. 1. Engineered hydrogels supported iATII-fibroblast co-cultures with**

487

**stiffnesses tuned to match healthy and fibrotic lung tissue.** (A) Cells were

488

embedded in 3D arrangements mimicking alveolar micro-architecture within soft or stiff

489

hydrogel formulations that contained PEG-NB, an MMP9-degradable crosslinker,

490

CGRGDS, CGYIGSR, and entrapped laminin/entactin. (B) Rheological measurements

491

for the average elastic modulus (E) of soft ( $E = 5.06 \pm 0.33$  kPa,  $n=6$ ) and stiff hydrogels

492

( $E = 18.99 \pm 3.19$  kPa,  $n=6$ ). Soft and stiff hydrogel formulations fall within the ranges

493

for healthy (green region) and fibrotic (red region) lung tissue stiffness. Columns

494

represent mean  $\pm$  SD. Symbols represent technical replicates. Statistical significance

495

was determined by an unpaired t-test. (C) Schematic representation of the experimental

496

timeline and outputs.

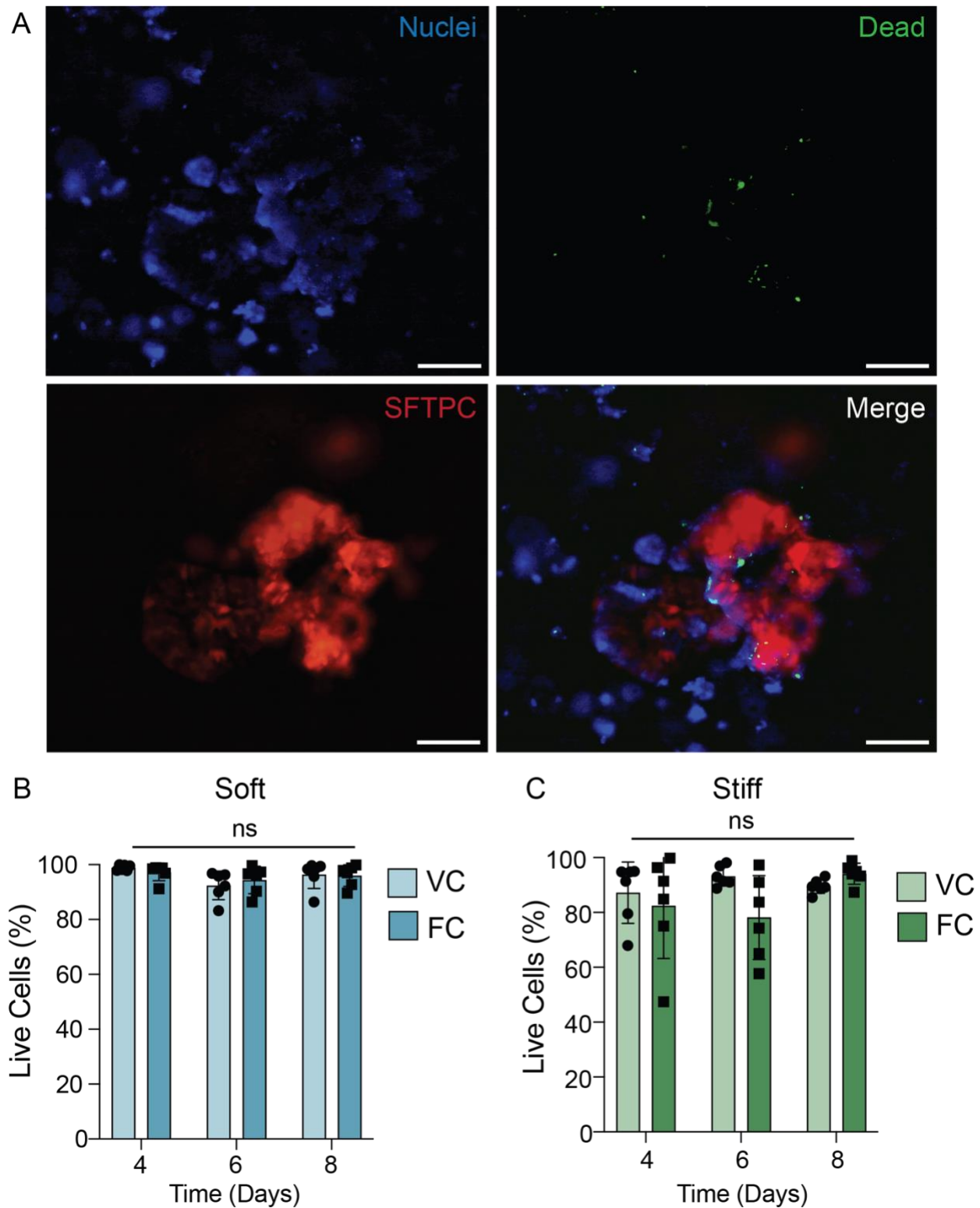
497

498

### 499 3.2 Cells maintained high viability in 3D lung models

500 Total cellular viability in 3D distal lung models was measured with a  
501 ReadyProbes Cell Viability Imaging Kit at days 2, 4, 6, and 8 for each of the four  
502 conditions combining two different stiffness (soft or stiff) hydrogels and two different  
503 exposures (VC or FC). Representative images showed all nuclei marked by blue  
504 fluorescence, dead cells marked by green fluorescence, and the iATILs marked by red  
505 fluorescence from the SFTPC<sup>tdTomato</sup> reporter (Fig. 2A). After the embedding process on  
506 day 2, 92.11%  $\pm$  4.71% of cells remained alive within the soft hydrogels, while 92.14%  $\pm$   
507 14.40% of cells remained alive within the stiff hydrogels (Supplemental Fig. S2). Cell  
508 viability within the soft hydrogels measured approximately 98% at day 4, and  
509 maintained at 96% by day 8, with no differences in viability between VC and FC  
510 conditions (Fig. 2B). Stiff hydrogel cell viability was 85% at day 4 and increased slightly  
511 to 92% by day 8, indicating that even if the stiff microenvironment induced some initial  
512 cell death post-embedding, overall, these models promoted high cell viability (Fig. 2C).  
513 The results also showed that there were no statistically significant differences in cell  
514 viability between the VC and FC exposures for both soft and stiff hydrogels across all  
515 timepoints (Fig. 2C).





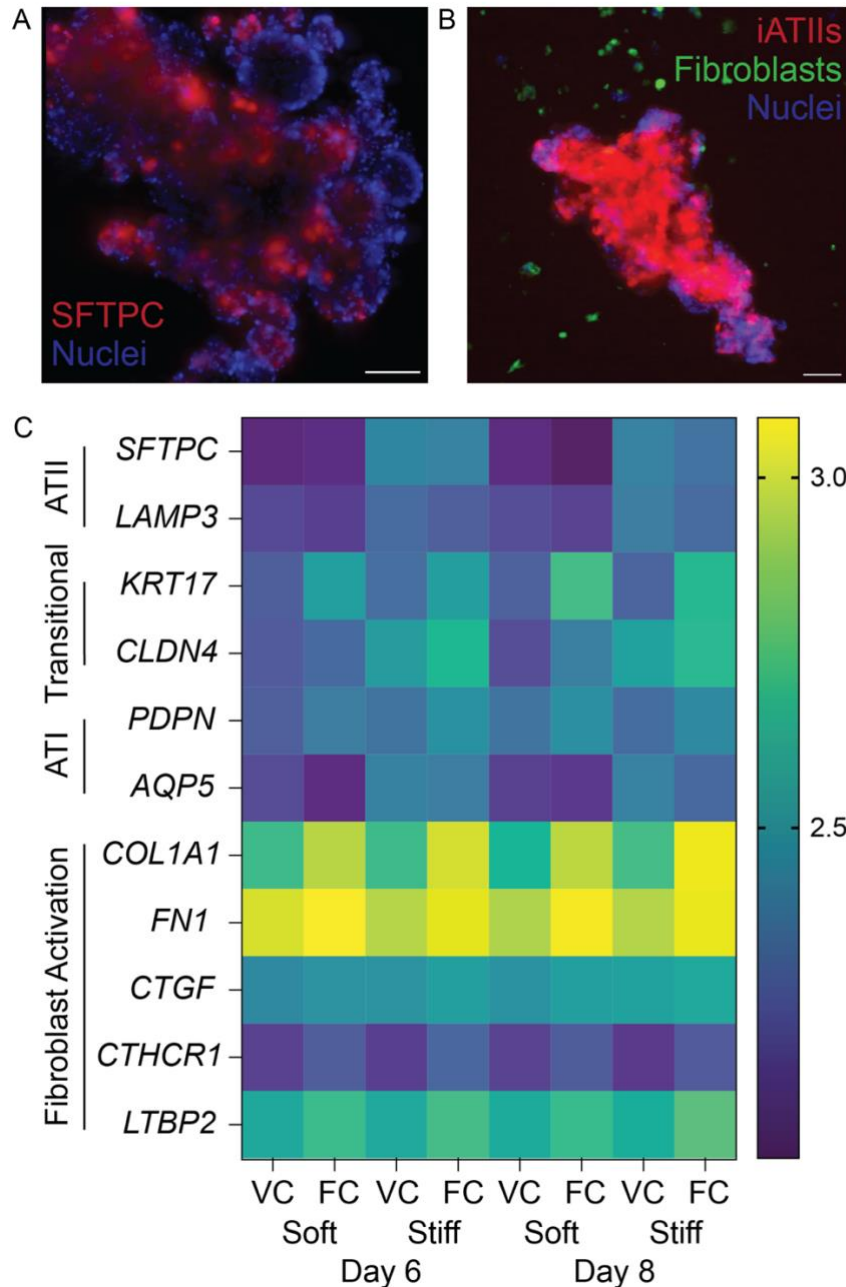
519 stained with a ReadyProbes cell viability imaging kit on day 4. Cell nuclei were stained  
520 blue, dead cells were labeled green, and SFTPC<sup>tdTomato</sup> reporter expression associated  
521 with iATII cells was visualized as red fluorescence. Scale bars = 200  $\mu$ m. (B)  
522 Quantification of cell viability in soft hydrogels either treated with the vehicle control  
523 (VC) or fibrosis cocktail (FC). Columns represent mean  $\pm$  SD, n=6. Symbols represent  
524 technical replicates. Statistical significance was assessed by a two-way ANOVA with  
525 Tukey's multiple comparisons test, ns = no significance. (C) Quantification of cell  
526 viability in stiff hydrogels either treated with VC or FC. Columns represent mean  $\pm$  SD,  
527 n=6. Symbols represent technical replicates. Statistical significance was assessed by a  
528 two-way ANOVA with Tukey's multiple comparisons test, ns = no significance.

529

530 3.3 Pro-inflammatory biochemical cues induced epithelial damage and fibroblast  
531 activation

532 3D lung models successfully replicated key geometric and spatial cellular  
533 characteristics of distal lung tissue. Magnetic aggregation of iATII alveolospheres  
534 formed an epithelial structure replicating alveolar architectures (Fig. 3A). Epithelial  
535 aggregates maintained robust tdTomato (red) fluorescence, and a high number of  
536 SFTPC<sup>+</sup> cells at the time of embedding. To visualize the spatial arrangement of  
537 fibroblasts relative to the epithelial core, CellTracker labeled the fibroblasts green (Fig.  
538 3B). The proximity of fibroblasts to epithelial aggregates within these 3D lung models  
539 enables cell-cell interaction as described in our previous studies [10]. After samples  
540 were in culture, FC was supplemented into the medium for half of the samples to  
541 provide pro-inflammatory cues and further induce fibrotic activation independent of

542 microenvironmental stiffness. The FC (5 ng/ml TGF- $\beta$ , 10 ng/ml PDGF-AB, and 5  $\mu$ M  
543 LPA) was initially added on day 2, and replenished every 48 hours until day 8. Magnetic  
544 column isolations positively selected for EpCAM<sup>+</sup> cells on days 6 and 8, enabling cell-  
545 specific relative gene expression. This approach ensured ATII, ATI, and alveolar  
546 epithelial transitional cells were separated from the fibroblasts. Relative gene  
547 expression results determined by RT-qPCR were visualized within a heat map (Fig. 3C).  
548 Surfactant protein C (*SFTPC*) and lysosome-associated membrane protein 3 (*LAMP3*)  
549 served as ATII markers, keratin 17 (*KRT17*) and claudin-4 (*CLDN4*) served as ATII-ATI  
550 transitional epithelial cell markers, podoplanin (*PDPN*) and aquaporin-5 (*AQP5*) served  
551 as ATI markers. Fibroblast activation markers were collagen 1 alpha chain 1 (*COL1A1*),  
552 fibronectin (*FN1*), connective tissue growth factor (*CTGF*), collagen triple helix repeat  
553 containing 1 (*CTHRC1*), and latent TGF- $\beta$  binding protein 2 (*LTBP2*). Increased  
554 microenvironmental stiffness and FC exposure were expected to downregulate ATII  
555 gene expression, and increase ATII-ATI, ATI, and fibroblast activation gene expression.  
556 Relative gene expressions followed these expected results across nearly all genes, with  
557 a notable deviation occurring with the *AQP5* gene expression (Fig. 3C). Overall, the  
558 heat map results supported the hypothesis that epithelial damage from FC exposure led  
559 to epithelial differentiation and contributed to fibroblast activation.



560

561 **Fig. 3. iATII-fibroblast co-culture recapitulated characteristics of fibrotic tissue.**

562 (A) Representative maximum intensity projection of a confocal image of epithelial

563 aggregate with iATII expressing *SFTPC* (red), counterstained for nuclei (blue). Scale

564 bar = 150  $\mu$ m. (B) Representative maximum intensity projection of a confocal image of

565 3D lung models in PEG-NB hydrogel showing the spatial arrangement of iATII

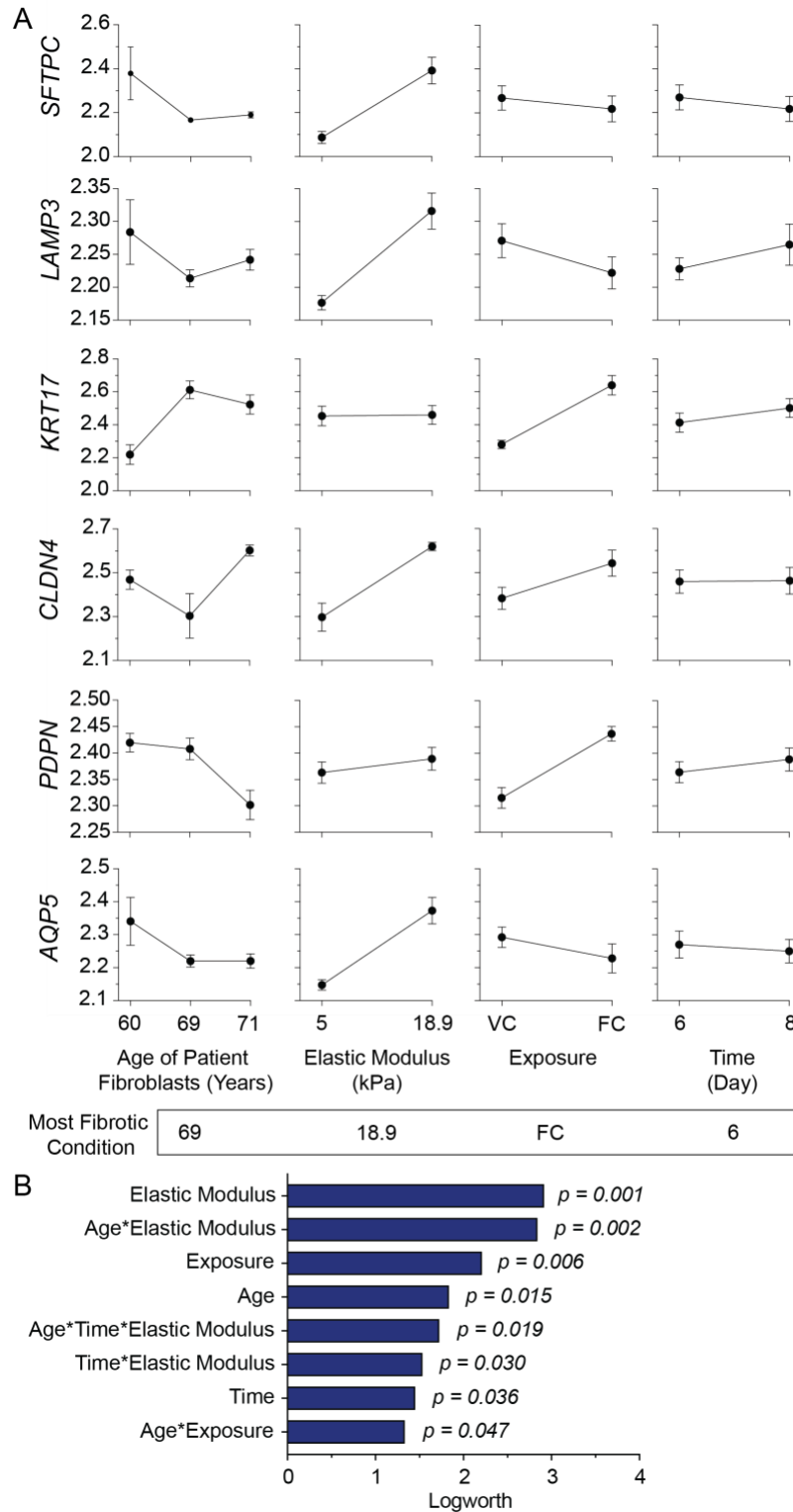
566 expressing *SFTPC* (red) near alveolar fibroblasts (green), counterstained for nuclei  
567 (blue). Scale bar = 100  $\mu$ m. (C) Heat map showing relative gene expression of ATII,  
568 transitional epithelial, ATI, and fibroblast activation markers. Gene expression patterns  
569 in 3D lung models matched trends measured in fibrotic lung tissue (N=3 biological  
570 replicates).

571

572 3.4 Statistical analyses revealed the factors that created the most fibrotic conditions in  
573 3D distal lung models

574 Two individual designs of experiments further statistically analyzed how the input  
575 variables of the age of patient fibroblasts, hydrogel elastic modulus, exposure (VC or  
576 FC), and day of collection for analysis influenced either epithelial or fibroblast gene  
577 expression. Primary fibroblasts were isolated from healthy male patients (Table 1) aged  
578 60, 69, and 71 years old. The average elastic modulus measurements for the soft and  
579 stiff hydrogels were 5 kPa and 18.9 kPa. Additionally, gene expression was assessed  
580 on days 6 and 8. In response to epithelial injury, ATII cells can differentiate into ATI cells  
581 to repair damaged tissues. In pulmonary fibrosis dysregulated healing may result in the  
582 accumulation of transitional epithelial cells [25, 26]. Following *in vivo* observations, the  
583 statistical model was directed to maximize all response variables except *SFTPC* gene  
584 expression, which was minimized to replicate a fibrotic healing response. Trend lines for  
585 each output variable plotted in response to each input variable provided a visual  
586 depiction of how epithelial cells responded to each input (Fig 4A). The results revealed  
587 that individual relative gene expression varied widely based on the age of patient-  
588 derived fibroblasts, exposure, and time. However, a clearer trend emerged when

589 assessing the input variable of elastic modulus: relative epithelial gene markers were all  
590 upregulated within the stiff hydrogels (Fig 4A). The most fibrotic condition for the  
591 epithelial cells was achieved by day 6 when the 69-year-old patient fibroblasts were  
592 embedded within stiff (18.9 kPa) hydrogels and exposed to the FC (Fig. 4A). The input  
593 variable of hydrogel elastic modulus had the greatest influence on the epithelial gene  
594 expression ( $p = 0.001$ ) (Fig. 4B). The next most influential factors were the interaction  
595 between the age of patient fibroblasts and the elastic modulus ( $p = 0.002$ ), exposure ( $p$   
596  $= 0.006$ ), the age of patient fibroblasts alone ( $p = 0.015$ ), the interaction between the  
597 age of patient fibroblasts with time and the elastic modulus ( $p = 0.019$ ), the interaction  
598 between the time and elastic modulus ( $p = 0.030$ ), time ( $p = 0.036$ ), and the interaction  
599 between the age of the patient fibroblasts and exposure ( $p = 0.047$ ) (Fig. 4B).



600

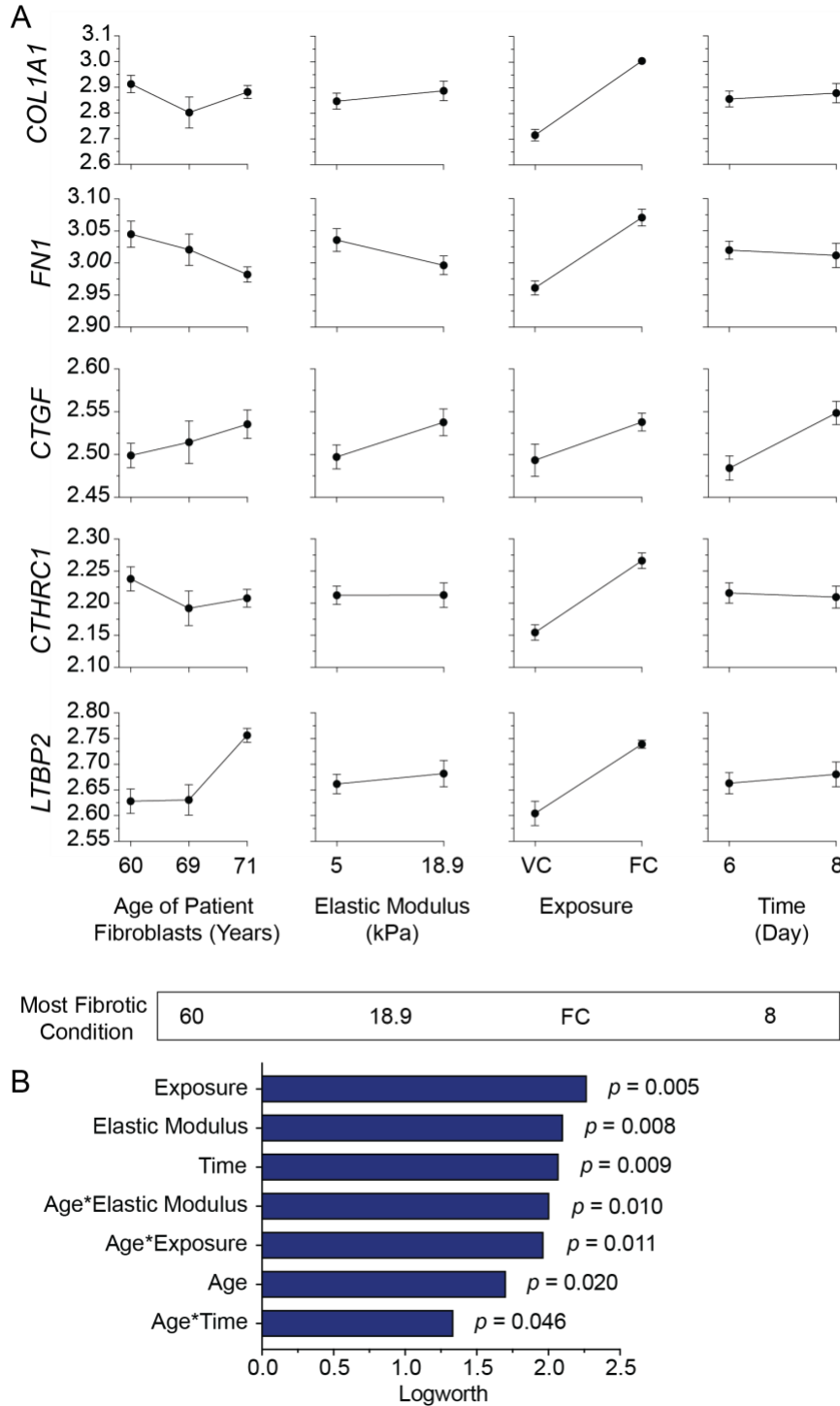
601 **Fig. 4. Results showed that the age of patient fibroblasts, elastic modulus,**

602 **exposure, and time all significantly influenced EpCAM+ cell gene expression. (A)**

603 Trend lines from experimental data showed how *SFTPC*, *LAMP3*, *KRT17*, *CLDN4*,  
604 *PDPN*, and *AQP5* gene expression changed in relation to the age of patient fibroblasts,  
605 elastic modulus of the hydrogel, exposure, and time. Data presented as mean  $\pm$  SEM.  
606 (B) The effect magnitude analysis identified all factors and interactions that were  
607 significant in influencing epithelial gene expression. These results also predicted that  
608 the most fibrotic microenvironment for EpCAM<sup>+</sup> cells would be achieved by day 6 using  
609 the 69-year-old patient fibroblasts embedded in stiff (18.9 kPa) hydrogels and the  
610 fibrosis cocktail (FC).

611 In contrast to the epithelial results, the clearest trend for fibroblast activation  
612 emerged within the input variable of FC exposure. Relative fibroblast activation gene  
613 expression was all upregulated within the FC condition compared to VC (Fig. 5A). The  
614 fibroblast results identified that the most fibrotic condition would occur at day 8 with the  
615 60-year-old patient fibroblasts embedded in stiff (18.9 kPa) hydrogels with FC exposure  
616 (Fig. 5A). The input variable of exposure had the greatest influence on the fibroblast  
617 gene expression ( $p = 0.005$ ) (Fig. 5B). Then, the next most influential factors were the  
618 hydrogel elastic modulus ( $p = 0.008$ ), time ( $p = 0.009$ ), the interaction between the age  
619 of the patient fibroblasts and the elastic modulus ( $p = 0.010$ ), the interaction between  
620 the age of the patient fibroblasts and exposure ( $p = 0.011$ ), age ( $p = 0.020$ ), and the  
621 interaction between the age of the patient fibroblasts and time ( $p = 0.046$ ) (Fig. 5B).





622

623

624

**Fig. 5. Statistical analysis results showed that the age of patient fibroblasts,**

625

**elastic modulus, exposure, and time all significantly influenced fibroblast**

626 **activation gene expression.** (A) Trend lines from experimental data showed how  
627 *COL1A1*, *FN1*, *CTGF*, *CTHRC1*, and *LTBP2* gene expression changed in relation to the  
628 age of patient fibroblasts, elastic modulus of the hydrogel, exposure, and time. Data are  
629 presented as mean  $\pm$  SEM. (B) The effect magnitude analysis identified all factors and  
630 interactions that were significant in influencing fibroblast activation gene expression.  
631 These results also predicted that the most fibrotic microenvironment for fibroblasts  
632 would be achieved by day 8 using the 60-year-old patient fibroblasts embedded in stiff  
633 (18.9 kPa) hydrogels with the FC.

634

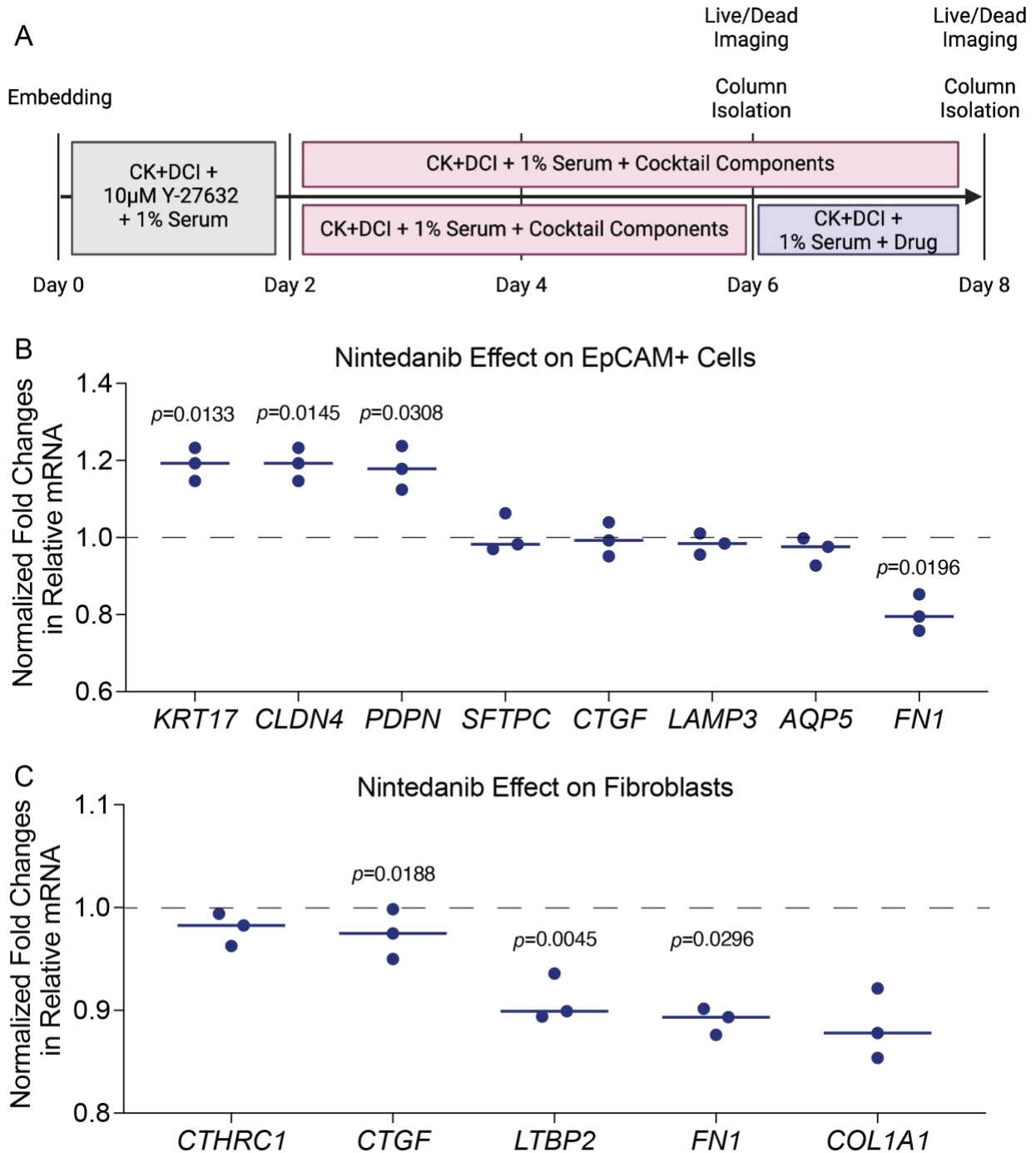
635 3.5 Human 3D lung models were responsive to therapeutic drug treatment

636 To further evaluate 3D distal lung model responsiveness to potential drug  
637 treatments, Nintedanib was tested on co-cultured iATII cells and fibroblasts in stiff  
638 hydrogels, which represented the most fibrotic microenvironment based on the initial  
639 experiments (Fig. 4A and Fig. 5A). Cells were exposed to the FC from days 2 to 4 to  
640 induce fibrotic activation, followed by Nintedanib treatment during the remaining four  
641 days (Fig. 6A). On day 8, EpCAM<sup>+</sup> cells and fibroblasts were separated using MACS,  
642 and subsequent gene expression was assessed (Fig. 6A). Samples either received a  
643 combination of the FC exposure and Nintedanib treatment, or FC exposure alone, which  
644 served as a control. Nintedanib treatment led to the upregulation of transitional epithelial  
645 markers *KRT17* ( $p = 0.0133$ ) and *CLDN4* ( $p = 0.0145$ ) relative to the FC-only samples  
646 (Fig. 6B). The alveolar epithelial type I marker *PDPN* was also upregulated ( $p = 0.0308$ ),  
647 while the aberrant epithelial marker *FN1* [13, 63] was downregulated ( $p = 0.0196$ ) in

648 Nintedanib-treated samples (Fig. 6B). Meanwhile, expression levels of *SFTPC*, *CTGF*,  
649 *LAMP3*, and *AQP5* remained relatively unchanged between conditions (Fig. 6B).

650 Similarly, several genes showed statistically significant differences when  
651 comparing Nintedanib-treated fibroblasts to FC-only fibroblasts. No fibroblast genes  
652 were upregulated in the Nintedanib-treated samples; however, *LTBP2* ( $p = 0.0188$ ),  
653 *FN1* ( $p = 0.0045$ ), and *COL1A1* ( $p = 0.0296$ ) were all downregulated (Fig. 6C).

654 Furthermore, expression levels of *CTHRC1* and *CTGF* remained unchanged between  
655 conditions (Fig. 6C).



656

657

658 **Fig. 6. 3D distal lung models were responsive to anti-fibrotic treatment. (A)**

659 Schematic representation of the therapeutic treatment experimental timeline and

660 outputs. (B) Relative gene expressions for EpCAM<sup>+</sup> cells after Nintedanib treatment,

661 normalized to the FC only samples. Data presented as median with symbols  
662 representing biological replicates. Statistical significance was determined by paired t-  
663 tests between Nintedanib-treated and untreated conditions for each cell line. (C)  
664 Relative gene expressions for fibroblasts after Nintedanib treatment, normalized to the  
665 FC only samples. Data presented as median with symbols representing biological  
666 replicates. Statistical significance was determined by paired t-tests between Nintedanib-  
667 treated and untreated conditions for each cell line.

668

## 669 **Discussion**

670 Here, we present novel 3D human lung models designed to support iATII-  
671 fibroblast co-culture within biomaterial systems that integrate synthetic, tunable stiffness  
672 hydrogels with patient-specific cells and serum as a model for pulmonary fibrosis [10].  
673 By controlling the microenvironmental stiffness of the embedding hydrogel and  
674 delivering pro-fibrotic biochemical cues, we assessed how these factors synergistically  
675 drove epithelial injury and fibroblast activation. Given that nearly 70% of IPF cases  
676 occur in males [21], this study also aimed to establish a male-specific IPF model with  
677 male-derived iATII, fibroblasts, and serum to minimize sex as a confounding variable.  
678 Prior studies highlighted significant differences in fibroblast activation response based  
679 on the sex and age of the serum used to supplement the cell culture medium [64].  
680 These results underscored the importance of using sex- and age-matched serum in  
681 disease modeling [64]. Expanding the availability of female iATII in the future and  
682 replicating this work with female cells and serum will be crucial for investigating potential  
683 sex-specific disease mechanisms and better understand the large dimorphism in this

684 disease [65]. Likewise, the average age of onset for IPF is typically between 60 and 70  
685 years old. It is rare in individuals under 50, and the risk increases with age [66]. The age  
686 of human serum also impacts relative hormone levels and thus cellular activation [64],  
687 so all human serum and fibroblasts used in this study were sourced from older ( $\geq 60$   
688 years old) patients to make the demographics of the populations most at-risk for IPF.

689         The hydrogel formulations presented in this study were designed to support  
690 iPSC-derived iATIIIs, allowing for independent evaluation of microenvironmental  
691 stiffness and pro-inflammatory biochemical cues. Laminin, a key protein in the alveolar  
692 epithelium, plays a crucial role in lung morphogenesis and supports alveolar growth [67-  
693 69]. Laminin entrapped in hyaluronic acid hydrogels successfully supported ATII growth  
694 and self-assembly into spheroids without a need for Matrigel [70], but, to the best of our  
695 knowledge, this is the first study to replicate these results in a fully 3D engineered  
696 hydrogel system. One limitation of this work is that the laminin/entactin entrapped  
697 protein within the hydrogels was derived from mice. Identifying a suitable human laminin  
698 protein alternative would be beneficial and more translational to ensure the model  
699 consists entirely of human-derived materials. During lung development, MMP secretion  
700 predominantly shifts from MMP2 to MMP3 to MMP9 [46, 48], which reflects dynamic  
701 ECM remodeling and cellular behavior. MMP9 has also been widely implicated in early  
702 fibrotic tissue as critical ECM regulator, where it activates latent TGF- $\beta$ 1 and contributes  
703 to fibrosis progression [71-73]. Thus, the MMP9-degradable peptide crosslinker, laminin  
704 peptide mimic, and laminin/entactin protein complex were strategically included within  
705 the hydrogel formulations to facilitate cellular adhesion and remodeling in 3D [39].

706 Building on previously published work, a key distinction of this approach was that  
707 iATIs naturally form spheres, or alveolospheres when cultured in 3D [34, 35, 70]. By  
708 leveraging this inherent geometry, iATIs were aggregated successfully into a larger  
709 acinar structure without the need for microsphere templates [10, 44]. Photo-degradable  
710 microsphere templates have been used to generate cyst structures mimicking a single  
711 alveolus, a model which can be used to study crosstalk between templated epithelial  
712 cells and fibroblasts but which limits the ability of epithelial cells to provide autocrine  
713 support across a larger acinar structure, and also risks spontaneous and uncontrolled  
714 differentiation of primary cells [44, 45]. Self-assembling alveolospheres can also be  
715 generated in a 2.5D microwell system, where the dimensions of each well determine the  
716 ultimate spheroid size, but without full embedding in a supportive material [70]. Our 3D  
717 study allows for alveolospheres to be surrounded by tunable biomaterial and  
718 neighboring fibroblasts in a more physiologically relevant geometry. These engineered  
719 models also supported high total cell viability (>75%) throughout the 8-day culture  
720 period. While not statistically significant, a dip in cell viability to  $78.17\% \pm 15.26\%$  was  
721 observed on day 6 within the stiff hydrogels that were exposed to the fibrosis cocktail.  
722 This trend suggests that the combined effects of increased microenvironmental stiffness  
723 and pro-fibrotic biochemical cues may have contributed to higher cell death. However,  
724 viability returned to above 80% by day 8, indicating that cell clearance may have  
725 occurred. This corresponds to observed effects of FC treatment on alveolospheres in  
726 matrigel, where exposure caused a trend towards increase in cellular damage markers  
727 without overall loss in number of alveolospheres [13], indicating that FC can cause a  
728 certain amount of stress without overt toxicity across a 3D culture.

729           Successful culture of iATII cells in engineered hydrogels is particularly  
730 advantageous given the widespread reliance of iATII growth in Matrigel [22, 31, 34, 35,  
731 63, 70, 74], which presents significant regulatory challenges, as no Matrigel-derived  
732 products have been approved by the FDA or deemed safe for clinical applications such  
733 as autologous cell therapies [75]. Additionally, by incorporating a dynamic stiffening  
734 mechanism with the soft hydrogels, it would enhance the model's physiological  
735 relevance and better recapitulate disease progression. Leveraging the tyrosine residues  
736 within the laminin, peptide mimics, and degradable crosslinker could enable ruthenium-  
737 based crosslinking, as demonstrated by Nizamoglu *et al.* [76-78]. Future work could  
738 also explore the use of hybrid-hydrogels, which have gained significant traction for  
739 harnessing the advantages of both synthetic and natural hydrogels [7, 14, 79].  
740 Enhancing the hydrogel formulation with additional ECM proteins could improve  
741 viscoelasticity, increase cell remodeling, and further refine the model for studying  
742 fibrosis [77]. This design consideration will be particularly important if the goal is to seed  
743 single iATII cells within the hydrogels and allow them to self-assemble into  
744 alveolospheres over extended culture durations, such as several weeks.

745           In this study, the temporal expression of several ATII, transitional epithelial, ATI,  
746 and fibroblast activation genes were monitored to assess whether fibrotic markers could  
747 be effectively recapitulated. Treatment of alveolospheres alone in matrigel with FC has  
748 been observed to decrease expression of the ATII marker *SFTPC* while increasing the  
749 transitional marker *KRT17* and promoting aberrant ECM gene expression by epithelial  
750 cells, including expression of *FN1* [13]. Similarly, in our co-culture model, *SFTPC* [34,  
751 35, 74] and *LAMP3* [23, 80] served as ATII-specific markers, while *KRT17* [23, 26, 29]



752 and *CLDN4* [26, 81] identified transitional epithelial states. It is important to note that  
753 robust red fluorescence from the *SFTPC*<sup>tdTomato</sup> reporter was observed at the time of  
754 seeding the iATIIIs, indicating high activity of the *SFTPC* promoter [34, 35]. *PDPN* [13,  
755 63, 74] and *AQP5* [22, 82] were used as ATI markers, while fibroblast activation was  
756 assessed through the expression of *COL1A1* [10, 83], *FN1* [84, 85], *CTGF* [86, 87],  
757 *CTHRC1* [83, 88], and *LTBP2* [89, 90]. Interestingly, CTHRC1<sup>+</sup> cells have been shown  
758 to express pathologic ECM genes in fibrotic lungs and exhibit high mobility, often  
759 accumulating within fibrotic foci [83, 88, 91]. The upregulation of this gene within the  
760 FC-exposed samples suggests that early fibrosis may be occurring. Compared to the  
761 vehicle control samples, exposure to the fibrosis cocktail resulted in a clear and  
762 expected downregulation of ATII markers and upregulation in transitional epithelial, ATI,  
763 and fibroblast markers. However, *AQP5* exhibited a notable deviation from this trend,  
764 potentially suggesting that iATIIIs may not have been able to fully differentiate into ATI  
765 cells or underwent apoptosis, leading to reduced cell survival.

766 The epithelial DOE also identified the hydrogel's elastic modulus, the interaction  
767 between fibroblast donor age and elastic modulus, and fibrosis cocktail exposure as the  
768 top three factors to influence epithelial cell gene expression. In contrast, the fibroblast  
769 DOE ranked fibrosis cocktail exposure, the hydrogel's elastic modulus, and time as the  
770 primary drivers of fibroblast activation. Notably, since all the input variables (age of the  
771 patient fibroblasts, elastic modulus, exposure, and time) were statistically significant  
772 within both DOEs, it provides strong evidence that these factors should be integrated  
773 into future fibrosis models.

774           Lastly, to further validate our model and assess its responsiveness to anti-fibrotic  
775 treatment, we tested the FDA approved drug Nintedanib. Alveolospheres in Matrigel  
776 treated with FC show an acquisition of mesenchymal-type markers including *FN1* that  
777 can be partially rescued by treatment with Nintedanib, but Nintedanib treatment in  
778 this model system fails to rescue *SFTPC* expression, indicating an incomplete reversion  
779 of epithelial cell injury [13]. In our co-culture system, after four days of treatment,  
780 *KRT17*, *CLDN4*, and *PDPN* were significantly upregulated relative to the FC-only  
781 control samples. This finding suggests that Nintedanib treatment in the context of  
782 fibroblast co-culture may have supported healthy ATII-to-ATI differentiation and  
783 preserved a AT1 cellular subpopulation. Additionally, *FN1* expression was evaluated in  
784 EpCAM<sup>+</sup> cells, as this marker has been linked to the emergence of an aberrant basaloid  
785 cell population [13, 63]. Remarkably, Nintedanib downregulated *FN1* expression, which  
786 suggests that the epithelial cells were more likely to maintain normal functionality. In line  
787 with these favorable findings, Nintedanib treatment also downregulated *LTBP2*, *FN1*,  
788 and *COL1A1*, which are highly expressed in fibrotic tissue. These results were in line  
789 with a study that pretreated lung fibroblasts with Nintedanib before stimulation with  
790 TGF- $\beta$  and observed that Nintedanib protected fibroblasts from increased expression of  
791 fibrotic markers and phenotypes [92], though our timeline in which Nintedanib treatment  
792 follows fibrotic stimulation is more clinically relevant.

### 793 **Conclusion**

794           In summary, we have developed an iATII-fibroblast model of human IPF that  
795 integrates key factors that contribute to fibrogenesis and evaluates respective impacts  
796 on gene expression. By leveraging tunable synthetic hydrogels, this biomaterial platform

797 enables independent interrogation of biomechanical and biochemical cues. This model  
798 accurately reproduced the geometric and spatial cellular arrangement, as well as the  
799 mechanical properties of native distal lung tissue. While designed to simplify the  
800 complexity of fibrosis to the most critical parameters, our results demonstrate that donor  
801 age, hydrogel stiffness, pro-inflammatory biochemical cues, and time all significantly  
802 influence fibrotic gene expression. Our findings also identified that the combination of  
803 stiff hydrogels and fibrosis cocktail exposure resulted in the most fibrotic cellular  
804 microenvironment. Synergistically, these factors captured epithelial injury and fibroblast  
805 activation, which aligns these results with expected clinical outcomes. Furthermore,  
806 since Nintedanib treatment modulated fibrotic epithelial and fibroblast outcomes, it  
807 highlights the model's potential for therapeutic screening.

808         Given the significant gap in our understanding of the events associated with  
809 fibrotic progression, we believe this model has the potential to address crucial  
810 mechanistic questions through systematic testing. The initial success of this model  
811 demonstrates feasibility that engineered hydrogels can support iATII growth in well-  
812 defined and controlled substrates that closely mimic the native lung environment. This  
813 work presents a more comprehensive *in vitro* distal lung model than what is currently  
814 available. By adopting an engineering approach, this work lays a solid foundation for  
815 further characterization of the cells at a protein level. Future studies should focus on  
816 developing female-specific human IPF models and incorporating dynamic stiffening and  
817 viscoelasticity into the hydrogels. Overall, this model serves as a powerful tool for  
818 studying IPF pathogenesis and evaluating anti-fibrotic interventions with strong  
819 physiological relevance.

820 **Data availability**

821 The data that support the findings of this study are openly available in Mendeley

822 Data at doi: 10.17632/3jchc2mkbf.1

823

824 **CRedit authorship contribution statement**

825 **Alicia E. Tanneberger:** Conceptualization, Data curation, Formal analysis,  
826 Methodology, Project administration, Writing – original draft, Writing – reviewing &  
827 editing. **Rachel Blomberg:** Data curation, Formal analysis, Methodology, Writing –  
828 reviewing & editing. **Anton Kary:** Data curation, Methodology, Project administration,  
829 Writing – reviewing & editing. **Andrew Lu:** Data curation, Methodology, Writing –  
830 reviewing & editing. **David W.H. Riches:** Project administration, Supervision, Writing –  
831 reviewing & editing. **Chelsea M. Magin:** Conceptualization, Data curation, Formal  
832 analysis, Funding acquisition, Project administration, Supervision, Writing – original  
833 draft, Writing – reviewing & editing.

834

835 **Funding sources**

836 This work was supported by funding from the National Heart, Lung, and Blood  
837 Institute of the National Institutes of Health (NIH) under awards R01 HL153096 (CMM,  
838 RB, AET, DWHR), P01 HL162607 (DWHR), and T32 HL072738 (AET), the National  
839 Science Foundation under award number 2225554 (CMM, AK), the Department of the  
840 Army under award W81XWH-20-1-0037 (CMM, AET), and the Gates Summer  
841 Internship Program (AL). The BU3 NGST iATII cell line was also derived with support

842 from the National Center for Advancing Translational Sciences (NCATS) grant number  
843 U01TR001810.

844

#### 845 **Declaration of competing interest**

846 C.M.M. is a member of the board of directors for the Colorado BioScience  
847 Institute. No conflicts of interest, financial or otherwise, are declared by the other  
848 authors that could have appeared to influence the work reported in this paper.

849

#### 850 **Declaration of AI and AI-assisted technologies in the writing process**

851 During the preparation of this work the author(s) used ChatGPT to improve  
852 readability and language. After using this tool/service, the author(s) reviewed and edited  
853 the content as needed and take(s) full responsibility for the content of the publication.

854

#### 855 **Acknowledgements**

856 The graphical abstract (Magin, C. (2025) <https://BioRender.com/p27r262>),  
857 portions of Fig. 1 (Magin, C. (2025) <https://BioRender.com/t82x175>), and part of Fig. 6  
858 (Magin, C. (2025) <https://BioRender.com/p47v260>) were created using BioRender. The  
859 authors sincerely thank Dr. Darrell Kotton (Boston University) and the Center for  
860 Regenerative Medicine at Boston University for providing iATILs and technical support  
861 that were instrumental to these experiments. We also acknowledge Dr. David W.H.  
862 Riches (National Jewish Health) and Benjamin Edelman (National Jewish Health) for  
863 their assistance in coordinating and acquiring patient-specific fibroblasts. Additionally,  
864 we are grateful to Dr. Amy L. Ryan (University of Iowa) for her valuable technical input

865 and feedback that guided these experiments in its early stages. We further  
866 acknowledge Mikala M. Mueller (Magin Lab, CU Denver | Anschutz) for her support with  
867 medium changes and chemistry, as well as Dema Essmaeil (Magin Lab, CU Denver |  
868 Anschutz) for her early work in optimizing iATII-fibroblast aggregations.

869

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